

## A CONSTRUCTION OF NON-CYCLIC NORMAL DIVISION ALGEBRAS\*

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1. *Introduction.* We know now that every normal division algebra over an algebraic number field is a cyclic (Dickson) algebra. This result was proved by highly refined arithmetic means† and the proof cannot be extended to obtain a like result for algebras over a general field. The very important question of whether or not any non-cyclic algebras exist has thus remained unanswered up to the present.

I shall give a construction of non-cyclic algebras of order sixteen over a function field‡ in this paper. These algebras will be proved to be normal division algebras; they furnish the first example in the literature of linear associative algebras of division algebras definitely known to be not of the Dickson type.

2. *A Type of Division Algebra.* Let  $K$  be a non-modular field and  $K(z)$ ,  $z^2 = \Delta$  in  $F$ , be a quadratic field over  $K$ , so that  $\Delta$  is not the square of any quantity of  $K$ . I have proved§ the following proposition.

LEMMA 1. *Let  $A$  be a division algebra over  $K$ . Then  $A \times K(z)$  is a division algebra if and only if  $A$  contains no sub-field  $K(z_0)$ ,  $z_0^2 = \Delta$ , equivalent to  $K(z)$ .*

We shall restrict further attention to fields

$$K = F(u, v),$$

where  $F$  is any real number field and  $u$  and  $v$  are independent indeterminates. Then  $K$  is the field of all rational functions with

\* Presented to the Society, April 9, 1932.

† A proof by H. Hasse (to whom are due the arithmetic considerations) and by myself will appear very soon in the Transactions of this Society.

‡ Algebras of the type constructed here were first considered by R. Brauer who proved (falsely) that they were all division algebras. See Section 4 of this paper for a discussion which points out the error in Brauer's work and which gives simple examples of Brauer algebras not division algebras. (See also, however, a footnote on p. 455, added in proof.)

§ This theorem is a consequence of a result of L. E. Dickson, *Algebren und ihre Zahlentheorie*, pp. 63–64. For my application to prove the above Lemma see this Bulletin, April, 1931, pp. 301–312; p. 309.

(real) coefficients in  $F$  of two independent marks  $u$  and  $v$ . We shall also consider the corresponding domain of integrity

$$J = F[u, v],$$

of all polynomials in  $u$  and  $v$  with coefficients in  $F$ . We shall similarly consider quadratic fields  $K(z)$  and the corresponding domains of integrity

$$J[z],$$

of all quantities of the form  $\alpha + \beta z$  with  $\alpha$  and  $\beta$  in  $J$ .

Let  $\delta$  and  $\epsilon$  be in  $K$  so that we may write

$$(1) \quad \delta = \frac{\lambda}{\nu}, \quad \epsilon = \frac{\mu}{\nu},$$

where  $\lambda$ ,  $\mu$ , and  $\nu$  are in  $J$ . If  $u = \delta^2 + \epsilon^2$ , then

$$(2) \quad \nu^2 u = \lambda^2 + \mu^2$$

identically in  $u$  and  $v$ . The degree in  $u$  of the right member of (2) is even while that of the left member is obviously odd. Hence (2) and the equation  $u = \delta^2 + \epsilon^2$  for  $\delta$  and  $\epsilon$  in  $K$  are both impossible. Similar considerations of degrees give the following result.

LEMMA 2. *The quantities  $u$ ,  $v$ ,  $uv$  are each not expressible in the form  $\delta^2 + \epsilon^2$  for any  $\delta$  and  $\epsilon$  of  $K$ .*

In particular  $u \neq \delta^2$ ,  $v \neq \delta^2$  for any  $\delta$  of  $K$  so that if

$$(3) \quad i^2 = u, \quad x^2 = v,$$

then  $K(i)$  and  $K(x)$  are quadratic fields over  $K$ . The only elements  $\delta + \epsilon i$  in  $K(i)$  and not in  $K$  whose squares are in  $K$  are obviously elements of the form  $\epsilon i$ . Hence, if  $x$  is in  $K(i)$ , then

$$x = \epsilon i, x^2 = v = \epsilon^2 u,$$

so that  $uv = (\epsilon u)^2$ , a contradiction of Lemma 2. Hence the field  $K(i, x)$  is a quartic field with  $1, i, x, ix$  as basis. In fact the group of  $K(i, x)$  is the Vierergruppe  $G_4$ ,  $K(i, x) = K(i) \times K(x)$ . Moreover, as I have shown,\* every quadratic sub-field of  $K(i, x)$  is equivalent to one of the fields  $K(i)$ ,  $K(x)$ ,  $K(ix)$ . From Lemma 2, we have the following lemma.

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\* See Lemma 10 of my paper in the Transactions of this Society, vol. 32 (1930), pp. 171-195; p. 189 for a rational proof of this very elementary result.

LEMMA 3. *The field  $K(i, x)$  defined by (3) has no quadratic subfield  $K(z)$ ,  $z^2 = \delta^2 + \epsilon^2 = \Delta$  in  $K$ ,  $\delta$  and  $\epsilon$  in  $K$ .*

Let  $L = K(z)$ ,  $z$  as in Lemma 3, be a quadratic field over  $K$ . By Lemma 1 the algebra  $K(i, x) \times L$  is a division algebra over  $L$  and in fact is the quartic field  $L(i, x) = L(i) \times L(x)$ , where  $L(i) = L \times K(i)$  and  $L(x) = L \times K(x)$  are quadratic fields over  $L$ . In particular we notice that the quadratic equations  $\xi^2 = u$ ,  $\xi^2 = v$  defining  $L(i)$  and  $L(x)$  are cyclic (irreducible) equations in  $L$ .

If we consider two generalized quaternion algebras

$$(4) \quad B = (1, i, j, ij), \quad ji = -ij, \quad i^2 = u, \quad j^2 = a \neq 0 \text{ in } K,$$

$$(5) \quad C = (1, x, y, xy), \quad yx = -xy, \quad x^2 = v, \quad y^2 = b \neq 0 \text{ in } K,$$

over  $K$ , the algebras  $B \times L$  and  $C \times L$  over  $L$  still remain generalized quaternion algebras over their reference field  $L$ ; that is, the equations  $\xi^2 = u$ ,  $\xi^2 = v$  are still cyclic quadratic equations when we extend the reference field from  $K$  to  $L$ . If  $A$  is the normal simple algebra  $A = B \times C$ , then  $A_0 = A \times L$  over  $L$  is the direct product  $A_0 = B_0 \times C_0$ , where  $B_0 = B \times L$ ,  $C_0 = C \times L$  over  $L$ . Hence  $A \times L$  is a direct product of two generalized quaternion algebras over  $L$  and, as I have proved,\* the following statement holds.

LEMMA 4. *A necessary and sufficient condition that  $A_0$  over  $L$  be a division algebra is that the quadratic form*

$$(6) \quad u\lambda_1^2 + a\lambda_2^2 - u\lambda_3^2 - (v\lambda_4^2 + b\lambda_5^2 - vb\lambda_6^2) \equiv Q$$

*in the variables  $\lambda_1, \lambda_2, \dots, \lambda_6$  in  $L$  shall not vanish for any  $\lambda_1, \dots, \lambda_6$  not all zero in  $L$ .*

We shall now select  $a$  and  $b$  of (4), (5). Take

$$(7) \quad a = \sum_{i=0}^n a_i v^i, \quad b = \sum_{i=0}^m b_i v^i, \quad a_i = \sum_{j=0}^{r_i} \alpha_{ij} u^j, \quad b_i = \sum_{j=0}^{s_i} \beta_{ij} u^j,$$

where  $\alpha_{ij}$  and  $\beta_{ij}$  are in  $F$  so that  $a$  and  $b$  are in  $J$ . This is no restriction on the generality of algebras  $B$  and  $C$ . We shall further select

$$(8) \quad \begin{cases} n \text{ even, } m \text{ odd, } r = r_n \text{ odd, } s = s_m \text{ odd,} \\ \alpha_0 = \alpha_{nr_n} > 0, \beta_0 = \beta_{ms_m} > 0, \end{cases}$$

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\* This Bulletin, loc. cit., p. 311, Theorem 3.

a set of restrictions which enables us to apply Lemma 4 to prove the following theorem.

**THEOREM 1.** *Let  $A = B \times C$  be defined by (7), (8), (4), (5), and let  $L = K(z)$ ,  $z^2 = \delta^2 + \epsilon^2 = \Delta$ ,  $\delta$  and  $\epsilon$  in  $K$ , be a quadratic field over  $K$ . Then  $A_0 = A \times L$  is a division algebra.*

Without loss of generality we may take  $\delta$  and  $\epsilon$  in  $J$  since if  $\nu\delta = \lambda$ ,  $\nu\epsilon = \mu$  with  $\lambda, \mu, \nu$  in  $J$ , then  $z_0 = \nu z$  has the property  $z_0^2 = \lambda^2 + \mu^2$  as desired while  $L = K(z) = K(z_0)$ . Suppose then that  $A_0$  is not a division algebra so that, if  $Q$  is defined by (6), there exist  $\lambda_1, \lambda_2, \dots, \lambda_6$  not all zero in  $L$  such that  $Q = 0$ . Without loss of generality we may take the  $\lambda_i$  to be in  $J[z]$  (by multiplying the equation  $Q = 0$  by the square of the least common denominator, in  $J$ , of the  $\lambda_i$ ). Hence we may write

$$(9) \quad \lambda_i = \alpha_i + \beta_i z \quad (i = 1, \dots, 6),$$

where the  $\alpha_i$  and  $\beta_i$  are in  $J$ . Then  $\lambda_i^2 = (\alpha_i^2 + \beta_i^2 \Delta) + 2\alpha_i \beta_i z$ , so that if

$$(10) \quad P_i = \alpha_i^2 + \beta_i^2 \Delta, \quad Q_i = 2\alpha_i \beta_i,$$

the equation  $Q = 0$  becomes

$$(11) \quad \begin{cases} uP_1 + aP_2 - uaP_3 - vP_4 - bP_5 + vbP_6 \\ + (uQ_1 + aQ_2 - uaQ_3 - vQ_4 - bQ_5 + vbQ_6)z = 0. \end{cases}$$

But 1 and  $z$  are linearly independent with respect to  $K$  so that (11) implies that

$$(12) \quad \phi(u, v) \equiv uP_1 + aP_2 - uaP_3 - vP_4 - bP_5 + vbP_6 \equiv 0$$

in  $u$  and  $v$ , where the  $P_i$  are defined by (10) with  $\alpha_i$  and  $\beta_i$  not all identically zero in  $u$  and  $v$ .

We have assumed that  $z^2 = \delta^2 + \epsilon^2 = \Delta$  so that  $P_i = \alpha_i^2 + (\beta_i \delta)^2 + (\beta_i \epsilon)^2$  must have even degree in  $v$ . In fact

$$(13) \quad P_i \equiv p_i v^{2\rho_i} + S_i(u, v), \quad S_i \equiv S_i(u, v) \text{ in } J,$$

where the degree of  $S_i$  in  $v$  is less than  $2\rho_i$ ; and

$$(14) \quad p_i \equiv \tau_i u^{2\sigma_i} + q_i(u), \quad q_i \equiv q_i(u) \text{ in } F[u], \quad \tau_i \geq 0,$$

where  $q_i$  has degree less than  $2\sigma_i$  in  $u$ . Moreover

$$(15) \quad \tau_i \geq 0, \quad \tau_i = 0 \text{ if and only if } P_i \equiv \lambda_i = 0.$$

The polynomial (12) is a sum of six terms. We use (13), (14) to arrange each of these six terms according to descending powers of  $v$  whose coefficients are polynomials in  $u$  arranged according to descending powers of  $u$ . Since  $\phi(u, v) \equiv 0$  in  $u$  and  $v$ , the total coefficient of the highest power of  $v$  appearing in the six terms is a sum of possibly six polynomials in  $u$  which is identically zero. Since this term is to appear explicitly because the  $\lambda_i$  and hence the  $P_i$  are not all zero, at least one of these six (or fewer) polynomials must be not identically zero. But their sum is zero so that at least *two* of them must be not identically zero in  $u$ .

Suppose that this highest power of  $v$  were an odd power. It must appear only in

$$(16) \quad -vP_4 - bP_5$$

since the remaining terms of (12) all have even degree in  $v$ . Then this power must appear in *both*  $vP_4$  and  $bP_5$  and its total coefficient is evidently

$$(17) \quad - (p_4 + p_5 b_m) \equiv 0 \text{ in } u.$$

But  $p_4$  has even degree in  $u$  and  $p_5 b_m$  has odd degree in  $u$  by (14) and (8), so that (17) is impossible. Hence the highest power of  $v$  cannot be an odd power.

It follows that the highest power of  $v$  in (12) appears only in

$$(18) \quad uP_1 + aP_2 + vbP_6 - uaP_3.$$

The leading coefficients in the terms of (18) are respectively

$$(19) \quad up_1, a_n p_2, b_m p_6, -ua_n p_3,$$

so that the total coefficient of the highest power of  $v$  is a sum of the expressions in (19). These expressions have leading terms

$$(20) \quad \tau_1 u^{2\sigma_1+1}, \alpha_0 \tau_2 u^{2\sigma_2+r}, \beta_0 \tau_6 u^{2\sigma_6+s}, -\alpha_0 \tau_3 u^{2\sigma_3+r+1}$$

when arranged according to descending powers of  $u$ . If the highest power of  $u$  appearing in the total coefficient we are discussing were an even power, it would appear only in the single term  $-\alpha_0 \tau_3 u^{2\sigma_3+r+1}$  and could not have *total* coefficient zero. Hence this power is odd and its total numerical coefficient is a sum of the real numbers  $\tau_1 \geq 0, \alpha_0 \tau_2 \geq 0, \beta_0 \tau_6 \geq 0$ . But these real numbers are all positive or zero, they must not all be zero, and yet *this sum must be zero*, which is impossible. Hence the assump-

tion that the  $\lambda_i$  are not all zero has led to a contradiction and we have proved Theorem 1.

Since  $A \times L$  is thus a division algebra so must algebra  $A$  be a division algebra. Hence we have also the following result.

**THEOREM 2.** *The algebra  $A$  of Theorem 1 is a division algebra. By its form it is a normal division algebra of order sixteen over  $K$ .*

3. *The Existence of Non-Cyclic Algebras.* We shall prove that the algebras  $A$  of Section 2 are non-cyclic, that is, they contain no cyclic quartic sub-field. We shall first require the following rather trivial lemma.

**LEMMA 5.** *The field  $K$  contains no quantity whose square is  $-1$ .*

For if  $a^2 = -1$ ,  $a$  in  $K$ , then  $b = ca$ , where  $b$  and  $c$  are in  $J$ , so that  $b^2 + c^2 = 0$ . But, as we saw in (10), (13), (14) this is impossible unless  $b = c = 0$ , whereas  $c$  is the denominator of  $a$  and hence  $c \neq 0$ .

When a field  $K$  contains no  $a$  such that  $a^2 = -1$  it has the following property.\*

**LEMMA 6.** *Every cyclic quartic field  $C$  over  $K$  has a quadratic sub-field  $K(z)$ ,  $z^2 = \delta^2 + \epsilon^2$ ,  $\delta, \epsilon$  in  $K$ .*

We shall prove that the algebras  $A$  of Section 2 contain no quadratic sub-field  $K(z)$  as above and hence no cyclic quartic field  $C$  containing  $K(z)$ . For if  $K(z)$  is any such field, Theorem 1 says that  $A \times K(z)$  is a division algebra. But Lemma 1 states that then  $A$  contains no quadratic sub-field equivalent to  $K(z)$  and hence no  $C$ . We have proved the first known theorem on the existence of non-cyclic algebras.

**THEOREM 3.** *The normal division algebras  $A$  of Section 2 are non-cyclic algebras.*

4. *The Algebras of Brauer.* We have considered algebras of order sixteen over a function field  $F(u, v)$ . Moreover these algebras were direct products of algebras of order four. R. Brauer was the first author to consider such algebras. He stated that any algebra  $A = B \times C$ , where  $B$  and  $C$  are given by (4), (5) is a division algebra if the fields  $K(i)$ ,  $K(x)$  are merely distinct

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\* A canonical form of the cyclic quartic is well known to be  $x^4 + 2\nu\rho x^2 + \nu^2 \epsilon^2 \rho = 0$ ,  $\rho = \delta^2 + \epsilon^2$ . Every cyclic quartic field will then contain a quadratic sub-field  $K(z)$ ,  $z^2 = \rho = \delta^2 + \epsilon^2$ . See R. Garver, *Quartic equations with certain groups*, Annals of Mathematics, vol. 29 (1928), pp. 47-51.

quadratic fields. But this is not true since we may take  $a = -u$ ,  $b = -v$ , so that as in our proof of Lemma 3,  $K(i, x)$  is a quartic field, while

$$(i+j)^2 = i^2 + j^2 + ij + ji = u - u = 0.$$

which is impossible in a division algebra. Brauer's proof of this false theorem is of course incorrect.\* He gave a matrix representation of the algebra  $A$  as an algebra of four-rowed square matrices with elements in  $K(a^{1/2}, b^{1/2})$  and wrote

$$(21) \xi_1 = X_1 + X_2 a^{1/2} + X_3 b^{1/2} + X_4 a^{1/2}, b^{1/2} (X_1, \dots, X_4 \text{ in } K).$$

He had three other quantities  $\eta_1, \zeta_1, \omega_1$  of similar type and defined  $\xi_2, \xi_3, \xi_4$  to be the result of replacing respectively  $a^{1/2}$  by  $-a^{1/2}$ ,  $b^{1/2}$  by  $-b^{1/2}$ , and both  $a^{1/2}$  by  $-a^{1/2}$ ,  $b^{1/2}$  by  $-b^{1/2}$  in  $\xi_1$ ; similarly for  $\eta_v, \zeta_v$ , and  $\omega_v$ . Brauer's matrices were thus given when sixteen independent variables ranged over all quantities of  $K$ . He then attempted to prove§ that the determinant of the general matrix (a quartic form in the sixteen variables) could not vanish (identically in  $u$  and  $v$ ) for any values of the variables in  $K$ . He put  $v = 0$  and obtained

$$(\xi_1 \xi_2 - u \eta_1 \eta_2)(\xi_3 \xi_4 - u \eta_3 \eta_4) = 0.$$

He then concluded that since either  $\xi_1 \xi_2$  or  $\xi_3 \xi_4$  has  $u$  as a factor then some one  $\xi_v$  has  $u$  as a factor, whence all the  $\xi_v$  have  $u$  as factor. This is false as, for example,  $\xi_1 = u + (-u)^{1/2}$  gives  $\xi_2 = u - (-u)^{1/2}$  and  $\xi_1 \xi_2 = u^2 + u$  has  $u$  as factor while neither factor of the product has  $u$  as factor. In fact under Brauer's initial assumptions we know nothing of the nature of  $a$  and  $b$  when we put  $v = 0$ . Brauer was also able to conclude from the above false argument that it followed that  $\xi_v$  vanished at  $v = 0$  and hence had  $v$  as factor. But this is also false as  $a$  and  $b$  might both vanish at  $v = 0$  and the coefficients of  $\xi_1$  in (21) might still not have  $v$  as factor. It is in fact true that Brauer's arguments only hold true when  $a$  and  $b$  are rational,† an assumption that he seems to have had in mind.§

\* See, however, footnote on p. 455, added in proof.

† See Brauer's paper in the *Mathematische Zeitschrift*, vol. 31 (1929), pp. 733-747 for his consideration of these algebras. He gave his proof on pp. 746-747. Brauer used  $a$  and  $b$  respectively where we have used  $b$  and  $a$  so that his  $\xi_2$  is obtained from  $\xi_1$  by replacing  $b^{1/2}$  by  $-b^{1/2}$  instead of  $a^{1/2}$  by  $-a^{1/2}$ .

‡ Brauer took  $F = R$ , the field of all rational numbers.

In my Lemma 4, I have in fact reduced the condition that  $A$  be a division algebra from a condition that a quartic form in sixteen variables be not a null form to an equivalent condition on a quadratic form in only six variables. It is the application of this far simpler condition that has enabled me to prove the existence of non-cyclic algebras.

I have shown in the above that among the algebras considered by Brauer there exist non-cyclic division algebras and also algebras not division algebras. There remains the question as to whether any of the algebras of Brauer are cyclic division algebras. I have recently proved\* that the algebra  $A = B \times C$  over  $R(u, v)$ , where we replace  $u$  by  $-2u^3$ , take  $a$  to be a rational number which is a sum of two squares and not a square, and take  $b = -1$ , is a cyclic normal division algebra. This is one of the algebras of Brauer when we pass to a new basis of  $B$  by taking  $i$  to be replaced by  $u^{-1}i$  whose square is  $-2u$ , and then replace  $u$  by the equivalent indeterminate  $-2u$ .

I have therefore proved the existence of cyclic and non-cyclic division algebras among the algebras considered by Brauer as well as the existence of algebras not division algebras. I have also given, in Lemma 4, a necessary and sufficient condition that a Brauer algebra be a division algebra.

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§ A recent communication from Brauer verifies this conjecture. Brauer used "*Zahl in K*" to mean rational number as opposed to non-constant function of  $u$  and  $v$ . With this interpretation, his work is correct, but it does not extend to the general case considered here. The difficulty was thus one of the interpretation of language, rather than a mathematical error. [Note added May 10, 1932.]

\* This Bulletin, October, 1931, pp. 727-730.

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## ERRATUM

On page 186 of the March issue of this Bulletin (vol. 38, No. 3), in line 3 from the foot of the page, condition (2) should read

$$\sum n |\Delta^2 a_n| \text{ instead of } \sum a_n |\Delta^2 a_n|.$$

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