

DIMACS

Series in Discrete Mathematics and Theoretical Computer Science

Volume 39

Proof Complexity and Feasible Arithmetics

DIMACS Workshop April 21–24, 1996

Paul W. Beame Samuel R. Buss Editors

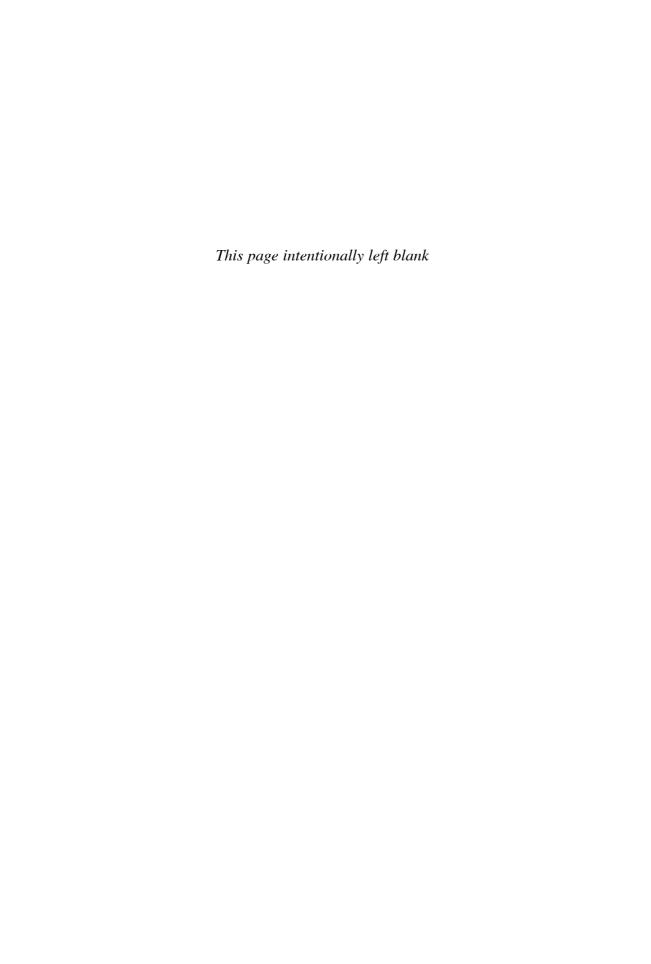


Selected Titles in This Series

- 39 Paul W. Beame and Samuel R. Buss, Editors, Proof Complexity and Feasible Arithmetics
- 38 Rebecca N. Wright and Peter G. Neumann, Editors, Network Threats
- 37 Boris Mirkin, F. R. McMorris, Fred S. Roberts, and Andrey Rzhetsky, Editors, Mathematical Hierarchies and Biology
- 36 Joseph G. Rosenstein, Deborah S. Franzblau, and Fred S. Roberts, Editors, Discrete Mathematics in the Schools
- 35 **Dingzhu Du, Jun Gu, and Panos M. Pardalos, Editors,** Satisfiability Problem: Theory and Applications
- 34 Nathaniel Dean, Editor, African Americans in Mathematics
- 33 Ravi B. Boppana and James F. Lynch, Editors, Logic and random structures
- 32 Jean-Charles Grégoire, Gerard J. Holzmann, and Doron A. Peled, Editors, The SPIN verification system
- 31 Neil Immerman and Phokion G. Kolaitis, Editors, Descriptive complexity and finite models
- 30 Sandeep N. Bhatt, Editor, Parallel Algorithms: Third DIMACS Implementation Challenge
- 29 Doron A. Peled, Vaughan R. Pratt, and Gerard J. Holzmann, Editors, Partial Order Methods in Verification
- 28 Larry Finkelstein and William M. Kantor, Editors, Groups and Computation II
- 27 Richard J. Lipton and Eric B. Baum, Editors, DNA Based Computers
- 26 David S. Johnson and Michael A. Trick, Editors, Cliques, Coloring, and Satisfiability: Second DIMACS Implementation Challenge
- 25 Gilbert Baumslag, David Epstein, Robert Gilman, Hamish Short, and Charles Sims, Editors, Geometric and Computational Perspectives on Infinite Groups
- 24 Louis J. Billera, Curtis Greene, Rodica Simion, and Richard P. Stanley, Editors, Formal Power Series and Algebraic Combinatorics/Séries formelles et combinatoire algébrique, 1994
- 23 Panos M. Pardalos, David I. Shalloway, and Guoliang Xue, Editors, Global Minimization of Nonconvex Energy Functions: Molecular Conformation and Protein Folding
- 22 Panos M. Pardalos, Mauricio G. C. Resende, and K. G. Ramakrishnan, Editors, Parallel Processing of Discrete Optimization Problems
- 21 D. Frank Hsu, Arnold L. Rosenberg, and Dominique Sotteau, Editors, Interconnection Networks and Mapping and Scheduling Parallel Computations
- 20 William Cook, László Lovász, and Paul Seymour, Editors, Combinatorial Optimization
- 19 Ingemar J. Cox, Pierre Hansen, and Bela Julesz, Editors, Partitioning Data Sets
- 18 Guy E. Blelloch, K. Mani Chandy, and Suresh Jagannathan, Editors, Specification of Parallel Algorithms
- 17 Eric Sven Ristad, Editor, Language Computations
- 16 Panos M. Pardalos and Henry Wolkowicz, Editors, Quadratic Assignment and Related Problems
- 15 Nathaniel Dean and Gregory E. Shannon, Editors, Computational Support for Discrete Mathematics
- 14 Robert Calderbank, G. David Forney, Jr., and Nader Moayeri, Editors, Coding and Quantization: DIMACS/IEEE Workshop
- 13 Jin-Yi Cai, Editor, Advances in Computational Complexity Theory
- 12 David S. Johnson and Catherine C. McGeoch, Editors, Network Flows and Matching: First DIMACS Implementation Challenge
- 11 Larry Finkelstein and William M. Kantor, Editors, Groups and Computation
- 10 Joel Friedman, Editor, Expanding Graphs
- 9 William T. Trotter, Editor, Planar Graphs



Proof Complexity and Feasible Arithmetics



DIMACS

Series in Discrete Mathematics and Theoretical Computer Science

Volume 39

Proof Complexity and Feasible Arithmetics

DIMACS Workshop April 21–24, 1996

Paul W. Beame Samuel R. Buss Editors

NSF Science and Technology Center in Discrete Mathematics and Theoretical Computer Science A consortium of Rutgers University, Princeton University, AT&T Labs, Bell Labs, and Bellcore



This DIMACS volume contains papers from the DIMACS Workshop on Feasible Arithmetics and Length of Proofs, which was part of the DIMACS Special Year on Logic and Algorithms. The Workshop was held on April 21–24, 1996.

1991 Mathematics Subject Classification. Primary 03F20, 03F30, 03F50, 68Q15, 68R05, 03D15, 03-06, 68-06.

Library of Congress Cataloging-in-Publication Data

Proof complexity and feasible arithmetics : DIMACS workshop, April 21–24, 1996 / Paul W. Beame, Samuel R. Buss, editors.

p. cm. — (DIMACS series in discrete mathematics and theoretical computer science, ISSN 1052-1798; v. 39)

Papers from the proceedings of a workshop held in Rutgers, N. J.

Includes bibliographical references.

ISBN 0-8218-0577-0 (alk. paper)

1. Proof theory—Congresses. 2. Constructive mathematics—Congresses. 3. Computational complexity—Congresses. I. Beame, Paul W., 1959— II. Buss, Samuel R. III. Series. QA9.54.P75 1997 511.3—dc21 97-29122

CIP

Copying and reprinting. Material in this book may be reproduced by any means for educational and scientific purposes without fee or permission with the exception of reproduction by services that collect fees for delivery of documents and provided that the customary acknowledgment of the source is given. This consent does not extend to other kinds of copying for general distribution, for advertising or promotional purposes, or for resale. Requests for permission for commercial use of

material should be addressed to the Assistant to the Publisher, American Mathematical Society, P.O. Box 6248, Providence, Rhode Island 02940-6248. Requests can also be made by e-mail to

reprint-permission@ams.org.

Excluded from these provisions is material in articles for which the author holds copyright. In such cases, requests for permission to use or reprint should be addressed directly to the author(s). (Copyright ownership is indicated in the notice in the lower right-hand corner of the first page of each article.)

© 1998 by the American Mathematical Society. All rights reserved.

The American Mathematical Society retains all rights except those granted to the United States Government.

Printed in the United States of America.

The paper used in this book is acid-free and falls within the guidelines established to ensure permanence and durability.

Visit the AMS home page at URL: http://www.ams.org/

10 9 8 7 6 5 4 3 2 1 03 02 01 00 99 98

Contents

| Plausibly hard combinatorial tautologies JEREMY AVIGAD | 1 |
|---|-----|
| More on the relative strength of counting principles PAUL BEAME AND SØREN RIIS | 13 |
| Ranking arithmetic proofs by implicit ramification STEPHEN J. BELLANTONI | 37 |
| Lower bounds on Nullstellensatz proofs via designs SAMUEL R. BUSS | 59 |
| Relating the provable collapse of P to $N\mathrm{C}^1$ and the power of logical theories Stephen Cook | 73 |
| On $PHP\ st$ -connectivity, and odd charged graphs Peter Clote and Anton Setzer | 93 |
| Descriptive complexity and the W hierarchy Rodney G. Downey, Michael R. Fellows, and Kenneth W. Regan | 119 |
| Lower bounds on the sizes of cutting plane proofs for modular coloring principles XUDONG FU | 135 |
| Equational calculi and constant depth propositional proofs JAN JOHANNSEN | 149 |
| Exponential lower bounds for semantic resolution STASYS JUKNA | 163 |
| Bounded arithmetic: Comparison of Buss' witnessing method and Sieg's Herbrand analysis BARBARA KAUFFMANN | 173 |
| Towards lower bounds for bounded-depth Frege proofs with modular connectives ALEXIS MACIEL AND TONIANN PITASSI | 195 |
| A quantifier-free theory based on a string algebra for NC^1 FRANÇOIS PITT | 229 |

viii CONTENTS

| A propositional proof system for R_2^i Chris Pollett | 253 |
|---|-----|
| Algebraic models of computation and interpolation for algebraic proof systems | |
| Pavel Pudlák and Jiří Sgall | 279 |
| Self-reflection principles and NP-hardness | |
| Dan E. Willard | 297 |

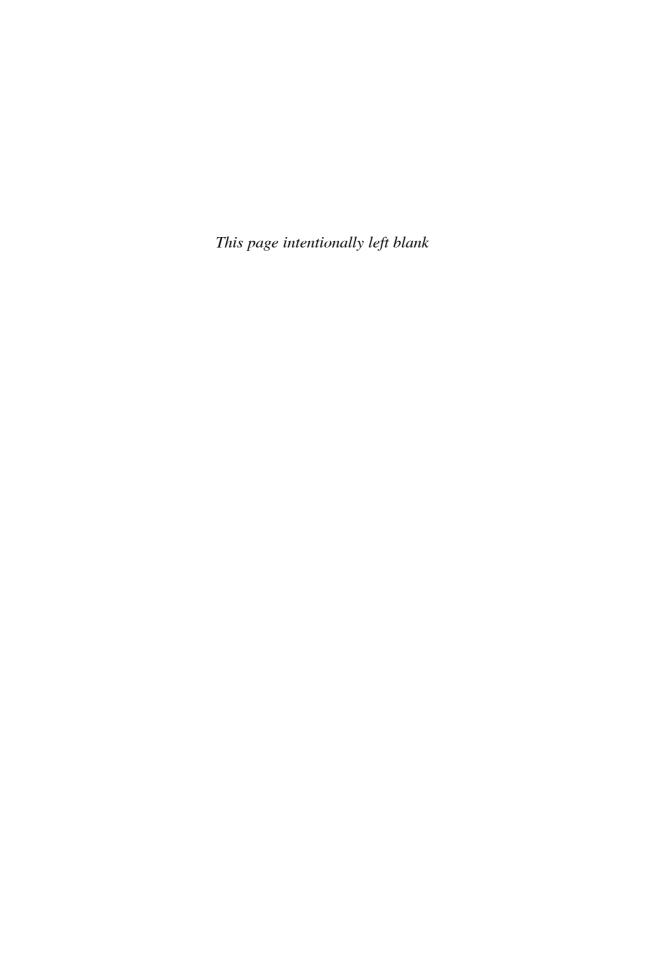
Foreword

The DIMACS Workshop on "Feasible Arithmetics and Length of Proofs" held in April 1996 was part of DIMACS Special Year on Logic and Algorithms. We would like to express our appreciation to Paul Beame and Sam Buss for their efforts to organize and plan this successful workshop as well as editing this volume of papers.

The workshop was part of the broader Special Year on Logic and Algorithms program which focused on computer aided verification, finite models, and proof complexity. The special year encouraged collaborations among very different research communities and this volume records one of many workshops in which this was achieved. We also extend our thanks to Eric Allender, Robert Kurshan, and Moshe Vardi for their work over many months as special year organizers.

DIMACS gratefully acknowledges the generous support that makes these programs possible. The National Science Foundation, through its Science and Technology Center program, the New Jersey Commission on Science and Technology, DIMACS partners at Rutgers, Princeton, AT&T Labs, Bell Labs, and Bellcore generously supported the special year.

Fred S. Roberts
Director
Bernard Chazelle
Co-Director for Princeton
Stephen R. Mahaney
Associate Director for Research



Preface

The complexity of proofs in propositional logic and the properties of feasible theories of arithmetic are closely related and give important and strong connections between logical properties and the properties of computational complexity classes.

Defining the proof complexity of logical formulas requires the specification of a particular *proof system* that determines the expressive power of and the relationships between the objects that may be used in their proofs. In addition, a proof system includes an efficient mechanism for checking the validity of proofs. Within such a proof system, the proof complexity of a formula is typically measured as the amount of space required to write down a proof. The study of proof complexity seeks to understand the complexity of proofs of formulas in specific proof systems, classify the relative complexity of proofs of formulas within different proof systems, and to develop better proof systems. For example, a major open question in proof complexity, equivalent to the NP versus co-NP problem, is whether or not there is a proof system in which all propositional tautologies have polynomial-size proofs.

Feasible theories of arithmetic are first-order theories of arithmetic designed to have proof-theoretic strength closely corresponding to low-level computational classes from the polynomial-time or NC hierarchies. The most natural feasible theories of arithmetic have the property that the functions which are provably total in the theory are precisely the functions which are computable in some given natural complexity class. Furthermore, it is frequently the case that first-order proofs in feasible theories of arithmetic can be translated directly into propositional proofs. The primary goals of the study of feasible theories of arithmetic are to understand the computational implications of various proof-theoretic constructions and use these to characterize complexity classes, and to establish connections between the logical properties of feasible theories and open problems in computational complexity. It is hoped that the study of feasible theories will ultimately yield useful new complexity results.

Over the last dozen years there has been substantial progress in proof complexity and feasible arithmetic as the two have grown together and have also benefited from a very productive cross-fertilization of techniques with Boolean circuit complexity. This progress has significantly broadened and deepened many connections of proof complexity and feasible arithmetic with computational complexity and greatly enriched both areas.

The papers in this volume represent the proceedings of a workshop on "Feasible Arithmetic and Proof Complexity" held at the DIMACS Institute at Rutgers, New Jersey on April 21-24, 1996. The principal speakers at this workshop included J. Avigad, P. Beame, S. Bellantoni, S. Buss, J.-Y. Cai, A. Carbone, P. Clote, F. Ferreira, X. Fu, R. Impagliazzo, J. Johannsen, B. Kauffmann, J. Krajíček, D. Leivant,

xii PREFACE

A.A. Razborov, K. Regan, S. Riis, T. Pitassi, P. Pudlak, S. Rudich, G. Takeuti and D. Willard, and many of these speakers submitted talks to this volume. The papers primarily cover lower bounds on the complexity of propositional proofs and meta-mathematical results on feasible theories of arithmetics. All papers in this volume are refereed.

We wish to thank the DIMACS institute for their generous support in hosting and financing the workshop and the DIMACS staff for their help in organizing the meeting. We also thank the referees for the rigorous and thorough review of the articles.

Paul Beame and Sam Buss

Selected Titles in This Series

(Continued from the front of this publication)

- 8 Simon Gindikin, Editor, Mathematical Methods of Analysis of Biopolymer Sequences
- 7 Lyle A. McGeoch and Daniel D. Sleator, Editors, On-Line Algorithms
- 6 Jacob E. Goodman, Richard Pollack, and William Steiger, Editors, Discrete and Computational Geometry: Papers from the DIMACS Special Year
- 5 Frank Hwang, Fred Roberts, and Clyde Monma, Editors, Reliability of Computer and Communication Networks
- 4 **Peter Gritzmann and Bernd Sturmfels, Editors,** Applied Geometry and Discrete Mathematics, The Victor Klee Festschrift
- 3 E. M. Clarke and R. P. Kurshan, Editors, Computer-Aided Verification '90
- 2 Joan Feigenbaum and Michael Merritt, Editors, Distributed Computing and Cryptography
- 1 William Cook and Paul D. Seymour, Editors, Polyhedral Combinatorics

ISBN 0-8218-0577-0

