

RESEARCH ANNOUNCEMENTS

BULLETIN (New Series) OF THE
AMERICAN MATHEMATICAL SOCIETY
Volume 28, Number 1, January 1993

FACTORIZATIONS OF INVERTIBLE OPERATORS AND K -THEORY OF C^* -ALGEBRAS

SHUANG ZHANG

ABSTRACT. Let \mathcal{A} be a unital C^* -algebra. We describe K -skeleton factorizations of all invertible operators on a Hilbert C^* -module $\mathcal{H}_{\mathcal{A}}$, in particular on $\mathcal{H} = l^2$, with the Fredholm index as an invariant. We then outline the isomorphisms $K_0(\mathcal{A}) \cong \pi_{2k}([p]_0) \cong \pi_{2k}(GL_p^p(\mathcal{A}))$ and $K_1(\mathcal{A}) \cong \pi_{2k+1}([p]_0) \cong \pi_{2k+1}(GL_p^p(\mathcal{A}))$ for $k \geq 0$, where $[p]_0$ denotes the class of all compact perturbations of a projection p in the infinite Grassmann space $Gr^\infty(\mathcal{A})$ and $GL_p^p(\mathcal{A})$ stands for the group of all those invertible operators on $\mathcal{H}_{\mathcal{A}}$ essentially commuting with p .

1. INTRODUCTION

Throughout, we assume that \mathcal{A} is any unital C^* -algebra. Let $\mathcal{H}_{\mathcal{A}}$ be the Hilbert (right) \mathcal{A} -module consisting of all l^2 -sequences in \mathcal{A} ; i.e., $\mathcal{H}_{\mathcal{A}} := \{\{a_i\} : \sum_{i=1}^{\infty} a_i^* a_i \in \mathcal{A}\}$, on which an \mathcal{A} -valued inner product and a norm are naturally defined by $\langle \{a_i\}, \{b_i\} \rangle = \sum_{i=1}^{\infty} a_i^* b_i$ and $\|\{a_i\}\| = \|(\sum_{i=1}^{\infty} a_i^* a_i)^{1/2}\|$. Let $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$ stand for the C^* -algebra consisting of all bounded operators on $\mathcal{H}_{\mathcal{A}}$ whose adjoints exist, and let $\mathcal{K}(\mathcal{H}_{\mathcal{A}})$ denote the closed linear span of all finite rank operators on $\mathcal{H}_{\mathcal{A}}$, respectively. In case \mathcal{A} is the algebra \mathbb{C} of all complex numbers, $\mathcal{H}_{\mathcal{A}}$ is the separable, infinite-dimensional Hilbert space $\mathcal{H} = l^2$; correspondingly, $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$ reduces to the algebra $\mathcal{L}(\mathcal{H})$ of all bounded operators on \mathcal{H} , and $\mathcal{K}(\mathcal{H}_{\mathcal{A}})$ reduces to the algebra \mathcal{K} of all compact operators on \mathcal{H} . Each element in $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$ can be identified with an infinite, bounded matrix whose entries are elements in \mathcal{A} [Zh4, §1]. This identification can be realized by C^* -algebraic techniques and the two important $*$ -isomorphisms $\mathcal{L}(\mathcal{H}_{\mathcal{A}}) \cong M(\mathcal{A} \otimes \mathcal{K})$ and $\mathcal{K}(\mathcal{H}_{\mathcal{A}}) \cong \mathcal{A} \otimes \mathcal{K} = (\varinjlim M_n(\mathcal{A}))^-$; where $M(\mathcal{A} \otimes \mathcal{K})$ is the multiplier algebra of $\mathcal{A} \otimes \mathcal{K}$ [Kas]. For more information about multiplier algebras the reader is referred to [APT, Bl, Cu1, El, Br2, Pe1,

Received by the editors February 12, 1992. The main results of this article were presented at the AMS meeting at Springfield, Missouri, March 27–28, 1992.

1991 *Mathematics Subject Classification.* Primary 46L05, 46M20, 55P10.

Partially supported by NSF.

©1993 American Mathematical Society
0273-0979/93 \$1.00 + \$.25 per page

OP, L, Zh4–5], among others. The set of projections

$$Gr^\infty(\mathcal{A}) := \{p \in \mathcal{L}(\mathcal{H}_\mathcal{A}) : p = p^2 = p^* \text{ and } p \sim 1 \sim 1 - p\}$$

is called the *infinite Grassmann space associated with \mathcal{A}* ; where ‘ $q \sim p$ ’ is the well-known Murray-von Neumann equivalence of two projections; i.e., there exists a partial isometry $v \in \mathcal{L}(\mathcal{H}_\mathcal{A})$ such that $vv^* = p$ and $v^*v = q$. If $\mathcal{A} = \mathbf{C}$, then $Gr^\infty(\mathcal{A})$ reduces to the well-known Grassmann space $Gr^\infty(\mathcal{H})$ consisting of all projections on \mathcal{H} with an infinite dimension and an infinite codimension.

2. FACTORIZATIONS AND K-THEORY

Let $p \in Gr^\infty(\mathcal{A})$. If x is any element in $\mathcal{L}(\mathcal{H}_\mathcal{A})$, with respect to the decomposition $p \oplus (1 - p) = 1$ one can write x as a 2×2 matrix, say $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$, where $a = pxp$, $b = px(1 - p)$, $c = (1 - p)xp$, and $d = (1 - p)x(1 - p)$. A unitary operator $u = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ is called a *K-skeleton unitary along p* , if both b and c are some partial isometries in $\mathcal{A} \otimes \mathcal{H}$. An easy calculation shows that a unitary operator u is a K-skeleton unitary if and only if a is a Fredholm partial isometry on the submodule $p\mathcal{H}_\mathcal{A}$ and d is a Fredholm partial isometry on the submodule $(1 - p)\mathcal{H}_\mathcal{A}$; in other words, all $p - aa^*$, $p - a^*a$, $(1 - p) - dd^*$, $(1 - p) - d^*d$ are projections in $\mathcal{A} \otimes \mathcal{H}$. The term ‘K-skeleton’ is chosen, since $K_0(\mathcal{A})$ is completely described by the homotopy classes of all such unitaries.

Let $GL_r^p(\mathcal{A})$ be the topological group consisting of all those invertible operators in $\mathcal{L}(\mathcal{H}_\mathcal{A})$ such that $xp - px \in \mathcal{A} \otimes \mathcal{H}$, equipped with the norm topology from $\mathcal{L}(\mathcal{H}_\mathcal{A})$. Let $GL_\infty^p(\mathcal{A})$ stand for the path component of $GL_r^p(\mathcal{A})$ containing the identity; in the special case when $\mathcal{A} = \mathbf{C}$, we instead use the notation $GL_r^p(\mathcal{H})$ and $GL_\infty^p(\mathcal{H})$, respectively. Let $GL_\infty(\mathcal{A})$ and $GL_\infty^0(\mathcal{A})$ denote the group of all invertible elements in the unitization of $\mathcal{A} \otimes \mathcal{H}$ and its identity path component, respectively.

2.1. K-skeleton factorization theorem [Zh4]. (i) *If $x \in GL_r^p(\mathcal{A})$, then there exist an element $k \in \mathcal{A} \otimes \mathcal{H}$, an invertible element $\begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}$, and a K-skeleton unitary $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ along p such that $1 + k \in GL_\infty^0(\mathcal{A})$ and*

$$x = (1 + k) \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

A factorization of x with the form above is called a K-skeleton factorization along p .

(ii) *If two K-skeleton factorizations of x along p are given, say*

$$x = x_0 x_p \begin{pmatrix} a & b \\ c & d \end{pmatrix} = x'_0 x'_p \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix},$$

then $[cc^] - [bb^*] = [c'c'^*] - [b'b'^*] \in K_0(\mathcal{A})$; in other words, $[cc^*] - [bb^*]$ is an invariant independent of all (infinitely many) possible K-skeleton factorizations of x along p .*

Outline of a proof. There is a shorter proof solely for this theorem. For the sake of clarifying some internal relations among $\pi_0(GL_r^p(\mathcal{A}))$, $\pi_0([p]_0)$, and $K_0(\mathcal{A})$, we outline a proof as follows. First, every element in $GL_\infty^p(\mathcal{A})$ can be written as a product of the form $x_0 x_p$ for some invertible $x_0 \in GL_\infty^0(\mathcal{A})$ with

$x_0 - 1 \in \mathcal{A} \otimes \mathcal{K}$ and another invertible x_p with $x_p p = p x_p$ [Zh4]. Secondly, write the polar decomposition $x = (x x^*)^{1/2} u$, where $(x x^*)^{1/2} \in GL_\infty^p(\mathcal{A})$ and u is a unitary in $GL_r^p(\mathcal{A})$. Then consider the following subsets of $Gr^\infty(\mathcal{A})$:

$$[upu^*]_r := \{wupu^*w^* : w \in GL_\infty^0(\mathcal{A}) \text{ with } ww^* = w^*w = 1\}$$

and

$$[p]_0 := \{vpv^* : v \in GL_r^p(\mathcal{A}) \text{ with } vv^* = v^*v = 1\}.$$

Technical arguments show that $[upu^*]_r$ is precisely the path component of $[p]_0$ containing upu^* . Thirdly, there is a representative in $[upu^*]_r$ with the form $(p - r_1) \oplus r_2$ for some projections $r_1, r_2 \in \mathcal{A} \otimes \mathcal{K}$. It follows that there exists a unitary $u_0 \in GL_\infty^0(\mathcal{A})$ such that

$$u_0^*upu^*u_0 = (p - r_1) \oplus r_2.$$

Then one obtains a K -skeleton unitary $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ such that $u = u_0 \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, where $bb^* = r_1$ and $cc^* = r_2$. Since $(x x^*)^{1/2} u_0 \in GL_\infty^p(\mathcal{A})$, we can rewrite it as a product in the desired form $x_0 \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}$. The details are contained in [Zh4].

It follows from Theorem 2.1 that $x \cdot GL_\infty^p(\mathcal{A}) = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot GL_\infty^p(\mathcal{A})$ (cosets) for each $x \in GL_r^p(\mathcal{A})$. The invariant $[cc^*] - [bb^*]$ associated with the K -skeleton factorization of $x \in GL_r^p(\mathcal{A})$ yields the bijection

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot GL_\infty^p(\mathcal{A}) \longleftrightarrow [(p - bb^*) \oplus (cc^*)]_r.$$

It can be shown that $[(p - r_1) \oplus r'_1]_r = [(p - r_2) \oplus r'_2]_r$ iff $[r'_1] - [r_1] = [r'_2] - [r_2]$ in $K_0(\mathcal{A})$. Therefore, we conclude the following theorem whose details are given in [Zh4].

2.2. Theorem [Zh4]. *The maps defined by*

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot GL_\infty^p(\mathcal{A}) \longmapsto [(p - r_1) \oplus r_2]_r \longmapsto [r_2] - [r_1]$$

are two bijections, which induce the following isomorphisms:

$$GL_r^p(\mathcal{A})/GL_\infty^p(\mathcal{A}) \cong D_h([p]_0) \cong K_0(\mathcal{A}),$$

where $GL_r^p(\mathcal{A})/GL_\infty^p(\mathcal{A})$ is the quotient group with the induced multiplication and

$$D_h([p]_0) = \{[upu^*]_r : u \in GL_r^p(\mathcal{A}) \text{ with } uu^* = u^*u = 1\}$$

is the set of all path components of $[p]_0$. The group operation on $D_h([p]_0)$ is defined by

$$[(p - r_1) \oplus r'_1]_r + [(p - r_2) \oplus r'_2]_r = [(p - r_1 - s_2) \oplus (r'_1 \oplus s'_2)]_r$$

for some projections $s_2 \in p(\mathcal{A} \otimes \mathcal{K})p$ and $s'_2 \in (1 - p)(\mathcal{A} \otimes \mathcal{K})(1 - p)$ such that $s_2 \sim r_2$, $s_2 r_1 = 0$, $s'_2 \sim r'_2$, and $s'_2 r'_1 = 0$.

2.3. Theorem. *Let the base point of $[p]_0$ be p and the base point of $GL_r^p(\mathcal{A})$ be the identity. Then*

$$\pi_{2k+1}([p]_0) \cong \pi_{2k+1}(GL_r^p(\mathcal{A})) \cong K_1(\mathcal{A}),$$

and

$$\pi_{2k+2}([p]_0) \cong \pi_{2k+2}(GL_r^p(\mathcal{A})) \cong K_0(\mathcal{A}) \quad \forall k \geq 0.$$

Outline of a proof. Let $U_\infty(\mathcal{A})$ be the unitary group of the unitization of $\mathcal{A} \otimes \mathcal{K}$, and let $U_p(\mathcal{A})$ be the subgroup of $U_\infty(\mathcal{A})$ consisting of all those unitaries commuting with p . First, the map $\psi_p : U_\infty(\mathcal{A}) \rightarrow [p]_r$ defined by $\psi_p(u) = upu^*$ is a Serre (weak) fibration with a standard fiber $U_p(\mathcal{A})$ [Zh6, §2]. Secondly, the long exact sequence of homotopy groups associated with this fibration breaks into short exact sequences [Zh6, 2.5, 2.8]:

$$0 \longrightarrow \pi_{k+1}([p]_r) \longrightarrow \pi_k(U_p(\mathcal{A})) \longrightarrow \pi_k(U_\infty(\mathcal{A})) \longrightarrow 0 \quad (k \geq 0).$$

Thirdly, by an analysis on this short exact sequence one concludes

$$\pi_{2k+2}([p]_0) \cong K_0(\mathcal{A}) \quad \text{and} \quad \pi_{2k+1}([p]_0) \cong K_1(\mathcal{A}) \quad (k \geq 0).$$

It is well known that the subgroup $U_r^p(\mathcal{A})$ consisting of all unitary elements in $GL_r^p(\mathcal{A})$ is homotopy equivalent to $GL_r^p(\mathcal{A})$. We consider the maps $U_r^p(\mathcal{A}) \rightarrow [p]_0$ defined by $\phi_p(u) = upu^*$. It can be shown that ϕ_p is a weak fibration with a standard fiber $U^p(\mathcal{A})$, where $U^p(\mathcal{A})$ is the group consisting of all those unitaries in $U_r^p(\mathcal{A})$ commuting with p . An argument similar to that above applies to this fibration. One can show that $\pi_{2k+1}(U_r^p(\mathcal{A})) \cong K_1(\mathcal{A})$ and $\pi_{2k+2}(U_r^p(\mathcal{A})) \cong K_0(\mathcal{A})$ for $k \geq 0$. The details are given in [Zh6, §4].

2.4. Special case $\mathcal{A} = C(X)$. In particular, if \mathcal{A} is taken to be the commutative C*-algebra $C(X)$ consisting of all complex-valued continuous functions on a compact Hausdorff space X , then each element in $\mathcal{L}(\mathcal{H}_{C(X)})$ can be identified with a norm-bounded, *-strong continuous map from X to $\mathcal{L}(\mathcal{H})$ [APT]. Here $\mathcal{L}(\mathcal{H}) \supset \{x_\lambda\}$ converges to x in the *-strong operator topology iff

$$\|(x_\lambda - x)k\| + \|k(x_\lambda - x)\| \rightarrow 0 \quad \text{for any } k \in \mathcal{H}.$$

Obviously, $\mathcal{L}(\mathcal{H}_{C(X)})$ contains the C*-tensor product $\mathcal{L}(\mathcal{H}) \otimes C(X)$ consisting of all norm-continuous maps from X to $\mathcal{L}(\mathcal{H})$ as a C*-subalgebra. Then Theorems 2.1 and 2.2 in this special case are interpreted as follows.

2.5. Corollary. *Let $GL^\infty(\mathcal{H})$ be the group of all invertible operators in $\mathcal{L}(\mathcal{H})$.*

(i) *If $f : X \rightarrow GL^\infty(\mathcal{H})$ is a norm-bounded, *-strong continuous map and p is a projection in the infinite Grassmann space $Gr^\infty(\mathcal{H})$ such that $pf - fp \in \mathcal{H} \otimes C(X)$, then f can be factored as the following product of three invertible maps*

$$f(\cdot) = \begin{pmatrix} 1 + k_{11}(\cdot) & k_{12}(\cdot) \\ k_{21}(\cdot) & 1 + k_{22}(\cdot) \end{pmatrix} \begin{pmatrix} g_1(\cdot) & 0 \\ 0 & g_2(\cdot) \end{pmatrix} \begin{pmatrix} a(\cdot) & b(\cdot) \\ c(\cdot) & d(\cdot) \end{pmatrix};$$

where $k_{ij}(\cdot)$'s are norm-continuous maps from X to \mathcal{H} , $g_1(\cdot) \oplus g_2(\cdot)$ is a norm-bounded, *-strong continuous map from X to $GL^\infty(\mathcal{H})$, $a(\cdot)$, $d(\cdot)$ are *-strong continuous maps from X to the set of Fredholm partial isometries on $p\mathcal{H}$ and $(1-p)\mathcal{H}$, respectively, and $c(\cdot)$, $b(\cdot)$ are norm-continuous maps from X to the set of partial isometries in \mathcal{H} . Furthermore,

$$[c(\cdot)c(\cdot)^*] - [b(\cdot)b(\cdot)^*] \in K_0(C(X)) (\cong K^0(X))$$

is an invariant independent of all possible factorization with the above form.

(ii) *The groups $[X, GL_r^p(\mathcal{H})]$, $[X, [p]_0]$, and $K_0(C(X))$ are isomorphic, where $[X, \cdot]$ is the set of homotopy classes of norm-bounded, $*$ -strong continuous maps from X to (\cdot) .*

2.6. Invertible dilations of a Fredholm operator. Let us illustrate a K -skeleton factorization of any invertible dilation of a Fredholm operator $x \in \mathcal{L}(\mathcal{H}_{\mathcal{A}})$. There are of course infinitely many invertible 2×2 matrices with the form

$$D_2(x) := \begin{pmatrix} x & y_1 \\ y_2 & z \end{pmatrix} \in M_2(\mathcal{L}(\mathcal{H}_{\mathcal{A}})).$$

Each such 2×2 invertible matrix is called *an invertible dilation of x* . Specific constructions of such a dilation were given by P. Halmos [Ho, 222] and A. Connes [Co]. For each invertible dilation of x it follows from the K -skeleton Factorization Theorem 2.1 that

$$\begin{pmatrix} x & y_1 \\ y_2 & z \end{pmatrix} = \begin{pmatrix} 1 + a_{11} & a_{12} \\ a_{21} & 1 + a_{22} \end{pmatrix} \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \begin{pmatrix} v & 1 - vv^* \\ 1 - v^*v & -v^* \end{pmatrix},$$

where a_{ij} 's are some elements in $\mathcal{A} \otimes \mathcal{H}$, $z_1, z_2 \in GL^\infty(\mathcal{A})$, and the above matrix on the right, say w , is a familiar unitary matrix occurring in the index map in K -theory [Bl, 8.3.2] in which v is a Fredholm partial isometry in $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$. Set $p = \text{diag}(1, 0)$. It is well known that

$$[1 - v^*v] - [1 - vv^*] \in K_0(\mathcal{A})$$

is precisely the Fredholm index $\text{Ind}(v) = \text{Ind}(pxp)$ (on $p\mathcal{H}_{\mathcal{A}}$). It follows from Theorem 2.1(ii) that those K -skeleton unitaries associated with all possible invertible dilations of x in $M_2(\mathcal{L}(\mathcal{H}_{\mathcal{A}}))$ only differ from w by a factor in $GL_\infty^p(\mathcal{A})$.

3. FACTORIZATIONS OF INVERTIBLE OPERATORS WITH INTEGER INDICES

Now we consider some special cases such that $K_0(\mathcal{A}) \cong \mathbb{Z}$ (the group of all integers); for example, $\mathcal{A} = \mathbb{C}$, or $\mathcal{A} = C(S^{2n+1})$ where S^m is the standard m -sphere, or $\mathcal{A} = \mathcal{O}_\infty$, the Cuntz algebra generated by isometries $\{s_i\}_{i=1}^\infty \subset \mathcal{L}(\mathcal{H})$ such that $\sum_{i=1}^\infty s_i s_i^* \leq 1$.

Let p be any projection in $Gr^\infty(\mathcal{H}) \subset Gr^\infty(\mathcal{A})$ [the inclusion holds because $\mathcal{H} \subset \mathcal{H}_{\mathcal{A}}$ and $\mathcal{L}(\mathcal{H}) \subset \mathcal{L}(\mathcal{H}_{\mathcal{A}})$]. Let $\{\xi_i\}_{i=0}^{+\infty}$ be any orthonormal basis of the subspace $p\mathcal{H}$ and $\{\xi_i\}_{i=-\infty}^{-1}$ be any orthonormal basis of the subspace $(1-p)\mathcal{H}$. Then $\{\xi_i\}_{i=-\infty}^{+\infty}$ is an orthonormal basis of both \mathcal{H} and $\mathcal{H}_{\mathcal{A}}$. Let u_0 denote the bilateral shift associated with the basis $\{\xi_i\}_{i=-\infty}^{+\infty}$ of \mathcal{H} , defined by $u_0(\xi_i) = \xi_{i+1}$ for all $i \in \mathbb{Z}$. Clearly, u_0 is a K -skeleton unitary of $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$ along p . Applying the K -skeleton Factorization Theorem 2.1 to the above special cases, we have the following factorizations of invertible operators orientated by the integer-valued Fredholm index:

3.1. Corollary. *Suppose that $K_0(\mathcal{A}) \cong \mathbb{Z}$ is generated by [1] where 1 is the identity of \mathcal{A} . If x is an invertible operator on $\mathcal{H}_{\mathcal{A}}$ such that $px - xp \in \mathcal{A} \otimes \mathcal{H}$, then $x = (1 + k)x_p u_0^{-n}$, where $k \in \mathcal{A} \otimes \mathcal{H}$, x_p is an invertible operator commuting with p , and the integer n is the Fredholm index of pxp on the submodule $p\mathcal{H}_{\mathcal{A}}$, say $\text{Ind}(pxp)$, which is independent of the choice of $\{\xi_i\}_{i=0}^{+\infty}$, $\{\xi_i\}_{i=-\infty}^{-1}$ and all possible factorizations along p with the same form above.*

Outline of a proof. It is obvious that $\text{Ind}(pu_0^n p) = -n$. Let G be the group $\{u_0^n : n \in \mathbb{Z}\}$ in which every element is a K -skeleton unitary along p . As a special case of Theorem 2.1 one can show that the map from G to $GL_r^p(\mathcal{A})/GL_\infty^p(\mathcal{A})$ defined by $u_0^n \mapsto u_0^n \cdot GL_\infty^p(\mathcal{A})$ is a group isomorphism. It follows that $\pi_0(GL_r^p(\mathcal{A})) = \{u_0^n \cdot GL_\infty^p(\mathcal{A}) : n \in \mathbb{Z}\}$. Then the factorization follows. The reader may want to consider the extreme case $\mathcal{A} = \mathbb{C}$ and then generalize the conclusion to a larger class of C^* -algebras.

A similar proof yields the following alternative factorization of x as a product of three invertibles under the same assumptions as of Corollary 3.1:

$$x = \begin{cases} (1 + k_1)x_1 & \text{if } \text{Ind}(pxp) = 0, \\ (1 + k_2)x_2(u_1 \oplus u_2 \oplus \cdots \oplus u_{-n} \oplus w_1) & \text{if } \text{Ind}(pxp) = n < 0, \\ (1 + k_3)x_3(u_1^* \oplus u_2^* \oplus \cdots \oplus u_n^* \oplus w_2) & \text{if } \text{Ind}(pxp) = n > 0, \end{cases}$$

where u_i is a bilateral shift on a subspace \mathcal{H}_i of \mathcal{H} for $1 \leq i \leq n$, w_j 's are unitary operators on $(\bigoplus_{i=1}^n \mathcal{H}_i)^\perp$, $k_j \in \mathcal{A} \otimes \mathcal{K}$, and x_j 's are invertible operators commuting with p .

3.2. Corollary. *Suppose that $K_0(\mathcal{A}) \cong \mathbb{Z}$ is generated by [1]. If x is an arbitrary element $\mathcal{L}(\mathcal{H}_{\mathcal{A}})$ and $p \in Gr^\infty(\mathcal{H})$ (as above) such that $px - xp \in \mathcal{A} \otimes \mathcal{K}$, then there exists a unique norm-continuous map $x(\lambda)$ from $C \setminus \sigma(x)$ to $GL_\infty^p(\mathcal{A})$, where $\sigma(x)$ is the spectrum of x , such that $x - \lambda = x(\lambda)u_0^{-n_i}$, where $n_i = \text{Ind}(p(x - \lambda_i)p)$ and λ_i is any complex number in the i th path component O_i of $C \setminus \sigma(x)$. An alternative K -skeleton factorization of $x - \lambda$ for $\lambda \in O_i$ is as follows (when $n_i \neq 0$):*

$$x - \lambda = \begin{cases} y_i(\lambda)(u_1 \oplus u_2 \oplus \cdots \oplus u_{|n_i|} \oplus w_i) & \text{if } \text{Ind}(p(x - \lambda_i)p) = n_i < 0, \\ y_i'(\lambda)(u_1^* \oplus u_2^* \oplus \cdots \oplus u_{n_i}^* \oplus v_i) & \text{if } \text{Ind}(p(x - \lambda_i)p) = n_i > 0, \end{cases}$$

where u_i 's are bilateral shifts on mutually orthogonal closed subspaces \mathcal{H}_i 's of \mathcal{H} , w_i, v_i 's are unitary operators on the subspace $(\bigoplus_{i=1}^{|n_i|} \mathcal{H}_i)^\perp$, and $y_i(\lambda), y_i'(\lambda)$ are norm-continuous maps from O_i to $GL_\infty^p(\mathcal{A})$.

3.3. Winding numbers of invertible operators. Using the first factorization in Corollary 3.2, we assign an integer n_i to each path component O_i of $C \setminus \sigma(x)$, which is precisely the minus winding number of $u_0^{-n_i}$ as a continuous map from S^1 to S^1 (via the Gel'fand transformation). We call n_i the *winding number of x along p over O_i* . As a particular case, if x is an operator whose essential spectrum, the spectrum of $\pi(x)$ in the generalized Calkin algebra $\mathcal{L}(\mathcal{H}_{\mathcal{A}})/\mathcal{K}(\mathcal{H}_{\mathcal{A}})$, does not separate the plane, then all winding numbers of x along any $p \in Gr^\infty(\mathcal{A})$ are zero as long as $px - xp \in \mathcal{A} \otimes \mathcal{K}$. There is another way to describe the integer n_i .

3.4. Corollary. *Let $G_i(x)$ denote the subgroup of $GL_r^p(\mathcal{A})$ generated by $GL_\infty^p(\mathcal{A})$ and $x - \lambda_i$ where $\lambda_i \in O_i$. Then $G_i(x)/GL_\infty^p(\mathcal{A}) \cong n_i\mathbb{Z}$, and hence $GL_r^p(\mathcal{A})/G_i(x) \cong \mathbb{Z}_{n_i}$, the finite cyclic group of order n_i .*

In particular, one can apply the above factorizations to an invertible dilation of a pseudodifferential operator of order zero on a compact manifold and classical multiplication operators. Let us spend few lines to look at the following familiar examples.

3.5. Multiplication operators. Let M_f be the invertible multiplication operator with symbol f in $L^\infty(S^1)$, where S^1 is the unit circle; i.e., $M_f(g) = fg$ for any $g \in L^2(S^1)$. If p is a projection on $L^2(S^1)$ such that $\dim(p) = \text{codim}(1-p) = \infty$ and $pM_f - M_f p$ is a compact operator, then it follows from Corollary 3.1 that $M_f = (1+k)x_p u_0^{-n}$, where $n = \text{Ind}(pM_f p)$, k is a compact operator on $L^2(S^1)$, x_p is an invertible operator on $L^2(S^1)$ commuting with p , and u_0 is a bilateral shift operator associated with a fixed orthonormal basis of $L^2(S^1)$. It is well known that $pM_f p$ is a familiar Toeplitz operator on the subspace $pL^2(S^1)$.

3.6. Restricted loop group along $p \in Gr^\infty(\mathcal{H})$. Consider the following *restricted loop group along p* consisting of all norm-bounded, $*$ -strong continuous maps from S^1 to $GL_r^p(\mathcal{H})$, denoted by $\text{Map}(S^1, GL_r^p(\mathcal{H}))_\beta$. Since $K_0(C(S^1)) = \mathbb{Z}$, each $f \in \text{Map}(S^1, GL_r^p(\mathcal{H}))_\beta$ can be factored as $f = (1 + f_0)f_1 u_0^{-n}$, where $n = \text{Ind}(p f p)$, f_0 is a norm-continuous map from S^1 to \mathcal{H} , f_1 is a $*$ -strong continuous map from S^1 to $GL^\infty(\mathcal{H})$ such that $f_1(z)p = p f_1(z)$ for any $z \in S^1$, and u_0 is a bilateral shift with respect to a fixed orthonormal basis of \mathcal{H} . If f is norm-continuous, then f_1 is also norm continuous. Furthermore, $[S^1, GL_r^p(\mathcal{H})] \cong [X, [p]_0] \cong \mathbb{Z}$. The same conclusions also hold, if S^1 is replaced by S^{2n+1} for any $n \geq 1$.

3.7. Remarks. (i) Theorems 2.1–2.3 still hold, if \mathcal{A} is any stably unital C^* -algebra; i.e., $\mathcal{A} \otimes \mathcal{K}$ has an approximate identity consisting of a sequence of projections [Bl, 5.5.4; Zh4].

(ii) Let $\text{Index}(x, p)$ denote the invariant $[cc^*] - [bb^*] \in K_0(\mathcal{A})$ in Theorem 2.1(ii). If p is fixed, then $\text{Index}(x, p)$ is precisely the Fredholm index of $p x p$ as an operator on $p\mathcal{H}_{\mathcal{A}}$ and fits into the established theory of the $K_0(\mathcal{A})$ -valued Fredholm index. However, some new results do arise from invariants of $\text{Index}(x, p)$ as the variable p runs in $\{p \in Gr^\infty(\mathcal{A}) : xp - px \in \mathcal{A} \otimes \mathcal{K}\}$ or as x and p jointly change [Zh7]. As a matter of fact, $\text{Index}(x, p)$ is an invariant under homotopy and perturbation by elements in $\mathcal{A} \otimes \mathcal{K}$ with respect to both variables x and p . For example, by the combination of the K -skeleton Factorization Theorem and certain invariants of $\text{Index}(x, p)$, we proved [Zh7] the following:

Theorem.

$$\pi_0(GL(M_n(\mathbb{C})'_e)) \cong \{k \in K_0(\mathcal{A}) : n \cdot k = 0\} \quad \text{for any } n \geq 2;$$

where $GL(M_n(\mathbb{C})'_e)$ denotes the group of all invertibles in the essential commutant $M_n(\mathbb{C})'_e$ of $M_n(\mathbb{C})$ which is naturally embedded in $M_n(\mathcal{L}(\mathcal{H}_{\mathcal{A}}))$.

(iii) The reader may want to compare (3.1)–(3.3) and the famous BDF theory [BDF1,2] to see their obvious relations; we work with invertibles on $\mathcal{H}_{\mathcal{A}}$, while the BDF theory dealt with Fredholm operators.

(iv) In [PS] Pressley and Segal have studied the *restricted general linear group*

$$GL_{\text{res}}(\mathcal{H}) := \{x \in GL^\infty(\mathcal{H}) : xp - px \text{ is Hilbert-Schmidt}\}$$

and given some applications to the Kdv equations. It is a hope that our results will shed some light in the same direction.

REFERENCES

- [APT] C. A. Akemann, G. K. Pedersen, and J. Tomiyama, *Multipliers of C^* -algebras*, J. Funct. Anal. **13** (1973), 277–301.
- [At] M. F. Atiyah, *K-theory*, Benjamin, New York, 1967.
- [Ar] W. Arveson, *Notes on extensions of C^* -algebras*, Duke Math. J. **44** (1977), 329–355.
- [Bl] B. Blackadar, *K-theory for operator algebras*, Springer-Verlag, New York, Berlin, Heidelberg, London, Paris, and Tokyo, 1987.
- [Br1] L. G. Brown, *Stable isomorphism of hereditary subalgebras of C^* -algebras*, Pacific J. Math. **71** (1977), 335–348.
- [Br2] L. G. Brown, *Semicontinuity and multipliers of C^* -algebras*, Canad. J. Math. **40** (1989), 769–887.
- [BDF1] L. G. Brown, R. G. Douglas, and P. A. Fillmore, *Unitary equivalence modulo the compact operators and extensions of C^* -algebras*, Proc. Conf. on Operator Theory, Lecture Notes in Math., vol. 345, Springer-Verlag, Heidelberg, 1977.
- [BDF2] ———, *Extensions of C^* -algebras and K -homology*, Ann. of Math. (2) **105** (1977), 265–324.
- [Co] A. Connes, *Non-commutative differential geometry*, Inst. Hautes Études Sci. Publ. Math. **62** (1986), 257–360.
- [Cu1] J. Cuntz, *A class of C^* -algebras and topological Markov chains II: Reducible chains and the Ext-functor for C^* -algebras*, Invent. Math. **63** (1981), 25–40.
- [Cu2] ———, *K-theory for certain C^* -algebras*, Ann. of Math. (2) **131** (1981), 181–197.
- [EK] E. G. Effros and J. Kaminker, *Some homotopy and shape calculations for C^* -algebras*, Group Representations, Ergodic Theory, Operator Algebras, And Mathematical Physics, MSRI Publication No. 6, Springer-Verlag, New York, 1987.
- [E1] G. A. Elliott, *Derivations of matroid C^* -algebras. II*, Ann. of Math. (2) **100** (1974), 407–422.
- [Ho] P. Halmos, *A Hilbert space problem book*, Van Nostrand, Princeton, NJ, 1967.
- [Ka] M. Karoubi, *K-theory: an introduction*, Springer-Verlag, Berlin, Heidelberg, and New York, 1978.
- [Kas] G. G. Kasparov, *Hilbert C^* -modules: theorems of Stinespring and Voiculescu*, J. Operator Theory **3** (1980), 133–150.
- [L] H. Lin, *Simple C^* -algebras with continuous scales and simple corona algebras*, Proc. Amer. Math. Soc. **112** (1991), 871–880.
- [MF] A. Miscenko and A. Fomenko, *The index of elliptic operators over C^* -algebras*, Math. USSR Izv. **15** (1980), 87–112.
- [Mi] J. A. Mingo, *K-theory and multipliers of stable C^* -algebras*, Trans. Amer. Math. Soc. **299** (1987), 255–260.
- [Pe1] G. K. Pedersen, *SAW*-algebras and corona C^* -algebras, contributions to non-commutative topology*, J. Operator Theory **15** (1986), 15–32.
- [Pe2] ———, *C^* -algebras and their automorphism groups*, Academic Press, London, New York, and San Francisco, 1979.
- [Ph] N. C. Phillips, *Classifying algebras for the K-theory of σ - C^* -algebras*, Canad. J. Math. **41** (1989), 1021–1089.
- [PS] A. Pressley and G. Segal, *Loop groups*, Oxford Science Publications, Clarendon Press, Oxford, 1986.
- [PPV] M. Pimsner, S. Popa, and D. Voiculescu, *Homogeneous C^* -extensions of $C(X) \otimes K(H)$* , J. Operator Theory **1** (1979), 55–108.
- [OP] C. L. Olsen and G. K. Pedersen, *Corona C^* -algebras and their applications to lifting problems*, Math. Scand. (to appear).
- [SSU] A. Sheu, N. Salinas, and H. Upmeyer, *Toeplitz operators on pseudoconvex domains and foliation C^* -algebras*, Ann. of Math. (2) **130** (1989), 531–565.

- [Ta] M. Takesaki, *Theory of operator algebras*. I, Springer-Verlag, Berlin, Heidelberg, and New York, 1979.
- [Zh1] S. Zhang, *Certain C^* -algebras with real rank zero and their corona and multiplier algebras, Part II*, *K-theory* (to appear).
- [Zh2] ———, *On the homotopy type of the unitary group and the Grassmann space of purely infinite simple C^* -algebras*, *K-Theory* (to appear).
- [Zh3] ———, *Exponential rank and exponential length of operators on Hilbert C^* -module*, *Ann. of Math. (2)* (to appear).
- [Zh4] ———, *K -theory, K -skeleton factorizations and bi-variable index $\text{Index}(x, p)$* , Part I, Part II, Part III, preprints.
- [Zh5] ———, *K -theory and bi-variable index $\text{Index}(x, [p]_e)$: properties, invariants and applications*, Part I, Part II, Part III, preprints.
- [Zh6] ———, *K -theory and homotopy of certain groups and infinite Grassmann spaces associated with C^* -algebra*, preprint.
- [Zh7] ———, *Torsion of K -theory, bi-variable index and certain invariants of the essential commutant of $M_n(C)$* . I, II, preprints.

DEPARTMENT OF MATHEMATICAL SCIENCES, UNIVERSITY OF CINCINNATI, CINCINNATI, OHIO
45221-0025

E-mail address: szhang@ucbeh.san.uc.edu