Mathematical Surveys and Monographs Volume 170

# Renormalization and Effective Field Theory

**Kevin Costello** 



**American Mathematical Society** 

## Renormalization and Effective Field Theory

Mathematical Surveys and Monographs

Volume 170

## Renormalization and Effective Field Theory

**Kevin Costello** 



American Mathematical Society Providence, Rhode Island

#### EDITORIAL COMMITTEE

Ralph L. Cohen, Chair Michael A. Singer Eric M. Friedlander Benjamin Sudakov Michael I. Weinstein

2010 Mathematics Subject Classification. Primary 81T13, 81T15, 81T17, 81T18, 81T20, 81T70.

The author was partially supported by NSF grant 0706954 and an Alfred P. Sloan Fellowship.

For additional information and updates on this book, visit www.ams.org/bookpages/surv-170

Library of Congress Cataloging-in-Publication Data

Costello, Kevin.

Renormalization and effective field theory / Kevin Costello.
p. cm. — (Mathematical surveys and monographs; v. 170)
Includes bibliographical references.
ISBN 978-0-8218-5288-0 (alk. paper)
1. Renormalization (Physics) 2. Quantum field theory. I. Title.
QC174.17.R46C67 2011

530.14′3—dc22

2010047463

**Copying and reprinting.** Individual readers of this publication, and nonprofit libraries acting for them, are permitted to make fair use of the material, such as to copy a chapter for use in teaching or research. Permission is granted to quote brief passages from this publication in reviews, provided the customary acknowledgment of the source is given.

Republication, systematic copying, or multiple reproduction of any material in this publication is permitted only under license from the American Mathematical Society. Requests for such permission should be addressed to the Acquisitions Department, American Mathematical Society, 201 Charles Street, Providence, Rhode Island 02904-2294 USA. Requests can also be made by e-mail to reprint-permission@ams.org.

> © 2011 by the American Mathematical Society. All rights reserved. The American Mathematical Society retains all rights except those granted to the United States Government. Printed in the United States of America.

∞ The paper used in this book is acid-free and falls within the guidelines established to ensure permanence and durability. Visit the AMS home page at http://www.ams.org/

10 100

 $10 \ 9 \ 8 \ 7 \ 6 \ 5 \ 4 \ 3 \ 2 \ 1 \qquad 16 \ 15 \ 14 \ 13 \ 12 \ 11$ 

## Contents

Chapter 1. Introduction	1
1. Overview	1
2. Functional integrals in quantum field theory	4
3. Wilsonian low energy theories	6
4. A Wilsonian definition of a quantum field theory	13
5. Locality	13
6. The main theorem	16
7. Renormalizability	19
8. Renormalizable scalar field theories	21
9. Gauge theories	22
10. Observables and correlation functions	27
11. Other approaches to perturbative quantum field theory	27
Acknowledgements	28
Chapter 2. Theories, Lagrangians and counterterms	31
1. Introduction	31
2. The effective interaction and background field functional integrals	32
3. Generalities on Feynman graphs	34
4. Sharp and smooth cut-offs	42
5. Singularities in Feynman graphs	44
6. The geometric interpretation of Feynman graphs	47
7. A definition of a quantum field theory	53
8. An alternative definition	55
9. Extracting the singular part of the weights of Feynman graphs	57
10. Constructing local counterterms	61
11. Proof of the main theorem	67
12. Proof of the parametrix formulation of the main theorem	69
13. Vector-bundle valued field theories	71
14. Field theories on non-compact manifolds	80
Chapter 3. Field theories on $\mathbb{R}^n$	91
1. Some functional analysis	92
2. The main theorem on $\mathbb{R}^n$	99
3. Vector-bundle valued field theories on $\mathbb{R}^n$	104
4. Holomorphic aspects of theories on $\mathbb{R}^n$	107
Chapter 4. Renormalizability	113

v

#### CONTENTS

1.	The local renormalization group flow	113
2.	The Kadanoff-Wilson picture and asymptotic freedom	122
3.	Universality	125
4.	Calculations in $\phi^4$ theory	126
5.	Proofs of the main theorems	131
6.	Generalizations of the main theorems	135
Chap	ter 5. Gauge symmetry and the Batalin-Vilkovisky formalism	139
1.	Introduction	139
2.	A crash course in the Batalin-Vilkovisky formalism	141
3.	The classical BV formalism in infinite dimensions	155
4.	Example: Chern-Simons theory	160
5.	Example : Yang-Mills theory	162
6.	D-modules and the classical BV formalism	164
7.	BV theories on a compact manifold	170
8.	Effective actions	173
9.	The quantum master equation	175
10.	Homotopies between theories	178
11.	Obstruction theory	186
12.	BV theories on $\mathbb{R}^n$	189
13.	The sheaf of BV theories on a manifold	196
14.	Quantizing Chern-Simons theory	203
Chap	ter 6. Renormalizability of Yang-Mills theory	207
1.	Introduction	207
2.	First-order Yang-Mills theory	207
3.	Equivalence of first-order and second-order formulations	210
4.	Gauge fixing	213
5.	Renormalizability	214
6.	Universality	217
7.	Cohomology calculations	218
Appe	ndix 1: Asymptotics of graph integrals	227
1.	Generalized Laplacians	227
2.	Polydifferential operators	229
3.	Periods	229
4.	Integrals attached to graphs	230
5.	Proof of Theorem 4.0.2	233
Appe	ndix 2 : Nuclear spaces	243
1.	Basic definitions	243
2.	Examples	244
	Examples	244
3.	Subcategories	$244 \\ 245$
$\frac{3}{4}$ .	*	

vi

## Bibliography

249

vii

### Bibliography

- [BD04] Alexander Beilinson and Vladimir Drinfeld, *Chiral algebras*, American Mathematical Society Colloquium Publications, vol. 51, American Mathematical Society, Providence, RI, 2004.
- [BEK06] S. Bloch, H. Esnault, and D. Kreimer, On motives associated to graph polynomials, Comm. Math. Phys. 267 (2006), no. 1, 181–225.
  - [BF00] Romeo Brunetti and Klaus Fredenhagen, Microlocal analysis and interacting quantum field theories: renormalization on physical backgrounds, Comm. Math. Phys. **208** (2000), no. 3, 623–661.
  - [BF09] \_\_\_\_\_, Quantum field theory on curved backgrounds, Quantum field theory on curved spacetimes, Lecture Notes in Phys., vol. 786, Springer, Berlin, 2009, pp. 129–155.
- [BGM71] M. Berger, P. Gauduchon, and E. Mazet, Le spectre d'une variété riemannienne, Lecture Notes in Mathematics, no. 194, Springer-Verlag, 1971.
- [BGV92] Nicole Berline, Ezra Getzler, and Michèle Vergne, Heat kernels and Dirac operators, Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], vol. 298, Springer-Verlag, Berlin, 1992.
  - [BP57] N.N. Bogoliubov and O.S. Parasiuk, On the multiplication of the causal function in the quantum theory of fields, Acta Math. 97 (1957), 227–266.
- [CCRF<sup>+</sup>98] A.S. Cattaneo, P. Cotta-Ramusino, F. Fucito, M. Martellini, M. Rinaldi, A. Tanzini, and M. Zeni, *Four-dimensional Yang-Mills theory as a deformation of topological BF theory*, Communications in Mathematical Physics **197** (1998), no. 3, 571–621.
  - [CG10] Kevin Costello and Owen Gwilliam, Factorization algebras in perturbative quantum field theory, Available at http://math.northwestern.edu/~costello/ (2010).
  - [CK98] Alain Connes and Dirk Kreimer, Hopf algebras, renormalization and noncommutative geometry, Comm. Math. Phys. 199 (1998), no. 1, 203–242.
  - [CK99] \_\_\_\_\_, Renormalization in quantum field theory and the Riemann-Hilbert problem, J. High Energy Phys. (1999), no. 9, Paper 24, 8 pp. (electronic).

- [CM04] Alain Connes and Matilde Marcolli, Renormalization and motivic Galois theory, Int. Math. Res. Not. (2004), no. 76, 4073– 4091.
- [CM08a] A.S. Cattaneo and P. Mnëv, Remarks on Chern-Simons invariants, Communications in Mathematical Physics 293 (2008), 803–836.
- [CM08b] Alain Connes and Matilde Marcolli, Noncommutative geometry, quantum fields and motives, American Mathematical Society Colloquium Publications, vol. 55, American Mathematical Society, Providence, RI, 2008.
- [Cos07] Kevin Costello, Renormalisation and the Batalin-Vilkovisky formalism, arXiv:0706.1533 (2007).
- [DF01] M. Dütsch and K. Fredenhagen, Algebraic quantum field theory, perturbation theory, and the loop expansion, Comm. Math. Phys. 219 (2001), no. 1, 5–30.
- [DGMS75] Pierre Deligne, Phillip Griffiths, John Morgan, and Dennis Sullivan, *Real homotopy theory of Kähler manifolds*, Invent. Math. 29 (1975), no. 3, 245–274.
  - [EG73] H. Epstein and V. Glaser, The role of locality in perturbation theory, Ann. Inst. H. Poincaré Sect. A (N.S.) 19 (1973), 211– 295 (1974).
  - [Fey50] R. P. Feynman, Mathematical formulation of the quantum theory of electromagnetic interaction, Physical review 80 (1950), no. 3, 440–457.
  - [Gro52] A. Grothendieck, *Résumé des résultats essentiels dans la théorie des produits tensoriels topologiques et des espaces nucléaires*, Ann. Inst. Fourier Grenoble **4** (1952), 73–112 (1954).
  - [GSW88] Michael B. Green, John H. Schwarz, and Edward Witten, Superstring theory. Vol. 1, second ed., Cambridge Monographs on Mathematical Physics, Cambridge University Press, Cambridge, 1988, Introduction.
    - [GW73] D. Gross and F. Wilczek, Ultraviolet behiavour of non-Abelian gauge theories, Physical review letters **30** (1973), 1343–1346.
    - [Haa92] Rudolph Haag, Local quantum physics, Springer, 1992.
    - [Hep66] K. Hepp, Proof of the Bogolyubov-Parasiuk theorem on renormalization, Comm. Math. Phys. 2 (1966), no. 4, 301–326.
    - [HO09] Stefan Hollands and Heiner Olbermann, Perturbative quantum field theory via vertex algebras, J. Math. Phys. 50 (2009), no. 11, 112304, 42.
    - [Hol09] Stefan Hollands, Axiomatic quantum field theory in terms of operator product expansions: general framework, and perturbation theory via Hochschild cohomology, SIGMA Symmetry Integrability Geom. Methods Appl. 5 (2009), Paper 090, 45.
    - [HW10] Stefan Hollands and Robert M. Wald, Axiomatic quantum field theory in curved spacetime, Comm. Math. Phys. **293** (2010),

no. 1, 85–125.

- [Iac08] V. Iacovino, Master equation and perturbative Chern-Simons theory, arXiv:0811.2181 (2008).
- [Kad66] Leo P. Kadanoff, Scaling laws for Ising models near  $t_c$ , Physics 2 (1966), 263–272.
- [KL09] D. Krotov and A. Losev, Quantum field theory as effective BV theory from Chern-Simons, Nuclear Phys. B 806 (2009), no. 3, 529–566.
  - [KS] Maxim Kontsevich and Yan Soibelman, Deformation theory, volume I, Available at http://www.math.ksu.edu/~soibel/
- [KZ01] M. Kontsevich and D. Zagier, *Periods*, Mathematics unlimited—2001 and beyond, Springer, 2001, pp. 771–808.
- [Man99] Yuri I. Manin, Frobenius manifolds, quantum cohomology, and moduli spaces, American Mathematical Society Colloquium Publications, vol. 47, American Mathematical Society, Providence, RI, 1999.
- [Mnë09] P. Mnëv, Notes on simplicial bf theory, Moscow Mathematical Journal 9 (2009), no. 2, 371–410.
- [MP49] I. Minakshisundaram and A. Pleijel, Some properties of the eigenfunctions of the Laplace-operator on Riemannian manifolds, Canadian J. Math. 1 (1949), 242–256.
- [MS67] H.P. McKean and I. M. Singer, Curvature and the eigenvalues of the Laplacian, J. Differential Geometry 1 (1967), no. 1, 43– 69.
- [Pol73] H. D. Politzer, Reliable perturbative results from strong interactions?, Physical review letters 30 (1973), 1346–1349.
- [Pol84] J. Polchinski, Renormalization and effective Lagrangians, Nuclear Phys. B (1984), no. 231-269.
- [Sch93] A. Schwarz, Geometry of Batalin-Vilkovisky quantization, Comm. Math. Phys. 155 (1993), no. 2, 249–260.
- [Seg99] Graeme Segal, Notes on quantum field theory.
- [Trè67] François Trèves, Topological vector spaces, distributions and kernels, Academic Press, New York, 1967.
- [vS07] Walter D. van Suijlekom, Renormalization of gauge fields: a Hopf algebra approach, Comm. Math. Phys. 276 (2007), no. 3, 773–798.
- [Tam03] D. Tamarkin, A formalism for the renormalization procedure, arXiv:math/0304211(2003).
- [Wil71] K.G. Wilson, Renormalization group and critical phenomena, I., Physical review B 4 (1971), no. 9, 3174–3183.
- [Wil72] \_\_\_\_\_, Renormalizaton of a scalar field theory in strong coupling, Physical review D 6 (1972), no. 2, 419–426.

### Titles in This Series

- 171 Leonid Pastur and Mariya Shcherbina, Eigenvalue distribution of large random matrices, 2011
- 170 Kevin Costello, Renormalization and effective field theory, 2011
- 169 **Robert R. Bruner and J. P. C. Greenlees**, Connective real *K*-theory of finite groups, 2010
- 168 Michiel Hazewinkel, Nadiya Gubareni, and V. V. Kirichenko, Algebras, rings and modules: Lie algebras and Hopf algebras, 2010
- 167 Michael Gekhtman, Michael Shapiro, and Alek Vainshtein, Cluster algebra and Poisson geometry, 2010
- 166 Kyung Bai Lee and Frank Raymond, Seifert fiberings, 2010
- 165 Fuensanta Andreu-Vaillo, José M. Mazón, Julio D. Rossi, and J. Julián Toledo-Melero, Nonlocal diffusion problems, 2010
- 164 Vladimir I. Bogachev, Differentiable measures and the Malliavin calculus, 2010
- 163 Bennett Chow, Sun-Chin Chu, David Glickenstein, Christine Guenther, James Isenberg, Tom Ivey, Dan Knopf, Peng Lu, Feng Luo, and Lei Ni, The Ricci flow: Techniques and applications, Part III: Geometric-analytic aspects, 2010
- 162 Vladimir Maz'ya and Jürgen Rossmann, Elliptic equations in polyhedral domains, 2010
- 161 Kanishka Perera, Ravi P. Agarwal, and Donal O'Regan, Morse theoretic aspects of *p*-Laplacian type operators, 2010
- 160 Alexander S. Kechris, Global aspects of ergodic group actions, 2010
- 159 Matthew Baker and Robert Rumely, Potential theory and dynamics on the Berkovich projective line, 2010
- 158 D. R. Yafaev, Mathematical scattering theory: Analytic theory, 2010
- 157 Xia Chen, Random walk intersections: Large deviations and related topics, 2010
- 156 **Jaime Angulo Pava**, Nonlinear dispersive equations: Existence and stability of solitary and periodic travelling wave solutions, 2009
- 155 Yiannis N. Moschovakis, Descriptive set theory, 2009
- 154 Andreas Čap and Jan Slovák, Parabolic geometries I: Background and general theory, 2009
- 153 Habib Ammari, Hyeonbae Kang, and Hyundae Lee, Layer potential techniques in spectral analysis, 2009
- 152 János Pach and Micha Sharir, Combinatorial geometry and its algorithmic applications: The Alcála lectures, 2009
- 151 Ernst Binz and Sonja Pods, The geometry of Heisenberg groups: With applications in signal theory, optics, quantization, and field quantization, 2008
- 150 Bangming Deng, Jie Du, Brian Parshall, and Jianpan Wang, Finite dimensional algebras and quantum groups, 2008
- 149 Gerald B. Folland, Quantum field theory: A tourist guide for mathematicians, 2008
- 148 Patrick Dehornoy with Ivan Dynnikov, Dale Rolfsen, and Bert Wiest, Ordering braids, 2008
- 147 David J. Benson and Stephen D. Smith, Classifying spaces of sporadic groups, 2008
- 146 Murray Marshall, Positive polynomials and sums of squares, 2008
- 145 Tuna Altinel, Alexandre V. Borovik, and Gregory Cherlin, Simple groups of finite Morley rank, 2008
- 144 Bennett Chow, Sun-Chin Chu, David Glickenstein, Christine Guenther, James Isenberg, Tom Ivey, Dan Knopf, Peng Lu, Feng Luo, and Lei Ni, The Ricci flow: Techniques and applications, Part II: Analytic aspects, 2008
- 143 Alexander Molev, Yangians and classical Lie algebras, 2007
- 142 Joseph A. Wolf, Harmonic analysis on commutative spaces, 2007
- 141 Vladimir Maz'ya and Gunther Schmidt, Approximate approximations, 2007

- 140 Elisabetta Barletta, Sorin Dragomir, and Krishan L. Duggal, Foliations in Cauchy-Riemann geometry, 2007
- 139 Michael Tsfasman, Serge Vlăduţ, and Dmitry Nogin, Algebraic geometric codes: Basic notions, 2007
- 138 Kehe Zhu, Operator theory in function spaces, 2007
- 137 Mikhail G. Katz, Systolic geometry and topology, 2007
- 136 Jean-Michel Coron, Control and nonlinearity, 2007
- 135 Bennett Chow, Sun-Chin Chu, David Glickenstein, Christine Guenther, James Isenberg, Tom Ivey, Dan Knopf, Peng Lu, Feng Luo, and Lei Ni, The Ricci flow: Techniques and applications, Part I: Geometric aspects, 2007
- 134 Dana P. Williams, Crossed products of C\*-algebras, 2007
- 133 Andrew Knightly and Charles Li, Traces of Hecke operators, 2006
- 132 J. P. May and J. Sigurdsson, Parametrized homotopy theory, 2006
- 131 Jin Feng and Thomas G. Kurtz, Large deviations for stochastic processes, 2006
- 130 Qing Han and Jia-Xing Hong, Isometric embedding of Riemannian manifolds in Euclidean spaces, 2006
- 129 William M. Singer, Steenrod squares in spectral sequences, 2006
- 128 Athanassios S. Fokas, Alexander R. Its, Andrei A. Kapaev, and Victor Yu. Novokshenov, Painlevé transcendents, 2006
- 127 Nikolai Chernov and Roberto Markarian, Chaotic billiards, 2006
- 126 Sen-Zhong Huang, Gradient inequalities, 2006
- 125 Joseph A. Cima, Alec L. Matheson, and William T. Ross, The Cauchy Transform, 2006
- 124 Ido Efrat, Editor, Valuations, orderings, and Milnor K-Theory, 2006
- 123 Barbara Fantechi, Lothar Göttsche, Luc Illusie, Steven L. Kleiman, Nitin Nitsure, and Angelo Vistoli, Fundamental algebraic geometry: Grothendieck's FGA explained, 2005
- 122 Antonio Giambruno and Mikhail Zaicev, Editors, Polynomial identities and asymptotic methods, 2005
- 121 Anton Zettl, Sturm-Liouville theory, 2005
- 120 Barry Simon, Trace ideals and their applications, 2005
- 119 **Tian Ma and Shouhong Wang**, Geometric theory of incompressible flows with applications to fluid dynamics, 2005
- 118 Alexandru Buium, Arithmetic differential equations, 2005
- 117 Volodymyr Nekrashevych, Self-similar groups, 2005
- 116 Alexander Koldobsky, Fourier analysis in convex geometry, 2005
- 115 Carlos Julio Moreno, Advanced analytic number theory: L-functions, 2005
- 114 Gregory F. Lawler, Conformally invariant processes in the plane, 2005
- 113 William G. Dwyer, Philip S. Hirschhorn, Daniel M. Kan, and Jeffrey H. Smith, Homotopy limit functors on model categories and homotopical categories, 2004
- 112 Michael Aschbacher and Stephen D. Smith, The classification of quasithin groups II. Main theorems: The classification of simple QTKE-groups, 2004
- 111 Michael Aschbacher and Stephen D. Smith, The classification of quasithin groups I. Structure of strongly quasithin K-groups, 2004
- 110 Bennett Chow and Dan Knopf, The Ricci flow: An introduction, 2004
- 109 Goro Shimura, Arithmetic and analytic theories of quadratic forms and Clifford groups, 2004

For a complete list of titles in this series, visit the AMS Bookstore at **www.ams.org/bookstore**/.

This book tells mathematicians about an amazing subject invented by physicists and it tells physicists how a master mathematician must proceed in order to understand it. Physicists who know quantum field theory can learn the powerful methodology of mathematical structure, while mathematicians can position themselves to use the magical ideas of quantum field theory in "mathematics" itself. The retelling of the tale mathematically by Kevin Costello is a beautiful tour de force.

#### -Dennis Sullivan

This book is quite a remarkable contribution. It should make perturbative quantum field theory accessible to mathematicians. There is a lot of insight in the way the author uses the renormalization group and effective field theory to analyze perturbative renormalization; this may serve as a springboard to a wider use of those topics, hopefully to an eventual nonperturbative understanding.

#### *—Edward Witten*



Quantum field theory has had a profound influence on mathematics, and on geometry in particular. However, the notorious difficulties of renormalization have made quantum field theory very inaccessible for mathematicians. This book provides complete mathematical foundations for the theory of perturbative quantum field theory, based on Wilson's ideas of low-energy effective field theory and on the Batalin–Vilkovisky formalism. As an example, a cohomological proof of perturbative renormalizability of Yang–Mills theory is presented.

An effort has been made to make the book accessible to mathematicians who have had no prior exposure to quantum field theory. Graduate students who have taken classes in basic functional analysis and homological algebra should be able to read this book.





