



# FIELDS INSTITUTE COMMUNICATIONS

THE FIELDS INSTITUTE FOR RESEARCH IN MATHEMATICAL SCIENCES

## WIN—Women in Numbers Research Directions in Number Theory

Alina-Carmen Cojocaru  
Kristin Lauter  
Rachel Pries  
Renate Scheidler  
Editors



American Mathematical Society  
The Fields Institute  
for Research in Mathematical Sciences





# FIELDS INSTITUTE COMMUNICATIONS

---

THE FIELDS INSTITUTE FOR RESEARCH IN MATHEMATICAL SCIENCES

## WIN—Women in Numbers Research Directions in Number Theory

Alina-Carmen Cojocaru  
Kristin Lauter  
Rachel Pries  
Renate Scheidler  
Editors



**American Mathematical Society**  
Providence, Rhode Island

**The Fields Institute**  
**for Research in Mathematical Sciences**  
Toronto, Ontario



# The Fields Institute for Research in Mathematical Sciences

The Fields Institute is a center for mathematical research, located in Toronto, Canada. Our mission is to provide a supportive and stimulating environment for mathematics research, innovation and education. The Institute is supported by the Ontario Ministry of Training, Colleges and Universities, the Natural Sciences and Engineering Research Council of Canada, and seven Ontario universities (Carleton, McMaster, Ottawa, Toronto, Waterloo, Western Ontario, and York). In addition there are several affiliated universities in both Canada and the United States, and five Corporate Affiliate Members (Algorithmics, General Motors, QWeMA Group Inc., R2 Financial Technologies Inc., and Sigma Analysis and Management).

Fields Institute Editorial Board: Carl R. Riehm (Managing Editor), Edward Bierstone (Director of the Institute), Matthias Neufang (Deputy Director of the Institute), James G. Arthur (Toronto), Kenneth R. Davidson (Waterloo), Lisa Jeffrey (Toronto), Barbara Lee Keyfitz (Ohio State), Thomas S. Salisbury (York), Juris Steprans (York University), Noriko Yui (Queen's).

2010 *Mathematics Subject Classification*. Primary 11–06, 11–02, 14–06, 11Gxx, 11Mxx, 11Rxx, 11Yxx, 14Hxx, 14Kxx, 14Qxx.

---

## Library of Congress Cataloging-in-Publication Data

Win—women in numbers : research directions in number theory / Alina-Carmen Cojocaru . . . [et al.], editors.

p. cm. — (Fields Institute Communications, ISSN 1069-5265 ; 60)

Includes bibliographical references.

ISBN 978-0-8218-5226-2 (alk. paper)

1. Number theory. 2. Arithmetical algebraic geometry. 3. Women mathematicians. I. Cojocaru, Alina Carmen.

QA241.W628 2011

512.7—dc22

2010049877

---

**Copying and reprinting.** Material in this book may be reproduced by any means for educational and scientific purposes without fee or permission with the exception of reproduction by services that collect fees for delivery of documents and provided that the customary acknowledgment of the source is given. This consent does not extend to other kinds of copying for general distribution, for advertising or promotional purposes, or for resale. Requests for permission for commercial use of material should be addressed to the Acquisitions Department, American Mathematical Society, 201 Charles Street, Providence, Rhode Island 02904-2294, USA. Requests can also be made by e-mail to [reprint-permission@ams.org](mailto:reprint-permission@ams.org).

Excluded from these provisions is material in articles for which the author holds copyright. In such cases, requests for permission to use or reprint should be addressed directly to the author(s). (Copyright ownership is indicated in the notice in the lower right-hand corner of the first page of each article.)

© 2011 by the American Mathematical Society. All rights reserved.

The American Mathematical Society retains all rights  
except those granted to the United States Government.

Copyright of individual articles may revert to the public domain 28 years  
after publication. Contact the AMS for copyright status of individual articles.

Printed in the United States of America.

∞ The paper used in this book is acid-free and falls within the guidelines  
established to ensure permanence and durability.

This publication was prepared by the Fields Institute.

<http://www.fields.utoronto.ca>

Visit the AMS home page at <http://www.ams.org/>

10 9 8 7 6 5 4 3 2 1      16 15 14 13 12 11

## Contents

Preface	v
Photo: WIN—Women In Numbers, November 2008	ix
Workshop Participants and Affiliations at the Time of the Workshop	x
PART I – MODULI SPACES AND SHIMURA CURVES	
Hilbert Modular Variety Computations HELEN GRUNDMAN	3
Contributions to Shimura Curves PILAR BAYER	15
Igusa Class Polynomials, Embedding of Quartic CM Fields, and Arithmetic Intersection Theory HELEN GRUNDMAN, JENNIFER JOHNSON-LEUNG, KRISTIN LAUTER, ADRIANA SALERNO, BIANCA VIRAY, AND ERIKA WITTENBORN	35
$l$ -Adic Étale Cohomology of PEL Type Shimura Varieties with Non-Trivial Coefficients ELENA MANTOVAN	61
PART II – CURVES AND JACOBIANS OVER FINITE FIELDS	
Pairings on Hyperelliptic Curves JENNIFER BALAKRISHNAN, JULIANA BELDING, SARAH CHISHOLM, KIRSTEN EISENTRÄGER, KATHERINE E. STANGE, and EDLYN TESKE	87
Biased Statistics for Traces of Cyclic $p$ -Fold Covers over Finite Fields ALINA BUCUR, CHANTAL DAVID, BROOKE FEIGON, and MATILDE LALÍN	121
The $\ell$ -Rank Structure of a Global Function Field LISA BERGER, JING LONG HOELSCHER, YOONJIN LEE, JENNIFER PAULHUS, and RENATE SCHEIDLER	145



PART III – GALOIS COVERS OF FUNCTION FIELDS IN  
POSITIVE CHARACTERISTIC

A Survey of Galois Theory of Curves in Characteristic  $p$  169  
RACHEL PRIES and KATHERINE STEVENSON

Covers of the Affine Line in Positive Characteristic with  
Prescribed Ramification 193  
IRENE I. BOUW

Semi-Direct Galois Covers of the Affine Line 201  
LINDA GRUENDKEN, LAURA HALL-SEELIG, BO-HAE IM,  
EKIN OZMAN, RACHEL PRIES, and KATHERINE STEVENSON

PART IV – ZETA FUNCTIONS OF GRAPHS

Looking into a Graph Theory Mirror of Number Theoretic Zetas 213  
AUDREY TERRAS

Zeta Functions of Group Based Graphs and Complexes 225  
WEN-CHING W. LI

Ramified Covers of Graphs and the Ihara Zeta Functions of  
Certain Ramified Covers 237  
BETH MALMSKOG and MICHELLE MANES

PART V – OTHER TOPICS

Zeros of Classical Eisenstein Series and Recent Developments 251  
SHARON ANNE GARTHWAITE, LING LONG, HOLLY SWISHER,  
and STEPHANIE TRENEER

On the  $\mu$ -Invariant in Iwasawa Theory 265  
SUJATHA RAMDORAI

Galois Representations and the Tame Inverse Galois Problem 277  
SARA ARIAS-DE-REYNA and NÚRIA VILA

## Preface

This volume is a collection of papers on number theory which evolved out of the workshop *WIN—Women In Numbers*, held November 2–7, 2008, at the Banff International Research Station (BIRS) in Banff, Alberta, Canada. It includes articles showcasing outcomes from collaborative research initiated during the workshop as well as survey papers aimed at introducing graduate students and recent PhDs to important research topics in number theory.

The workshop and this volume are part of a broader WIN initiative, whose goals are to raise the profile of active female researchers in number theory and increase their participation in research activities in the field. Although the number of female number theorists has grown over the past 20 years, this group remains underrepresented at high profile conferences and among the tenured faculty at top research universities. This underrepresentation has profound negative consequences on the recruitment and training of future female mathematicians. Part of the purpose of the BIRS workshop and this volume was to address this issue.

The WIN workshop represented a unique effort to combine a top level technical research program with strong broad impact. The workshop brought together senior and junior female researchers in the field of number theory. The main goals were to highlight and increase the research activities of women in number theory, and to train female graduate students in number theory and related fields. Emphasis was placed on on-site collaboration on open research problems as well as student training. Students were introduced to areas of active research in collaborative group projects which connected them with senior female faculty and with a network of future potential collaborators.

The workshop included 41 female number theorists and was organized by the last three editors of this volume. The attendees were spread across all levels of seniority, ranging from graduate students to postdocs and senior researchers. Based on the participants' research interests and expertise, the workshop organizers formed eight research groups each consisting of 4-6 members; generally, this included 2 group leaders who planned the specific topics and 2-4 other members. The content spanned a wide range of topics in arithmetic geometry and algebraic, algorithmic, and analytic number theory. Many of the group projects initiated at the workshop led to new research results that are published in this volume and elsewhere. A follow-up BIRS workshop entitled *WIN-2—Women In Numbers 2* will take place November 6–11, 2011, at BIRS. Other future WIN events are in the planning stage at the time of this volume's publication.

### Workshop project titles and lectures

The eight project groups each had one or two group leaders who developed the scientific content and gave introductory lectures for the projects. On the last two days of the conference, the other participants of each group gave presentations on the group's progress reports.

- *Computations on Hilbert modular surfaces*  
– Lecture 1: Helen Grundman

- Lecture 2: Kristin Lauter
- Project report: Jennifer Johnson-Leung, Adriana Salerno, Bianca Viray, Erica Wittenborn
- *L-functions and Frobenius distributions*
  - Lecture 1: Chantal David
  - Lecture 2: Alina-Carmen Cojocaru
  - Project report: Alina Bucur, Brooke Feigon, Matilde Lalín
- *Class groups of function fields*
  - Lecture 1: Renate Scheidler
  - Lecture 2: Yoonjin Lee
  - Project report: Lisa Berger, Jing Long Hoelscher, Jennifer Paulhus
- *Computation of pairings on hyperelliptic curves*
  - Lecture 1: Kirsten Eisentraeger
  - Lecture 2: Edlyn Teske
  - Project report: Jennifer Balakrishnan, Juliana Belding, Sarah Chisholm, Katherine Stange
- *Galois covers of curves in characteristic  $p$* 
  - Lecture 1: Katherine Stevenson
  - Lecture 2: Rachel Pries
  - Project report: Linda Gruendken, Laura Hall-Seelig, Bo-Hae Im, Ekin Ozman
- *Zeta functions of graphs*
  - Lecture 1: Audrey Terras
  - Lecture 2: Winnie Li
  - Project report: Shabnam Akhtari, Habiba Kadiri, Beth Malmskog, Michelle Manes
- *Modular forms*
  - Lecture 1: Stephanie Treneer
  - Lecture 2: Ling Long
  - Project report: Sharon Garthwaite, Holly Swisher
- *Galois representations*
  - Lecture: Mirela Ciperiani
  - Project report: Margaret Upton, Núria Vila

### Contributions in this volume

In 2009, the editors invited WIN workshop participants to submit articles to this proceedings volume. Papers were also solicited from some female number theorists who had been unable to attend. The aim was to document new research which emerged from the BIRS workshop and to encourage the publication of survey papers that showcase active areas of research in number theory. Following a careful and thorough refereeing process by external experts, 16 submissions were accepted, largely in the areas of arithmetic geometry and algebraic number theory.

We grouped the contributions in this volume into five areas. Clusters of papers center around the four topics of moduli spaces and Shimura curves, curves and Jacobians over finite fields, Galois covers of function fields in positive characteristic, and zeta functions of graphs, with a fifth group of three individual articles on modular forms, Iwasawa theory, and Galois representations, respectively.

**Moduli spaces and Shimura curves.** Arithmetic intersection theory on moduli spaces and Shimura curves is a very active area of recent research in number theory. This volume includes four contributions to this field that focus predominantly on computational aspects of Shimura curves and modular curves and varieties. Grundman's paper *Hilbert modular variety computations* provides a survey of computational methods used in studying Hilbert modular varieties, with particular emphasis on determining numerical invariants used in their classification. Bayer's *Contributions to Shimura curves* presents new results on the computation of the automorphic functions of canonical models for Shimura curves as well as equations defining CM points on such curves. The six-author article entitled *Igusa class polynomials, embeddings of quartic CM fields, and arithmetic intersection theory* by Grundman et al. evolved from research conducted at the WIN workshop; it investigates the arithmetic intersection numbers conjectured by Bruinier and Yang and finds both numerical support for the conjecture and some anomalies in the general case. Finally, Mantovan's contribution *Cohomology of PEL-type Shimura varieties with non-trivial  $\ell$ -adic coefficients* extends previous results on computing  $\ell$ -adic cohomology of Shimura varieties from the case of constant  $\ell$ -adic coefficients to a more general scenario.

**Curves and Jacobians over finite fields.** Curves over finite fields and their Jacobians have long been objects of intense number theoretic study. Much information can be gleaned from the zeta functions of these objects, and recent decades have seen the emergence of exciting cryptographic applications. All three articles in this area originate from WIN workshop group projects. Pairings on elliptic and hyperelliptic curves have seen a recent surge of research activity. The six-author paper *Pairings on hyperelliptic curves* by Balakrishnan et al. surveys constructions of pairing-friendly hyperelliptic curves and develops a unified framework for all hyperelliptic pairings proposed to date. The four-author contribution *Biased statistics for traces of cyclic  $p$ -fold covers over finite fields* by Bucur et al. provides statistics of the trace of Frobenius for a cyclic  $p$ -fold cover of the projective line, generalizing previous work by the authors that focused mainly on the case  $p = 3$ . The paper *The  $\ell$ -rank structure of a global function field* by Berger and four co-authors analyzes in detail the behaviour of the  $\ell$ -rank of the Jacobian associated to any curve over a finite field when the base field is gradually enlarged.

**Galois covers of function fields in positive characteristic.** There are many open problems related to Galois covers and fundamental groups of curves in positive characteristic. These arise, in large part, because of the phenomena of wild ramification. This area has close connections with the previous topic of curves and Jacobians. The volume contains three papers on this topic. Pries & Stevenson's *A survey of Galois theory of curves in characteristic  $p$*  provides a survey on Galois covers and fundamental groups of curves in positive characteristic. An open problem is to determine for a non-abelian quasi- $p$  group  $G$  the smallest genus that can occur for a  $G$ -Galois cover of the affine line. Bouw's contribution *Covers of the affine line in positive characteristic with prescribed ramification* answers this problem for the case that  $G = A_d$  is the alternating group on  $d$  letters, with  $p + 2 \leq d \leq 2p - 1$ , and the six authors of *Semi-direct Galois covers of the affine line* by Gruendken et al. provide the answer for the case  $G = (\mathbb{Z}/\ell)^b \rtimes \mathbb{Z}/p$ , with  $b \in \mathbb{N}$  and  $\ell$  a prime distinct from  $p$ . This last paper grew out of research conducted at the WIN workshop.

**Zeta functions of graphs.** Zeta functions of graphs represent a new area of number theory, with connections to combinatorial and analytic number theory. Three papers on this topic appear here. Terras' *Looking into a graph theory mirror of number theoretic zetas* surveys the Ihara zeta function for irregular graphs and its connections with number theoretic zeta functions. A second survey article by Li entitled *Zeta functions of group based graphs and complexes* focuses on graphs and complexes arising from quotients of the Bruhat-Tits buildings associated to  $PGL_2(F)$  and  $PGL_3(F)$  for a non-archimedean local field  $F$  with finite residue field. The contribution *Ramified covers of graphs and the Ihara zeta functions of certain ramified covers* by Malmkog and Manes has its basis in research conducted at the WIN conference. It revisits the zeta functions discussed by Terras, investigating the Ihara zeta functions of regular graphs as well as certain coverings of these graphs.

**Other topics.** Three other important areas of number theory are represented by contributions in this volume. Research on modular forms and Eisenstein series conducted at the WIN workshop spawned the four-author paper *Zeros of classical Eisenstein series and recent developments* by Garthwaite et al. In her expository article *On the  $\mu$ -invariant in Iwasawa theory*, Sujatha reviews this important classical invariant attached to Iwasawa modules. Finally, the contribution entitled *Galois representations and the tame inverse Galois problem* by Arias-de-Reyna and Vila showcases progress made on a variant of the inverse Galois problem over the rational numbers through the analysis of the Galois representations arising from arithmetic-geometric objects.

#### Workshop website

<http://www.birs.ca/events/2008/5-day-workshops/08w5112>

#### Acknowledgments

We are grateful to the sponsoring organizations listed below for their support of the workshop and this volume. Their generous financial backing allowed us to provide full travel support to all the participants, many of whom would not have been able to attend otherwise. It was a pleasure to work with BIRS and the Fields Institute to organize the conference and prepare this volume.

- Banff International Research Station
- Fields Institute for Research in Mathematical Sciences
- Microsoft Research
- Pacific Institute for the Mathematical Sciences
- United States National Security Agency
- University of Calgary

We would also like to thank our many referees, whose effort helped the authors improve the papers for this volume.

WIN Editorial Committee

November 2010

Alina-Carmen Cojocaru, University of Illinois at Chicago, USA  
 Kristin Lauter, Microsoft Research, USA  
 Rachel Pries, Colorado State University, USA  
 Renate Scheidler, University of Calgary, Canada



WIN—Women In Numbers, November 2008

## Workshop Participants and Affiliations at the Time of the Workshop

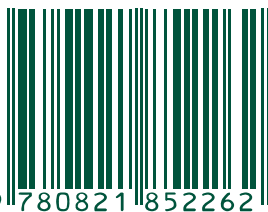
Shabnam Akhtari, Queen's University, Canada  
Jennifer Balakrishnan, Massachusetts Institute of Technology, USA  
Juliana Belding, University of Maryland, USA  
Lisa Berger, Stony Brook University, USA  
Alina Bucur, Massachusetts Institute of Technology, USA  
Sarah Chisholm, University of Calgary, Canada  
Mirela Ciperiani, Columbia University, USA  
Alina-Carmen Cojocaru, University of Illinois at Chicago, USA  
Chantal David, Concordia University, Canada  
Kirsten Eisentraeger, Pennsylvania State University, USA  
Brooke Feigon, University of Toronto, Canada  
Sharon Garthwaite, Bucknell University, USA  
Linda Gruendken, University of Pennsylvania, USA  
Helen Grundman, Bryn Mawr College, USA  
Laura Hall-Seelig, University of Massachusetts, USA  
Jing Long Hoelscher, University of Arizona, USA  
Bo-Hae Im, Chung-Ang University, South Korea  
Jennifer Johnson-Leung, University of Idaho, USA  
Habiba Kadiri, University of Lethbridge, Canada  
Matilde Lalín, University of Alberta, Canada  
Kristin Lauter, Microsoft Research, USA  
Yoonjin Lee, Ewha Woman's University, South Korea  
Winnie Li, Pennsylvania State University, USA  
Ling Long, Iowa State University, USA  
Beth Malmskog, Colorado State University, USA  
Michelle Manes, University of Hawaii, USA  
Ekin Ozman, University of Wisconsin-Madison, USA  
Jennifer Paulhus, Kansas State University, USA  
Rachel Pries, Colorado State University, USA  
Adriana Salerno, University of Texas, USA  
Renate Scheidler, University of Calgary, Canada  
Katherine Stange, Simon Fraser University/PIMS, Canada  
Katherine Stevenson, California State University Northridge, USA  
Holly Swisher, Oregon State University, USA  
Audrey Terras, University of California San Diego, USA  
Edlyn Teske, University of Waterloo, Canada  
Stephanie Treneer, Western Washington University, USA  
Margaret Upton, Texas A & M University, USA  
Núria Vila, University of Barcelona, Spain  
Bianca Viray, University of California Berkeley, USA  
Erika Wittenborn, University of Colorado, USA

This volume is a collection of papers on number theory which evolved out of the workshop *WIN—Women In Numbers*, held November 2–7, 2008, at the Banff International Research Station (BIRS) in Banff, Alberta, Canada. It includes articles showcasing outcomes from collaborative research initiated during the workshop as well as survey papers aimed at introducing graduate students and recent PhDs to important research topics in number theory.

The contributions in this volume span a wide range of topics in arithmetic geometry and algebraic, algorithmic, and analytic number theory. Clusters of papers center around the four topics of moduli spaces and Shimura curves, curves and Jacobians over finite fields, Galois covers of function fields in positive characteristic, and zeta functions of graphs, with a fifth group of three individual articles on modular forms, Iwasawa theory, and Galois representations, respectively.

The workshop and this volume are part of a broader WIN initiative, whose goals are to highlight and increase the research activities of women in number theory, and to train female graduate students in number theory and related fields.

ISBN 978-0-8218-5226-2



9 780821 852262

**FIC/60**

**AMS on the Web**  
**www.ams.org**