

## ON THE MONTGOMERY–VAUGHAN WEIGHTED GENERALIZATION OF HILBERT’S INEQUALITY

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**ABSTRACT.** This paper concerns the problem of determining the optimal constant in the Montgomery–Vaughan weighted generalization of Hilbert’s inequality. We consider an approach pursued by previous authors via a parametric family of inequalities. We obtain upper and lower bounds for the constants in inequalities in this family. A lower bound indicates that the method in its current form cannot achieve any value below 3.19497, so cannot achieve the conjectured constant  $\pi$ . The problem of determining the optimal constant remains open.

### 1. INTRODUCTION

In this paper, we study a parametric family of inequalities, given in (1.8), that can yield an upper bound on the optimal constant in the Montgomery–Vaughan weighted generalization of Hilbert’s inequality (1.3). This inequality is important in the theory of the large sieve; see [8] and [5].

**1.1. History of the problem.** Let  $N$  denote a positive integer, and let  $z_1, \dots, z_N$  denote complex numbers. Hilbert’s inequality states that

$$(1.1) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{z_m \overline{z_n}}{m-n} \right| \leq C_0 \sum_{n=1}^N |z_n|^2,$$

where  $C_0$  is the absolute constant  $2\pi$ . Hilbert’s proof was published by Weyl [15, § 15]. In 1911, Schur [13] obtained (1.1) with  $C_0 = \pi$  and demonstrated that this absolute constant is best possible. Hardy, Littlewood, and Pólya [3, pp. 235–236] gave an account of Hilbert’s proof. Schur’s proof is also reproduced in [3, Theorem 294].

In 1974, Montgomery and Vaughan [9] established a generalization: If  $\delta > 0$  and  $(\lambda_k)_{k=-\infty}^{\infty}$  is a sequence of real numbers such that  $\lambda_{k+1} - \lambda_k \geq \delta$  for all  $k$ , then

$$(1.2) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{z_m \overline{z_n}}{\lambda_m - \lambda_n} \right| \leq \frac{\pi}{\delta} \sum_{n=1}^N |z_n|^2.$$

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Schur’s bound is included in (1.2) as the case  $\lambda_{k+1} - \lambda_k = \delta$ . In the same paper, Montgomery and Vaughan also established a weighted form:

$$(1.3) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{z_m \bar{z}_n}{\lambda_m - \lambda_n} \right| \leq C_1 \sum_{n=1}^N \frac{|z_n|^2}{\delta_n},$$

where  $\lambda_{k+1} > \lambda_k$  for all  $k$  and  $\delta_k := \min \{ \lambda_k - \lambda_{k-1}, \lambda_{k+1} - \lambda_k \}$  and  $C_1$  is the absolute constant  $\frac{3\pi}{2}$ . Denote by  $\bar{C}_1$  the minimum of all absolute constants  $C_1$  for which (1.3) holds. Montgomery and Vaughan [9] have raised the

**Problem.** Determine  $\bar{C}_1$ .

By setting  $\lambda_k = k$  in (1.3) and comparing with Schur’s result, we see that

$$(1.4) \quad \bar{C}_1 \geq \pi.$$

If  $\bar{C}_1 = \pi$ , then (1.3) would contain (1.2), and it is widely believed to be the case. In 1984, Preissmann [11] proved that

$$(1.5) \quad \bar{C}_1 \leq \pi \sqrt{1 + \frac{2}{3} \sqrt{\frac{6}{5}}} < \frac{4\pi}{3}.$$

Preissmann’s proof is based on that of Montgomery and Vaughan. Selberg (unpublished) said that he had shown that  $\bar{C}_1 \leq 3.2$  (which is  $< \frac{54\pi}{53}$ ), but it seems that no trace remains of his argument; cf. [5, p. 557] and [6, p. 145].

In 1981, Graham and Vaaler [1] constructed extreme majorants and minorants of the functions

$$E(\beta, x) := \begin{cases} e^{-\beta x} & \text{if } x \geq 0, \\ 0 & \text{if } x < 0, \end{cases}$$

where  $\beta$  is an arbitrary positive real number, and used them to prove that

$$(1.6) \quad \frac{1}{\delta (e^{\beta/\delta} - 1)} \sum_{n=1}^N |z_n|^2 \leq \sum_{m=1}^N \sum_{n=1}^N \frac{z_m \bar{z}_n}{\beta + 2\pi i (\lambda_m - \lambda_n)} \leq \frac{e^{\beta/\delta}}{\delta (e^{\beta/\delta} - 1)} \sum_{n=1}^N |z_n|^2.$$

The inequality (1.6) includes (1.2) as the limiting case  $\beta \rightarrow 0^+$ . In 1999, Montgomery and Vaaler [7] established a generalization of (1.3):

$$(1.7) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{z_m \bar{z}_n}{\beta_m + \beta_n + i (\lambda_m - \lambda_n)} \right| \leq C_2 \sum_{n=1}^N \frac{|z_n|^2}{\delta_n},$$

where  $\beta_1, \dots, \beta_N$  are nonnegative real numbers and  $C_2$  is the absolute constant 84, which is not optimal. Their proof involves the theory of  $H^2$  functions in a half-plane and a maximal theorem of Hardy and Littlewood.

In 2005, Li [4] posed a question about the finite Hilbert transformation associated with a polynomial and proved that if the question always has an affirmative answer, then  $\bar{C}_1 = \pi$ .

**1.2. Main results.** We study the following parametric family of inequalities. For  $0 \leq \alpha \leq 2$ , let  $\overline{C}(\alpha)$  be the minimum of all constants  $C(\alpha)$  for which the inequality

$$(1.8) \quad \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \leq C(\alpha) \sum_{n=1}^N t_n^2$$

holds for all choices of a positive integer  $N$ , a strictly increasing sequence  $(\lambda_k)_{k=-\infty}^\infty$  of real numbers,

$$\delta_k := \min \{ \lambda_k - \lambda_{k-1}, \lambda_{k+1} - \lambda_k \},$$

and nonnegative real numbers  $t_1, \dots, t_N$ . Let  $\overline{C}(\alpha) = \infty$  if there is no such real number  $C(\alpha)$ .

The value  $\overline{C}(\frac{1}{2})$  is relevant to the generalized Hilbert inequality (1.3). In Section 3, we shall prove the following inequality between  $\overline{C}_1$  and  $\overline{C}(\frac{1}{2})$ .

**Theorem 1.1.** *We have  $\overline{C}_1 \leq \sqrt{\frac{\pi^2}{3} + 2\overline{C}(\frac{1}{2})}$ .*

The previous approaches to get an upper bound for  $\overline{C}_1$  in [9], [11], and [14] rely on an upper bound for  $\overline{C}(\frac{1}{2})$  and Theorem 1.1. Montgomery and Vaughan [9] first showed that  $\overline{C}(\frac{1}{2})$  is finite. Specifically, they proved  $\overline{C}(\frac{1}{2}) \leq \frac{17}{2}$ . The same bound has been used in [7] to prove (1.7), but the best known upper bound for  $\overline{C}(\frac{1}{2})$  is due to Preissmann [11].

**Theorem 1.2** (Preissmann). *We have  $\overline{C}(\frac{1}{2}) \leq \frac{\pi^2}{3} + \frac{\pi^2}{3} \sqrt{\frac{6}{5}}$ .*

By means of Theorem 1.1, Theorem 1.2 implies (1.5). Another immediate consequence of Theorem 1.1 is that (1.4) implies  $\overline{C}(\frac{1}{2}) \geq \frac{\pi^2}{3}$ . (This lower bound has been pointed out in [7, p. 36].) Moreover, the conjecture that  $\overline{C}_1 = \pi$  would follow if  $\overline{C}(\frac{1}{2}) = \frac{\pi^2}{3}$ .

In Section 4, we shall prove the following properties of  $\overline{C}(\alpha)$ .

**Theorem 1.3.**

- (1) *For real numbers  $0 \leq \alpha \leq 2$ , we have  $\overline{C}(\alpha) = \overline{C}(2 - \alpha) > 0$ .*
- (2) *For real numbers  $0 \leq \alpha_1 < \alpha_2 \leq 2$  and  $0 < \theta < 1$ , we have*

$$\overline{C}(\theta\alpha_1 + (1 - \theta)\alpha_2) \leq \overline{C}(\alpha_1)^\theta \overline{C}(\alpha_2)^{1-\theta}.$$

- (3) *For real numbers  $0 \leq \alpha_1 < \alpha_2 \leq 1$ , we have  $\overline{C}(\alpha_1) \geq \overline{C}(\alpha_2)$ . Therefore the minimum of  $\overline{C}(\alpha)$  for  $0 \leq \alpha \leq 2$  is attained at  $\alpha = 1$ .*
- (4) *For real numbers  $0 \leq \alpha < \frac{1}{2}$ , we have  $\overline{C}(\alpha) = \infty$ .*

Also in Section 4, we determine the minimum value.

**Theorem 1.4.** *We have  $\overline{C}(1) = \frac{\pi^2}{3}$ .*

In Section 5, we shall prove a new lower bound for  $\overline{C}(\frac{1}{2})$ .

**Theorem 1.5.** *We have  $\overline{C}(\frac{1}{2}) \geq 0.35047\pi^2$ .*

From Theorem 1.5, we deduce that any upper bound for  $\overline{C}_1$  obtainable by Theorem 1.1 cannot be smaller than 3.19497. This method of using Theorem 1.1 is incapable of proving  $\overline{C}_1 = \pi$ .

2. PRELIMINARIES

**2.1. Eigenvalues of generalized weighted Hilbert matrices.** Let us consider  $N \times N$  matrices  $H = [h_{mn}]$  with entries given by

$$(2.1) \quad h_{mn} := \begin{cases} \frac{c_m c_n}{\lambda_m - \lambda_n} & \text{if } m \neq n, \\ 0 & \text{if } m = n, \end{cases}$$

where  $(\lambda_k)_{k=-\infty}^{\infty}$  is a strictly increasing sequence of real numbers and  $c_1, \dots, c_N$  are positive real numbers. Since  $H$  is skew-Hermitian (i.e.,  $iH$  is Hermitian), all its eigenvalues are purely imaginary. Let  $[u_1, \dots, u_N]^T$  be an eigenvector of  $H$ , and let  $i\mu$  be its associated eigenvalue. That is,

$$\sum_{\substack{n=1 \\ n \neq m}}^N \frac{c_m c_n u_n}{\lambda_m - \lambda_n} = i\mu u_m$$

for all  $m = 1, \dots, N$ .

It is well known (see, e.g., [6, § 7.4]) that the numerical radius of a normal matrix is the same as its spectral radius (and its operator norm). Thus, if  $i\mu$  has the largest modulus among all eigenvalues of  $H$ , then

$$(2.2) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{c_m c_n z_m \bar{z}_n}{\lambda_m - \lambda_n} \right| \leq |\mu| \sum_{n=1}^N |z_n|^2$$

for all complex numbers  $z_1, \dots, z_N$ . On replacing  $z_n$  by  $\frac{z_n}{c_n}$ , we see that (2.2) is equivalent to

$$(2.3) \quad \left| \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{z_m \bar{z}_n}{\lambda_m - \lambda_n} \right| \leq |\mu| \sum_{n=1}^N \frac{|z_n|^2}{c_n^2}.$$

One may obtain the generalized Hilbert inequality (1.3) with some constant  $C_1$  from (2.3) by giving an upper bound for the sizes of eigenvalues of  $H$  in the case that  $c_n^2 = \delta_n = \min\{\lambda_n - \lambda_{n-1}, \lambda_{n+1} - \lambda_n\}$ . A key result to that end is:

**Lemma 2.1.** *Let  $[u_1, \dots, u_N]^T$  be an eigenvector of  $H$ , and let  $i\mu$  be its associated eigenvalue. Then the identity*

$$(2.4) \quad \mu^2 |u_m|^2 = \sum_{\substack{n=1 \\ n \neq m}}^N \frac{c_m^2 c_n^2 |u_n|^2}{(\lambda_m - \lambda_n)^2} + 2 \sum_{\substack{n=1 \\ n \neq m}}^N \frac{c_m^3 c_n \Re(\overline{u_m} u_n)}{(\lambda_m - \lambda_n)^2}$$

holds for all  $m = 1, \dots, N$ .

*Proof.* See Preissmann and L ev eque [12, Lemma 5 (b)]. □

**2.2. A weighted spacing lemma and Shan’s method.** The goal of this subsection is to prove:

**Lemma 2.2.** *Let  $(\lambda_k)_{k=-\infty}^{\infty}$  be a strictly increasing sequence of real numbers. Denote by  $\delta_k$  the minimum between  $\lambda_k - \lambda_{k-1}$  and  $\lambda_{k+1} - \lambda_k$ . Then for real numbers*

$\sigma > 1$  and integers  $\ell$ , we have

$$(2.5) \quad \sum_{\substack{k=-\infty \\ k \neq \ell}}^{\infty} \frac{\delta_k}{|\lambda_k - \lambda_\ell|^\sigma} \leq \frac{2\zeta(\sigma)}{\delta_\ell^{\sigma-1}}.$$

One can show that equality holds in (2.5) if and only if the sequence

$$(\lambda_{k+1} - \lambda_k)_{k=-\infty}^{\infty}$$

is constant, but we shall not treat it here.

Lemma 2.2 is a direct consequence of Preissmann [11, Lemme 1]. We present a proof using a method of Shan [14], who independently derived Lemma 2.2. The work of Shan, done at the same time as that of Preissmann, is obscure and hard to obtain. Peng Gao (private communication) translated Shan's argument, which appears in [10, pp. 590–595]. Lemmas 2.3–2.5 are an exposition of Shan's method.

Let  $f$  be a real-valued function, defined on the interval  $[1, \infty)$ . We will assume that  $f$  satisfies some (or all) of the following four conditions:

- (a)  $f(\theta x + (1 - \theta)y) \leq \theta f(x) + (1 - \theta)f(y)$  for all  $0 \leq \theta \leq 1$  and  $1 \leq x \leq y$ .
- (b)  $f(x) \geq f(y)$  for all  $1 \leq x \leq y$ .
- (c)  $f(x) \geq 0$  for all  $x \geq 1$ .
- (d) The series  $\sum_{j=1}^{\infty} f(j)$  converges.

We note that (c) follows from (b) and (d), since (b) implies  $f(x) \geq \lim_{k \rightarrow \infty} f(k)$  and (d) implies  $\lim_{k \rightarrow \infty} f(k) = 0$ .

**Lemma 2.3.** *Assume that  $f : [1, \infty) \rightarrow \mathbb{R}$  satisfies (a) and (b). Let  $(a_n)_{n=1}^{\infty}$  be a sequence of real numbers such that  $a_n \geq 1$  for all  $n$ . Set  $\lambda_n := \sum_{m=1}^n a_m$ . Then for positive integers  $N$ , we have*

$$\sum_{n=1}^N a_n f(\lambda_n) \leq \sum_{j=1}^{\lfloor \lambda_N \rfloor} f(j) + \{\lambda_N\} f(\lfloor \lambda_N \rfloor + 1),$$

where  $\{x\} = x - \lfloor x \rfloor$  denotes the fractional part of  $x$ .

*Proof.* By the convexity of  $f$ , we have

$$(2.6) \quad f(\lambda_n) \leq (1 - \{\lambda_n\}) f(\lfloor \lambda_n \rfloor) + \{\lambda_n\} f(\lfloor \lambda_n \rfloor + 1).$$

Moreover, since  $a_n \geq 1$  and  $f$  is weakly decreasing, it follows that

$$(2.7) \quad (a_n - 1) f(\lambda_n) \leq (a_n - 1) f(\lfloor \lambda_n \rfloor).$$

On summing (2.6) and (2.7), we obtain

$$(2.8) \quad a_n f(\lambda_n) \leq (a_n - \{\lambda_n\}) f(\lfloor \lambda_n \rfloor) + \{\lambda_n\} f(\lfloor \lambda_n \rfloor + 1).$$

Now, we consider the first term on the right side of (2.8) and note that  $\lambda_n = \lambda_{n-1} + a_n \geq \lambda_{n-1} + 1$ :

$$\begin{aligned} (a_n - \{\lambda_n\}) f(\lfloor \lambda_n \rfloor) &= (\lfloor \lambda_n \rfloor - \lfloor \lambda_{n-1} \rfloor - 1) f(\lfloor \lambda_n \rfloor) + (1 - \{\lambda_{n-1}\}) f(\lfloor \lambda_n \rfloor) \\ &\leq \sum_{j=\lfloor \lambda_{n-1} \rfloor + 2}^{\lfloor \lambda_n \rfloor} f(j) + (1 - \{\lambda_{n-1}\}) f(\lfloor \lambda_{n-1} \rfloor + 1) \\ &= \sum_{j=\lfloor \lambda_{n-1} \rfloor + 1}^{\lfloor \lambda_n \rfloor} f(j) - \{\lambda_{n-1}\} f(\lfloor \lambda_{n-1} \rfloor + 1). \end{aligned}$$

On inserting this in (2.8), we get

$$(2.9) \quad a_n f(\lambda_n) \leq \sum_{j=\lfloor \lambda_{n-1} \rfloor + 1}^{\lfloor \lambda_n \rfloor} f(j) - \{\lambda_{n-1}\} f(\lfloor \lambda_{n-1} \rfloor + 1) + \{\lambda_n\} f(\lfloor \lambda_n \rfloor + 1).$$

The result follows by summing (2.9) over  $n = 1, \dots, N$ ; the resulting sum on the right side is a telescoping sum.  $\square$

In what follows, we consider

$$(2.10) \quad F_N(\mathbf{x}) := \sum_{n=1}^N \min \{x_n, x_{n+1}\} f\left(\sum_{m=1}^n x_m\right),$$

where  $\mathbf{x} = (x_n)_{n=1}^\infty$  is a sequence of positive real numbers with  $x_1 \geq 1$ .

**Lemma 2.4.** *Assume that  $f : [1, \infty) \rightarrow \mathbb{R}$  satisfies (a)–(c). Let  $\mathbf{a} = (a_n)_{n=1}^\infty$  be a sequence of positive real numbers with  $a_1 \geq 1$ . Suppose that  $\nu \geq 2$  is an integer such that  $a_{\nu-1} > a_\nu$ . Let  $0 < \varepsilon \leq a_{\nu-1} - a_\nu$ . Define  $\mathbf{b} = (b_n)_{n=1}^\infty$  by*

$$b_n := \begin{cases} a_n & \text{for } n \neq \nu, \\ a_\nu + \varepsilon & \text{for } n = \nu. \end{cases}$$

Then for positive integers  $N$ , we have

$$(2.11) \quad F_N(\mathbf{a}) \leq F_N(\mathbf{b}).$$

*Proof.* If  $N \leq \nu - 2$ , then (2.11) is an identity. So let us assume that  $N \geq \nu - 1$ . Put  $\lambda_n := \sum_{m=1}^n a_m$ . It follows from the definition of  $b_n$  that

$$\min \{b_n, b_{n+1}\} - \min \{a_n, a_{n+1}\} \begin{cases} = \varepsilon & \text{if } n = \nu - 1, \\ \geq 0 & \text{if } n = \nu, \\ = 0 & \text{otherwise,} \end{cases}$$

$$\sum_{m=1}^n b_m = \begin{cases} \lambda_n & \text{for } n \leq \nu - 1, \\ \lambda_n + \varepsilon & \text{for } n \geq \nu. \end{cases}$$

By the nonnegativity of  $f$ ,  $\min \{b_\nu, b_{\nu+1}\} f(\lambda_\nu + \varepsilon) \geq \min \{a_\nu, a_{\nu+1}\} f(\lambda_\nu + \varepsilon)$ . So

$$(2.12) \quad F_N(\mathbf{b}) - F_N(\mathbf{a}) \geq \varepsilon f(\lambda_{\nu-1}) + \sum_{n=\nu}^N \min \{a_n, a_{n+1}\} (f(\lambda_n + \varepsilon) - f(\lambda_n)).$$

By the convexity of  $f$ , it follows that

$$\frac{f(\lambda_n + \varepsilon) - f(\lambda_n)}{\varepsilon} \geq \frac{f(\lambda_n) - f(\lambda_{n-1})}{a_n}$$

for all  $n \geq 2$ . So (2.12) implies that

$$\begin{aligned} F_N(\mathbf{b}) - F_N(\mathbf{a}) &\geq \varepsilon f(\lambda_{\nu-1}) + \varepsilon \sum_{n=\nu}^N \frac{\min \{a_n, a_{n+1}\}}{a_n} (f(\lambda_n) - f(\lambda_{n-1})) \\ &\geq \varepsilon f(\lambda_{\nu-1}) + \varepsilon \sum_{n=\nu}^N (f(\lambda_n) - f(\lambda_{n-1})) \\ &= \varepsilon f(\lambda_N) \geq 0. \end{aligned}$$

Hence  $F_N(\mathbf{a}) \leq F_N(\mathbf{b})$ .  $\square$

We now prove an upper bound for  $F_N(\mathbf{a})$  that depends only on  $f$ .

**Lemma 2.5.** *Assume that  $f : [1, \infty) \rightarrow \mathbb{R}$  satisfies (a)–(d). Let  $\mathbf{a} = (a_n)_{n=1}^\infty$  be a sequence of positive real numbers with  $a_1 \geq 1$ . Then for positive integers  $N$ , we have*

$$(2.13) \quad F_N(\mathbf{a}) \leq \sum_{j=1}^\infty f(j).$$

By taking  $a_n = 1$  for all  $n$  and letting  $N \rightarrow \infty$ , we see that (2.13) is sharp.

*Proof.* Define a sequence  $\bar{\mathbf{a}} = (\bar{a}_n)_{n=1}^\infty$  by  $\bar{a}_n := \max\{a_m : m = 1, \dots, n\}$ . Then  $\bar{a}_{n+1} \geq \bar{a}_n$  for all  $n$  and  $\bar{a}_1 = a_1 \geq 1$ . Let  $N$  be a positive integer. By applying Lemma 2.4, with  $\varepsilon = a_{\nu-1} - a_\nu$ , as many times as we need, we see that

$$(2.14) \quad F_N(\mathbf{a}) \leq F_N(\bar{\mathbf{a}}) = \sum_{n=1}^N \bar{a}_n f(\bar{\lambda}_n),$$

where  $\bar{\lambda}_n := \sum_{m=1}^n \bar{a}_m$ .

By Lemma 2.3 and the nonnegativity of  $f$ , the right side of (2.14) is

$$(2.15) \quad \sum_{n=1}^N \bar{a}_n f(\bar{\lambda}_n) \leq \sum_{j=1}^{\lfloor \bar{\lambda}_N \rfloor} f(j) + \{\bar{\lambda}_N\} f(\lfloor \bar{\lambda}_N \rfloor + 1) \leq \sum_{j=1}^\infty f(j).$$

The result (2.13) follows by combining (2.14) and (2.15). □

We are now ready to prove Lemma 2.2.

*Proof of Lemma 2.2.* Let  $\ell$  be an integer. Define sequences  $\mathbf{a} = (a_n)_{n=1}^\infty$  and  $\mathbf{b} = (b_n)_{n=1}^\infty$  by

$$a_n := \frac{\lambda_{\ell+n} - \lambda_{\ell+n-1}}{\delta_\ell} \quad \text{and} \quad b_n := \frac{\lambda_{\ell-n+1} - \lambda_{\ell-n}}{\delta_\ell},$$

for all  $n$ . Then  $\mathbf{a}$  and  $\mathbf{b}$  are sequences of positive real numbers with

$$a_1 = \frac{\lambda_{\ell+1} - \lambda_\ell}{\delta_\ell} \geq 1 \quad \text{and} \quad b_1 = \frac{\lambda_\ell - \lambda_{\ell-1}}{\delta_\ell} \geq 1.$$

We have

$$\begin{aligned} \min\{a_n, a_{n+1}\} &= \frac{\delta_{\ell+n}}{\delta_\ell} & \text{and} & \quad \min\{b_n, b_{n+1}\} = \frac{\delta_{\ell-n}}{\delta_\ell}, \\ \sum_{m=1}^n a_m &= \frac{\lambda_{\ell+n} - \lambda_\ell}{\delta_\ell} & \text{and} & \quad \sum_{m=1}^n b_m = \frac{\lambda_\ell - \lambda_{\ell-n}}{\delta_\ell}. \end{aligned}$$

Let  $\sigma > 1$ . Applying Lemma 2.5 with  $f(x) = \frac{1}{x^\sigma}$ , we obtain

$$\begin{aligned} \delta_\ell^{\sigma-1} \sum_{\substack{k=\ell-N \\ k \neq \ell}}^{\ell+N} \frac{\delta_k}{|\lambda_k - \lambda_\ell|^\sigma} &= \delta_\ell^{\sigma-1} \sum_{n=1}^N \left( \frac{\delta_{\ell+n}}{(\lambda_{\ell+n} - \lambda_\ell)^\sigma} + \frac{\delta_{\ell-n}}{(\lambda_\ell - \lambda_{\ell-n})^\sigma} \right) \\ &= F_N(\mathbf{a}) + F_N(\mathbf{b}) \\ &\leq 2 \sum_{j=1}^\infty f(j) = 2\zeta(\sigma). \end{aligned}$$

The result (2.5) follows by letting  $N \rightarrow \infty$ . □

3. PROOFS OF THEOREMS 1.1 AND 1.2

3.1. Proof of Theorem 1.1.

**Proposition 3.1.** *Let  $N$  be a positive integer. Let  $(\lambda_k)_{k=-\infty}^{\infty}$  be a strictly increasing sequence of real numbers. Denote by  $\delta_k$  the minimum between  $\lambda_k - \lambda_{k-1}$  and  $\lambda_{k+1} - \lambda_k$ . Assume that  $C_3$  is a positive constant such that the inequality*

$$(3.1) \quad \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} t_m t_n}{(\lambda_m - \lambda_n)^2} \leq C_3 \sum_{n=1}^N t_n^2$$

holds for all nonnegative real numbers  $t_1, \dots, t_N$ . Then the inequality (1.3) holds for all complex numbers  $z_1, \dots, z_N$  with the constant  $C_1 = \sqrt{\frac{\pi^2}{3} + 2C_3}$ .

*Proof.* Suppose that (3.1) holds. Let  $[u_1, \dots, u_N]^T$  be a unit eigenvector of  $H = [h_{mn}]$ , where  $h_{mn}$  are given by (2.1) with  $c_n = \sqrt{\delta_n}$ , and let  $i\mu$  be the eigenvalue associated with this eigenvector. On applying Lemma 2.1 and summing (2.4) over  $m$ , we get

$$(3.2) \quad \mu^2 = \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m \delta_n |u_n|^2}{(\lambda_m - \lambda_n)^2} + 2 \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} \Re(\overline{u_m} u_n)}{(\lambda_m - \lambda_n)^2} \leq S + 2T,$$

where  $S$  and  $T$  are given by

$$S := \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m \delta_n |u_n|^2}{(\lambda_m - \lambda_n)^2} \quad \text{and} \quad T := \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} |u_m| |u_n|}{(\lambda_m - \lambda_n)^2}.$$

On one hand, by Lemma 2.2, we obtain

$$(3.3) \quad S = \sum_{n=1}^N \delta_n |u_n|^2 \left( \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_m}{(\lambda_m - \lambda_n)^2} \right) \leq \sum_{n=1}^N \delta_n |u_n|^2 \left( \frac{\pi^2}{3\delta_n} \right) = \frac{\pi^2}{3}.$$

On the other hand, substituting  $t_n = |u_n|$  in (3.1) gives

$$(3.4) \quad T \leq C_3.$$

It follows from (3.2), (3.3), and (3.4) that

$$(3.5) \quad |\mu| \leq \sqrt{S + 2T} \leq \sqrt{\frac{\pi^2}{3} + 2C_3}.$$

By the argument preceding (2.3), we deduce from (2.3) and (3.5) that (1.3) holds with  $C_1 = \sqrt{\frac{\pi^2}{3} + 2C_3}$ . □

One weak point in the proof of Proposition 3.1 is the bound in (3.2), where we disregard cancellation between terms.

*Proof of Theorem 1.1.* Since (3.1) holds with  $C_3 = \overline{C}(\frac{1}{2})$ , it follows by Proposition 3.1 that (1.3) holds with  $C_1 = \sqrt{\frac{\pi^2}{3} + 2\overline{C}(\frac{1}{2})}$ . Hence the result follows. □



3.2. Proof of Theorem 1.2.

**Lemma 3.2.** *Let  $(\lambda_k)_{k=-\infty}^{\infty}$  be a strictly increasing sequence of real numbers. Denote by  $\delta_k$  the minimum between  $\lambda_k - \lambda_{k-1}$  and  $\lambda_{k+1} - \lambda_k$ . Then for distinct integers  $\ell$  and  $m$ , we have*

$$(3.6) \quad \sum_{\substack{k=-\infty \\ k \neq \ell \\ k \neq m}}^{\infty} \frac{\delta_k}{(\lambda_k - \lambda_{\ell})^2 (\lambda_k - \lambda_m)^2} \leq \frac{\pi^2 (\delta_{\ell} + \delta_m)}{3\delta_{\ell}\delta_m (\lambda_{\ell} - \lambda_m)^2} - \frac{3 (\delta_{\ell} + \delta_m)}{(\lambda_{\ell} - \lambda_m)^4}.$$

*Proof.* See Preissmann [11, Lemme 6]. □

*Proof of Theorem 1.2.* Let

$$U := \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} t_m t_n}{(\lambda_m - \lambda_n)^2} \quad \text{and} \quad V := \sum_{n=1}^N t_n^2.$$

By Cauchy's inequality,

$$\begin{aligned} U^2 &= \left( \sum_{n=1}^N t_n \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} t_m}{(\lambda_m - \lambda_n)^2} \right)^2 \\ &\leq \left( \sum_{n=1}^N t_n^2 \right) \left( \sum_{n=1}^N \left( \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} t_m}{(\lambda_m - \lambda_n)^2} \right)^2 \right) = V(S + T), \end{aligned}$$

where

$$S := \sum_{n=1}^N \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_m^3 \delta_n t_m^2}{(\lambda_m - \lambda_n)^4} \quad \text{and} \quad T := \sum_{n=1}^N \sum_{\substack{\ell=1 \\ \ell \neq n}}^N \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_{\ell}^{\frac{3}{2}} \delta_m^{\frac{3}{2}} \delta_n t_{\ell} t_m}{(\lambda_{\ell} - \lambda_n)^2 (\lambda_m - \lambda_n)^2}.$$

Applying Lemma 2.2 with  $\sigma = 4$ , we obtain

$$S = \sum_{m=1}^N \delta_m^3 t_m^2 \left( \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_n}{(\lambda_n - \lambda_m)^4} \right) \leq \sum_{m=1}^N \delta_m^3 t_m^2 \left( \frac{\pi^4}{45\delta_m^3} \right) = \frac{\pi^4}{45} V.$$

Applying Lemma 3.2, we obtain

$$\begin{aligned} T &= \sum_{\ell=1}^N \sum_{\substack{m=1 \\ m \neq \ell}}^N \delta_{\ell}^{\frac{3}{2}} \delta_m^{\frac{3}{2}} t_{\ell} t_m \left( \sum_{\substack{n=1 \\ n \neq \ell \\ n \neq m}}^N \frac{\delta_n}{(\lambda_n - \lambda_{\ell})^2 (\lambda_n - \lambda_m)^2} \right) \\ &\leq \sum_{\ell=1}^N \sum_{\substack{m=1 \\ m \neq \ell}}^N \delta_{\ell}^{\frac{3}{2}} \delta_m^{\frac{3}{2}} t_{\ell} t_m \left( \frac{\pi^2 (\delta_{\ell} + \delta_m)}{3\delta_{\ell}\delta_m (\lambda_{\ell} - \lambda_m)^2} \right) = \frac{2\pi^2}{3} U. \end{aligned}$$

So  $U^2 \leq V \left( \frac{\pi^4}{45} V + \frac{2\pi^2}{3} U \right)$ . Solving this gives  $U \leq \left( \frac{\pi^2}{3} + \frac{\pi^2}{3} \sqrt{\frac{6}{5}} \right) V$ . □

4. PROOFS OF THEOREMS 1.3 AND 1.4

4.1. **Proof of Theorem 1.3.** For real numbers  $0 \leq \alpha \leq 2$  and positive integers  $N$ , let  $\overline{C}(\alpha, N)$  be the minimum of all constants  $C(\alpha, N)$  for which the inequality

$$(4.1) \quad \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \leq C(\alpha, N) \sum_{n=1}^N t_n^2$$

holds for all choices of a strictly increasing sequence  $(\lambda_k)_{k=-\infty}^\infty$  of real numbers,

$$\delta_k := \min \{ \lambda_k - \lambda_{k-1}, \lambda_{k+1} - \lambda_k \},$$

and nonnegative real numbers  $t_1, \dots, t_N$ .

**Proposition 4.1.**

- (1) For real numbers  $0 \leq \alpha \leq 2$ , we have  $\overline{C}(\alpha, 1) = 0$  and  $\overline{C}(\alpha, 2) = 1$ .
- (2) For real numbers  $0 \leq \alpha \leq 2$  and positive integers  $N$ , we have  $\overline{C}(\alpha, N) \leq \overline{C}(\alpha, N + 1)$ .
- (3) For real numbers  $0 \leq \alpha \leq 2$  and positive integers  $N$ , we have  $0 \leq \overline{C}(\alpha, N) \leq N - 1$ .
- (4) For real numbers  $0 \leq \alpha \leq 2$ , we have  $\overline{C}(\alpha) = \lim_{N \rightarrow \infty} \overline{C}(\alpha, N)$ .

*Proof.*

(1) If  $N = 1$ , the left side of (4.1) is 0. So  $\overline{C}(\alpha, 1) = 0$ . If  $N = 2$ , the left side of (4.1) is  $2t_1t_2$ . So  $\overline{C}(\alpha, 2) = 1$ .

(2) Let  $t_1, \dots, t_N$  be nonnegative real numbers, and let  $t_{N+1} = 0$ . Then

$$\begin{aligned} \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} &= \sum_{m=1}^{N+1} \sum_{\substack{n=1 \\ n \neq m}}^{N+1} \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \\ &\leq \overline{C}(\alpha, N + 1) \sum_{n=1}^{N+1} t_n^2 \\ &= \overline{C}(\alpha, N + 1) \sum_{n=1}^N t_n^2. \end{aligned}$$

So  $\overline{C}(\alpha, N) \leq \overline{C}(\alpha, N + 1)$ .

(3) We have

$$\sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \leq \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N t_m t_n \leq \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{t_m^2 + t_n^2}{2} = (N - 1) \sum_{n=1}^N t_n^2.$$

So  $\overline{C}(\alpha, N) \leq N - 1$ . On the other hand, from (2) and (1), we have  $\overline{C}(\alpha, N) \geq \overline{C}(\alpha, 1) = 0$ .

(4) Since (4.1) holds with  $C(\alpha, N) = \overline{C}(\alpha)$ , it follows that  $\overline{C}(\alpha, N) \leq \overline{C}(\alpha)$  for all  $N$ . Hence  $\lim_{N \rightarrow \infty} \overline{C}(\alpha, N) \leq \overline{C}(\alpha)$ . On the other hand, by (2),  $\lim_{N \rightarrow \infty} \overline{C}(\alpha, N) = \sup_N \overline{C}(\alpha, N)$ . So (1.8) holds with  $C(\alpha) = \lim_{N \rightarrow \infty} \overline{C}(\alpha, N)$ . Hence  $\overline{C}(\alpha) \leq \lim_{N \rightarrow \infty} \overline{C}(\alpha, N)$ .  $\square$

**Proposition 4.2.**

(1) For real numbers  $0 \leq \alpha \leq 2$  and integers  $N \geq 2$ , we have  $\overline{C}(\alpha, N) = \overline{C}(2 - \alpha, N) \geq 1$ .

(2) For real numbers  $0 \leq \alpha_1 < \alpha_2 \leq 2$  and  $0 < \theta < 1$ , and for positive integers  $N$ , we have

$$\overline{C}(\theta\alpha_1 + (1 - \theta)\alpha_2, N) \leq \overline{C}(\alpha_1, N)^\theta \overline{C}(\alpha_2, N)^{1-\theta}.$$

(3) For real numbers  $0 \leq \alpha_1 < \alpha_2 \leq 1$  and positive integers  $N$ , we have  $\overline{C}(\alpha_1, N) \geq \overline{C}(\alpha_2, N)$ .

(4) For real numbers  $0 \leq \alpha < \frac{1}{2}$  and integers  $N \geq 2$ , we have  $\overline{C}(\alpha, N) \gg N^{\frac{1}{2}-\alpha}$ .

*Proof.*

(1) The left side of (4.1) is unchanged on replacing  $\alpha$  by  $2 - \alpha$ . It follows that  $\overline{C}(\alpha, N) = \overline{C}(2 - \alpha, N)$ . In addition, by Proposition 4.1, we see that  $\overline{C}(\alpha, N) \geq \overline{C}(\alpha, 2) = 1$ .

(2) Let  $\alpha = \theta\alpha_1 + (1 - \theta)\alpha_2$ . Apply Hölder's inequality:

$$\begin{aligned} & \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \\ & \leq \left( \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha_1} \delta_n^{\alpha_1} t_m t_n}{(\lambda_m - \lambda_n)^2} \right)^\theta \left( \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha_2} \delta_n^{\alpha_2} t_m t_n}{(\lambda_m - \lambda_n)^2} \right)^{1-\theta} \\ & \leq \overline{C}(\alpha_1, N)^\theta \overline{C}(\alpha_2, N)^{1-\theta} \sum_{n=1}^N t_n^2. \end{aligned}$$

So  $\overline{C}(\alpha, N) \leq \overline{C}(\alpha_1, N)^\theta \overline{C}(\alpha_2, N)^{1-\theta}$ .

(3) Let  $\theta = \frac{2-\alpha_1-\alpha_2}{2(1-\alpha_1)}$ . Then  $0 < \theta < 1$  and  $\alpha_2 = \theta\alpha_1 + (1 - \theta)(2 - \alpha_1)$ . By (2), we have

$$\overline{C}(\alpha_2, N) = \overline{C}(\theta\alpha_1 + (1 - \theta)(2 - \alpha_1), N) \leq \overline{C}(\alpha_1, N)^\theta \overline{C}(2 - \alpha_1, N)^{1-\theta}.$$

The last quantity is equal to  $\overline{C}(\alpha_1, N)$  by (1).

(4) We choose  $\lambda_k = k$  for  $k \leq 1$  and  $\lambda_{2+\ell} = 2 + \frac{\ell}{N}$  for  $\ell \geq 0$ . Then  $\delta_k = 1$  for  $k \leq 1$  and  $\delta_{2+\ell} = \frac{1}{N}$  for  $\ell \geq 0$ . Choose  $t_1 = \sqrt{\frac{N+1}{2N}}$  and  $t_n = \frac{1}{\sqrt{2N}}$  for  $2 \leq n \leq N$ . So  $\sum_{n=1}^N t_n^2 = 1$ , and (4.1) yields

$$C(\alpha, N) \geq \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m^{2-\alpha} \delta_n^\alpha t_m t_n}{(\lambda_m - \lambda_n)^2} \geq \sum_{n=2}^N \frac{\delta_1^{2-\alpha} \delta_n^\alpha t_1 t_n}{(\lambda_1 - \lambda_n)^2} = \sum_{n=2}^N \frac{\sqrt{N+1}}{2N^{\alpha+1} (1 + \frac{n-2}{N})^2}.$$

The last quantity is  $\gg N^{\frac{1}{2}-\alpha}$  for  $N \geq 2$ . Hence  $\overline{C}(\alpha, N) \gg N^{\frac{1}{2}-\alpha}$  for  $N \geq 2$ .  $\square$

*Proof of Theorem 1.3.* The result follows as we let  $N \rightarrow \infty$  in Proposition 4.2.  $\square$

#### 4.2. Proof of Theorem 1.4.

**Proposition 4.3.** Let  $(\lambda_k)_{k=-\infty}^\infty$  be a strictly increasing sequence of real numbers. Denote by  $\delta_k$  the minimum between  $\lambda_k - \lambda_{k-1}$  and  $\lambda_{k+1} - \lambda_k$ . Then for any sequence

$(t_1, \dots, t_N)$  of nonnegative real numbers,

$$(4.2) \quad \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m \delta_n t_m t_n}{(\lambda_m - \lambda_n)^2} \leq \frac{\pi^2}{3} \sum_{n=1}^N t_n^2.$$

*Proof.* By the inequality of arithmetic and geometric means,

$$\sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m \delta_n t_m t_n}{(\lambda_m - \lambda_n)^2} \leq \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\delta_m \delta_n (t_m^2 + t_n^2)}{2(\lambda_m - \lambda_n)^2} = \sum_{n=1}^N \delta_n t_n^2 \left( \sum_{\substack{m=1 \\ m \neq n}}^N \frac{\delta_m}{(\lambda_m - \lambda_n)^2} \right).$$

By Lemma 2.2, the right side above is  $\leq \sum_{n=1}^N \delta_n t_n^2 \left( \frac{\pi^2}{3\delta_n} \right) = \frac{\pi^2}{3} \sum_{n=1}^N t_n^2$ .  $\square$

*Proof of Theorem 1.4.* Proposition 4.3 shows  $\overline{C}(1) \leq \frac{\pi^2}{3}$ . Now taking  $\lambda_n = n$  and  $t_n = \frac{1}{\sqrt{N}}$  in (4.1) yields

$$\overline{C}(\alpha, N) \geq \frac{2}{N} \sum_{n=1}^{N-1} \frac{N-n}{n^2} = 2 \sum_{n=1}^{N-1} \frac{1}{n^2} - \frac{2}{N} \sum_{n=1}^{N-1} \frac{1}{n}.$$

Letting  $N \rightarrow \infty$  gives  $\overline{C}(\alpha) \geq \frac{\pi^2}{3}$  for all  $0 \leq \alpha \leq 2$ . Hence  $\overline{C}(1) = \frac{\pi^2}{3}$ .  $\square$

### 5. PROOF OF THEOREM 1.5

Let  $M$  denote a positive integer, and let  $x_1, \dots, x_M$  denote real numbers, distinct modulo 1. Put

$$d_m := \min_{n \neq m} \|x_n - x_m\|,$$

where  $\|x\| = \min_{k \in \mathbb{Z}} |x - k|$  denotes the distance between  $x$  and a nearest integer. In the case that  $M = 1$ , we let  $d_1 := 1$ . Let  $\tau_1, \dots, \tau_M$  denote nonnegative real numbers.

**Lemma 5.1.** *The inequality (3.1) holds (for all  $N, \lambda_n, \delta_n$ , and  $t_n$ ) if and only if the inequality*

$$(5.1) \quad \frac{1}{3} \sum_{m=1}^M d_m^2 \tau_m^2 + \sum_{m=1}^M \sum_{\substack{n=1 \\ n \neq m}}^M \frac{d_m^{\frac{3}{2}} d_n^{\frac{1}{2}} \tau_m \tau_n}{\sin^2(\pi(x_m - x_n))} \leq \frac{C_3}{\pi^2} \sum_{m=1}^M \tau_m^2$$

holds for all positive integer  $M$ , distinct real numbers  $x_1, \dots, x_M$  modulo 1,

$$(5.2) \quad d_m := \min \{|x_n - x_m - k| : k \in \mathbb{Z}\} \setminus \{0\},$$

and nonnegative real numbers  $\tau_1, \dots, \tau_M$ .

*Proof.* ( $\Rightarrow$ ) Suppose that (3.1) holds. Let  $x_1, \dots, x_M$  be real numbers, distinct modulo 1. By symmetry in  $x_1, \dots, x_M$ , we may assume without loss of generality that  $x_1 < \dots < x_M < x_1 + 1$ . Let  $d_m$  be given by (5.2). Let  $\tau_1, \dots, \tau_M$  be nonnegative real numbers. Let  $K$  be a positive integer. We apply (3.1) with  $N = KM$ . For integers  $k$  and  $m$  with  $1 \leq m \leq M$ , put  $\lambda_{kM+m} = k + x_m$ . Then  $\delta_{kM+m} = d_m$ . If  $0 \leq k < K$ , put  $t_{kM+m} = \tau_m$ . On inserting into (3.1), we obtain

$$(5.3) \quad 2 \sum_{m=1}^M \sum_{k=1}^{K-1} \frac{(K-k)d_m^2 \tau_m^2}{k^2} + \sum_{m=1}^M \sum_{\substack{n=1 \\ n \neq m}}^M \sum_{\substack{k \in \mathbb{Z} \\ |k| < K}} \frac{(K-|k|)d_m^{\frac{3}{2}} d_n^{\frac{1}{2}} \tau_m \tau_n}{(x_m - x_n - k)^2} \leq C_3 K \sum_{m=1}^M \tau_m^2.$$

Now, since the series

$$\sum_{k=1}^{\infty} \frac{1}{k^2} = \frac{\pi^2}{6} \quad \text{and} \quad \sum_{k \in \mathbb{Z}} \frac{1}{(x-k)^2} = \frac{\pi^2}{\sin^2(\pi x)}$$

converge, it follows that they are (C, 1) summable to the same values (see, e.g., [2, p. 10]), which is to say that

$$\lim_{K \rightarrow \infty} \frac{1}{K} \sum_{k=1}^{K-1} \frac{K-k}{k^2} = \frac{\pi^2}{6} \quad \text{and} \quad \lim_{K \rightarrow \infty} \frac{1}{K} \sum_{\substack{k \in \mathbb{Z} \\ |k| < K}} \frac{K-|k|}{(x-k)^2} = \frac{\pi^2}{\sin^2(\pi x)}.$$

Hence, dividing (5.3) by  $\pi^2 K$  and letting  $K \rightarrow \infty$  gives (5.1).

( $\Leftarrow$ ) Suppose that (5.1) holds. Let  $(\lambda_k)_{k=-\infty}^{\infty}$  be a strictly increasing sequence of real numbers, and let  $\delta_k := \min \{\lambda_k - \lambda_{k-1}, \lambda_{k+1} - \lambda_k\}$ . Let  $t_1, \dots, t_N$  be nonnegative real numbers. Let  $0 < \varepsilon < \frac{1}{2(\lambda_N - \lambda_0)}$ . We apply (5.1) with  $M = N$ . For positive integers  $n \leq N$ , put  $x_n = \varepsilon \lambda_n$  and  $\tau_n = t_n$ . Then  $d_n \geq \varepsilon \delta_n$ , and (5.1) implies

$$\frac{\varepsilon^2}{3} \sum_{n=1}^N \delta_n^2 t_n^2 + \sum_{m=1}^N \sum_{\substack{n=1 \\ n \neq m}}^N \frac{\varepsilon^2 \delta_m^{\frac{3}{2}} \delta_n^{\frac{1}{2}} t_m t_n}{\sin^2(\pi \varepsilon (\lambda_m - \lambda_n))} \leq \frac{C_3}{\pi^2} \sum_{n=1}^N t_n^2.$$

On multiplying by  $\pi^2$  and letting  $\varepsilon \rightarrow 0^+$ , we obtain (3.1). □

**Lemma 5.2.** *For positive real numbers  $B < 1$  and positive integers  $L$ , we have*

$$(5.4) \quad \sum_{\ell=1}^L \frac{L+1-\ell}{\sin^2\left(\frac{\pi \ell B}{L}\right)} = \frac{L^3}{6B^2} - \frac{L^2 \log L}{\pi^2 B^2} + O_B(L^2).$$

*Proof.* From the identity  $\frac{\pi^2}{\sin^2(\pi x)} = \sum_{k \in \mathbb{Z}} \frac{1}{(x-k)^2}$ , we see that if  $0 < x \leq B$ , then

$$\frac{\pi^2}{\sin^2(\pi x)} - \frac{1}{x^2} = \sum_{n=1}^{\infty} \left( \frac{1}{(n+x)^2} + \frac{1}{(n-x)^2} \right) < \sum_{n=1}^{\infty} \left( \frac{1}{n^2} + \frac{1}{(n-B)^2} \right).$$

Hence, for  $0 < x \leq B$ , we have  $\frac{1}{\sin^2(\pi x)} = \frac{1}{\pi^2 x^2} + O_B(1)$ . Applying this estimate to each term on the left side of (5.4), we obtain

$$\begin{aligned} \sum_{\ell=1}^L \frac{L+1-\ell}{\sin^2\left(\frac{\pi \ell B}{L}\right)} &= \sum_{\ell=1}^L \frac{L^2(L+1-\ell)}{\pi^2 \ell^2 B^2} + O_B\left(\sum_{\ell=1}^L (L+1-\ell)\right) \\ &= \frac{L^2(L+1)}{\pi^2 B^2} \sum_{\ell=1}^L \frac{1}{\ell^2} - \frac{L^2}{\pi^2 B^2} \sum_{\ell=1}^L \frac{1}{\ell} + O_B(L^2). \end{aligned}$$

Since  $\sum_{\ell=1}^L \frac{1}{\ell^2} = \frac{\pi^2}{6} + O\left(\frac{1}{L}\right)$  and  $\sum_{\ell=1}^L \frac{1}{\ell} = \log L + O(1)$ , the result (5.4) follows. □

*Proof of Theorem 1.5.* To prove a lower bound for  $\overline{C}\left(\frac{1}{2}\right)$ , we apply (5.1) with particular sets of values. Let  $K$  be a positive integer. Let  $A$  and  $B$  be positive real numbers such that  $(K+1)A + B = 1$ . Let  $L \geq \frac{B}{A}$  be an integer. We apply (5.1) with  $M = K+L+1$ . Choose  $x_k = kA$  for  $1 \leq k \leq K$  and  $x_{K+\ell+1} = (K+1)A + \frac{\ell B}{L}$  for  $0 \leq \ell \leq L$ . Then  $d_k = A$  for  $1 \leq k \leq K$  and  $d_{K+\ell+1} = \frac{B}{L}$  for  $0 \leq \ell \leq L$ .

Choose  $\tau_k = \frac{1}{\sqrt{K}}$  for  $1 \leq k \leq K$  and  $\tau_{K+\ell+1} = \frac{u}{\sqrt{L+1}}$  for  $0 \leq \ell \leq L$  where  $u$  is a nonnegative real number to be chosen later. Then (5.1) implies

$$(5.5) \quad \frac{A^2}{3} + \frac{u^2 B^2}{3L^2} + \frac{2A^2}{K} \sum_{k=1}^{K-1} \frac{K-k}{\sin^2(\pi kA)} + \frac{2u^2 B^2}{L^2(L+1)} \sum_{\ell=1}^L \frac{L+1-\ell}{\sin^2\left(\frac{\pi \ell B}{L}\right)} \\ + u \sqrt{\frac{AB}{KL(L+1)}} \left(A + \frac{B}{L}\right) \sum_{k=1}^K \sum_{\ell=0}^L \frac{1}{\sin^2\left(\pi\left(kA + \frac{\ell B}{L}\right)\right)} \leq \frac{C_3}{\pi^2} (1 + u^2).$$

We observe that

$$\lim_{L \rightarrow \infty} \frac{1}{L} \sum_{k=1}^K \sum_{\ell=0}^L \frac{1}{\sin^2\left(\pi\left(kA + \frac{\ell B}{L}\right)\right)} = \sum_{k=1}^K \int_0^1 \frac{dx}{\sin^2(\pi(kA + Bx))} \\ = \frac{1}{\pi B} \sum_{k=1}^K (\cot(\pi(K+1-k)A) + \cot(\pi kA)) \\ = \frac{2}{\pi B} \sum_{k=1}^K \cot(\pi kA).$$

Now we let  $L \rightarrow \infty$  in (5.5) and use the above estimate and Lemma 5.2, obtaining

$$\frac{A^2}{3} + \frac{2A^2}{K} \sum_{k=1}^{K-1} \frac{K-k}{\sin^2(\pi kA)} + \frac{u^2}{3} + \frac{2u}{\pi} \sqrt{\frac{A^3}{BK}} \sum_{k=1}^K \cot(\pi kA) \leq \frac{C_3}{\pi^2} (1 + u^2).$$

That is,

$$(5.6) \quad g(u) := \frac{\kappa_0 + \kappa_1 u + \frac{u^2}{3}}{1 + u^2} \leq \frac{C_3}{\pi^2},$$

where  $\kappa_0$  and  $\kappa_1$  depend on  $A, B,$  and  $K$  and are given by

$$\kappa_0 := \frac{A^2}{3} + \frac{2A^2}{K} \sum_{k=1}^{K-1} \frac{K-k}{\sin^2(\pi kA)} \quad \text{and} \quad \kappa_1 := \frac{2}{\pi} \sqrt{\frac{A^3}{BK}} \sum_{k=1}^K \cot(\pi kA).$$

We find that  $g(u)$  is maximized on  $u \geq 0$  at

$$u = u_0 := \frac{1}{\kappa_1} \left( \frac{1}{3} - \kappa_0 + \sqrt{\left(\frac{1}{3} - \kappa_0\right)^2 + \kappa_1^2} \right).$$

On inserting  $u = u_0$  in (5.6), we get

$$G_K(A) := \frac{1}{2} \left( \frac{1}{3} + \kappa_0 + \sqrt{\left(\frac{1}{3} - \kappa_0\right)^2 + \kappa_1^2} \right) \leq \frac{C_3}{\pi^2}.$$

Figure 1 shows the plot of  $G_K\left(\frac{x}{K+1}\right)$  for  $K = 1, \dots, 25$  and  $0 < x < 1$ . We find

$$G_5(0.14) > 0.35047.$$

By Lemma 5.1, this gives the lower bound  $\frac{C_3}{\pi^2} \geq 0.35047$  for any absolute constant  $C_3$  such that (3.1) holds. Since (3.1) holds with  $C_3 = \overline{C}\left(\frac{1}{2}\right)$ , the result follows.  $\square$

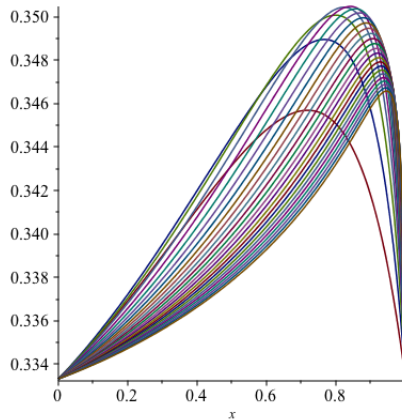


FIGURE 1. The plot of  $G_K\left(\frac{x}{K+1}\right)$  for  $K = 1, \dots, 25$  and  $0 < x < 1$

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