# Gröbner Bases in Commutative Algebra 

## Viviana Ene Jürgen Herzog

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# Gröbner Bases in Commutative Algebra 

Viviana Ene<br>Jürgen Herzog

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To our parents Maria and Ion, Margarete and Walter

## Contents

Preface ..... ix
Chapter 1. Polynomial rings and ideals ..... 1
§1.1. Polynomial rings ..... 1
1.1.1. Definition of the polynomial ring ..... 1
1.1.2. Some basic properties of polynomial rings ..... 5
§1.2. Ideals ..... 6
1.2.1. Operations on ideals ..... 6
1.2.2. Residue class rings ..... 7
1.2.3. Monomial ideals and Dickson's lemma ..... 8
1.2.4. Operations on monomial ideals ..... 10
Problems ..... 12
Chapter 2. Gröbner bases ..... 15
§2.1. Monomial orders ..... 15
2.1.1. Examples and basic properties of monomial orders ..... 15
2.1.2. Construction of monomial orders ..... 17
§2.2. Initial ideals and Gröbner bases ..... 18
2.2.1. The basic definitions ..... 18
2.2.2. Macaulay's theorem ..... 20
2.2.3. Hilbert's basis theorem ..... 21
§2.3. The division algorithm ..... 22
§2.4. Buchberger's criterion ..... 25
§2.5. Buchberger's algorithm ..... 28
§2.6. Reduced Gröbner bases ..... 29
Problems ..... 30
Chapter 3. First applications ..... 33
§3.1. Elimination of variables ..... 33
3.1.1. Elimination orders ..... 33
3.1.2. The Elimination Theorem ..... 34
§3.2. Applications to operations on ideals ..... 34
3.2.1. Intersection of ideals ..... 34
3.2.2. Ideal quotient ..... 35
3.2.3. Saturation and radical membership ..... 36
3.2.4. $K$-algebra homomorphisms ..... 37
3.2.5. Homogenization ..... 40
§3.3. Zero dimensional ideals ..... 42
§3.4. Ideals of initial forms ..... 46
Problems ..... 48
Chapter 4. Gröbner bases for modules ..... 51
§4.1. Modules ..... 51
§4.2. Monomial orders and initial modules ..... 53
§4.3. The division algorithm and Buchberger's criterion and algorithm for modules ..... 56
§4.4. Syzygies ..... 58
4.4.1. How to compute syzygy modules ..... 58
4.4.2. Systems of linear equations over the polynomial ring ..... 63
4.4.3. Schreyer's theorem ..... 66
4.4.4. Graded rings and modules ..... 68
4.4.5. Graded free resolutions ..... 70
4.4.6. Numerical data arising from graded resolutions ..... 73
4.4.7. $\mathbb{Z}^{n}$-graded modules ..... 76
Problems ..... 80
Chapter 5. Gröbner bases of toric ideals ..... 83
$\S 5.1$. Semigroup rings and toric ideals ..... 83
§5.2. Gröbner bases of toric ideals ..... 87
§5.3. Simplicial complexes and squarefree monomial ideals ..... 88
§5.4. Normal semigroup rings ..... 91
§5.5. Edge rings associated with bipartite graphs ..... 94
Problems ..... 97
Chapter 6. Selected applications in commutative algebra and combinatorics ..... 99
§6.1. Koszul algebras ..... 99
§6.2. Sortable sets of monomials ..... 105
§6.3. Generalized Hibi rings ..... 110
§6.4. Gröbner bases for Rees rings ..... 113
6.4.1. The $\ell$-exchange property ..... 113
6.4.2. The Rees ring of generalized Hibi ideals ..... 115
§6.5. Determinantal ideals ..... 117
6.5.1. Determinantal ideals and their initial ideals ..... 117
6.5.2. The initial complex of a determinantal ideal ..... 121
§6.6. Sagbi bases and the coordinate ring of Grassmannians ..... 127
6.6.1. Sagbi bases ..... 127
6.6.2. The coordinate ring of Grassmannians ..... 130
§6.7. Binomial edge ideals ..... 135
§6.8. Connectedness of contingency tables ..... 140
6.8.1. Contingency tables and the $\chi^{2}$-statistics ..... 140
6.8.2. Random walks ..... 141
6.8.3. Contingency tables of shape $2 \times n$ ..... 144
Problems ..... 152
Bibliography ..... 157
Index ..... 161

## Preface

Gröbner basis theory has become a fundamental field in algebra which provides a wide range of theoretical and computational methods in many areas of mathematics and other sciences. Bruno Buchberger defined the notion of Gröbner basis in 1965 [Bu65]. An intensive research in this theory, related algorithms and applications developed, and many books on this topic have appeared since then. Among them, the books of Adams and Loustaunau [AL94], Becker, Kredel, Weispfenning [BKW93], Cox, Little, O'Shea [CLO05], [CLO07], and Eisenbud [E95] give a fine introduction to Gröbner basis theory and its applications. Many computer algebra systems like CoCoA, Macaulay2, Magma, Maple, Mathematica, or Singular have implemented various versions of Buchberger's algorithm.

This book aims to provide a concise but rather comprehensive introduction to the theory of Gröbner bases and to introduce the reader to different current trends in theoretical applications of this theory. The complexity level of the presentation increases gradually. The first three chapters and part of Chapter 4 are self-contained and lead to a quick insight into the basics of Gröbner basis theory. They require only a very basic knowledge of algebra. Therefore, this first part of the book would also be appropriate for those readers who are only familiar with elementary algebraic concepts. The second part of Chapter 4 and the last two chapters discuss more advanced topics related to the theory together with applications of it and require a reasonable knowledge in commutative and homological algebra.

Our purpose in writing this book was to provide young researchers and graduate students in commutative algebra and algebraic geometry with methods and techniques related to the Gröbner basis theory. Although it was not our goal to illustrate the algorithmic and computational attributes
of the Gröbner basis theory, the usage of the computer in testing examples is indispensable. Users of computer algebra systems may consult specialized monographs like [GP02], [Mac01] or [KR00], [KR05].

We give now a brief summary of the book's content. Chapter 1 presents polynomial rings in finitely many indeterminates over a field together with their basic properties and studies ideals in this class of rings. The last two sections are devoted to monomial ideals and standard operations on them. Chapter 2 provides a short but comprehensive exposition of the Gröbner basis notion and Buchberger's criterion and algorithm. In Chapter 3 we discuss first applications based on the Elimination Theorem. Chapter 4 is devoted to the extension of the Gröbner basis theory to submodules of free modules over polynomial rings. The chapter begins with a quick introduction to module theory. The more general concepts discussed here lead to a proof, due to Schreyer, for the celebrated Hilbert's Syzygy Theorem. Chapter 5 opens the series of applications of Gröbner basis theory in commutative algebra and combinatorics. In this chapter we discuss semigroup rings and toric ideals which are intensively studied nowadays from different points of view. Chapter 6 intends to introduce the reader to more advanced topics and to subjects of recent research. The topics treated in this section are not presented in the largest possible generality. Instead, one of the main goals of this last chapter of this monograph is to inspire and to enable the reader, who is interested in further developments and other aspects of the theory, to read more advanced monographs and articles on these subjects, for example, the monograph of Sturmfels [St95] which influenced the writing of this chapter substantially, the book [MS05] of Miller and Sturmfels, the influential monograph [S96] of Stanley and the book of Hibi [Hi92]. A compact and detailed presentation of determinantal ideals can be found in the article of Bruns and Conca $[\mathbf{B C 0 3}]$. A reader who is interested in further results on monomial ideals should consult the book [V01] of Villarreal and the book [HH10] of Herzog and Hibi. Further references to research articles are given in the text of Chapter 6. In the first section of the chapter Gröbner bases are used to study Koszul algebras. In particular, it is shown that algebras whose defining ideal has a quadratic Gröbner basis are Koszul. Large classes of algebras whose defining ideal has a quadratic Gröbner basis are provided by algebras generated by sortable sets. This is the topic of the next section. The theory of sortable sets is then applied in the following sections to study generalized Hibi rings and Rees algebras. Next we outline the approach to the theory of determinantal ideals via Gröbner basis with the conclusion that these ideals are all Cohen-Macaulay. Then we give a short introduction to Sagbi bases and apply the theory to show that the coordinate ring of the Grassmannian of $m$-dimensional vector $K$-subspaces of $K^{n}$ is a Gorenstein ring and compute its dimension. The last two sections
of the chapter deal with binomial edge ideals and some aspects of algebraic statistics.

The book contains over one hundred problems with a moderate level of difficulty which illuminate the theory and help the reader to fully understand the results discussed in the text. Some of them complete the proofs. Other problems are more complex and encourage the reader to re-examine the simple but essential ideas, to establish connections, and to become interested in further reading.

We wish to express our thanks to Marius Vlădoiu for the careful reading of earlier drafts of this book.

Viviana Ene and Jürgen Herzog

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## Index

$K$-algebra, 2
homomorphism, 3
$M$-sequence, 73
$S$-element, 57
$S$-polynomial, 25
KRS map, 120
$\mathbb{Z}^{n}$-graded
K-algebra, 76
module, 76
$\ell$-exchange property, 114
$a$-invariant, 74
$f$-vector, 88
$t$-minor, 117
affine
algebraic variety, 42
semigroup, 83
Auslander-Buchsbaum formula, 73
basis, 52
lex-refined, 77
Betti diagram, 74
Betti numbers
extremal, 75
graded, 72
binomial, 31, 85
edge ideal, 136, 145
Gröbner basis of, 136
ideal, 85
primitive, 87
bitableau, 118
Buchberger
algorithm, 28, 56, 57
criterion, $25,56,57$
canonical epimorphism, 7
cell frequencies, 140
coefficient over position, 54
contingency table, 140
connected, 142
support of, 150
coordinate ring of Grassmannians, 130
dimension of, 135
Gorenstein property of, 135
Sagbi basis of, 133
cycle, 95
even, 95
odd, 95
degree, 3
dehomogenization, 40
depth, 73
and projective dimension, 73
determinantal ring, 124
Cohen-Macaulay property of, 127
dimension of, 124
Dickson's lemma, 9
division algorithm, 22, 56
edge rings, 94
elimination order, 33
epimorphism, 53
exact sequence, 59
finitely generated, 52
free resolution, 59
graded, 70
minimal, 71
generalized Hibi rings
Cohen-Macaulay property of, 112
normality of, 112
Gordan's lemma, 92
Gröbner basis, 20, 55
of toric ideal, 87
graded
K-algebra, 69
ideal, 4
monomial order, 41
graph
bipartite, 95
complete, 95
connected, 95
connected component of, 95
cut-point of, 149
restriction of, 95
simple, 94
Hibi
ideal, 110
relations, 110
ring, 110
generalized, 112
Hilbert
function, 74
series, 74
of a simplicial complex, 89
Hilbert's basis theorem, 5
Hilbert's Nullstellensatz
Strong, 43
Weak, 42
Hilbert's syzygy theorem, 68
Hochster, 94
homogeneous, 4
component, 4
homogenization, 103
of a polynomial, 40
of an ideal, 40
homomorphism, 52, 69
homogeneous, 70
ideal, 4
determinantal, 117
$K$-basis of, 118
Gröbner basis of, 120
maximal, 6
of initial forms, 47
prime, 6
quotient, $6,11,35$
weakly polymatroidal, 115
zero-dimensional, 44
image, 53
initial
algebra, 127
complex, 121
degree, 69
form, 47
ideal, 19
lexsegment, 153
module, 55
monomial, 18, 55
integral closure, 91
isomorphism, 53
join, 131
irreducible, 131
kernel, 4, 53
Knuth-Robinson-Schensted
correspondence, 118
Koszul algebra, 99
strongly, 101
Krull dimension, 74
lattice, 86
lattice ideal, 86
leading
coefficient, 18, 55
term, 18, 55
lexicographic order, 16, 54
lexsegment, 31
light and shadow, 122
linear resolution, 73
Loewy length, 31
Macaulay's theorem, 20
marginal distribution, 140
marked coherently, 108
meet, 131
minimal set of generators, 9
module, 51
Cohen-Macaulay, 75
factor, 51
free, 52
Gorenstein, 75
graded, 69
linear, 101
relation, 54
syzygy, 59
monomial, 1, 54
ideal, 8
module, 54
order, 16, 54
diagonal, 120
monomorphism, 53
multichain, 110
multiplicity, 74
Nakayama's lemma, 70
nilpotent element, 48
normal
domain, 91
semigroup, 91
normal semigroup rings, 91
Cohen-Macaulay property of, 94
normalization, 91
numerical semigroup, 98
partial order, 15
path, 95,122
admissible, 136
Poincaré series, 99
polynomial, 1
ring, 1
poset ideal, 110
position over coefficient, 54
presentation ideal, 38, 113
product
of ideals, 6
order, 17
projective dimension, 73
pure
lexicographic order, 17
poset, 110, 153
radical, 7
ideal, 7, 12
membership, 37
rank, 52
rational cone, 92
reduced Gröbner basis, 29
Rees ring, 38, 113
regularity, 73
relations, 59
remainder, 22,56
representative, 7,51
residue class, 7,51
rings, 7
reverse lexicographic order, 17,54
Sagbi basis, 127
saturated, 6
saturation, 6
with respect to, 36
Schensted, 120

Schreyer, 67
semigroup ring, 84
shape of a tableau, 118
simplex, 88
simplicial complex, 88
Cohen-Macaulay, 90
dimension of, 88
face of, 88
facet of, 88
nonface of, 88
pure, 88
shellable, 90, 125
sortable set, 105
sorting, 105
operator, 105
order, 108
step, 106
squarefree
monomial, 11
ideal, 11
vector, 78
standard
expression, 22, 56
graded, 69
monomial, 114, 117
tableau, 118
Stanley-Reisner
ideal, 88
ring, 88
straightening law, 118
Sturmfels, 94, 105, 117
subalgebra membership, 39
submodule, 51
graded, 70
substitution homomorphism, 3
sum
direct, 80
of ideals, 6
of modules, 80
support, 2
system of generators, 52
syzygy theorem, 66
tangent cone, 47
term, 2
toric ideal, 84
total order, 16
type, 75
universal mapping property, 3
Veronese type algebra, 105
walk, 94
closed, 95
even, 95
odd, 95
primitive, 95
weight vector, 18
Young tableau, 118

This book provides a concise yet comprehensive and self-contained introduction to Gröbner basis theory and its applications to various current research topics in commutative algebra. It especially aims to help young researchers become acquainted with fundamental tools and techniques related to Gröbner bases which are used in commutative algebra and to arouse their interest in exploring further topics such as toric rings, Koszul and Rees algebras, determinantal ideal theory, binomial edge ideals, and their applications to statistics.

The book can be used for graduate courses and self-study. More than 100 problems will help the readers to better understand the main theoretical results and will inspire them to further investigate the topics studied in this book.
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