

*Lie groups. An approach through invariants and representations*, by Claudio Procesi, Springer, New York, 2007, xxii + 596 pp., US\$59.95, ISBN 978-0-387-26040-2

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The theory of Lie groups and their representations is a vast subject (Bourbaki [Bou] has so far written 9 chapters and 1,200 pages) with an extraordinary range of applications. Some of the greatest mathematicians and physicists of our times have created the tools of the subject that we all use. The appearance of a book on the subject by a well-known researcher is thus noteworthy. In this review I shall discuss briefly the modern development of the subject from its historical beginnings in the mid-nineteenth century and describe how the book by Claudio Procesi fits into the overall picture.

The origins of Lie theory are geometric and stem from the view of *Felix Klein* (1849–1925) that geometry of space is determined by the group of its symmetries. As the notion of space and its geometry evolved from Euclid, Riemann, and Grothendieck to the supersymmetric world of the physicists, the notions of Lie groups and their representations also expanded correspondingly. The most interesting groups are the semisimple ones, and for them the questions have remained the same throughout this long evolution: What is their structure? Where do they act? and What are their representations?

2. THE ALGEBRAIC STORY:  
SIMPLE LIE ALGEBRAS AND THEIR REPRESENTATIONS

It was *Sophus Lie* (1842–1899) who started investigating all possible (local) group actions on manifolds. Lie’s seminal idea was to look at the action *infinitesimally*. If the local action is by  $\mathbf{R}$ , it gives rise to a vector field on the manifold which integrates to capture the action of the local group. In the general case we get a *Lie algebra* of vector fields, which enables us to reconstruct the local group action. The simplest example is the one where the local Lie group acts on itself by left (or right) translations and we get the *Lie algebra of the Lie group*. The Lie algebra, being a linear object, is more immediately accessible than the group. It was *Wilhelm Killing* (1847–1923) who insisted that before one could classify all group actions, one should begin by classifying all (finite-dimensional real) Lie algebras. The gradual evolution of the ideas of Lie, *Friedrich Engel* (1861–1941), and Killing, made it clear that determining all *simple* Lie algebras was fundamental.

What are all the simple Lie algebras (of finite dimension) over  $\mathbf{C}$ ? It was Killing who conceived this problem and worked on it for many years. His research was published in the *Mathematische Annalen* during 1888–1890 [K]. Although his proofs were incomplete (and sometimes wrong) at crucial places and the overall structure of the theory was confusing, Killing arrived at the astounding conclusion that the only simple Lie algebras were those associated to the linear, orthogonal, and symplectic groups, apart from a small number of isolated ones. The problem was completely

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solved by *Elie Cartan* (1869–1951), who, reworking the ideas and results of Killing but adding crucial innovations of his own (*Cartan–Killing form*), obtained the rigorous classification of simple Lie algebras in his 1894 thesis, one of the greatest works of nineteenth century algebra [C]. Then in 1914, he classified the simple *real* Lie algebras by determining the real forms of the complex algebras. In particular he noticed that there is exactly one real form (the *compact form*) on which the Cartan–Killing form is negative definite, a fact that would later play a central role in Weyl’s transcendental approach to the representation theory of semisimple Lie algebras. For a fascinating account of the story, especially of the trailblazing work of Killing and Cartan, see [Ha].

**The classification.** Simple Lie algebras over  $\mathbf{C}$  fall into four infinite families  $A_n(n \geq 1), B_n(n \geq 2), C_n(n \geq 3), D_n(n \geq 4)$  corresponding to the groups  $SL(n+1, \mathbf{C}), SO(2n+1, \mathbf{C}), Sp(2n, \mathbf{C}), SO(2n, \mathbf{C})$ , respectively, and five isolated ones (the *exceptional Lie algebras*) denoted by  $G_2, F_4, E_6, E_7, E_8$ , with dimensions 14, 52, 78, 133, 248, respectively. The key concept for the classification is that of a *Cartan subalgebra* (CSA)  $\mathfrak{h}$ , which is a special maximal nilpotent subalgebra, unique up to conjugacy, as shown by Chevalley much later. In the spectral decomposition of  $\text{ad } \mathfrak{h}$ , the eigenvalues  $\alpha$  are certain linear forms on  $\mathfrak{h}$  called *roots*, the corresponding (generalized) eigenvectors  $X_\alpha$  are *root vectors*, the (generalized) eigenspaces  $\mathfrak{g}_\alpha$  are *root spaces*, and the structure of the set of roots captures a great deal of the structure of the Lie algebra itself. For instance, if  $\alpha, \beta$  are roots but  $\alpha + \beta$  is nonzero but not a root, then  $[X_\alpha, X_\beta] = 0$ .

Central to Cartan’s work is the *Cartan–Killing form*, the symmetric bilinear form  $X, Y \mapsto \text{Tr}(\text{ad } X \text{ad } Y)$ , invariant under all automorphisms of the Lie algebra. It is nondegenerate if and only if the Lie algebra is *semisimple*. For a semisimple Lie algebra the CSA’s are the maximal abelian diagonalizable subalgebras, and they have *one-dimensional root spaces*. In this case there is a natural  $\mathbf{R}$ -form  $\mathfrak{h}_{\mathbf{R}}$  of  $\mathfrak{h}$  on which all roots are real and  $(\cdot, \cdot)$  is positive definite. This allows us to view the set  $\Delta$  of roots as a *root system*, i.e., a finite subset of the Euclidean space  $\mathfrak{h}_{\mathbf{R}}^* \setminus \{0\}$  with the following key property: it remains invariant under reflection in the hyperplane orthogonal to any root. Thus, the reflections generate a *finite* subgroup of the orthogonal group of  $\mathfrak{h}_{\mathbf{R}}$ , the *Weyl group*. Root systems thus become special combinatorial objects, and their classification leads to the classification of simple Lie algebras. The calculations, however, remained hard to penetrate until *E. B. Dynkin*, (1924–) discovered the concept of a *simple root* [Dy]. If  $\dim(\mathfrak{h}_{\mathbf{R}}) = n$ , then a set of simple roots has  $n$  elements  $\alpha_i$ , and  $a_{ij} := 2(\alpha_i, \alpha_j)/(\alpha_i, \alpha_i)$  is an integer  $\leq 0$  for  $i \neq j$ . The matrix  $A = (a_{ij})$  is called a *Cartan matrix*, and it gives rise to a graph, the *Dynkin diagram*, where there are  $n$  nodes, with the nodes corresponding to simple roots  $\alpha_i, \alpha_j$  linked by  $a_{ij}a_{ji}$  lines. Connected Dynkin diagrams, which correspond to simple Lie algebras, fall into four infinite families and five isolated ones. The integer  $n$ , the *rank*, is the one in the Cartan classification. The theory became more accessible when the book by *Nathan Jacobson* (1910–1999) came out in 1962 [J]; until then [Dy] and [L] were the only sources available apart from [C].

**Representations.** In 1914 Cartan determined the irreducible finite-dimensional representations of the simple Lie algebras [C]. In any representation the elements of a CSA  $\mathfrak{h}$  are diagonalizable and the simultaneous eigenvalues are elements  $\nu \in \mathfrak{h}_{\mathbf{R}}^*$ , the *weights*, which are *integral* in the sense that  $\nu_\alpha := 2(\nu, \alpha)/(\alpha, \alpha)$  is an integer for all roots  $\alpha$ . Among the weights of an irreducible representation, there is a

distinguished one  $\lambda$ , the *highest weight*, which has multiplicity 1, which determines the irreducible representation, and is *dominant*, i.e.,  $\lambda_{\alpha_i} \geq 0$  for  $1 \leq i \leq n$ . The obvious question is whether every dominant integral element of  $\mathfrak{h}_{\mathbf{R}}^*$  is the highest weight of an irreducible representation. It is enough to prove this for the *fundamental weights*  $\mu^i$  defined by  $\mu_{\alpha_j}^i = \delta_{ij}$ . For  $A_n$ , the actions on the exterior products  $\Lambda^i(\mathbf{C}^n)$  are irreducible with highest weights  $\mu^i$ . Similar calculations show that the fundamental weights are highest weights for the classical Lie algebras. Once again, Cartan showed by explicit calculation that the fundamental weights are highest even for the exceptional Lie algebras. It was in the course of this analysis that Cartan discovered the *spin modules* of the orthogonal Lie algebras which do not occur in the tensor algebra of the defining representation, unlike the case for  $A_n$  and  $C_n$ . They arise from representations of the Clifford algebras and there is one of them for  $B_n$  and two for  $D_n$ . They were originally discovered by *Dirac* in the 1920's in his relativistic treatment of the spinning electron, thus accounting for their name. They act on *spinors*, which, unlike the tensors, *are not functorially attached to the base vector space*, so that one can define the Dirac operators only on Riemannian manifolds with a *spin structure*.

**General algebraic methods.** In the late 1940's *Claude Chevalley* (1909–1984) and *Harish-Chandra* (1923–1983) (independently) discovered the way to answer, without using classification, the two key questions here: (1) whether every Dynkin diagram comes from a semisimple Lie algebra, and (2) if every dominant integral weight is the highest weight of an irreducible representation [H1], [Ch]. In the mid-1920's, *Hermann Weyl* (1885–1955) had settled (2) as well as the complete reducibility of all representations by global methods without classification (see below).

For (2) one works with the *universal enveloping algebra* of  $\mathfrak{g}$ , say  $\mathcal{U}$ . For any linear function  $\lambda \in \mathfrak{h}^*$  there is a unique irreducible module  $I_\lambda$  with highest weight  $\lambda$ , and one has to show that  $I_\lambda$  is finite dimensional if and only if  $\lambda$  is dominant and integral. For (1), one notes that in a semisimple Lie algebra  $\mathfrak{g}$  with a Cartan matrix  $A = (a_{ij})$ , if  $0 \neq X_{\pm i}$  are in the root spaces  $\mathfrak{g}_{\pm\alpha_i}$ , then we have the commutation rules

$$(I) \quad [H_i, H_j] = 0, \quad [H_i, X_{\pm j}] = \pm a_{ij} X_{\pm j}, \quad [X_i, X_{-j}] = \delta_{ij} H_i.$$

However, a deeper study of the adjoint representation yields the higher-order commutation rules

$$(II) \quad [X_{\pm i}, [X_{\pm i}, [\dots [X_{\pm i}, X_{\pm j}] \dots]] = \text{ad}(X_{\pm i})^{-a_{ij}+1}(X_{\pm j}) = 0.$$

The universal associative algebra  $\mathcal{U}_A$  defined by the relations (I) and (II) bears a close resemblance to the algebra  $\mathcal{U}$  mentioned earlier, and one can construct a theory of its highest weight representations. One obtains the same criterion for the finite dimensionality of the irreducible representations. Let  $\mathfrak{l}$  be the Lie algebra inside  $\mathcal{U}_A$  generated by the  $H_i, X_{\pm i}$ . If the highest weight has a value strictly  $> 0$  at each node of the diagram, this representation will be faithful on  $\mathfrak{h}$ , and the image of  $\mathfrak{l}$  under this representation will be the semisimple Lie algebra corresponding to the diagram. Much later Serre discovered the beautiful result that  $\mathfrak{l}$  is *already finite dimensional* and hence is the required semisimple Lie algebra with the given Cartan matrix  $A$ , thus defining a *presentation* of the semisimple Lie algebra associated to any given diagram [S1], [V1].

**Infinite-dimensional Lie algebras.** Cartan also studied what he called the *infinite simple continuous groups*. Roughly speaking, they are the infinite-dimensional analogues of the simple Lie groups. The *general* theory of infinite-dimensional Lie groups is still very much of a mystery, and I cannot say much about these (see [CC]).

In the late 1960's, *Victor Kac* (1943–) and *Robert Moody* (1941–) independently initiated the study of certain infinite-dimensional Lie algebras somewhat different from Cartan's. If we relax the properties of a Cartan matrix, especially the one requiring the Weyl group to be finite, (I) and (II) will lead, by the methods of Chevalley-Harish-Chandra, to *new* Lie algebras that *will no longer be finite dimensional*. These are the *Kac-Moody* algebras [Ka1], [Moo]. If we extend the scalars from  $\mathbf{C}$  to the ring of finite Laurent series in an indeterminate, the simple Lie algebras give rise to certain Lie algebras, which have *universal central extensions* with one-dimensional center. The latter are the *affine Lie algebras* which are special Kac-Moody algebras, which, along with the *Virasoro algebras*, are important in conformal field theory. Their structure and representation theory resemble closely those of the finite-dimensional simple Lie algebras, and their root systems are very beautiful infinite combinatorial objects related to many famous classical formulae.

### Classification of restricted simple Lie algebras in characteristic $p > 0$ .

It is natural to ask what the classification of simple Lie algebras looks like in characteristic  $p > 0$ . Here one has the concept of a *restricted* Lie algebra which is a Lie algebra together with an automorphism  $X \mapsto X^{[p]}$  that is an infinitesimal version of the Frobenius morphism for algebraic groups. Interestingly, there are additional simple Lie algebras, namely those that are finite-dimensional analogues of Cartan's infinite simple Lie algebras, the so-called Cartan-type Lie algebras. That the class of restricted simple Lie algebras is exhausted by the classical and Cartan-type Lie algebras (Kostrikin-Shafarevich conjecture) was proved in [BW].

### 3. INVARIANT THEORY

Let us leave the algebraic story here and go to the classical invariant theory which was concerned with computing the *invariants* of the projective varieties under the action of the projective group  $\mathrm{PGL}(n, \mathbf{C})$ . In the first approximation we may replace the varieties by homogeneous polynomials and study the action of  $\mathrm{SL}(n, \mathbf{C})$  on the space  $P_{n,d}$  of all homogeneous polynomials of degree  $d$  in  $n$  variables, and the induced action on the algebra  $\mathcal{P}_{n,d}$  of *polynomial functions on  $P_{n,d}$* . Invariant theory asks for an explicit determination of the subalgebra  $\mathcal{I}_{n,d}$  of elements of  $\mathcal{P}_{n,d}$  invariant under the group. The work of *Paul Gordan* (1837–1912), had led to the result that  $\mathcal{I}_{2,d}$  is finitely generated and to an algorithmic construction of a set of generators for it, when *David Hilbert* (1862–1943) came into the picture and took the entire subject to a new level. In a celebrated paper, Hilbert proved the finite generation of  $\mathcal{I}_{n,d}$  by very general abstract arguments, but under prodding from Gordan, he later examined the question of the finite determination of the invariants.

The finite generation depends on the existence of a *projection operator  $R$*  (*Reynold's operator*) from  $\mathcal{P}(V)$  to  $\mathcal{I}(V)$  that preserves the grading and commutes with multiplication by elements of  $\mathcal{I}(V)$ ; here  $V$  is any module for  $\mathrm{SL}(n, \mathbf{C})$ . Hilbert used what is called the *Cayley  $\Omega$ -process* for this purpose; one can equally well use averaging with respect to  $\mathrm{SU}(n)$ . However, what is essential is the complete reducibility of all finite-dimensional representations of  $\mathrm{SL}(n, \mathbf{C})$ . Weyl, who had

proved this for all semisimple groups, was thus able to generalize Hilbert's result to the case where  $\mathrm{SL}(n, \mathbf{C})$  is replaced by *any* semisimple Lie group  $G$  over  $\mathbf{C}$ . In his majestic and profound 1939 book *The classical groups: Their invariants and representations* [W1], Weyl gave an exposition of the fundamental questions of invariant theory over a field of characteristic 0, emphasizing that they should be studied over any field. For a given  $G$ -module  $V$  (for classical  $G$ , important cases are the direct sum of copies of the defining representation and its dual, as well as the conjugacy action on a number of copies of the matrices), the *first fundamental theorem* (FFT) seeks an explicit description of generators for  $\mathcal{I}(V)$  and the *second fundamental theorem* seeks a basis for the ideal of relations among the generators. Of course this process can be continued, and Hilbert's study of the *syzygies* marks the beginning of the homological theory of commutative algebras. For developments since 1939 and a whole lot of other aspects of representations and invariants, see the encyclopedia (and encyclopedic) volume [GW]. For a profound study of the action of a semisimple group over the polynomial ring of its Lie algebra, see [Ko].

**Semisimple groups in characteristic  $p > 0$ : Mumford's geometric reductivity.** Hilbert's work (see the English translation of his papers on this subject [AH]) lay buried until *David Mumford* (1937–) resurrected it in the 1960's and expanded its scope enormously [M1], [MF]. He showed that the central problems of *moduli* of algebraic geometric objects in *any characteristic* depend upon viewing the orbit space of a projective action of a semisimple (or the slightly more general *reductive*) group as an algebraic variety itself. When the characteristic is 0, the Hilbert-Weyl theory is a perfectly adequate foundation for this. In prime characteristic, it was clear that one should work with the reductive groups that Borel and Chevalley had discovered by then (see below). But complete reductivity of representations is *not available in characteristic  $p > 0$* . Nevertheless, Mumford conjectured that semisimple groups in prime characteristic are *geometrically reductive*, a property equivalent to complete reductivity in characteristic 0: given any nonzero vector  $v$  fixed by the group, there is a homogeneous invariant polynomial  $F$  such that  $F(v) \neq 0$ . If the characteristic  $p$  of the field  $k$  divides  $n$ , the action of  $\mathrm{SL}(n, k)$  on  $\mathfrak{gl}(n, k)$  is not completely reducible:  $k.I_n$  does not admit an invariant complement since the only invariant linear form is the trace and it vanishes at  $I_n$ ; but we can take  $F$  to be the determinant in Mumford's definition. Mumford's conjecture was proved in 1975 by Haboush [Hab] (independently, for  $\mathrm{GL}(n)$  and  $\mathrm{SL}(n)$ , by Formanek and Procesi [FP]). Nagata showed that geometric reductivity implies the finite generation of invariants; he also constructed counterexamples to the question of finite generation of invariants (Hilbert's 14<sup>th</sup> problem, see [M2]). For simpler counterexamples, see [St2]. For the theory of moduli see [Se].

#### 4. THE WEYL CHARACTER AND DIMENSION FORMULAE. COMPACT AND COMPLEX GROUPS

In the mid-1920's Hermann Weyl wrote a series of epoch-making papers ([W2], Band II, 543–647; Band III, 1–33) on representations of semisimple Lie groups and Lie algebras. Weyl found a simple construction for the compact form of a complex semisimple Lie algebra and proved the remarkable fact that the *simply connected group corresponding to the compact form is still compact*. It follows that the category of *continuous* representations of the compact group is equivalent to the category of representations of the complex Lie algebra. The first algebraic proof of

the complete reducibility of all representations of a complex semisimple Lie algebra was given by Casimir and Van der Waerden [CW] much later. It is a question of showing that  $H^1(\mathfrak{g}) = 0$  for semisimple  $\mathfrak{g}$  [V1].

Let  $G$  be compact and simply connected.  $G$  has a *maximal torus*  $T$  and all conjugacy classes of  $G$  meet  $T$  in *Weyl group orbits*. Weyl found a wonderful formula for the integral of a function in terms of its integral on the torus:

$$\int_G f(x)dx = \frac{1}{|\mathfrak{w}|} \int \bar{f}(t)\Delta(t)\overline{\Delta(t)}dt, \quad \bar{f}(t) = \int_G f(xtx^{-1})dx,$$

where  $\mathfrak{w}$  is the Weyl group and  $|\mathfrak{w}|$  is its order, and  $dx, dt$  are the normalized Haar measures on  $G, T$ , respectively. Here, for  $H \in \mathfrak{t} = (-1)^{1/2}\mathfrak{h}_{\mathbf{R}} = \text{Lie}(T)$ ,

$$\Delta(\exp H) = \prod_{\alpha>0} \left( e^{\alpha(H)/2} - e^{-\alpha(H)/2} \right) = \sum_{s \in \mathfrak{w}} \det(s) e^{(s\rho)(H)} \quad (H \in \mathfrak{t}),$$

where  $\rho$  is, as usual, half the sum of positive roots. Using this formula in conjunction with the orthogonality relations in a stunning fashion, Weyl obtained his famous formula for the characters of the irreducible representations which showed right away that every dominant integral linear form is a highest weight. If  $\lambda$  is the highest weight, then the character  $\Theta_\lambda$ , and the dimension of the irreducible representation  $I_\lambda$  with highest weight  $\lambda$ , are given by (for dimension we let  $H \rightarrow 0$ )

$$\Theta_\lambda(\exp H) = \frac{\sum_{s \in \mathfrak{w}} \det(s) e^{(s(\lambda+\rho))(H)}}{\sum_{s \in \mathfrak{w}} \det(s) e^{(s\rho)(H)}}, \quad \dim(I_\lambda) = \frac{\prod_{\alpha>0} (\lambda + \rho, \alpha)}{\prod_{\alpha>0} (\rho, \alpha)}.$$

The Weyl formulae remained the standard of beauty in the theory until they were joined by the Harish-Chandra formulae for the character and formal dimension of the representations of the discrete series of a real semisimple Lie group ([H2], Vol. III, 537–647).

**Real groups.** Cartan's theory of *symmetric spaces* [C], the first major advance in the theory of homogeneous spaces after Riemann's discovery of spaces of constant curvature, proved to be of fundamental importance for the real groups [He]. The noncompact symmetric spaces are of the form  $G/K$ , where  $G$  is a real semisimple Lie group and  $K$  is a maximal compact subgroup. The existence and uniqueness up to conjugacy of  $K$  is a special case of Cartan's theorem that a compact Lie group acting on a space of negative curvature has a fixed point. The decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ , is the setting for  $K$ . *Iwasawa* (1917–1998) who introduced the maximal abelian subspaces  $\mathfrak{a}$  of  $\mathfrak{p}$ , the root decomposition of  $\mathfrak{g}$  with respect to  $\mathfrak{a}$ , and the *Iwasawa decomposition* of  $G$ , which are fundamental for the structure of real semisimple Lie groups [I]. The roots form a root system which need not always be reduced (twice a root can be a root). The theory of the parabolic subalgebras and subgroups that derive from it, are an essential foundation for the harmonic analysis on real semisimple Lie groups [He], [Kn].

## 5. MODERN DEVELOPMENTS

Nowadays groups with additional structures are viewed as group objects in categories. One starts with a Lie group  $G$  of whatever category one wants to be in and associates its Lie algebra  $\text{Lie}(G)$  to get a *functor*  $G \mapsto \text{Lie}(G)$ ; the fundamental theorems of Lie amount to studying how close this functor comes to being an equivalence of categories. It was only after the appearance of Chevalley's great 1946 book, *Theory of Lie Groups* (Volume # 8 in the famous Princeton Series with

a dedication to Elie Cartan and Hermann Weyl and a blurb on the cover saying that “the reader need no longer be afraid of shrinking neighborhoods of the identity element!”), that the global view became accessible to the general mathematical public.

**Chevalley’s Princeton book.** In his book [Ch1] Chevalley developed all the major results: the construction of the Lie algebra of a Lie group, the exponential map, the subgroup–subalgebra correspondence, Von Neumann’s theorem that a closed subgroup of a real Lie group is a Lie group, and the fact that every  $C^\infty$  (in fact, every  $C^2$ ) Lie group is a real analytic Lie group; the analytic structure underlying the topology is unique because any continuous homomorphism between Lie groups is analytic. In addition he treated compact Lie groups in depth: complete reducibility of all representations, the Peter-Weyl completeness theorem, the Tannaka-Krein duality, the existence of a faithful finite-dimensional representation  $\sigma$ , and the theorem that every irreducible representation is contained in the tensor product of a number of copies of  $\sigma$  and its contragredient. This list does not indicate the originality of his treatment of these topics. For instance, he had to extend the notion of Lie subgroups to include the cases when the subgroup is not closed and its topology and smooth structures are not induced by the ambient group. He constructed the subgroup and its cosets as the maximal global integral manifolds of the involutive distribution on the group defined by the subalgebra, in the process giving the first global treatment of the Frobenius theorem of integrability of involutive distributions. In the Tannaka duality he proved that there is a unique *complex Lie group* of which the given compact Lie group is a real form, thereby giving an entirely new perspective on the Weyl correspondence between compact and complex groups. Chevalley’s theorem is the beginning of the *Tannakian point of view* that reconstructs an algebraic group from the tensor category of its finite-dimensional modules [D]. For Chevalley, the ring of matrix elements of a compact Lie group is a reduced finitely generated algebra with a Hopf algebra structure, and its spectrum is the complex semisimple group enveloped by the compact group, thus foreshadowing the point of view of quantum groups which arose almost forty years later.

Perhaps some remarks on the *fifth problem of Hilbert* are in order here. Hilbert, motivated by his insights into foundations of geometry, felt that the condition of differentiability in the definition of a Lie group was a deficiency, and proposed the problem of proving that any topological group which is locally homeomorphic to a manifold, must be a Lie group. The problem was eventually solved in the affirmative by the efforts of Gleason, Iwasawa, Montgomery-Zippin, Yamabe, and Lazard (in the  $p$ -adic case) (see [MZ], [Laz]) after partial solutions by Von Neumann (compact groups), and Chevalley (solvable).

**Linear algebraic groups and the classification of simple groups over an algebraically closed field of arbitrary characteristic.** Chevalley himself, along with *Armand Borel* (1923–2003), was a central player in the next great development of Lie theory, the theory of *linear algebraic groups in arbitrary characteristic*. Chevalley’s initial attempts (in Tomes II and III of [Ch1]) did not go very far because they were tied to the exponential map. But the work [B1] of Borel, which used only global methods based on algebraic geometry, changed the picture dramatically. Starting from Borel’s work, Chevalley went forward (by “analytic continuation” in

his own words) to the classification of semisimple algebraic groups and their representations [Ch2], [Ch3]. He discovered the remarkable fact that complex semisimple groups form group schemes over  $\mathbf{Z}$ , so that one can tensor them with any field to produce algebraic semisimple groups over that field. If the field is algebraically closed, this procedure will yield essentially *all* semisimple algebraic groups. If the field is finite, one will get *new finite simple groups* beyond those first studied by Dickson [Di]. For algebraic groups [B2] and [Sp1] are good sources; the book by Borel was profoundly influential in the development of the subject. For the theory of the *Chevalley groups*, see [St1]. Chevalley's original papers and articles are available in [Ch2], [Ch3]. For a simpler proof that isomorphic root data determine isomorphic groups, see [St3].

**Reductive groups over arbitrary fields.** The Chevalley groups are *split*, i.e., they have a maximal torus split over the ground field. The theory of roots of reductive groups which are not split was carried out by Borel and Tits [BT] and is fundamental for rationality questions. The subgroups  $P$  that contain the Borel subgroups are the *parabolic subgroups*. The associated homogeneous spaces  $G/P$  are the *flag manifolds* which are the only projective homogeneous spaces for the semisimple groups. The representation theory of semisimple groups is thus tied up intimately with the geometry and analysis of these flag spaces. The terminology derives from the fact that for  $G = \mathrm{SL}(n)$  they are the spaces of actual flags. In this case the maximal parabolic subgroups are the ones that leave a fixed subspace invariant, and so we get the Grassmannians. The geometry of the parabolic subgroups in the general case is thus a far-reaching generalization of classical projective geometry (Tits geometries) [FdV].

The group of  $K$ -points of a semisimple group defined over  $K$ , a  $p$ -adic field, is locally compact and second countable, and its structure is important for its infinite-dimensional representation theory. Maximal compact subgroups (for example,  $\mathrm{GL}(n, \mathbf{Z}_p) \subset \mathrm{GL}(n, \mathbf{Q}_p)$ ) exist, but they are not always conjugate. The structures have a strong combinatorial component ("buildings") [BrT]. For the basics of the *general* theory of Lie groups over all local fields, see [S2].

**The irreducible representations.** For the geometer, irreducible representations arise from the Borel-Weil-Bott picture of the cohomology of line bundles over the flag manifold. Over  $\mathbf{C}$  the setting is that of the flag manifold  $F = G/B = U/T$ . Here  $G$  is a simply connected complex semisimple group,  $B$  is a Borel subgroup of  $G$ ,  $U$  is a compact form of  $G$ , and  $T$  is a maximal torus of  $U$  with  $T = U \cap B$ . Then the characters of  $T$ , which can be identified with algebraic characters of  $B$ , give rise to line bundles on  $F$ . The resulting action of the groups  $G$  or  $U$  on the cohomologies of the line bundles gives rise to irreducible representations [Bo].

**Super Lie groups.** The notion of a super manifold was created by physicists in the 1970's. Confronted with the failure to erect divergence-free quantum field theories, they suggested that this was partly due to the failure of conventional pictures of space-time in ultra-small regions. In particular they conceived of the idea that the local algebras of space-time must be  $\mathbf{Z}_2$ -graded (=super) algebras that reflect the fermionic structure of matter (isomorphic to  $C^\infty(x_1, \dots, x_p, \xi_1, \dots, \xi_q)$ , where  $x_i$  are the usual commutative local coordinates and the  $\xi_j$  are Grassmann variables). The *super Lie groups* are the group objects in the category of super manifolds. In the theory of super Lie groups, one is forced to use the viewpoints of the theory



of *group schemes* systematically [DM], [V4], [Wat]. For *unitary representations* of super Lie groups from this point of view, with applications to super particle classification, see [CCTV].

Almost immediately after the discovery of super symmetry, some special super Lie algebras were also discovered by physicists (super Poincaré,  $\mathfrak{sl}(4|1)$ , see [V4]). Kac [Ka2] then obtained a classification of the simple super Lie algebras.

**Quantum groups.** The notion of a *quantum group* arose from the idea that quantum mechanics is a *deformation* of classical mechanics, namely, there is an essentially unique deformation of the Lie algebra of smooth functions on phase space with the *Poisson Bracket* [Mo] [BFFLS]. Given this point of view, it is natural to ask whether the symmetry groups of classical geometry can also be deformed into interesting objects. In the 1980's such a theory of deformations emerged, under the impulses of several groups of people. Since classical semisimple Lie algebras are classified by *discrete data*, they are *rigid*. So, in order to deform them, one must enlarge the category. The idea is to work in the wider category of general Hopf algebras [Dr], [Wo]. For thorough accounts with full references, see [CP], [Kas], [Lu].

**Infinite-dimensional representations of semisimple Lie groups and Lie algebras.** In order to complete this bird's eye view of the subject, I would like to add a few remarks on infinite-dimensional representation theory. The beginnings of this theory go back to the work of *Bargmann* (1908–1989), *Gelfand* (1913–) and *Naimark* (1909–1978) (see [V2]). In the early 1950's Harish-Chandra began his monumental study of the representations of all *real* semisimple Lie groups. His work led to a categorical equivalence between unitary irreducible representations of  $G$  and certain modules of the Lie algebra, and to the existence of a *character*, nowadays called the *Harish-Chandra character*, for irreducible unitary representations. The character is a *distribution* on the group; it is the sum, in the weak topology of distributions, of the diagonal matrix coefficients, it determines the representation, and it is an eigen-distribution for the algebra of bi-invariant differential operators on the group. By a deep study of these distributions, Harish-Chandra constructed the representations of the *discrete series* (the building blocks of infinite-dimensional representation theory) by *explicitly constructing their characters*. The Harish-Chandra formulae for the character and formal degree of the discrete series representations reduce to Weyl's when the group is compact.

There are many expositions of Harish-Chandra's work and other aspects of the theory beside the original papers [H2], for instance [V5], [Wa1] [Wa2] and the reviews by Wallach and by Howe in [H2], Vol. 1. For algebraic aspects, see [EV], [E], [EW], [Z]; for geometric methods, see [AS], [Sch], [HS]. For the  $p$ -adic groups, the theory is still incomplete because the discrete series has not been completely constructed. If the ground field is *finite*, the groups are finite and their *complex* representations become interesting. Their theory is deeply influenced by the theory over reals and  $p$ -adics. In particular one can speak of the discrete series [Ha], [Sp2] and the Whittaker series of Gelfand-Graev (see [St1]). The general theory needs a deep use of algebraic geometry [DL].

## 6. PROCESI'S BOOK

Procesi's book touches on almost all topics discussed above, with a great deal of emphasis on invariant theory. This is a huge task, reflected certainly in the length of the book (600 pages). The book is constructed on two tracks: the foundational

material, and its application to invariant theory. Here is a brief summary of topics treated in the book.

Chapter 4 treats basic aspects of Lie groups and Lie algebras. The existence of a Lie group corresponding to a given real Lie algebra  $\mathfrak{g}$  is done using Ado's theorem ( $\mathfrak{g} \hookrightarrow \mathfrak{gl}(n)$  for some  $n$ ), and is proved later in Chapter 10. The treatment of subgroups and homogeneous spaces is standard. This chapter also includes the basic theorems due to Lie, Engel, and Cartan.

Chapters 5 and 6 deal with tensors and general linear algebra: bilinear forms, Clifford algebras, spin groups. The theory of representations of finite-dimensional associative algebras is done in detail. The spin exact sequence for  $SO(n)$  is constructed. Universal enveloping algebras are introduced, and the Poincaré-Birkhoff-Witt theorem is proved.

Chapter 7 is a first look at linear algebraic groups. The category of affine varieties is introduced, and algebraic groups are singled out as group objects in it. Reductive and semisimple groups are defined. Borel subgroups are defined, and their conjugacy is proved by Borel's fixed point argument. That the flag variety is projective, which is needed in this proof, is proved in a later chapter.

Chapter 8 focuses mainly on compact groups. The Peter-Weyl and the Tannaka-Krein theorems are proved, and compact  $\leftrightarrow$  complex correspondence is established via Hopf algebras.

Chapter 9 begins deeper incursions into representations and invariants. The *Schur-Weyl duality* between representations of  $\mathfrak{S}_n$  and  $GL(n)$ , the FFT for  $GL(n)$ , and branching rules (restriction from  $GL(n)$  to  $GL(n-1)$ ) are treated in depth. Of course Young diagrams and symmetrizers play a central role. For Weyl's account of these things, which also has quantum mechanical motivations, see [W3].

Chapters 10 and 11 contain the main results on semisimple Lie groups, their invariants and representations, and the Weyl integration and character formulae for classical groups. For complete reducibility, the proof given is essentially that in [CW] but as modified by Chevalley [Ch1, Tome III]. The vanishing of  $H^2(\mathfrak{g})$  is proved and used to deduce the Levi splitting of an arbitrary Lie algebra. The standard theory of roots is developed and used to classify simple Lie algebras. Borel subgroups as parabolic subgroups and the Bruhat decomposition are treated.

Chapter 13 has a detailed study of flag varieties and their projective imbeddings and the ideal of the ring of polynomials that vanish on the images of these imbeddings. Standard monomial theory is examined. The theory of invariants for the general and special linear groups in all characteristics is developed.

Chapters 14 and 15 deal with the Hilbert-Mumford theory of invariants of actions of reductive groups on projective spaces. Binary forms, the subject of much of classical invariant theory, are treated in detail.

This summary should make it clear that the author has attempted to paint a huge canvas and has succeeded in doing it. I especially like the way some of the big theorems are discussed, in special cases and by elementary arguments, before the big guns are brought in. I have, however, some reservations: there could have been more motivation, and certainly there should have been more acknowledgment of the great masters who created the subject; for instance, I could not find any reference to Harish-Chandra. But the book gives a wealth of information about invariant theory, both classical and modern, which is difficult to get from other sources. I recommend it strongly for any reader who wants to learn, in depth, about Lie groups, their representations and invariants.

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