# Fundamentals of the Theory of Operator Algebras 

## Volume II: Advanced Theory

## Richard V. Kadison John R. Ringrose

## Graduate Studies <br> in Mathematics <br> Volume 16

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Volume II: Advanced Theory

Richard V. Kadison<br>John R. Ringrose

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## PREFACE

Most of the comments in the preface appearing at the beginning of Volume I are fully applicable to this second volume. This is particularly so for the statement of our primary goal: to teach the subject rather than be encyclopaedic. Some of those comments refer to possible styles of reading and using Volume I. The reader who has studied the first volume following the plan that avoids all the material on unbounded operators can continue in this volume, deferring Lemma 6.1.10, Theorem 6.1.11, and Theorem 7.2.1' with its associated discussion to a later reading. This program will take the reader to Section 9.2, where Tomita's modular theory is developed. At that point, an important individual decision should be made: Is it time to retrieve the unbounded operator theory or shall the first reading proceed without it? The reader can continue without that material through all sections of Chapters 9 (other than Section 9.2), 10, 11, and 12 (ignoring Subsection 11.2, Tensor products of unbounded operators, which provides an alternative approach to the commutant formula for tensor products of von Neumann algebras). However, avoiding Section 9.2 makes a large segment of the post-1970 literature of von Neumann algebras unavailable. Depending on the purposes of the study of these volumes, that might not be a workable restriction. Very little of Chapter 13 is accessible without the results of Section 9.2, but Chapter 14 can be read completely.

Another shortened path through this volume can be arranged by omitting some of the alternative approaches to results obtained in one way. For example, the first subsection of Section 9.2 may be read and the last two omitted on the first reading. The last subsection of Section 11.2 may also be omitted. It is not recommended that Section 7.3 be omitted on the first reading although it does deal primarily with an alternative approach to the theory of normal states. Too many of the results and techniques appearing in that section reappear in the later chapters. Of course, all omissions affect the exercises and groups of exercises that can be undertaken.

As noted in the preface appearing in Volume I, certain exercises (and groups of exercises) "constitute small (guided) research projects." Samples of this are: the Banach-Orliz theorem developed in Exercises 1.9.26 and 1.9.34; the theory of compact operators developed in Exercises 2.8.20-2.8.29, 3.5.17,
and 3.5.18; the theory of $\beta(\mathbb{N})$ developed in Exercises 3.5.5, 3.5.6, and 5.7.14-5.7.21. There are many other such instances. To a much greater extent, this process was used in the design of exercises for the present volume; results on diagonalizing abelian, self-adjoint families of matrices over a von Neumann algebra are developed in Exercises 6.9.14-6.9.35; the algebra of unbounded operators affiliated with a finite von Neumann algebra is constructed in Exercises 6.9.53-6.9.55, 8.7.32-8.7.35, and 8.7.60. The represen-tation-independent characterizations of von Neumann algebras appear in Exercises 7.6.35-7.6.45 and 10.5.85-10.5.87. The Friedrichs extension of a positive symmetric operator affiliated with a von Neumann algebra is described in Exercises 7.6.52-7.6.55, and this topic is needed in the development of the theory of the positive dual and self-dual cones associated with von Neumann algebras that appears in Exercises 9.5.51-9.6.65. A detailed analysis of the intersection with the center of various closures of the convex hull of the unitary conjugates of an operator in a von Neumann algebra is found in Exercises 8.7.4-8.7.22, and the relation of these results to the theory of conditional expectations in von Neumann algebras is the substance of the next seven exercises; this analysis is also applied to the development of the theory of (bounded) derivations of von Neumann algebras occurring in Exercises 8.7.51-8.7.55 and 10.5.76-10.5.79. Portions of the theory of representations of the canonical anticommutation relations appear in Exercises 10.5.88-10.5.90, 12.5.39, and 12.5.40. This list could continue much further; there are more than 1100 exercise tasks apportioned among 450 exercises in this volume. The index provides a usable map of the topical relation of exercises through key-word references.

Each exercise has been designed, by arrangement in parts and with suitable hints, to be realistically capable of solution by the techniques and skills that will have been acquired in a careful study of the chapters preceding the exercise. However, full solutions to all the exercises in a topic grouping may require serious devotion and time. Such groupings provide material for special seminars, either in association with a standard course or by themselves. Seminars of that type are an invaluable "hands-on" experience for active students of the subject.

Aside from the potential for working seminars that the exercises supply, a fast-paced, one-semester course could cover Chapters 6-9. The second semester might cover the remaining chapters of this volume. A more leisurely pace might spread Chapters 6-10 over a one-year course, with an expansive treatment of modular theory (Section 9.2) and a careful review (study) of the unbounded operator theory developed in Sections 2.7 and 5.6 of Volume I. Chapters 11-14 could be dealt with in seminars or in an additional semester course. In addition to these course possibilities, both volumes have been written with the possibility of self-study very much in mind.

The list of references and the index in this volume contain those of Volume I. Again, the reference list is relatively short, for the reasons mentioned in the preface in Volume I. A special comment must be made about the lack of references in the exercise sections. Many of the exercises (especially the topic groupings) are drawn from the literature of the subject. In designing the exercises (parts, hints, and formulation), complete, model solutions have been constructed. These solutions streamline, simplify, and unify the literature on the topic in almost all cases; on occasion, new results are included. References to the literature in the exercise sets could misdirect more than inform the reader. It seems expedient to defer references for the exercises to volumes containing the exercises and model solutions; a significant number of references pertain directly to the solutions. We hope that the benefits from the more sensible references in later volumes will outweigh the present lack; our own publications have been one source of topic groupings subject to this policy.

Again, individual purposes should play a dominant role in the proportion of effort the reader places on the text proper and on the exercises. In any case, a good working procedure might be to include a careful scanning of the exercise sets with a reading of the text even if the decision has been made not to devote significant time to solving exercises.

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## PREFACE TO THE SECOND PRINTING

Minor corrections, noted during the ten years since the publication of this volume, are the only changes made to the original volume. A list of these corrections are appended to this preface for the convenience of readers with a copy of the original printing.

One mathematical point brought to our attention by Paul Halmos deserves special mention. It involves the polar decomposition of a normal operator. With $T$ normal, $\left(T^{*} T\right)^{1 / 2}=\left(T T^{*}\right)^{1 / 2}(=H)$. Thus, as noted on page 402 (following the proof of Proposition 6.1.3), $U H=T=H U$, where $U H$ and $H U$ are the ("left" and "right") polar decompositions of $T$. (Use is made of Theorem 6.1.2 here.) We follow that observation with the assertion, "Conversely, from the uniqueness of the polar decomposition (left and right), if $U H=H U,\left(T^{*} T\right)^{1 / 2}=\left(T T^{*}\right)^{1 / 2}$ and $T^{*} T=T T^{*}$." Our intention here is to make, in economical form, the assertion: If $T$ has left polar decomposition $U H$ and right polar decomposition $H U$ (so that, of course, $U H=H U$ ), then $T$ is normal. This is correct, and the few words of argument given demonstrate that. Unfortunately, the economy of our statement leads to difficulty, for the reader may quite naturally interpret the stated assertion as: If $T$ has (left) polar decomposition $U H$, and $U H=H U$, then $T$ is normal. This is false, in general - for example, if $T$ is an isometry of a Hilbert space onto a proper subspace.

Although no new topics have been added in this second printing, several could well have been included. In the intervening ten years, some topics have proved themselves to be of fundamental significance to the study of operator algebras. First and foremost among these is Alain Connes's brilliant development of the subject that has come to be known as "Noncommutative Geometry" (compare his "Noncommutative Geometry." Academic Press, San Diego, 1994).

The results that each abelian $\mathrm{C}^{*}$-algebra $\mathfrak{A}$ is * isomorphic with $C(X)$, where $X$ is the compact Hausdorff space of pure states of $\mathfrak{A}$ (topologized by the induced weak* topology of the Banach dual space of $\mathfrak{A}$ ) and that two compact Hausdorff spaces $X$ and $Y$ are homeomorphic if and only if $C(X)$ and $C(Y)$ are algebraically isomorphic by an isomorphism implemented by the homeomorphism (Theorems 4.4.3 and 3.4.3) make it clear that the
topology of a compact Hausdorff space is encoded in the algebraic structure of its function algebra $C(X)$. To illustrate this in a simple way, $X$ fails to be connected precisely when $C(X)$ contains an idempotent function $f$ (so, $f=f^{2}$ ) distinct from 0 and 1. At the same time, each $C(X)$ is an abelian $C^{*}$-algebra.

The identification of abelian $\mathrm{C}^{*}$-algebras with function algebras $(C(X))$ leads, at once, to the interpretation of a non-commutative $C^{*}$-algebra $\mathfrak{A}$ as the (non-commuting) "function algebra" of some "non-commutative" (compact Hausdorff) space. From the comments made before, we can readily imagine that the "management" of the projections in $\mathfrak{A}$ is intimately related to the "connectivity properties" of the underlying non-commutative space. That system of projection management is a primary aspect of the subject that has become known as $\mathrm{C}^{*}$-algebra K-theory.

The discussion, to this point, involves the topology of the non-commutative space underlying $\mathfrak{A}$. Other geometric aspects of that space require notions of integration and differentiation in the "function algebra" $\mathfrak{A}$. The integration can be supplied by appropriate states of $\mathfrak{A}$; the differentiation makes use of "derivations" of (dense subalgebras of) $\mathfrak{A}$. The theory of (bounded) derivations of operator algebras is introduced in Exercise 4.6.65 and developed further throughout the exercises of this volume (notably, in the exercises of Chapters 8 and 10). The theory of unbounded (densely defined) derivations, developed largely by Shôichiro Sakai, is described in his profound "Operator Algebras in Dynamical Systems." (Cambridge University Press, Cambridge 1993).

In Chapter 5, we introduced von Neumann algebras and factors (preceding Example 5.1.6) and proved some basic facts about them. Much of this second volume develops the more advanced therry of von Neumann algebras. At one point, we define the fascinating class of "factors of type $\mathrm{II}_{1}$ " (Definition 6.5.1 and Corollary 6.5.3). As it turns out, they are precisely the infinite-dimensional factors that admit a "tracial state" (a state $\tau$ with the property that $\tau(A B)=\tau(B A)$ for all $A$ and $B$ in the factor - compare Section 8.2). The factors of type $\mathrm{II}_{1}$ share some of the properties of the other class of "finite" factors, the algebras $\mathcal{B}(\mathcal{H})$ with $\mathcal{H}$ a finite-dimensional Hilbert space. (For example, in each finite factor, if an operator has a left inverse, it has an inverse.) Many of the phenomena that occur in discrete steps for the finite factors of "type I" (isomorphic to some $\mathcal{B}(\mathcal{H})$ ) appear
in the case of factors of type $\mathrm{II}_{1}$ and occur "continuously." An instance of this is seen with the "dimension function" that assigns to each projection in $\mathcal{B}(\mathcal{H})$ the dimension of its range. Rescaled so that $I$ has "dimension" 1 , this dimension function assigns the values $0,1 / m, 2 / m, \ldots,(m-1) / m$, 1 to the projections of $\mathcal{B}(\mathcal{H})$, when $\mathcal{H}$ is $m$-dimensional. This dimension function, characterized by a few simple properties, is unique. Characterized by these same properties, there is a unique dimension function defined on the projections in a factor of type $\mathrm{II}_{1}$ (compare Section 8.4). This dimension function assigns all the values in $[0,1]$ to the projections of the factor. The phenomenon of (finite) "continuous dimensionality" is the essence of factors of type $\mathrm{II}_{1}$.

If the Hilbert space $\mathcal{H}$ has dimension $n m$, with $n$ and $m$ positive integers, and $\mathcal{M}$ is a subfactor of $\mathcal{B}(\mathcal{H})$ isomorphic to $\mathcal{B}\left(\mathcal{H}_{1}\right)$, where $\mathcal{H}_{1}$ has dimension $n$, then the commutant $\mathcal{M}^{\prime}$ of $\mathcal{M}$ is isomorphic to $\mathcal{B}\left(\mathcal{H}_{2}\right)$, where $\mathcal{H}_{2}$ has dimension $m$. If $\Delta$ and $\Delta^{\prime}$ are the dimension functions on $\mathcal{M}$ and $\mathcal{M}^{\prime}$, respectively, and $E$ and $E^{\prime}$ are minimal projections in $\mathcal{M}$ and $\mathcal{M}^{\prime}$, respectively, then $\Delta(E)=1 / n=(m / n) \Delta^{\prime}\left(E^{\prime}\right)$, If $\mathcal{K}$ is infinite dimensional and $\mathcal{N}$ is a subfactor of $\mathcal{B}(\mathcal{K})$ of type $\mathrm{II}_{1}, \mathcal{N}^{\prime}$ is its commutant, $F$ is a projection in $\mathcal{N}$ with range $\left[\mathcal{N}^{\prime} u\right]$ for some unit vector $u$ in $\mathcal{K}$, and $F^{\prime}$ is the projection in $\mathcal{N}^{\prime}$ with range $[\mathcal{N} u]$, then $\mathcal{N}^{\prime}$ is a factor either of type $\mathrm{II}_{1}$, again, or of type $\mathrm{II}_{\infty}$ (compare Definition 6.5.1). In case $\mathcal{N}^{\prime}$ is of type $\mathrm{II}_{1}$, there is a constant $c$, independent of the vector $u$, such that $\Delta(F)=c \Delta^{\prime}\left(F^{\prime}\right)$, where $\Delta$ and $\Delta^{\prime}$ are the dimension functions on $\mathcal{N}$ and $\mathcal{N}^{\prime}$, respectively (compare Exercise 9.6.5). This "coupling constant" was introduced by Murray and von Neumann. For the case of the finite factors of type I , where $\mathcal{H}$ has dimension $n m$, the corresponding coupling constant is $m / n$; any positive rational number can occur. With $\mathcal{N}$ and $\mathcal{N}^{\prime}$ factors of type $\mathrm{II}_{1}$, the coupling constant may be any positive real number.

It is always possible to represent a factor $\mathcal{M}$ of type $\mathrm{II}_{1}$ (isomorphically) on a Hilbert space $\mathcal{H}$ so that $\mathcal{M}^{\prime}$ is of type $\mathrm{II}_{1}$ and the coupling constant is 1 . In this case, there is a unit vector $u$ generating for both $\mathcal{M}$ and $\mathcal{M}^{\prime}$. The GNS construction applied to the tracial state of $\mathcal{M}$ provides such a representation of $\mathcal{M}$ (compare Lemma 7.2.14). If $\mathcal{M}$ is so represented and $\mathcal{N}$ is a subfactor of $\mathcal{M}$ of type $\mathrm{II}_{1}$, then $\mathcal{M}^{\prime} \subseteq \mathcal{N}^{\prime}$ and $c \geq 1$, where $c$ is the coupling constant from $\mathcal{N}$ to $\mathcal{N}^{\prime}$. A puzzling question that goes back to 1950 (though not written about) asks which values of $c$ can occur.

With an impressive display of ingenuity, technique, perseverance, and entrepreneurial skill, Vaughan Jones resolves this puzzle in "Index for subfactors," Invent. Math. 72(1983), 1-25. Jones proves the remarkable result that $c$, which he calls the "index" of $\mathcal{N}$ in $\mathcal{M}$ can (and does) take all the values $4 \cos ^{2} \frac{\pi}{n}$ in $[1,4)$ as $n$ assumes the integer values $3,4, \ldots$, as well as all the values in $[4, \infty)$. The key to his proof is the projection $E_{1}$ from $\mathcal{H}$ onto [ $\mathcal{N u} u$, which is the geometric representation of the "conditional expectation mapping" of $\mathcal{M}$ onto $\mathcal{N}$ (compare Exercises 8.7.23 and 10.5.86). The von Neumann algebra $\mathcal{M}_{1}$ generated by $\mathcal{M}$ and $E_{1}$ is, again, a factor of type $\mathrm{II}_{1}$ and "the Jones index" of $\mathcal{M}$ in $\mathcal{M}_{1}$ is the same as that of $\mathcal{N}$ in $\mathcal{M}$. Repeating this procedure, there is a projection $E_{2}$ (the geometric representation of the conditional expectation of $\mathcal{M}_{1}$ onto $\mathcal{M}$ ). Continuing in this way, we construct the sequence of "Jones projections," $E_{1}, E_{2}, \ldots$, and the "Jones tower" of factors $\mathcal{N}, \mathcal{M}, \mathcal{M}_{1}, \mathcal{M}_{2}, \ldots$ of type $I_{1}$. The projections $E_{1}, E_{2}, \ldots$ satisfy certain relations, which imply that each finite subset generates a finite-dimensional algebra. The relations involve the index of $\mathcal{N}$ in $\mathcal{M}$, intimately, and ultimately provide the surprising restrictions on the possible values of the index noted in the theorem of Jones. At the same time, Jones notes a close connection between his relations and "braid relations." Using this connection, the tower of factors of type $\mathrm{II}_{1}$, and the (unique) tracial states on these factors, Jones constructs a polynomial invariant for knots and applies it to the solution of old problems in knot theory. Aspects of the Jones index theory have found their way to statistical physics and fundamental biology. Within the subject of this volume, the index of $\mathcal{N}$ in $\mathcal{M}$ is an invariant of $\mathcal{N}$ under automorphisms of $\mathcal{M}$ and has led to the area of classification of subfactors of a factor of type $\mathrm{II}_{1}$ (up to automorphisms of the factor). As this is written, there are already an array of deep results in this area. Certainly, the developments around the Jones index form one of the glorious chapters in operator-algebra research.

Throughout the preceding volume, we have developed the example of a normal operator as a "multiplication operator" acting on the $L_{2}$-space of a measure space (compare Examples 2.4.11 and 2.5.12) and the corresponding example of the "algebra of bounded multiplication operators." In Example 5.1.6, we note that this algebra is an abelian von Neumann algebra and, indeed, "maximal abelian." In Theorem 9.4.1, we establish a converse to this. Up to isomorphism, then, abelian von Neumann algebras are measure
algebras. In the same way that the study of abelian $\mathrm{C}^{*}$-algebras is the general framework for (classical) analysis, the study of abelian von Neumann algebras is the general framework for classical measure theory. As the study of non-commutative $\mathrm{C}^{*}$-algebras is "non-commutative analysis," the study of non-commutative von Neumann algebras is "non-commutative measure theory." This point of view makes itself apparent throughout the subject of von Neumann algebras.

Since probability and statistics are so closely related to the language and results of measure theory, the results and concepts of probability and statistics often have non-commutative analogues stated in the language of (non-commutative) von Neumann algebras. "Independence" in these subjects has commutativity as its basic requirement. As long as type I factors (von Neumann algebras) form the background for the discussion, commutativity will suffice as the main component of statistical independence. Analytic subtleties require something more restrictive when factors of other types are involved. The stronger "independence" that comes from "tensorial splitting" is usually what is needed. (Tensor products of operator algebras are studied in Chapter 11.)

Dan Voiculescu creates a truly non-commutative notion of independence by replacing commutativity (tensorial and otherwise) by "freeness." Loosely speaking, for "free" independence, $A$ and $B$ must be generators of a "free" (non-commutative) algebra. Of course, there are analytic requirements in this setting. He then develops the probability and statistics corresponding to "free independence." In this case, a "semi-circular distribution" takes the place of the usual Gaussian distribution. Examples of factors in which freeness plays a prominent role are introduced in Section 6.7. Countable (discrete) groups in which the conjugacy class of each element, other than the unit, is infinite (i.c.c groups) give rise to factors of type $\mathrm{II}_{1}$ (one of the natural "group algebras" of the group - compare Theorem 6.7.5). The free groups $\mathcal{F}_{n}$ on $n(>1)$ generators provide specific examples of i.c.c groups. Since 1950, the problem of whether or not the associated factors $\mathcal{L}_{\mathcal{F}_{n}}$ of type $\mathrm{II}_{1}$ are isomorphic has been one of the most vexing "yes-or-no" questions. It is open, as this is written. At the same time, the algebra of finite matrices of order $m$ with entries from a given factor of type $\mathrm{II}_{1}$ is, again, a factor of type $\mathrm{II}_{1}$. Is it isomorphic to the original factor? Murray and von Neumann raised this question in [58]. It,
too, is open at this writing, though deep work of Alain Connes sheds considerable light on it (and settles an allied problem). In a breathtaking tour de force, Voiculescu uses his "free probability" theory to make significant inroads into the isomorphism problem for the free-group factors. He proves, for example, that $\mathcal{L}_{\mathcal{F}_{2}}$ is isomorphic to the $2 \times 2$ matrix algebra with entries from $\mathcal{L}_{\mathcal{F}_{s}}$.

In a related development, Voiculescu defines a concept of "free entropy," in his free probability framework, and uses it to show that the factors $\mathcal{L}_{\mathcal{F}_{n}}$ do not contain certain types of maximal abelian subalgebras (answering a longstanding question). Using Voiculescu's free entropy, Liming Ge answers an even older question about the maximal abelian subalgebras of these factors. Again, using free entropy, Ge settles a difficult and fascinating problem by showing that the factors $\mathcal{L}_{\mathcal{F}_{n}}$ are not the tensor product of two factors of type $\mathrm{II}_{1}$.

## ERRATA TO THE FIRST PRINTING

p. 402 line 14 A footnote is inserted here '...Conversely ${ }^{\dagger}$, from uniqueness...' The footnote should read: ${ }^{\dagger}$ See the second paragraph of the preface to the second printing.
p. 431 line $-9{ }^{\text {' }} E_{b_{0}, b_{0}}$ ' for ' $E_{b_{0}, b}$ '
p. 456 line -16 ' $\eta$ ' for ' $n$ '
p. 523 line -5 'contains a non-zero' for 'contains a non-zero' (italics)
p. 713 line $-15{ }^{\prime} \mathcal{B}\left(\mathcal{H}_{\Phi}\right)$ ' for ' $\mathcal{B}(\mathcal{H})$ '
p. 768 line -12 'approximate' for 'approximately' and 'identity' for 'identiy'
p. 773 line 12 'mappings' for 'mapppings'
p. 779 line -11 '.]' for '].'
p. 784 line -2 ' $(A \in \mathfrak{A})$ ' for ' $(A \in \mathcal{R})$ '
p. 785 line 11 'Use Exercises' for 'Use Exercise'
p. 795 line 7 ' $B_{1} \cdots B_{j}$ ' for ' $B_{1}, \ldots, B_{j}$ '
p. 795 line 8 ' $B_{1} \cdots B_{n}$ ' for ' $B_{1}, \ldots, B_{n}$ '
p. 806 line 4 ' $A_{1 j} \otimes \cdots \otimes A_{n j}$ ' for ' $A_{1} \otimes \cdots \otimes A_{n}$ '
p. 818 lines 13,14 read 'extension' and ' C '-algebra' (bad letters)
p. 857 line -14 ' $\mathcal{B}$ ' for ' $\beta$ '
p. 878 line 16 ' 11.5 .3 (iii)' for ' 11.5 .3 (ii)'
p. 900 lines $18,19,20,21$ missing letters at line ends: 'and' 'we' 'so' 'and'
p. 916 line -5 poor absolute value bar
p. 924 line 13 insert 'such that $\omega(E)>0$ ' after 'normal state of $\mathcal{R}$ '
p. 931 line -19 ' $(\rho \bar{\otimes} \sigma)$ ' for ' $(\rho \otimes \sigma)$ '
p. 931 line -18 ' $(\rho \bar{\otimes} \sigma)$ ' for ' $(\rho \otimes \sigma)$ ' (twice)
p. 931 line -14 'tively' broken ' $t$ '
p. 931 line -13 ' $\left(\rho^{\prime} \bar{\otimes} \sigma\right)$ ' for ' $\left(\rho^{\prime} \otimes \sigma\right)$ '
p. 931 line -13 ' $\left(\rho \bar{\otimes} \sigma^{\prime}\right)$ ' for ' $\left(\rho \otimes \sigma^{\prime}\right)$ '
p. 977 line 5 ' $I \otimes l_{t}$ ' for ' $I \bar{\otimes} l_{t}$ '
p. 990 line 18 ' $\mathcal{B}(\mathcal{K})$ ' for ' $B(K)$ '
p. 990 line 20 'is a ${ }^{*}$ ' for 'is *'
p. 993 line 5 ' $\sum_{n=3}^{\infty}$ ' for ' $\sum_{n=1}^{\infty}$,
p. 1046 line 9 'Lebesgue' for 'Lebsegue'

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## INDEX OF NOTATION

## Algebras and related matters

| $A \hat{+} B$ | closed sum of operators, 352, 583 |
| :---: | :---: |
| $A^{\wedge} B$ | closed product of operators, 352, 583 |
| $\mathscr{A}_{1}(\mathbb{R})$ | algebra of convolution operators, 190 |
| $\mathfrak{U}^{+}$ | positive cone in $\mathfrak{A}, 244$ |
| $\mathfrak{A}_{\boldsymbol{h}}$ | set of self-adjoint elements of $\mathfrak{X}, 249$ |
| $\mathfrak{A}^{-}$ | weak-operator closure of $\mathfrak{A}, 328$ |
| $\mathfrak{Q}=$ | norm closure of $\mathfrak{M}, 328$ |
| $\mathfrak{U}_{n}^{\text {c }}$ | relative commutant, 904 |
| $\mathfrak{U}_{1}(\mathbb{P})$ | norm closure of $\mathscr{A}_{1}(\mathbb{R}), 190$ |
| $\mathfrak{N}_{0}(\mathbb{R})$ | $\mathfrak{U}_{1}(\mathbb{R})$ with unit adjoined, 190 |
| aut( $\mathfrak{A}$ ) | group of * automorphisms of $\mathfrak{A}, 789$ |
| $\mathscr{B}_{u}$ | algebra of Borel functions on $\mathbb{C}, 359$ |
| $\mathscr{B}_{4}(X)$ | algebra of Borel functions on $X, 358$ |
| $C_{\text {A }}$ | central carrier of $A, 333$ |
| $\mathrm{CO}_{\boldsymbol{R}}(A)$ | convex hull of unitary transforms of $A, 510,520,525$ |
| $\mathrm{cos}_{\boldsymbol{g}}(A)^{-}$ | weak-operator closure of $\mathrm{co}_{g t}(A), 525$ |
| $\cos _{\mathscr{R}}(A)^{=}$ | norm closure of $\mathrm{co}_{\mathfrak{R}}(A), 510,520,525$ |
| E®E | reduced von Neumann algebra, 336 |
| $E^{\prime} \mathscr{S}^{\prime} E^{\prime}$ | reduced von Neumann algebra, 335 |
| $\mathscr{F}^{\prime}$ | commutant of $\mathscr{F}, 325$ |
| $\mathscr{F}^{\prime \prime}$ | double commutant, 326 |
| $\mathscr{F}^{*}$ | $\left\{A^{*}: A \in \mathscr{F}\right\}, 326$ |
| $\mathscr{F} \mathscr{G}(\mathscr{R})$ | fundamental group of $\mathscr{R}, 990$ |
| $\mathscr{F} x$ | $\{A x: A \in \mathscr{F}\}, 276$ |
| $\mathscr{F} \mathfrak{X}$ | $\{A x: A \in \mathscr{F}, x \in \mathfrak{X}\}, 276$ |
| $\mathscr{H}(A)$ | set of holomorphic functions, 206 |
| I | unit element, identity operator, 41 |
| $L_{\text {f }}$ | operator, on $L_{2}$, of convolution by $f, 190$ |
| $L_{x}$ | operator, on $l_{2}(G)$, of convolution by $x, 433$ |
| $\mathcal{L}_{G}$ | left von Neumann algebra of $G, 434$ |
| $\mathscr{M}^{+}$ | positive cone in $\mathscr{M}, 255$ |
| $\mathscr{M}_{\boldsymbol{F}}^{\text {c }}$ | relative commutant, 876 |
| $\mathscr{M}_{\mathrm{h}}$ | set of self-adjoint elements of $\mathscr{M}, 255$ |
| $\mathscr{M}(\mathbb{R})$ | set of multiplicative linear functionals on $\mathfrak{U}_{0}(\mathbb{R}), 197$ |
| $\mathscr{M}_{0}(\mathbb{R})$ | $\mathscr{M}(\mathbb{R}) \backslash\left\{\rho_{\infty}\right\}, 195$ |
| $\mathscr{N}(\mathscr{A})$ | algebra of operators affiliated with $\mathscr{A}, 352$ |
| $\mathcal{N}(X)$ | algebra of normal functions on $X, 344$ |


| $\operatorname{prim}(\mathfrak{U})$ | primitive ideal space of $\mathfrak{M}, 791,792$ |
| :---: | :---: |
| $P_{n}$ | central projection corresponding to type $\mathrm{I}_{n}, 422$ |
| $P_{\mathrm{c}_{1}}$ | central projection corresponding to type $\mathrm{II}_{1}, 422$ |
| $P_{c_{\infty}}$ | central projection corresponding to type $\mathrm{II}_{\infty}, 422$ |
| $P_{\infty}$ | central projection corresponding to type III, 422 |
| $\mathscr{P}(\mathscr{M})$ | set of pure states of $\mathscr{M}, 261$ |
| $\mathscr{P}(\mathscr{M})^{-}$ | pure state space of $\mathscr{M}, 261$ |
| $\mathfrak{R}$ | dual group of $\mathbb{R}, 192$ |
| $r(A)$ | spectral radius, 180 |
| $r_{2}(A)$ | spectral radius, 180 |
| $\boldsymbol{R}_{\boldsymbol{x}}$ | operator, on $l_{2}(G)$, of convolution by $x, 433$ |
| $\mathscr{R} E^{\prime}$ | restricted von Neumann algebra, 334 |
| $\mathscr{R}^{\prime} E$ | restricted von Neumann algebra, 336 |
| $\mathscr{R}$ \# | predual of $\mathscr{R}, 481$ |
| $\mathscr{R}_{G}$ | right von Neumann algebra of G, 434 |
| $\mathrm{sp}(A)$ | spectrum of $A, 178,357$ |
| $\mathrm{sp}_{21}(A)$ | spectrum of $A$ in $\mathfrak{Q}, 178$ |
| $\mathrm{sp}(f)$ | essential range of $f, 185,380$ |
| $\mathscr{S}(\mathscr{A})$ | set of self-adjoint affiliated operators, 349 |
| $\mathscr{S}(\mathscr{M})$ | state space of $\mathscr{M}, 257$ |
| $\mathscr{S}(\mathcal{V})$ | state space of $\mathscr{V}, 213$ |
| $\mathscr{S}(X)$ | set of self-adjoint functions on $X, 344$ |
| $T(\mathscr{R})$ | invariant for von Neumann algebra $\mathscr{R}, 947$ |
| $T \eta \mathscr{R}$ | $T$ is affiliated with $\mathscr{R}, 342$ |
| $\widehat{T}_{1}$ | dual group of $\mathbb{T}_{1}, 231$ |
| $\omega_{x}$ | vector state, 256 |
| $\omega_{x, y}$ | vector functional, 305 |
| $\mathbb{Z}$ | dual group of $\mathbb{Z}, 230$ |

## Direct sums and integrals

| $\mathscr{H}_{1} \oplus \cdots \oplus \mathscr{H}_{n}$ | direct sum of Hilbert spaces, 121 |
| :---: | :---: |
| $\sum_{1}^{n} \oplus \mathscr{H}_{j}$ | direct sum of Hilbert spaces, 121 |
| $\sum \oplus \mathscr{H}_{a}$ | direct sum of Hilbert spaces, 123 |
| $\sum \oplus x_{a}$ | direct sum of vectors, 123 |
| $\sum_{1}^{n} \oplus T_{j}$ | direct sum of operators, 122 |
| $\sum \oplus T_{a}$ | direct sum of operators, 124 |
| $\sum \oplus \varphi_{b}$ | direct sum of representations, 281 |
| $\sum \oplus \mathscr{R}_{a}$ | direct sum of von Neumann algebras, 336 |
| $\int_{X} \oplus \mathscr{H}_{\boldsymbol{\prime}} d \mu(\nsim)$ | direct integral of $\left\{\mathscr{H}_{*}\right\}$ over $(X, \mu), 1000$ |

## Equivalences and orderings

```
\leq for self-adjoint operators, 105
s for projections, 110
\leq for elements of a partially ordered vector space, 213
@ isomorphism between algebıas, 310
```

| $\sim$ | for projections in a von Neumann algebra, 402, 471 |
| :---: | :---: |
| § | for projections in a von Neumann algebra, 406, 471 |
| $\prec$ | for projections in a von Neumann algebra, 406, 471 |
| * | for projections in a von Neumann algebra, 406 |
| $\precsim_{q}$ | for representations of a $C^{*}$-algebra, 780 |
| $\precsim_{q}$ | for states of a $C^{*}$-algebra, 781 |
| $\sim_{q}$ | for representations of a $C^{*}$-algebra, 781 |
| $\sim_{q}$ | for states of a $C^{*}$-algebra, 781 |
| $\mathscr{2}(\mathfrak{A})$ | set of equivalence classes of representations of $\mathfrak{X}$, relative to $\sim_{\boldsymbol{q}}, 780$ |

## Inner products and norms

$\langle$,$\rangle \quad inner product, 75$
$\|\| \quad$ norm (on a linear space), 35
bound
of a linear operator, 40
of a linear functional, 44
of a conjugate-bilinear functional, 100
of a multilinear functional, 126
$\left\|\|_{p} \quad\right.$ norm
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in $\mathscr{H} \mathscr{S O}, 141$
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for a weak Hilbert-Schmidt mapping, 131
$\left\|\|_{I} \quad\right.$ norm associated with an order unit $I, 296$

## Linear operators

| $a(x), a(x)^{*}$ | annihilator, creator, 934 |
| :--- | :--- |
| $\mathscr{B}(\mathscr{H})^{+}$ | positive cone in $\mathscr{B}(\mathscr{H}), 105$ |
| $\mathscr{B}(\mathcal{X})$ | set of bounded linear operators on $\mathfrak{X}, 41$ |
| $\mathscr{B}(\mathfrak{X}, \mathscr{Y})$ | set of bounded linear operators from $\mathfrak{X}$ to $\mathscr{Y}, 41$ |
| $\mathscr{D}(T)$ | domain of $T, 154$ |
| $\mathscr{G}(T)$ | graph of $T, 155$ |
| $\operatorname{Im}(T)$ | imaginary part of $T, 105$ |
| $\subseteq$ | inclusion of operators, 155 |
| $E \wedge F$ | infimum of projections, 111 |
| $E \vee F$ | supremum of projections, 111 |
| $\bigwedge E_{a}$ | infimum of projections, 111 |
| $\bigvee E_{a}$ | supremum of projections, 111 |
| $M_{f}$ | multiplication operator, $108,185,341$ |
| $N(T)$ | null projection of $T, 118$ |
| $R(T)$ | range projection of $T, 118$ |


| $\operatorname{Re}(T)$ | real part of $T, 105$ |
| :--- | :--- |
| $\bar{T}$ | closure of $T, 155$ |
| $T^{*}$ | Banach adjoint operator, 48 |
| $T^{*}$ | Hilbert adjoint operator, 102, 157 |
| $T \mid C$ | $T$ restricted to $C, 14$ |
| $\mathscr{U}(\mathscr{H})$ | group of all unitary operators on $\mathscr{H}, 282$ |

## Linear spaces

| $a X$ | $a$ multiples of vectors in $X, 1$ |
| :---: | :---: |
| co $X$ | convex hull of $X, 4$ |
| $\mathbb{C}^{n}$ | space of complex $n$-tuples, 8 |
| $\mathbb{K}^{n}$ | space of $\mathbb{K} n$-tuples, 8 |
| $\mathbb{R}^{n}$ | space of real $n$-tuples, 8 |
| $\boldsymbol{V} / \mathscr{V}_{0}$ | quotient linear space, 2 |
| $\mathscr{V}_{r}$ | real linear space associated with complex space $\mathscr{V}, 7$ |
| $X \pm Y$ | vector sum and difference of $X$ and $Y, 1$ |

## Linear topological spaces, Banach spaces, Hilbert spaces

| $\overline{\mathrm{co}} X$ $\operatorname{dim} \mathscr{H}$ $\overline{\mathscr{H}}$ | closed (sometimes, weak * closed) convex hull of $X, 31$ dimension of $\mathscr{H}, 93$ <br> conjugate Hilbert space, 131 |
| :---: | :---: |
| $\mathscr{H} \ominus Y$ | orthogonal complement, 87 |
| $\mathscr{H}$ | set of Hilbert-Schmidt functionals, 128 |
| $\mathscr{H}$ SO | set of Hilbert-Schmidt operators, 141 |
| $Y \wedge Z$ | infimum (intersection) of closed subspaces, 111 |
| $Y \vee Z$ | supremum of closed subspaces, 111 |
| $\wedge Y_{a}$ | infimum (intersection) of closed subspaces. 111 |
| $\bigvee Y_{a}$ | supremum of closed subspaces, 111 |
| $\sigma(\mathscr{V}, \mathscr{F})$ | weak topology, 28 |
| $\sigma\left(\mathscr{V}^{\#}, \mathscr{V}\right)$ | weak * topology, 31 |
| $\sigma\left(\mathscr{V}, \mathscr{V}^{\#}\right)$ | weak topology on $\mathscr{V}, 30$ |
| $\boldsymbol{V}^{\#}$ | continuous dual space, 30 |
| $\left[x_{1}, \ldots, x_{n}\right]$ | subspace generated by $x_{1}, \ldots, x_{n}, 22$ |
| [ $x^{\text {] }}$ | closed subspace generated by $\mathfrak{X}, 22$ |
| ( $\boldsymbol{X}_{\text {r }}$ | $\{x \in \mathfrak{X}:\\|x\\| \leq r\}, 36$ |
| $\mathfrak{X}^{\#}$ | Banach dual space, 43 |
| $\mathfrak{X}^{\# \#}$ | Banach second dual space, 43 |
| $\boldsymbol{Y}^{\perp}$ | orthogonal complement, 87 |

## Modular theory

| $\Delta$ | modular operator, $591,598,607,644$ |
| :--- | :--- |
| $F$ | 597,644 |
| $F_{0}$ | 597 |

$J \quad$ involution occurring in modular theory, 598, 644
$S \quad 597,644$
$S_{0} \quad 597,644$
$\sigma_{t} \quad$ modular automorphism, 591, 607, 640
$\mathscr{V}_{u}^{a}, \mathscr{V}_{u}^{a^{\prime}} \quad$ dual cones, 704, 705
$\mathscr{V}_{u} \quad$ self-dual cone, 705, 706

## Multiplicity theory

## $\bar{\varphi}$

$L_{\infty}(\varphi)$
$N(\varphi)$
$\mathcal{N}(\varphi)$
extension to $L_{\infty}(\varphi)$ of the representation $\varphi$ of $C(S), 677$
space of $\varphi$-essentially bounded functions, 676
space of $\varphi$-null functions, 677
null ideal of $\varphi, 672$

## Sets and mappings

| $A \backslash \mathbb{B}$ | set-theoretic difference, 1 |
| :--- | :--- |
| $\beta(\mathbb{N})$ | $\beta$-compactification of $\mathbb{N}, 224$ |
| $\mathbb{C}$ | complex field, 1 |
| $\varnothing$ | empty set, 5 |
| $\mathscr{F}_{n}$ | free group with $n$ generators, 437 |
| $\subseteq$ | inclusion of sets |
| $\subsetneq$ | strict inclusion of sets |
| $\mathbb{K}$ | scalar field, $\mathbb{R}$ or $\mathbb{C}, 1$ |
| $f \wedge g$ | minimum of functions, 214 |
| $f \vee g$ | maximum of functions, 214 |
| $\bigwedge_{a \in A} f_{a}$ | infimum of functions, 373 |
| $V_{a \in \mathbb{A}} f_{a}$ | supremum of functions, 373 |
| $\mathbb{N}$ | set of positive integers, 68 |
| $\Pi$ | group of permutations, 438 |
| $\mathbb{R}$ | real field, 1 |
| $\mathbb{R}^{+}$ | set of non-negative real numbers, 233 |
| $\sigma \mid \mathscr{V}_{k+1}$ | $\sigma$ restricted to $\mathscr{V}$ |
| $\mathbb{N}_{k+1}, 3$ |  |
| $\mathbb{T}_{1}$ | circle group, 192 |
| $\mathbb{Z}$ | additive group of integers, 230 |

## Special Banach spaces

| $c, 68$ | $l_{\infty}, 68$ |
| :--- | :--- |
| $c_{0}, 68$ | $l_{\infty}(\mathbb{A}), 49$ |
| $C(S), 50$ | $l_{\infty}(\mathbb{A}, \mathfrak{X}), 48$ |
| $C(S, \mathfrak{X}), 49$ | $L_{p}\left(=L_{p}(S, \mathscr{P}, m)\right), 52$ |
| $l_{p}(\mathbb{A}), 51$ | $L_{\infty}\left(=L_{\infty}(S, \mathscr{S}, m)\right), 52$ |
| $l_{p}(\mathbb{A}, \mathfrak{X}), 50$ | $L_{1}, 54$ |
| $l_{2}, 84$ | $L_{2}, 53$ |

$l_{2}(A), 84$
$l_{1}, 69$
$L_{2}(\mathbb{R}, \mathscr{H}), 958$
$\mathscr{H}_{\underset{\Psi}{(a)}, 934}$

## States and weights

| $F_{\rho}$ | $\left\{A \in \mathfrak{Q}^{+}: \rho(A)<\infty\right\}, \rho$ a weight on $\mathfrak{A}, 486$ |
| :--- | :--- |
| $\mathscr{M}_{\rho}$ | linear span of $F_{\rho}, \rho$ a weight, 486 |
| $N_{\rho}$ | $\left\{A \in \mathfrak{Q}: A^{*} A \in F_{\rho}\right\}, \rho$ a weight on $\mathfrak{Q}, 486$ |
| $\mathscr{N}_{\rho}$ | $\left\{A \in \mathfrak{Q}: \rho\left(A^{*} A\right)=0\right\}, \rho$ a weight on $\mathfrak{A}, 486$ |
| $\mathscr{L}_{\rho}$ | left kernel of a state $\rho, 277$ |
| $\left(\pi_{\rho}, \mathscr{H}_{\rho}, x_{\rho}\right)$ | GNS constructs for a state $\rho, 278$ |
| $\left(\pi_{\rho}, \mathscr{H}_{\rho}\right)$ | GNS constructs for a weight $\rho, 490$ |
| $\rho^{+}, \rho^{-}$ | positive and negative parts of a hermitian linear functional $\rho, 259,485$ |

## Tensor products and crossed products

$A_{1} \otimes \cdots \otimes A_{n} \quad$ tensor product of bounded operators, 145
$\mathfrak{M}_{1} \otimes \cdots \otimes \mathfrak{Q}_{n} \quad$ tensor product of $C^{*}$-algebras, 801, 847
$\mathfrak{A} \odot \mathscr{B}$
$\mathfrak{U} \otimes_{\alpha} \mathscr{B} \quad$ tensor product of $C^{*}$-algebras, 850
$\otimes_{a \in \mathbb{A}} \mathfrak{M}_{a} \quad$ infinite tensor product of $C^{*}$-algebras, 866
$\varphi_{1} \otimes \cdots \otimes \varphi_{n} \quad$ tensor product of * homomorphisms, 807
$\varphi_{1} \bar{\otimes} \cdots \bar{\otimes} \varphi_{n} \quad$ tensor product of ultraweakly continuous * homomorphisms, 820
$\varphi \otimes I_{n} \quad *$ isomorphism, 427
$n \otimes \varphi \quad$ * isomorphism, 427
$\mathscr{H}_{1} \otimes \cdots \otimes \mathscr{H}_{n} \quad$ tensor product of Hilbert spaces, 135
$\lambda \otimes_{\alpha} v \quad$ product state of $\mathfrak{A} \otimes_{\alpha} \mathscr{B}, 854$
$\mathscr{R}_{1} \bar{\otimes} \cdots \bar{\otimes} \mathscr{R}_{n} \quad$ tensor product of von Neumann algebras, 812
$\mathscr{R} \otimes I_{n} \quad$ matrix algebra constructed from $\mathscr{R}, 427$
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$\mathscr{R}(\mathscr{R}(\mathscr{M}, \alpha), \alpha)$
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$\rho_{1} \otimes \cdots \otimes \rho_{n} \quad$ product state, 803
$\otimes_{a \in A} \rho_{a} \quad$ infinite product state, 870
$T_{1} \odot \cdots \odot T_{n} \quad$ algebraic tensor product of unbounded operators, 837
$T_{1} \otimes \cdots \otimes T_{n} \quad$ tensor product of unbounded operators, 837
$\otimes_{a \in \mathbb{A}} \theta_{a} \quad$ infinite tensor product of * isomorphisms, 869
$x_{1} \otimes \cdots \otimes x_{n} \quad$ tensor product of vectors, 135
$x_{1} \wedge \cdots \wedge x_{n} \quad$ exterior product of vectors, 933
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This book is extremely clear and well written and ideally suited for an introductory course on the subject or for a student who wishes to learn the fundamentals of the classical theory of operator algebras.
—Zentralblatt MATH
This work and Fundamentals of the Theory of Operator Algebras.Volume I, Elementary Theory (Graduate Studies in Mathematics,Volume I5) present an introduction to functional analysis and the initial fundamentals of $C^{*}$ - and von Neumann algebra theory in a form suitable for both intermediate graduate courses and self-study. The authors provide a clear account of the introductory portions of this important and technically difficult subject. Major concepts are sometimes presented from several points of view; the account is leisurely when brevity would compromise clarity. An unusual feature in a text at this level is the extent to which it is self-contained; for example, it introduces all the elementary functional analysis needed. The emphasis is on teaching. Well supplied with exercises, the text assumes only basic measure theory and topology. The book presents the possibility for the design of numerous courses aimed at different audiences.

