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DISTRIBUTION MODULO 1 AND SETS OF UNIQUENESS

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A linear set $E \subset (0, 1)$ is said to be a set of uniqueness (set U) for trigonometric expansion if no trigonometric series exists (except vanishing identically) which converges to zero in the set CE complementary to E . Following Nina Bary we shall say that E is a set of uniqueness “in the wide sense” (set U^*) if no Fourier-Stieltjes series exists (except vanishing identically) which converges to zero in CE . If E is a closed set U^* it means (see [1, Vol. 1, pp. 344–359, Vol. 2, p. 160]) that E does not carry any measure whose Fourier-Stieltjes coefficients tend to zero. If E is a closed set U (i.e. of uniqueness “strict sense”) it means that E does not carry any measure or *pseudo-measure* (cf. [2]) with coefficients tending to zero.

DEFINITION. A real sequence of numbers $\{u_k\}_1^\infty$ will be said to be “badly distributed” modulo 1 if there exists at least one characteristic function $X(x)$ of open interval $\Delta \subset (0, 1)$ periodic with period 1 such that

$$\limsup_{k \rightarrow \infty} \frac{X(u_1) + \cdots + X(u_k)}{k} < \int_0^1 X(x) dx = |\Delta|$$

when $|\Delta|$ stands for the length of Δ .¹

REMARK. It is easy to see that under this hypothesis there exists a Δ with rational end-points having the same property.

THEOREM. Let $E \subset (0, 1)$ be a linear set such that there exists an infinite sequence of positive integers $\{n_k\}_1^\infty$ increasing to infinity, with the

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¹ The reader will convince himself that all the argument which follows is applicable in the case we suppose $\liminf > \Delta$.

property that for every $x \in E$, the sequence $\{n_k x\}$ is badly distributed modulo 1. Then E is a set of the type U^* .

We shall make use of the three following known lemmas:

LEMMA I (see [1, Vol. 2, pp. 145, 160]). In order to prove that a closed set does not carry a nonvanishing measure with Fourier-Stieltjes coefficients tending to zero, it is sufficient to prove that it does not carry a positive measure having this property.

LEMMA II (see [1, Vol. 2, p. 144]). Let

$$d\mu \sim \sum_{-\infty}^{\infty} c_n e^{2\pi i n x}$$

be a Fourier-Stieltjes series and let

$$X(x) \sim \sum_{-\infty}^{\infty} \gamma_n e^{2\pi i n x}$$

be the Fourier series of the characteristic function $X(x)$ of an interval $\Delta \subset (0, 1)$. Then if the Fourier-Stieltjes coefficients c_n tend to zero as $n \rightarrow \infty$, one has

$$\lim_{m \rightarrow \infty} \int_0^1 X(mx) d\mu = c_0 \gamma_0 = \int_0^1 X(x) dx \cdot \int_0^1 d\mu(x).$$

LEMMA III (see [1, Vol. 2, p. 160]). A set E which is the union of a denumerable infinity of closed sets F_n each of which is of the type U^* , is also of the type U^* .

PROOF OF THE THEOREM. Taking into account the remark following the definition of "bad distribution," we see that to every $x \in E$ corresponds a characteristic function of open interval with rational endpoints, thus belonging to a denumerable family $\{X_m(x)\}_1^\infty$. Let E_m be the subset of points x of E corresponding to the same function $X_m(x)$. E is the union of all the sets E_m .

The set E_m is itself the union of sets $E_{m,h}$ (h a positive integer $1 \leq h < \infty$) such that

$$\frac{X_m(n_1 x) + \dots + X_m(n_\kappa x)}{\kappa} < \int_0^1 X_m(x) dx \quad \text{for} \quad \kappa \geq h.$$

The set $E_{m,h}$ is in turn the union of sets $E_{m,h,s}$, where

$$(1) \quad \frac{X_m(n_1 x) + \dots + X_m(n_\kappa x)}{\kappa} \leq \int_0^1 X_m(x) dx - \frac{1}{s} \quad (\kappa \geq h)$$

where s takes all positive integral values.

Since $X_m(x)$ is lower-semicontinuous, the set $E_{m,h,s}$ is closed. We shall show that it is of the type U^* . Suppose, in fact, that it carries a positive (see Lemma I) nonvanishing measure $d\mu$ with Fourier-Stieltjes coefficients tending to zero. Multiplying (1) by $d\mu$ and integrating with respect to $d\mu$ we set

$$\frac{\int X_m(n_1x)d\mu + \cdots + \int X_m(n_\kappa x)d\mu}{\kappa} \leq \left(\int_0^1 X_m dx \right) \left(\int_0^1 d\mu(x) \right) - \frac{\int_0^1 d\mu}{s} \quad (\kappa \geq h).$$

Since for $\kappa \rightarrow \infty$ the first member tends (Lemma II) to $\int U_m dx \cdot \int_0^1 d\mu(x)$, this leads to a contradiction, and $E_{m,h,s}$ is a U^* set.

It is now enough to use Lemma III to prove the theorem since E is the union of the denumerable family $E_{m,h,s}$ (m, h, s positive integers).

APPLICATION. Consider the set of numbers in $(0, 1)$ written in the dyadic system $x = \epsilon_1/2 + \cdots + \epsilon_\kappa/2^\kappa + \cdots$ ($\epsilon_\kappa = 0, 1$) having the property that

$$\limsup \frac{\epsilon_1 + \cdots + \epsilon_\kappa}{\kappa} < \frac{1}{2}.$$

This set is of the type U^* . This is an immediate consequence of our theorem if we remark that $\epsilon_\kappa = X(2^\kappa x)$ when $X(x)$ is the characteristic function of the interval $(1/2, 1)$. (Here the family X_m is reduced to a single interval.²)

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² *Added in proof.* The application given here is nothing but the easy part of an important paper of I. I. Pyatetski-Shapiro (Moskov. Gos. Univ. Uč. Zap. **165**, Matematika **7** (1954), 79-97), where he constructs a set U^* which is not a set U . It suggests the following question, that the authors were not able to solve: is the set of non-normal numbers x (i.e., numbers x such that $\limsup (\epsilon_1 + \cdots + \epsilon_K)/K > 1/2$ or $\liminf < 1/2$) a set U^* ? In other words, is it a set of measure zero with respect to every positive measure whose Fourier coefficients tend to zero at infinity?