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Dynamics in One Non-Archimedean Variable

Robert L. Benedetto



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For Danielle

Contents

List of Notation	xiii
Preface	xvii
Introduction	1
A brief history	1
What's in this book	3
Some things not in this book	5
Some possible paths through this book	6
Part 1. Background	
Chapter 1. Basic Dynamics on $\mathbb{P}^1(K)$	11
§1.1. Elementary discrete dynamics	11
§1.2. Morphisms and coordinate changes	13
§1.3. Degrees, multiplicities, and multipliers	15
§1.4. Critical points and exceptional sets	19
§1.5. Dynamics in degree less than 2	22
§1.6. An overview of complex dynamics	23
Exercises for Chapter 1	26
Chapter 2. Some Background on Non-Archimedean Fields	33
§2.1. Absolute values	33
§2.2. Disks	38
Exercises for Chapter 2	42

Chapter 3. Power Series and Laurent Series	47
§3.1. Convergence of power series	47
§3.2. Power series rings	49
§3.3. Newton polygons	52
§3.4. Images of disks under power series	56
§3.5. $\mathbb{P}^1(\mathbb{C}_v)$ -disks and affinoids	59
§3.6. Laurent series on open annuli	61
§3.7. Images of annuli	62
Exercises for Chapter 3	64
Part 2. Elementary Non-Archimedean Dynamics	
Chapter 4. Fundamentals of Non-Archimedean Dynamics	71
§4.1. Classifying periodic points	71
§4.2. Local conjugacies at fixed points (optional)	73
§4.3. Good reduction	75
§4.4. Lattès maps	80
§4.5. Dynamics on disks	85
Exercises for Chapter 4	90
Chapter 5. Fatou and Julia Sets	97
§5.1. The spherical metric	97
§5.2. Fatou and Julia sets	99
§5.3. Further properties of Fatou and Julia sets	105
§5.4. Examples of non-archimedean Fatou and Julia sets	110
Exercises for Chapter 5	116
Part 3. The Berkovich Line	
Chapter 6. The Berkovich Projective Line	121
§6.1. Seminorms as Berkovich points	122
§6.2. Disks in the Berkovich affine line	125
§6.3. Berkovich's classification	128
§6.4. The Berkovich projective line	129
§6.5. Disks and affinoids in \mathbb{P}_{an}^1	133
§6.6. Paths and path-connectedness	138
§6.7. Directions at Berkovich points	143
§6.8. The hyperbolic metric	144

Exercises for Chapter 6	146
Chapter 7. Rational Functions and Berkovich Space	153
§7.1. The action of rational functions	153
§7.2. Images of points of Types II and III	156
§7.3. Local degrees in directions	159
§7.4. Local degrees at Berkovich points	162
§7.5. Computing local degrees	167
§7.6. The injectivity and ramification loci	170
Exercises for Chapter 7	173
Part 4. Dynamics on the Berkovich Line	
Chapter 8. Introduction to Dynamics on Berkovich Space	183
§8.1. Berkovich Fatou and Julia sets	183
§8.2. Classifying Berkovich periodic points	185
§8.3. Good reduction in Berkovich space	192
§8.4. More basic properties of Berkovich Julia sets	194
§8.5. Examples	195
Exercises for Chapter 8	198
Chapter 9. Classifying Berkovich Fatou Components	203
§9.1. Berkovich Fatou components	203
§9.2. Attracting components	206
§9.3. Indifferent components	211
§9.4. Rivera-Letelier's classification	220
Exercises for Chapter 9	226
Chapter 10. Further Results on Periodic Components	231
§10.1. Periodic points in the indifference domain	231
§10.2. Indifferent components in mixed characteristic	235
§10.3. Counting cycles of Fatou components	240
§10.4. Infinitely many periodic components	245
Exercises for Chapter 10	247
Chapter 11. Wandering Domains	253
§11.1. Wandering domains are eventually disks	253
§11.2. An expansion theorem	256
§11.3. No wandering domains for p -adic fields: A theorem	267

§11.4. Wandering domains for tame maps	273
§11.5. Wandering domains for tame polynomials	277
Exercises for Chapter 11	286
Chapter 12. Repelling Points in Berkovich Space	291
§12.1. Preliminary repelling fixed point lemmas	291
§12.2. Repelling fixed points exist	297
§12.3. Repelling density	302
§12.4. Type I repelling density: Hsia's theorem	310
§12.5. Type I repelling density: Bézivin's theorem	312
Exercises for Chapter 12	318
Chapter 13. The Equilibrium Measure	323
§13.1. Some measure theory	323
§13.2. Weak convergence	327
§13.3. Potential functions	328
§13.4. The Laplacian operator	331
§13.5. Construction of the equilibrium measure	336
§13.6. Local heights	342
§13.7. Equidistribution of points of small canonical height	347
Exercises for Chapter 13	352
 Part 5. Proofs from Non-Archimedean Analysis	
Chapter 14. Proofs of Results from Non-Archimedean Analysis	361
§14.1. Basic power series proofs	361
§14.2. The Weierstrass preparation theorem	364
§14.3. Proofs related to Newton polygons	368
§14.4. Proofs related to mapping properties of disks	370
§14.5. Weierstrass preparation theorem for open annuli	374
§14.6. Proofs about Laurent series on annuli	379
§14.7. An overview of rigid analysis (optional)	380
Exercises for Chapter 14	382
Chapter 15. Proofs of Berkovich Space Results	385
§15.1. Basic results on seminorms	385
§15.2. Proofs on Berkovich points	387
§15.3. Basic proofs on the Berkovich projective line	392

§15.4. Proving compactness	396
§15.5. Proving path-connectedness	399
§15.6. Other Berkovich space proofs	405
Exercises for Chapter 15	409
Chapter 16. Proofs of Results on Berkovich Maps	411
§16.1. Basic results on Berkovich maps	411
§16.2. Proofs on local degrees	416
§16.3. Proving Rivera-Letelier's reduction theorem	423
Exercises for Chapter 16	426
Appendices	
Appendix A. Fatou Components without Berkovich Space	429
§A.1. Analytic components and D -components	429
§A.2. Examples of non-archimedean Fatou components	431
§A.3. Open analytic components	433
Exercises for Appendix A	435
Appendix B. Other Constructions of Berkovich Spaces	437
§B.1. Berkovich disks via power series	437
§B.2. Seminorms in homogeneous coordinates	440
§B.3. Berkovich morphisms via homogeneous coordinates	443
§B.4. Rivera-Letelier's construction of \mathbb{P}_{an}^1	445
Exercises for Appendix B	447
Bibliography	449
Index	457

List of Notation

$\mathbb{P}^1(K)$	projective line over field K ; p. 11
ϕ^n	n th iterate of ϕ ; p. 11
$\text{Orb}_\phi^+(x)$	forward orbit of x under ϕ ; p. 11
$\text{Orb}_\phi^-(x)$	backward orbit of x under ϕ ; p. 11
$\text{GO}_\phi(x)$	grand orbit of x under ϕ ; p. 11
T_d	d th Chebyshev polynomial; p. 14
$\deg(\phi)$	degree of the rational function ϕ ; p. 15
E_ϕ	exceptional set of ϕ ; p. 21
$\text{ord}_b(\phi)$	order of vanishing of ϕ at b ; p. 30
Σ	set of symbol sequences; p. 31
$C_{A,n}$	cylinder set p. 31
$ \cdot $	absolute value on a field K ; p. 33
\mathcal{O}_K	ring of integers of a non-archimedean field K ; p. 34
\mathcal{M}_K	maximal ideal of a non-archimedean field K ; p. 34
$\mathbb{F}((t))$	field of formal Laurent series over the field \mathbb{F} ; p. 35
\mathbb{C}_v	a complete, algebraically closed non-archimedean field; p. 35
\mathbb{Q}_p	the field of p -adic rationals; p. 37
\mathbb{Z}_p	the ring of p -adic integers; p. 37
\mathbb{C}_p	the completion of an algebraic closure of \mathbb{Q}_p ; p. 37
$D(a, r)$	open disk of radius r centered at $a \in \mathbb{C}_v$; p. 38
$\overline{D}(a, r)$	closed disk of radius r centered at $a \in \mathbb{C}_v$; p. 38

$\text{diam}(D)$ diameter of $D \subseteq \mathbb{C}_v$; p. 39
\mathcal{A}_D ring of convergent power series on disk $D \subseteq \mathbb{C}_v$; p. 49
$\mathcal{A}(a, r)$ ring of convergent power series on $D(a, r)$; p. 49
$\overline{\mathcal{A}}(a, r)$ ring of convergent power series on $\overline{D}(a, r)$; p. 49
$\ \cdot\ _E$ sup-norm on the disk $E \subseteq \mathbb{C}_v$; p. 50
$\text{wdeg}_D(f)$ Weierstrass degree; p. 51
$\text{ord}_b(f)$ multiplicity, or order of vanishing, of f at b ; p. 53
$\{r < z - a < R\}$ open annulus $D(a, R) \setminus \overline{D}(a, r)$; p. 61
\mathcal{A}_U ring of convergent power series on annulus $U \subseteq \mathbb{C}_v$; p. 62
\overline{a} reduction in $\mathbb{P}^1(k)$ of $a \in \mathbb{P}^1(K)$; p. 75
\overline{f} reduction in $k[x, y]$ of $f \in K[x, y]$; p. 76
$\overline{\phi}$ reduction in $k(z)$ of $\phi \in K(z)$; p. 76
$\text{Res}(f, g)$ resultant of polynomials f and g ; p. 78
\wedge wedge product $(s, t) \wedge (u, v) := vs - ut$; p. 79
d_{sph} spherical metric on $\mathbb{P}^1(\mathbb{C}_v)$; p. 97
$\mathcal{F}_{\phi, \text{I}}$ (classical) Fatou set of ϕ ; p. 100
$\mathcal{J}_{\phi, \text{I}}$ (classical) Julia set of ϕ ; p. 100
$\mathcal{K}_{\phi, \text{I}}$ (classical) filled Julia set of ϕ ; p. 110
∂X boundary of the set X ; p. 110
\mathbb{A}_{an}^1 the Berkovich affine line; p. 122
$x = \ \cdot\ _x$ Type I point/seminorm in \mathbb{A}_{an}^1 ; p. 123
$\zeta(a, r) = \ \cdot\ _{\zeta(a, r)}$ Type II or III point/seminorm in \mathbb{A}_{an}^1 ; p. 123
$ \zeta $ absolute value of $\zeta \in \mathbb{A}_{\text{an}}^1$; p. 124
$\text{diam}(\zeta)$ diameter of $\zeta \in \mathbb{A}_{\text{an}}^1$; p. 124
$\overline{D}_{\text{an}}(a, r)$ closed Berkovich disk; p. 125
$D_{\text{an}}(a, r)$ open Berkovich disk; p. 125
$\{ z - a = r\}$ closed annulus $\overline{D}(a, r) \setminus D(a, r)$; p. 126
\mathbb{P}_{an}^1 the Berkovich projective line; p. 130
$\xi \preceq \zeta$ ζ lies above $\xi \in \mathbb{A}_{\text{an}}^1$; p. 138
$\zeta \vee \xi$ least upper bound of $\zeta, \xi \in \mathbb{A}_{\text{an}}^1$; p. 140
$[\zeta, \xi]$ closed interval from ζ to ξ in \mathbb{P}_{an}^1 ; p. 141
$\vec{v}_{\zeta}(x)$ or $\vec{v}(x)$ direction at ζ containing x ; p. 143
\mathbb{H} hyperbolic space (in \mathbb{P}_{an}^1); p. 144
$d_{\mathbb{H}}(\cdot, \cdot)$ hyperbolic metric on \mathbb{H} ; p. 144

$\ f\ _\infty$	L^∞ -norm of $f \in C_c(X)$; p. 325
B^\vee	dual of Banach space B ; p. 325
$C(\mathbb{P}_{\text{an}}^1)$	space of continuous functions $\mathbb{P}_{\text{an}}^1 \rightarrow \mathbb{R}$; p. 326
$\phi_*\mu$	pushforward of measure μ under ϕ ; p. 326
ϕ_*f	pushforward of function f under ϕ ; p. 327
$\phi^*\mu$	pullback of measure μ under ϕ ; p. 327
$M(X)$	space of signed Borel measures on X ; p. 326
$\langle \zeta_1, \zeta_2 \rangle_\xi$	Gromov product of ζ_1 and ζ_2 based at ξ ; p. 328
$u_\mu = u_{\mu, \xi}$	potential of μ based at ξ ; p. 329
\mathcal{P}	space of real-valued potentials on \mathbb{H} ; p. 330
$\text{BDV}(\mathbb{P}_{\text{an}}^1)$	Baker and Rumely's space of functions of bounded differential variation; p. 331
$\delta(\zeta_1, \zeta_2)_\xi$	Baker and Rumely's generalized Hsia kernel based at ξ ; p. 331
Δ	Laplacian operator on \mathbb{P}_{an}^1 ; p. 331
$\text{div}(\phi)$	divisor of rational function ϕ ; p. 332
$\langle \mu, \nu \rangle_{\text{Dir}}$	Dirichlet pairing of μ and ν ; p. 333
$\langle f, g \rangle_{\text{Dir}}$	Dirichlet pairing of f and g ; p. 333
Diag_I	the Type I diagonal; p. 333
$\partial_{\mathbf{v}}f(\zeta)$	Baker and Rumely's directional derivative of f at ζ in direction \mathbf{v} ; p. 334
μ_ϕ	equilibrium measure of ϕ ; p. 338
$\lambda_{v,a}$	a local height relative to $a \in \mathbb{P}^1(\mathbb{C}_v)$; p. 342
$\lambda_{v,\infty,\text{std}}$	standard local height; p. 342
$\hat{\lambda}_{v,a,\phi}$	canonical local height for ϕ ; p. 343
$ (x, y) _{\max}$	$\max\{ x , y \}$ p. 344
$\hat{\Lambda}_\Phi$	homogeneous canonical local height; p. 344
AG_ϕ	Arakelov–Green's function for ϕ ; p. 344
K^{sep}	separable closure of the field K ; p. 347
$\text{Gal}(K^{\text{sep}}/K)$	Galois group of K^{sep} over K ; p. 347
M_K	set of places of the global field K ; p. 347
h_{std}	standard Weil height; p. 348
\hat{h}_ϕ	canonical height for ϕ ; p. 348

Preface

This book grew from my lecture notes for a short course I gave at the Arizona Winter School in March 2010. My aim has been to present an exposition accessible to students in their second or third year of graduate school, while also providing a one-stop reference for active researchers in arithmetic and non-archimedean dynamics. In particular, I do not assume the reader has any prior familiarity with the basics of dynamics, with non-archimedean analysis, or with Berkovich spaces. I *do* assume the reader has already seen some non-archimedean fields, usually the p -adic numbers and hopefully the complete, algebraically closed p -adic field \mathbb{C}_p , but even those topics are reviewed briefly in Chapter 2.

The theory of p -adic dynamics, and more generally, non-archimedean dynamics, is modeled on the theory of complex dynamics. Naturally, a student of complex dynamics is expected to have already completed a full course on complex analysis. For the sake of argument, though, one could probably learn quite a bit of complex dynamics with minimal prior complex analysis coursework if one were willing to accept certain analytic facts on faith. It would of course be unwise to try to approach complex dynamics that way, but my point is that it *could* be done.

The same is true of non-archimedean dynamics: mastery of the subject requires fluency in non-archimedean analysis, but getting started requires far less background. In practice, after all, most students of non-archimedean dynamics learn non-archimedean analysis along the way, not as a prerequisite. Or at least, that was how *I* learned it.

Thus, this book interleaves the basics of non-archimedean analysis and of elementary dynamics with the main topics of non-archimedean dynamics. For example, the more advanced theory relies heavily on the Berkovich

projective line, discussed in Chapter 6. However, a lot can be done with less background, and therefore the fundamentals of non-archimedean dynamics appear earlier, in Chapter 4.

In addition, even in the exposition of non-archimedean analysis (Chapter 3) and Berkovich's theory (Chapters 6 and 7), I defer the more involved proofs to later chapters (specifically, Chapters 14–16). My aim is to acquaint the reader with the requisite analysis as quickly as possible before returning to our primary topic of dynamics. At the same time, a reader who wishes to see those proofs can easily find them by flipping to the back of the book.

As is the case for any project, there are many people who helped make this book possible. I would therefore like to thank the University of Arizona and the organizers of the 2010 Arizona Winter School: Matt Papanikolas, Rachel Pries, Fernando Rodriguez-Villegas, David Savitt, and Dinesh Thakur, as well as the staff of the University of Arizona. I am similarly grateful to Ina Mette, Marcia Almeida, and the rest of the publishing staff at the American Mathematical Society, especially Jennifer Wright Sharp for her careful reading of the manuscript. Many thanks also to Matt Baker, Xander Faber, Charles Favre, and Juan Rivera-Letelier, whose expert input was enormously valuable for organizing the material, improving certain proofs, and presenting important results and concepts clearly. Further thanks are due to Ryan Alvarado, John Benedetto, Amalia Culiuc, Jeff Diller, Fan Shilei, and the anonymous referees who provided mathematical expertise, found errors in early drafts, or otherwise made helpful suggestions. I am particularly grateful to Joe Silverman, who read a draft of the manuscript very closely and taught a course out of it; his countless excellent suggestions improved the presentation substantially. In addition, I gratefully acknowledge the support of NSF grants DMS-1201341 and DMS-1501766. Above all, I thank my wonderful wife Danielle for her constant love and support.

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Index

- absolute value, **33**
 - non-archimedean, **34**
 - of a Berkovich point, **124**
 - p -adic, **36**
 - trivial, **33**
- action of ϕ
 - on connected affinoids, 155, 167
 - on \mathbb{P}_{an}^1 , 154
 - on Type II points, 154, 156–158
 - on Type III points, 154, 156–158
 - on Type IV points, 155
- affinoid
 - Berkovich, *see* Berkovich affinoid
 - connected, *see* connected affinoid
- algebraic number theory, 347
- analytic component, 429–436
- annulus, 61–64, 126, 133, 136, 145, 156–159, 188, 374–380
- Approximation Lemma, 213
- Arakelov–Green’s function, 344–346, 357, 358
 - normalized, 346
- Arzelà–Ascoli theorem, 24, 101, 106
- atomic measure, *see* measure, atomic
- attracting basin, **206**, 226, 243
 - immediate, **206**, 207, 244, 249
 - of a Type II point, **273**, 275, 277, 286
- attracting component, *see* component, attracting
- attracting periodic, *see* periodic, attracting
- Axiom of Choice, 295
- \mathcal{B} -component, 430–431, 435
- backward orbit, *see* orbit, backward
- backward wild, **313**, 314, 321, 322
- bad reduction, 4, **76**, 82, 92, 93
- Baker, Matthew, 2, 291, 303, 323, 326, 331, 334–336, 344, 351, 440
- Banach ring, 397, 437
- Banach space, 325
 - dual, 325
- BDV, *see* bounded differential variation
- Berkovich
 - affine line, 122–129
 - affinoid, 133–138, 141, 149, 151, 155, 156, 163, 167, 392, 394, 405, 409, 414, 418, 426
 - classification, 121, 128–129, 388
 - disk, 125–127, 387, 401, 438
 - as tree, 128–129, 143
 - boundary point, 127, 149, 245, 256
 - in \mathbb{P}_{an}^1 , **133**
 - path-connected, 124, 138–143, 399–405
 - interval, **141**, 144, 329, 406, 418
 - projective line, 2–4, 121, 129–138, 392–405, 440–443, 445–447
 - compact, 121, 137, 396–399
 - Hausdorff, 137, 397
 - locally path-connected, 141
 - path-connected, 121
 - types, 121, 123–124, **128**, 138, 446–447
- Berkovich, Vladimir, 2, 122, 397, 437

- between, 141–143, 150, 200, 222, 328, 403, 405, 408–410
 strictly, **141**, 319
- Bézivin, Jean-Paul, 1, 73, 313
- big model metric, *see* hyperbolic metric
- Borel measure, *see* measure, Borel
- Borel set, **324**, 335, 352
- Borel σ -algebra, **324**, 325
- Böttcher, Lucjan, 74
- bound
 a disk, *see* Berkovich disk boundary point
 a seminorm, *see* seminorm, bounded
- bounded differential variation, **331**
- bounded potentials, **330**, 333, 340
- bounded seminorm, *see* seminorm, bounded
- bout, *see* end
- Bryuno, Alexander, 75
- Call, Gregory, 3, 343
- Call–Silverman height, *see* height, canonical
- canonical height, *see* height, canonical
- canonical local height, *see* local height, canonical
- canonical measure, *see* equilibrium measure
- canonical point, *see* Gauss point
- Cantor set, 111, 195, 196, 206, 207, 226, 341, 432
- Cantor type, *see* domain of Cantor type
- Chambert-Loir, Antoine, 2, 323
- change of coordinates, **13**
- characteristic
 equal, **34**, 35, 36, 273
 mixed, **34**, 37, 235–240, 245, 271, 273, 318, 320
- Chebyshev polynomial, **14**, 27
- chordal metric, 24, *see also* spherical metric
- coherent system of measures, 335
- component
 analytic, *see* analytic component
 attracting, 25, 206–211, 220, 221, 226, 241, 243, 246, 251, 252
 indifferent, 211–221, 228, 229, 231–242, 247, 248, 251, 252
 of Berkovich Fatou set, 203–205, 221, 240, 241, 245, 249, 252, 267, 268, 339, 433–435
 parabolic, 25, 240
 wandering, *see* wandering domain
 connected affinoid, **60**, 61, 137, 171, 232, 253, 291, 295, 297, 298, 303, 305, 374, 383, 430
 continuous potentials, **330**, 333, 346, 350, 352
 converge weakly, **328**, 332, 336–338, 340, 341, 349, 351, 353
 convex hull, 54, **142**, 150, 151, 178, 279
 countably additive, **324**
 couronne, 446
 critical point, **19**, 20, 25, 94, 171, 178, 242–244, 249, 313
 order, *see* order of a critical point
 tame, **256**, 257, 260, 261
 totally ramified, *see* totally ramified
 wild, 6, **256**, 257, 259–261, 267, 272, 273, 287, 288, 312, 313
 cross ratio, 350, **353**
 cycle, *see* periodic cycle
 cylinder set, **31**, 250, 251
- D -component, 429–433, 436
- decreasing sequence of disks, 40, 121, 123, 128, 146, 149, 155, 175, 388–391, 395
 equivalent, **124**, 128, 146
- degree, **15**
 local, *see* local degree
 Weierstrass, *see* Weierstrass degree
- delta-mass, *see* delta-measure
- delta-measure, **324**, 329, 330, 341, 353, 356
- diameter, 39, 257, 260
 of a Berkovich point, **124**, 131, 147
- differential form, 18, 29
- Dirac mass, *see* delta-measure
- direction, **143**, 151, 157, 159, 160, 162, 164, 167, 169, 178, 231, 291, 319, 334, 421–426, 446
 bad, **168**, 169, 176, 187, 199
- directional derivative, **334**, 335
- Dirichlet pairing, **333**, 334, 349, 350
- discrepancy, **351**
- discretely valued, **34**, 35, 37, 39, 41, 43, 54, 261, 267, 271, 275, 277
- disk
 in \mathbb{C}_v , 38
 in Berkovich space, *see* Berkovich disk
 in $\mathbb{P}^1(\mathbb{C}_v)$, *see* $\mathbb{P}^1(\mathbb{C}_v)$ -disk
 irrational, 40

- rational closed, 40
- rational open, 40
- distortion, **249**, 257
- divisor (of a rational function), **332**, 343, 348, 357
- domain of Cantor type, 206–211, 226, 241, 243, 249, 432
- dynamical system, (discrete), **11**
- dynamically stable, **183**, 184, 190, 192, 198, 301, 433
 - on Type I points, 429–436
- Écalle, Jean, 240
- elliptic curve, 3, 80–85, 252
 - complex multiplication, 81
 - invariant differential, 85
 - multiplicative reduction, 115, 252
 - torsion, 82
 - Weierstrass form, 80
- end, 446
- energy integral, 346, 351, 352
- equal characteristic, *see* characteristic, equal
- equicontinuous, **24**, 100–102, 106, 116
- equidistribution, 2, 5, 323, 340, 349
- equilibrium measure, 2, 4–6, 327, 336, **338**, 340–342, 349, 356
- ergodic, 6, **338**
- evanescent chain, 446
- exceptional point, **20**
- exceptional set, 21–22, 105, 108, 109, 193, 194, 301, 313, 338, 340, 341
- explicit good reduction, *see* good reduction, explicit
- Fatou set
 - Berkovich, 2, 4, **183**
 - classical, 1, 4, **100**
 - complex, **24**
- Fatou, Pierre, 25, 302
- Favre, Charles, 2, 323, 349–350
- filled Julia set
 - Berkovich, **194**
 - classical, **110**
- finite positive measure, *see* measure, positive, finite
- fixed, **11**
- formal Laurent series, 30, 35
- forward orbit, *see* orbit, forward
- Frobenius map, 20
- Galois group, 347
- Galois theory, 347
- Galois-invariant, **349**, 351
- Gauss point, **132**, 156, 168, 169, 188, 192, 228, 297, 354
- Gel'fand topology, **122**, 125, 129, 131, 133–138, 145, 147, 148, 152, 249, 330, 354, 410, 412, 437, 438
 - basis, 134
 - homogeneous, **440**
- generalized Hsia kernel, **331**, 346, 355
- geometric sequence (of Trucco), 279
- global field, 347–349, 358
- global height, *see* Weil height
- globally unitary, 320–322
- good reduction, 4, 75–80, 92, 192–194, 273
 - explicit, **76**, 77–79, 82, 88, 90, 92, 93, 96, 98, 168, 178, 192, 193, 200, 229, 297, 350, 426, 436
 - potential, **76**, 77, 89, 95, 96, 103, 117, 193, 194, 249, 278, 338, 341, 430, 435
- grand orbit, **11**, 12, 13, 20, 26, 193, 277
- Gromov product, **328**, 331, 342, 353, 354, 357
- height
 - Call–Silverman, *see* height, canonical
 - canonical, 2, **348**, 350
 - global/Weil, *see* Weil height
 - local, *see* local height
- Hensel's lemma, 369, 383
- Herman ring, 25, 220, 231
- Herman, Michael, 1, 25, 74
- Holomorphic Fixed-Point Formula, **18**, 23, 28, 32, 72
- homoclinic orbit, **303**, 314
- homogeneous canonical local height, *see* local height, canonical, homogeneous
- homogeneous resultant, 78–80, 93, 344, 347
- Hsia kernel, *see* generalized Hsia kernel
- Hsia, Liang-Chung, 1, 106, 112, 310
- hyperbolic metric, 356
- hyperbolic metric, **144**, 158, 160, 174, 175, 186, 249, 250, 253, 330, 354, 356
 - is Lipschitz, 161
- hyperbolic space, **144**

- indifference domain, **211**, 220, 229, 231–240, 242
- indifferent, *see* periodic, indifferent
- indifferent component, *see* component, indifferent
- injectivity locus, **170**, 171, 179, 215, 218
- inner regular, **325**
- inseparable map, **19**, 103, 200, 241, 252, 313
- totally, *see* totally inseparable map
- integral, **325**
- interval (in Berkovich space), *see* Berkovich interval
- invariant measure, *see* equilibrium measure
- irrationally indifferent, *see* periodic, irrationally indifferent
- iterate, **11**
- iterative logarithm, 235–240
- Jonsson, Mattias, 323
- Jordan decomposition, **324**, 325, 333
- J -stability, 6
- Julia set
- Berkovich, 2, 4, **183**, 302–310
- classical, 1, 4, **100**, 310–318
- complex, **24**
- Julia, Gaston, 18, 25, 302
- Koenigs, Gabriel, 73
- λ -lemma, 6
- Laplacian, 331–336, 344, 346, 355
- largest common geometric level, 280
- Lattès map, 4, 80–85, 93, 115, 118, 165, 197, 202, 245, 252, 286, 342, 356
- flexible, 81, 93, 94, 252
- rigid, 81
- Laurent series, 3
- convergent, 61–64, 67, 68, 374–380
- formal, *see* formal Laurent series
- least upper bound, **140**, 142, 145, 150, 403, 404, 406, 408
- Leau–Fatou flower, 74
- lie above/below, **138**, 139, 140, 145, 150, 279, 281, 282, 289, 400, 402, 404
- lie between, *see* between
- Lie logarithm, 240
- lift, **344**, 345, 347, 351, 357, 358
- limit, ω , *see* ω -limit
- Lindahl, Karl-Olof, 74
- linear functional, 325, 326, 335
- local degree
- at a Berkovich point, 4, 162–170, 185, 274, 416–423
- in a Berkovich direction, 159–162, 164, 168, 174, 294, 295, 421–423
- local height, **342**, 343, 357, 358
- Call–Silverman, *see* local height, canonical
- canonical, 3, 343–346, 348, 357
- homogeneous, **344**, 357, 358
- standard, **343**, 347, 348
- system of, **347**, 348, 349
- local linearization, 1, 6, 24, **73**, 74, 75
- over \mathbb{C} , **74**
- locally conjugate, **73**
- locally expanding, **258**, 259–262, 268, 287, 288
- log cross ratio, **353**
- Lubin, Jonathan, 240
- Mandelbrot set
- complex, 112
- non-archimedean, 112, 117
- maximal ideal, 34, 35, 37, 40, 42, 440
- McMullen, Curtis, 273
- measurable cardinals, 326
- measurable function, **324**, 327
- measurable set, **323**, 327, 338
- measure
- atomic, **325**, 330, 336, 349, 350, 356
- Borel, **324**, 325, 326, 328
- canonical, *see* equilibrium measure
- delta, *see* delta-measure
- equilibrium, *see* equilibrium measure
- invariant, *see* equilibrium measure
- Lebesgue, 325, 336, 342, 353, 356
- positive, **324**, 325–327, 346, 352
- finite, **324**, 355
- probability, **324**, 326–328, 336–338, 340, 346, 349, 351–353, 355
- pull-back, **327**
- push-forward, **326**, 340
- Radon, **325**, 326, 336, 337, 341, 346, 355
- signed, **325**, 327–330, 336, 337, 352, 353
- signed, **324**, 326, 328
- total variation, **324**, 325, 332, 337
- metric
- chordal, *see* spherical metric
- hyperbolic, *see* hyperbolic metric

- spherical, *see* spherical metric
- metrizable space, 341, 352
- Misiurewicz bifurcation, 273
- Misiurewicz cascade, 273
- mixed characteristic, *see* characteristic, mixed
- mixing, **338**
- Montel's theorem, 105
- Montel–Carathéodory theorem, *see* Montel's theorem
- morphism, **13**
- Morton, Patrick, 98
- multiplicity
 - at a Berkovich point, *see* local degree
 - at a point x , *see also* ramification index, **19**
 - of ϕ fixing a direction, 231
 - of a fixed point, 15–16, 208, 232, 243
 - of a zero of f , *see also* order of vanishing, **53**
- multiplier, **17**
 - of a Berkovich point, **185**
- mutually singular, **324**
- neutral, *see* periodic, indifferent
- Newton copolygon, *see* valuation polygon
- Newton polygon, 52–57, 63, 65, 66, 85, 88, 187, 368–369, 371, 423, 425, 426
 - length of a segment, 54
 - pivotal radius, 54, 371
- non-archimedean field, **34**
 - complete, 34
- normal (family of functions), **24**, 102, 105, 247
- normal (topological space), **137**, 339, 352
- Northcott property, **348**, 350
- number field, 347
- ω -limit, **280**, 281–283
- open map, **155**, 184, 302, 310, 420, 421
- orbit
 - backward, **11**, 12, 13, 25, 26, 90, 108, 109, 184, 194, 195, 279, 301, 303, 321
 - forward, **11**, 12, 13, 26, 118, 246, 260, 267, 313, 436
 - grand, *see* grand orbit
 - homoclinic, *see* homoclinic orbit
- order of a critical point, **19**, 20, 30, 31, 317
- order of vanishing, 19, 30, **53**, 232, 256
 - in a direction, **232**
- outer regular, **325**
- p -adic integers (\mathbb{Z}_p), **37**
- p -adic rationals (\mathbb{Q}_p), **37**
- $\mathbb{P}^1(\mathbb{C}_v)$ -disk, **59**
- parabolic component, *see* component, parabolic
- path-connected, uniquely, *see* uniquely path-connected
- perfect set, 25, **109**, 116, 194, 195, 200
- period, **11**
 - exact, *see* minimal period
 - minimal, **12**
- periodic, **11**
 - attracting, **71**
 - cycle, **11**
 - indifferent, **71**
 - Berkovich point, **185**, 187
 - irrationally indifferent, 23
 - irrationally indifferent, **71**
 - neutral, *see* periodic, indifferent
 - rationally indifferent, 23, **71**, 238
 - repelling, 4, **71**, 104
 - Berkovich point, **185**, 187, 291, 295, 297, 298, 305
 - superattracting, 23, **71**, 103, 198, 199
- place (of a global field), 347, 349, 350
- Poincaré, Henri, 73
- point-mass, *see* delta-measure
- Portmanteau Theorem, 341
- positive measure, *see* measure, positive
- potential function, 329–337, 342, 343, 346, 350, 355
- potential good reduction, *see* good reduction, potential
- power series, 1, 3, 35, 48–59, 64, 66, 83, 85, 90, 91, 93, 94, 211, 226, 257, 286, 287, 361–373, 382, 383, 437
- preperiodic, **11**
- product formula, **347**, 358
- projective system, 446
- Prokhorov's theorem, 351, 352
- Puiseux series, 2, **36**, 44, 278
- pull-back measure, *see* measure, pull-back
- push-forward function, **327**
- push-forward measure, *see* measure, push-forward
- quasi-periodic, **235**

- quasi-periodicity domain, 235–240
quotient map, 392
- \mathbb{R} -tree, 143, 222, 323
- Radon measure, *see* measure, Radon
- ramification degree, **35**, 36, 43
ramification index, **19**, 313, 321
ramification locus, **170**, 173, 178
ramification point, *see* critical point
ramified, **19**
 totally, *see* totally ramified
- rationally indifferent, *see* periodic,
 rationally indifferent
- recurrent, 6, **267**, 272, 273
- reduction
 bad, *see* bad reduction
 good, *see* good reduction
 of a rational function, 168, 177, 187,
 196, 197, 199, 200, 218
- reduction map, **75**
- repelling periodic, *see* periodic,
 repelling
- residue characteristic, **34**, 52, 118, 242,
256, 271, 318
- residue class, 76, 88, 92, 107, 144, 151,
154, 156, 168, 199, 241, 242, 273,
436
 of a Berkovich point, *see* direction
- residue field, **34**, 35, 37, 40, 42–44, 127,
156, 267
- residue field extension degree, **35**, 43
- Residue Theorem, 18, 29
 Open Affinoids, 232
- resultant, **78**, 79, 93, 357
 homogeneous, *see* homogeneous
 resultant
- Riemann–Hurwitz formula, **19**, 31, 171,
298, 317
- Riesz representation theorem, **325**, 335,
352, 353
- rigid analysis, 47, 122, 374, 380–382
- ring of convergent power series, **49**
- ring of integers, **34**, 35, 37, 40, 156
- Rivera-Letelier’s approximation lemma,
see Approximation lemma
- Rivera-Letelier’s classification, 4, 87,
221
- Rivera-Letelier’s stable fixed-point
lemma, *see* stable fixed-point
lemma
- Rivera-Letelier, Juan, 1, 2, 121, 144,
168, 203, 211, 220, 221, 231, 235,
272, 291, 303, 311, 323, 349–350,
424, 445–447
- Rumely, Robert, 2, 291, 303, 323, 331,
334–336, 344, 351, 440
- saturated, 298
- second countable, 148
- semicontinuous, 131, **147**, 164, 249,
295, 355
- seminorm, **122**, 130, 173, 385–387,
440–443, 447
 bounded, **122**
 multiplicative, **122**, 146, 153, 173,
409, 437, 438, 447
- separable closure, 347
- separable map, **19**, 20, 30, 31, 242, 252,
288, 313, 349
- separable space, 148, 341, 352
- Shishikura, Mitsuhiro, 26
- Siegel disk, 25, 74, 220, 231
- Siegel, Carl, 25
- σ -algebra, **323**
 Borel, *see* Borel σ -algebra
- signed measure, *see* measure, signed
- signed Radon measure, *see* measure,
 Radon, signed
- Silverman, Joseph, 3, 98, 343
- singular (Type IV), 446
- skeleton, 136, **151**
- small denominators, 1, 6, *see also* local
 linearization, 75
- Smart, Nigel, 112
- space of potentials, **330**
- spherical metric, 24, 97–101, 106, 116
- spherically complete, **40**, 41, 123, 138
- stable fixed-point lemma, 222
- stable, dynamically, *see* dynamically
 stable
- standard local height, *see* local height,
 standard
- strong topology, 145
- strong-mixing, *see* mixing
- subharmonic function, 346
- submultiplicative norm, 437
- Sullivan, Dennis, 25, 253
- superattracting, *see* periodic,
 superattracting
- support of a measure, 26, **324**, 336,
341, 350, 356
- Sylvester matrix, **78**
- symbol sequence, **31**, 201, 246, 250

- symbolic dynamics, **31**, 196, 201, 250, 272
 system of local heights, *see* local height, system of
 tame map, 249, **274**, 275, 277, 278, 286, 289
 tame ramification, *see* critical point, tame
 tangent vector, 144, 151
 Tate, John, 380–382
 Thuillier, Amaury, 2, 323
 Tietze extension theorem, 352
 total variation measure, *see* measure, total variation
 totally disconnected, **38**, 60, 380, 429
 totally inseparable map, **19**, 20, 431, 436
 totally ramified, **19**, 20
 triangle inequality, 33, 386, 401
 ultrametric, 34
 trichotomy, 221
 Trucco, Eugenio, 277
 Type I diagonal, **333**, 345

 uniform boundedness principle, 353
 uniformizer, 30, **34**, 35, 37, 41, 42, 54, 261, 268, 275
 uniquely path-connected, **141**, 143, 403, 405
 unramified, **35**, 36

 vague convergence, 328
 valuation, 53, 54
 valuation polygon, 370–371
 value group, **34**, 35–37, 42, 44
 Vandermonde matrix, 351

 wandering domain, 4, 6, 26, 115, 199, 211, 221, 247, 248, **253**, 267, 271–278, 285–286, 432
 weak convergence, *see* converge weakly
 weak limit, *see* converge weakly
 weak topology, 145
 weak* convergence, 328
 Weierstrass degree
 inner, outer, *see* Weierstrass degree, on an annulus
 on a disk, **51**, 53, 57–59, 64, 66, 67, 85, 86, 95, 168, 213, 364–368, 376–378, 383
 on an annulus, 61–64, 67, 68, 157, 159, 214, 232, 375–380, 384

 Weierstrass division theorem, **365**
 Weierstrass form, *see* elliptic curve, Weierstrass form
 Weierstrass preparation theorem, 51–53, 57, **365**
 for open annuli, **375**, 383
 Weil height, 274, 289, 348–350, 358
 standard, **348**, 358
 Weil local height, *see* local height
 wild ramification, *see* critical point, wild, 271, 272
 Woodcock, Christopher, 112

 Yoccoz, Jean-Christophe, 1, 74, 75

 zero of a power series, **53**
 ZFC axioms, 326
 Zorn's lemma, 292, 295, 318

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