

## BANACH LATTICES WITH A WEAK ORDER UNIT

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Starting from a result due to H. Rosenthal (see Lemma 1.3 in [6]), we present a new result which outlines a geometrical condition for the existence of a weak order unit (i.e., a total element) in the dual of a Banach lattice: the non-existence of a lattice isomorph of a space  $l_1(\Gamma)$  for  $\Gamma$  an uncountable set (see Theorem 2 below). As a consequence we obtain that the dual of a Banach space  $E$  having local unconditional structure (as defined in [1] has the Radon-Nikodým property iff  $E$  does not contain a copy of  $l_1$ . R. C. James constructed, in [3], an example of separable Banach space  $J$  with a nonseparable dual such that  $c_0$  and  $l_1$  do not embed in  $J$ . Consequently  $J$  does not have local unconditional structure.

Let  $L$  be the class of all Banach spaces  $X$  satisfying the following two conditions:

- (L1)  $X'$  is an order complete Banach lattice;
- (L2) there is a  $v \in X''$  such that  $x \in X$ ,  $|x| \wedge |v| = 0$  implies  $x = 0$ .

Each Banach lattice with a weak order unit, or each predual of an  $L_1(\mu)$  space, belongs to  $L$ .

1. LEMMA. *If  $X \in L$ , then there exists an order complete Banach lattice  $E$  with a weak order unit and a lattice isometry  $i: X' \rightarrow E'$  such that: (a)  $i(X')$  is complemented in  $E'$ , and (b)  $i(X')$  is formed by order continuous functionals on  $E$ .*

*Hint.* Consider for  $E$  the band generated by  $v$  in  $X''$ .

If  $Z$  is an order complete Banach lattice and  $A \subset Z$  is a closed subspace, then we shall denote by  $\Sigma(A)$  an order complete closed sublattice of the band generated by  $A$  such that  $A \subset \Sigma(A)$ .

2. THEOREM. *Let  $E \in L$  and let  $A \subset E'$  be a closed subspace. Then either:*

- (i)  $\Sigma(A)$  has a weak order unit  $u' > 0$ ; or,
- (ii)  $A$  contains an isomorph of  $l_1(\Gamma)$  (for  $\Gamma$  an uncountable set) that is complemented in  $\Sigma(A)$ , and  $\Sigma(A)$  contains a lattice isomorph of  $l_1(\Gamma)$ .

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*Note.* The hypothesis that  $E \in L$  is crucial; e.g., consider the case  $E = l_2(2^N)$ .

3. COROLLARY. *If  $E$  is a Banach lattice with a weak order unit, then either  $E'$  has a weak order unit or  $E'$  contains a lattice isomorph of a non-separable  $l_1(\Gamma)$  space.*

4. REMARK. It is possible that  $E$  and  $E'$  both have a weak order unit and  $E'$  contains a complemented isomorph (but not a lattice isomorph) of  $l_1(2^N)$ . For example, consider  $E = (\Sigma l_\infty(n))_{l_1}$ . (See [2] for details.)

5. REMARK. If  $E$  is a Banach lattice, then condition (i) can be restated in terms of absolute continuity:

(i') For every  $\epsilon > 0$ , every  $x \in E_+$ , and every  $a' \in \Sigma(A)$ , there is a  $\delta = \delta(\epsilon, x, a') > 0$  such that  $|y| \leq x, u'(|y|) < \delta$ , implies  $|a'(y)| < \epsilon$ .

6. REMARK. If  $E \in L$  and  $E$  does not contain a complemented copy of  $l_1$ , then  $E'$  does not contain a copy of  $c_0$  (see [6, Corollary 1.2]) and thus (see [7, Theorem 3.7]) each order interval of  $E'$  is weakly relatively compact. In this case (i) can be restated as follow:

(i'')  $A$  is contained in a weakly compactly generated (complemented) sublattice having a weak order unit.

As a consequence we retrieve Lemma 1.3 in [6].

Recall that a Banach space  $Z$  has **local unconditional structure** (l.u.st.) if there exists a positive  $\lambda > 0$  and a directed net  $\{Z_a\}_a$  of finite dimensional subspaces such that  $Z = \bigcup Z_a$  and each  $Z_a$  has a basis whose unconditional constant is  $\leq \lambda$ . The usual Banach spaces all have l.u.st. We next extend a well-known result due to James for Banach spaces with an unconditional basis.

7. THEOREM. *For a separable Banach space  $E$  having l.u.st., the following assertions are equivalent:*

- (a)  $E'$  has the Radon-Nikodým property (i.e., every integral operator from a space  $C(S)$  into  $E'$  is nuclear);
- (b)  $E$  does not contain an isomorph of  $l_1$ ;
- (c)  $E'$  is weakly compactly generated.

*Therefore, if  $E$  is a separable Banach space with l.u.st., then  $E'$  is separable if and only if  $E$  contains no isomorph of  $l_1$ .*

If  $Z$  has l.u.st. and  $Y$  is a separable subspace of  $Z$ , then there is a separable Banach space  $X$  with l.u.st. such that  $Y \subset X \subset Z$ . (See the proof of Lemmas 3.1 and 3.2 in [4].) Consequently, the equivalence (a)  $\iff$  (b) holds also in the nonseparable case.

The implications (c)  $\implies$  (b)  $\implies$  (a) are well known. We next prove that (b)  $\implies$  (c). By virtue of Theorem 2.1 and Corollary 2.2 in [1], there exists a separable Banach lattice  $L \supset E$  and an isomorphism  $\phi$  from  $E'$  into  $L'$  such that  $i' \circ \phi = 1_{E'}$ , where  $i: E \rightarrow L$  denotes the canonical embedding. A result due to Pełczyński

and Hagler (see *Studia Math.* **46** (1973), 35–42) implies that  $E'$  does not contain a copy of  $I_1(\Gamma)$ , for  $\Gamma$  an uncountable set, so by our Theorem 2 above,  $\phi(E')$  is contained in the band generated by a positive  $u' \in L'$ . We conclude by using a technique due to H. P. Lotz. For each  $x' \in L'$ ,  $x' \geq 0$ , let us denote by  $I_{x'}$ , the ideal generated by  $x'$  and normed by  $||| \cdot ||| = \inf \{ \lambda > 0; | \cdot | \leq \lambda x' \}$ . Then  $I_{x'}$  is lattice isometric to a  $C(S)$  space. By Corollary 1.2 in [6],  $E'$  does not contain a copy of  $c_0$  and thus the composition  $j_{x'}: I_{x'} \rightarrow L' \xrightarrow{i'} E'$  is weakly compact (see [7, Theorem 3.7]). Since  $I_{x'}$  has the Dunford-Pettis property,  $j_{x'}$  maps decreasing sequences of positive elements of  $I_{x'}$  into convergent sequences of elements of  $E'$ . Since  $j_{x'}$  is  $w'$ -continuous (in fact  $j_{x'}$  is an adjoint), we obtain that  $j_{x'}$  is order  $\sigma$ -continuous for each  $x' \geq 0$ . Then  $E' = \overline{\text{Span}} i'[0, u']$ . Q. E. D.

ADDED IN PROOF. We have learned that Corollary 3 above is known (for separable Banach lattices) to H. P. Lotz and to H. Rosenthal.

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