

ERRATUM TO  
"THE STRUCTURE OF RATIONAL AND RULED  
SYMPLECTIC 4-MANIFOLDS"

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Francois Lalonde pointed out that Theorem 1.3 in [2] about the structure of symplectic  $S^2$ -bundles needs an extra hypothesis. The argument which proves uniqueness works only for a restricted range of cohomology classes, and the condition  $a^2(V) > (a(F))^2$  need not hold when  $M$  has genus  $> 0$ . The mistake occurred in Lemma 4.15 where I failed to realise that the integral of the form  $\rho$  over the section  $\Gamma$  is not zero in general, but depends on the homology class of  $\Gamma$ . Thus this Lemma holds only under certain restrictions on  $[\omega]$ .

The methods developed in [2] suffice to prove the following theorems which replace Theorem 1.3, Corollary 1.5(iii), and Proposition 4.17: for complete details, see [3]. We will say that two symplectic forms  $\omega_0$  and  $\omega_1$  are pseudo-isotopic if they may be joined by a family of not necessarily cohomologous symplectic forms.

**Theorem 1.** *Let  $\omega$  be a symplectic form on  $M \times S^2$  which is compatible with the projection onto the Riemann surface  $M$ .*

- (i) *If  $M$  is  $S^2$  or  $T^2$ ,  $\omega$  is isotopic to a split form.*
- (ii) *If  $M$  has genus  $g > 1$ , the statement in (i) holds provided that  $\mu_1 > q\mu_2$ , where  $\mu_1 = \omega(M \times \text{pt})$ ,  $\mu_2 = \omega(\text{pt} \times S^2)$  and  $q = [\frac{g}{2}]$ .*
- (iii)  *$\omega$  is always pseudo-isotopic to a split form. Moreover, it is isotopic to a split form iff it has a symplectic section in class  $[M \times \text{pt}]$ .*

In the case of the nontrivial  $S^2$ -bundle  $V_M$  over  $M$ , we write  $\{b_-, b_+\}$  for the basis of  $H^2(M)$  dual to the homology basis  $\{[M_-], [M_+]\}$  where  $M_-$  (resp.  $M_+$ ) is a section with self-intersection  $-1$  (resp.  $+1$ ).

**Theorem 2.** (i) *When  $M = S^2$ , the class  $a = \mu_+ b_+ + \mu_- b_-$  may be represented by a compatible symplectic form on  $V_M$  only if  $\mu_+ > \mu_- > 0$ . Moreover, up to isotopy there is a unique such form in each class.*

(ii) *If  $M$  has genus  $g > 0$ , every class with  $\mu_+ > |\mu_-| > 0$  has a compatible symplectic representative. There is a unique form up to isotopy in each class such that  $q\mu_- > (q-1)\mu_+$ , where  $q = [\frac{g+1}{2}]$ .*

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Received by the editors May 4, 1992.

The author was partially supported by NSF grant DMS 9103033.

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0894-0347/92 \$1.00 + \$.25 per page

(iii) *All these forms are pseudo-isotopic.*

Other inaccuracies:

1. In Lemma 4.9 one must assume that  $\omega_0 = \omega_1$  at the point  $S_L \cap F$ . Similarly in Proposition 4.18. A corrected version of Proposition 4.18 is given in [3].

2. The proof of Lemma 4.16 is not quite right because the complex structure  $J'_1$  has too many cusp-curves. However, this is easy to rectify: one just has to replace  $J'_1$  by a generic integrable  $J$ . This is discussed in detail in [3].

3. The proof of (5.5) is inadequate because when I was considering blowing down I did not allow for the possibility that the intersection number  $C \cdot \Sigma$  might be  $\geq 2$ , so that the blow down of  $C$  would no longer be embedded. The given arguments prove that the category under consideration is closed under pseudo-isotopy, under blowing up, and under blowing down when  $C \cdot \Sigma \leq 1$ . When  $C \cdot \Sigma \geq 2$ , we reduce to the corresponding result in the integrable case as follows. Let  $(\bar{V}, \bar{\omega})$  be a minimal reduction of  $(V, \omega)$  obtained by blowing down a family of exceptional spheres  $\Sigma_i$  which includes  $\Sigma$ . Then  $C$  descends to an immersed  $J$ -holomorphic sphere in  $\bar{V}$  which is not embedded. If  $\bar{V}$  were an  $S^2$ -bundle over a Riemann surface  $M$  of genus  $> 0$  the projection of  $C$  onto  $M$  would be a map of positive degree, which is impossible. Therefore,  $(\bar{V}, \bar{\omega})$  is rational, and hence Kähler. Furthermore, there is a Kähler form  $\omega'$  on  $V$  which is pseudo-isotopic to  $\omega$ . (Take  $\omega'$  so that its integral over the  $\Sigma_i$  is small.) By deforming  $\omega'$  further, we may assume that the Kähler manifold  $(V, \omega')$  is a blow-up of  $\mathbb{C}P^2$  rather than  $S^2 \times S^2$ . By Lemma 3.1, the homology class  $[\Sigma]$  is represented by a  $J$ -holomorphic curve or cusp-curve, for each  $\omega'$ -tame  $J$ . If we choose  $J$  to be integrable and good and generic in the sense of [1, Ch. III], then  $[\Sigma]$  must be represented by a curve  $\Sigma_J$ . Then  $\Sigma_J$  is isotopic to  $\Sigma$ , and, because our category is closed under pseudo-isotopy, it suffices to show that the complex surface obtained from  $V$  by blowing down  $\Sigma_J$  contains a suitable embedded rational curve. But this follows from the Castelnuovo criterion.

## REFERENCES

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