REPRESENTATIONS OF AFFINE HECKE ALGEBRAS AND BASED RINGS OF AFFINE WEYL GROUPS

NANHUA XI

It is known that an interesting part of the study of the representation theory of p-adic groups can be reduced to the study of the representation theory of affine Hecke algebras [B, V]. Let \((W, S)\) be an extended affine Weyl group and \(H_{k,q_0}\) the corresponding Hecke algebra over a field \(k\) with a nonzero parameter \(q_0 \in k\). When \(k\) is the complex numbers field and \(q_0\) is not a root of unity, a classification of simple representations of \(H_{k,q_0}\) was established in [KL2] (Deligne-Langlands-Lusztig classification). For affine type \(A\), a classification of simple representations of \(H_{k,q_0}\) was obtained in [AM] for any \(q_0\) and arbitrary sufficiently large \(k\). When \(k\) is algebraically closed and has positive characteristic, the representations of \(H_{k,q_0}\) were studied by Vignéras, as part of her study of modular representations of p-adic groups [V]. In this paper we shall verify a conjecture of Lusztig [L6, 7(a)] by means of the based ring of an extended affine Weyl group (Theorem 3.3). The conjecture says that if the parameter \(q_0\) is not a root of the corresponding Poincaré polynomial, then the classification established in [KL2] remains valid. The restriction is necessary for the classification; see Remark 3.4 (a).

1. Extended affine Weyl groups and their Hecke algebras

1.1. Let \(G\) be a connected reductive group over the field \(C\) of complex numbers with simply connected derived group and \(T\) a maximal torus of \(G\). Let \(N_G(T)\) be the normalizer of \(T\) in \(G\). Then \(W_0 = N_G(T)/T\) is a Weyl group, which acts on the character group \(X = \text{Hom}(T, C^*)\) of \(T\). The semi-direct product \(W = W_0 \ltimes X\) is called an extended affine Weyl group. We shall denote by \(S\) the set of simple reflections of \(W\).

Denote by \(H_{k,q_0}\) the Hecke algebra of \((W, S)\) over an arbitrary field \(k\) with a nonzero parameter \(q_0 \in k\). We shall assume that \(k\) contains the square roots of \(q_0\). The following result is due to J. Bernstein; see [L1, Theorem 8.1] for a proof.

(a) The center \(Z\) of \(H_{k,q_0}\) is a finitely generated \(k\)-algebra and \(H_{k,q_0}\) is a finitely generated \(Z\)-module.

The following result was proved in [KL2] Proof of Prop. 5.13 when \(k\) is uncountable, by using an argument of Dixmier.

**Proposition 1.2.** Any simple \(H_{k,q_0}\)-module is finite dimensional.

**Proof.** Let \(M\) be a simple \(H_{k,q_0}\)-module and \(D = \text{End}_{H_{k,q_0}}M\). Then \(D\) is a division ring. For \(z\) in \(Z\), let \(f_z : M \rightarrow M, m \mapsto zm\). Then \(f_z\) is in \(D\) and the map

\[f_z \mapsto zm\]

is an automorphism of \(M\). This shows that \(M\) is finite dimensional.
Let $f : Z \rightarrow D$, $z \rightarrow f_z$ is a homomorphism of $k$-algebras. Let $Y = f(Z)$. By section 1.1 (a), $Y$ is a finitely generated $k$-algebra. We only need to show that each element in $Y$ is algebraic over $k$.

Let $r$ be the transcendency degree of $Y$ over $k$. By the Noether normalization theorem, there are elements $y_1, ..., y_r$ in $Y$ such that $Y$ is integral over $k[y_1, ..., y_r]$.

We need to show that $r$ is zero. Assume that $r \geq 1$. Note that $y_1^{-1}$ is not in $Y$ since $y_1, ..., y_r$ are algebraically independent and $Y$ is integral over $k[y_1, ..., y_r]$. By section 1.1 (a), $M$ is a finitely generated $Z$-module. Let $v_1, ..., v_g$ be elements in $M$ which generate $M$ as a $Z$-module. Choose $x$ in $Z$ such that $f_x = y_1$. Since $y_1$ is invertible in $D$, we can find $u_i$ in $M$ such that $v_1 = xu_i$ for all $i$. Let $u_i = \sum_j \xi_j v_j$, $\xi_j \in Z$. Set $\eta_{ji} = x\xi_{ji}$ if $j \neq i$, and $\eta_{ii} = 1 - x\xi_{ii}$. Then we have $\det(\eta_{ij})v_i = 0$ for all $i$. But $\det(\eta_{ij}) = 1 - xz$ for some $z$ in $Z$. Thus $f_1 - xz = 1 - f_x f_z = 1 - y_1 f_z = 0$. This implies that $y_1$ is invertible in $Y$ and leads to a contradiction. Therefore we must have $r = 0$. The proposition is proved. \qed

\section{a-function and based ring}

In this section we will see that the simple $J_k$-modules and simple $H_{k,qo}$-modules have a nice relationship.

\subsection{a-function and based ring}

We refer to [L2] 2.1 and [L3] 2.3 for the definitions of the function $a : W \rightarrow N$ and of the based ring $J$ of $W$ respectively. Following [L3] we denote by $t_w$, $w \in W$ the basis elements of $J$. For each nonnegative integer $i$ we denote by $J^i$ the subgroup of $J$ generated by all $t_w$, $w$ with $a(w) = i$. Then $J^i$ is a two-sided ideal of $J$ and $J$ is the direct sum of all $J^i$. Set $J_k = J \otimes_k k$ and $J^i_k = J^i \otimes_k k$. Thus $J^i_k$ is a direct summand of $J_k$ and is also a $k$-algebra. By abusing notation we also write $t_w$ for $t_w \otimes 1$.

Let $C_w$, $w \in W$ be the Kazhdan-Lusztig basis of $H_{k,q_0}$ in [KL1, L4] and write $C_w C_u = \sum h_{w,u,v} C_v$, $h_{w,u,v} \in k$. Let $D$ be the set of distinguished involutions of $W$. The following properties are due to Lusztig; see [L3] 2.4 (a) and [L4] Prop. 1.7, Prop. 1.6 (i), (ii)].

(a) There is a well-defined homomorphism $\varphi : H_{k,q_0} \rightarrow J_k$ of $k$-algebras such that

$$\varphi(C_w) = \sum_{d \in D, w \in W \atop \alpha(d) = \alpha(w)} h_{w,d,u} t_u, \quad w \in W.$$

(b) The homomorphism $\varphi$ in (a) is injective. Thus $H_{k,q_0}$ can be regarded as a subalgebra of $J_k$ by means of $\varphi$.

(c) The center $Z(J_k)$ of $J_k$ is a finitely generated $k$-algebra and $J_k$ is a finitely generated $Z(J_k)$-module.

(d) There is a well-defined right $H_{k,q_0}$-module structure on $J^i_k$ such that

$$t_w C_u = \sum_{v \in W \atop \alpha(v) = \alpha(u)} h_{w,u,v} t_u.$$

In this way, $J^i_k$ becomes a $J_k$-$H_{k,q_0}$-bimodule. See [L4] 1.4 (b)].

The following result was proved by Lusztig [L4] Prop. 1.6 (iii) provided that $k$ is uncountable.

\begin{lemma}
Any simple $J_k$-module is finite dimensional.
\end{lemma}
2.3. Let $\mathcal{E}$ be a $J_k$-module through the homomorphism $\varphi$, it is endowed with an $H_{k,\mathfrak{q}_0}$-module structure. We denote the $H_{k,\mathfrak{q}_0}$-module by $E_\varphi$. **Convention:** For any subset $N$ of $E$ and any subset $L$ of $H_{k,\mathfrak{q}_0}$, we often write $LN$ for $\varphi(L)N$. Thus, as a set the notation $LN$ is unambiguous, no matter whether $N$ is regarded as a subset of $E$ or as a subset of $E_\varphi$.

For each simple $J_k$-module $E$, there is a unique $i$ such that $J_i^1 E = E$. We define $a(E)$ to be $i$. For an integer $i$, we denote by $H_{k,\mathfrak{q}_0}^{\geq i}$ (resp. $H_{k,\mathfrak{q}_0}^{\leq i}$) the subspace of $H_{k,\mathfrak{q}_0}$ spanned by all $C_w$ with $a(w) \geq i$ (resp. $a(w) > i$). Both $H_{k,\mathfrak{q}_0}^{\geq i}$ and $H_{k,\mathfrak{q}_0}^{\leq i}$ are two-sided ideals of $H_{k,\mathfrak{q}_0}$. For each $H_{k,\mathfrak{q}_0}$-module $M$ we then define $a(M)$ to be $i$ if $H_{k,\mathfrak{q}_0}^{\geq i} M \neq 0$ but $H_{k,\mathfrak{q}_0}^{\leq i} M = 0$.

Let $M$ be an $H_{k,\mathfrak{q}_0}$-module with $a(M) = i$. We define $\tilde{M}$ to be $J_i^1 \otimes_{H_{k,\mathfrak{q}_0}} M$; here we regard $J_i^1$ as a $J_k$-$H_{k,\mathfrak{q}_0}$-bimodule as in section 2.1 (d). Then $\tilde{M}$ is a $J_k$-module. There is a natural homomorphism of $H_{k,\mathfrak{q}_0}$-modules $p : \tilde{M} \to M$, $t_w \otimes m \mapsto C_w m$. We have ([L4 Proof of Lemma 1.9]).

(a) When $M$ is simple, the map $p$ is surjective and $C_w \ker p = 0$ whenever $a(w) \geq a(M)$.

The following assertion is clear.

(b) Let $E$ be a simple $J_k$-module. Then $H_{k,\mathfrak{q}_0}^{>a(E)} E_\varphi = 0$. In particular, $a(M) \leq a(E)$ for any simple constituent $M$ of $E_\varphi$. Also for any subset $N$ of $E$ or $E_\varphi$, $H_{k,\mathfrak{q}_0}^{>a(E)} N$ is spanned by all $C_w N$, $w \in W$ with $a(w) = a(E)$.

**Lemma 2.4.** Let $E$ be a simple $J_k$-module and $N$ a submodule of $E_\varphi$ such that $C_w N \neq 0$ for some $w \in W$ with $a(w) = a(E)$. Regarding $N$ as a subset of $E$, then $H_{k,\mathfrak{q}_0}^{>a(E)} N = E$. In particular, $N = E_\varphi$ as $H_{k,\mathfrak{q}_0}$-modules.

**Proof.** Using section 2.3 (b) we know $a(N) = a(E)$. Thus $\tilde{N} = J_i^{a(E)} \otimes_{H_{k,\mathfrak{q}_0}} N$. We have a well-defined $k$-linear map

$$\theta : \tilde{N} \to E, \quad t_w \otimes v \mapsto \varphi(C_w)v.$$ 

Using [L3 2.4 (c)] we see that $\theta$ is a homomorphism of $J_k$-modules. Since $E$ is a simple $J_k$-module and $\theta(N) = H_{k,\mathfrak{q}_0}^{>a(E)} N \neq 0$, we must have $H_{k,\mathfrak{q}_0}^{>a(E)} N = E$. The lemma is proved.

**Lemma 2.5.** Let $E$ be a simple $J_k$-module. Then

(a) $E_\varphi$ has at most one simple constituent $M$ such that $a(M) = a(E)$.

(b) If $E_\varphi$ has a simple constituent $M$ such that $a(M) = a(E)$, then $M$ is a quotient module of $E_\varphi$.

(c) If $E_\varphi$ has a simple constituent $M$ such that $a(M) = a(E)$, then $M$ is the unique simple quotient module of $E_\varphi$.

**Proof.** Assume that $E_\varphi$ has a simple constituent $M$ such that $a(M) = a(E)$. Let $N_2 \subset N_1$ be two submodules of $E_\varphi$ such that the quotient module $N_1/N_2$ is $M$. Then $C_w N_1 \neq 0$ for some $w \in W$ with $a(w) = a(E)$. By Lemma 2.4 we have $N_1 = E_\varphi$. Since $H_{k,\mathfrak{q}_0}^{>a(E)}$ is a two-sided ideal, using Lemma 2.4 we see that $N_2 = \{v \in E_\varphi \mid H_{k,\mathfrak{q}_0}^{>a(E)} v = 0\}$.

(a) and (b) follow.
Now we argue for (c). Let \( N \) be a maximal submodule of \( E_\varphi \). Using Lemma 2.4 we see that \( N \) is a submodule of \( N_2 = \{ v \in E_\varphi \mid H_{k,q_0}^{\geq a(E)} v = 0 \} \). By the argument for (a) and (b), \( N_2 \) is a maximal submodule of \( E_\varphi \). Thus \( N = N_2 \) and \( E_\varphi / N = M \) is the unique simple quotient module of \( E_\varphi \).

The lemma is proved. \( \square \)

**Corollary 2.6.** Let \( E \) be a simple \( J_k \)-module. Then \( E_\varphi \) has a simple constituent \( M \) with \( \alpha(M) = \alpha(E) \) if and only if \( C_w E_\varphi \neq 0 \) for some \( w \) with \( \alpha(w) = \alpha(E) \). In this case \( E_\varphi \) has a unique maximal submodule.

**Proof.** The “only if” part is obvious. Now we prove the “if” part. Assume that \( E_\varphi \) had no simple constituent \( M \) with \( \alpha(M) = \alpha(E) \). Let \( N \) be a maximal submodule of \( E_\varphi \). Then \( E_\varphi / N \) is simple. By assumption and section 2.3 (b), we have \( \tilde{H}_{k,q_0}^{\geq a(E)} E_\varphi \subset N \). However, \( C_w E_\varphi \neq 0 \) for some \( w \) with \( \alpha(w) = \alpha(E) \). By Lemma 2.4 we have \( \tilde{H}_{k,q_0}^{\geq a(E)} E_\varphi = E_\varphi \). This is a contradiction. The corollary is proved. \( \square \)

**Lemma 2.7.** Let \( E \) and \( E' \) be two simple \( J_k \)-modules. Assume that \( E_\varphi \) (resp. \( E'_\varphi \)) has a simple quotient \( M \) (resp. \( M' \)) such that \( \alpha(M) = i \) (resp. \( \alpha(M') = i \)). Then \( M \) is isomorphic to \( M' \) if and only if \( E \) is isomorphic to \( E' \).

**Proof.** Let \( \pi : E_\varphi \rightarrow M \) be the natural projection. Since \( \tilde{H}_{k,q_0}^{\geq i} E_\varphi \neq 0 \), by section 2.3 (b) we have \( \tilde{E_\varphi} = J_k \otimes_{H_{k,q_0}} E_\varphi \). For simplicity, we shall write \( \tilde{E} \) for \( \tilde{E_\varphi} \). There are two well-defined \( k \)-linear maps

\[
p' : \tilde{E} \rightarrow \tilde{M}, \quad t_w \otimes v \rightarrow t_w \otimes \pi(v),
\]

\[
\theta : \tilde{E} \rightarrow E, \quad t_w \otimes v \rightarrow \varphi(C_w)v.
\]

Clearly \( p' \) is a homomorphism of \( J_k \)-modules. According to the proof of Lemma 2.4, \( \theta \) is also a homomorphism of \( J_k \)-modules. Obviously we have \( \pi \theta = pp' \) (see section 2.3 for the definition of \( p : \tilde{M} \rightarrow M \)).

Since \( p' \) is a surjection, the homomorphism \( p' \) induces a surjective homomorphism of \( J_k \)-modules, \( \tilde{p'} : \tilde{E}/\ker \theta \rightarrow \tilde{M}/p'(\ker \theta) \). As \( J_k \)-modules, \( \tilde{E}/\ker \theta \) is isomorphic to \( E \), since \( E \) is simple and \( \theta(\tilde{E}) = \tilde{H}_{k,q_0}^{\geq i} E \neq 0 \). Thanks to \( \pi \theta = pp' \), we know that \( p'(\ker \theta) \) is in the kernel of \( p \). By section 2.3 (a), \( \ker p \subset \tilde{M} \), so \( p' \) is an isomorphism of \( E \) and \( E' \) is isomorphic to \( \tilde{M}/p'(\ker \theta) \).

By section 2.3 (a), \( \tilde{H}_{k,q_0}^{\geq i} \ker p = 0 \); hence we have \( \tilde{H}_{k,q_0}^{\geq i} p'(\ker \theta) = 0 \). Thus \( E \) can be characterized as the unique simple constituent \( F \) of the \( J_k \)-module \( \tilde{M} \) such that \( \tilde{H}_{k,q_0}^{\geq i} F \neq 0 \).

As a consequence, if \( M \) is isomorphic to \( M' \), then \( E \) must be isomorphic to \( E' \). The lemma is proved. \( \square \)

**Corollary 2.8.** Assume that for each simple \( J_k \)-module \( E \), the \( H_{k,q_0} \)-module \( E_\varphi \) has a simple constituent \( M \) with \( \alpha(M) = i \). Then both of the \( J_k \)-modules \( \tilde{E} \) and \( \tilde{M} \) are isomorphic to \( E \).

**Proof.** By Lemma 2.5 (c), \( M \) is the unique simple quotient of \( E_\varphi \). Note that \( J_k^r \tilde{E} = 0 \) if \( r \neq i \) (recall that \( \tilde{E} \) stands for \( \tilde{E_\varphi} \)). Let \( \theta : \tilde{E} \rightarrow E \) be as in the proof of Lemma 2.7. As in the proof of [**I.A**] Lemma 1.9, one may check that \( C_w \ker \theta = 0 \) whenever \( \alpha(w) \geq i \). If \( \ker \theta \neq 0 \), then by assumption, \( C_w \ker \theta \neq 0 \) for some \( w \) with \( \alpha(w) = i \). This yields a contradiction. Therefore \( \ker \theta = 0 \) and as \( J_k \)-modules,
\( \tilde{E} \) is isomorphic to \( E \). By the proof of Lemma 2.7 we know that \( \tilde{E} \) and \( \tilde{M} \) are isomorphic in this case. The corollary is proved. \( \square \)

3. MAIN RESULTS

In this section we give our main results.

Denote by \( W^I \) the subgroup of \( W \) generated by a subset \( I \) of \( S \) and call it a parabolic subgroup. Let \( J_k^I \) be the subspace spanned by all \( t_w, w \in W^I \).

**Theorem 3.1.** Assume that \( \text{char } k = 0 \). Then as a two-sided ideal, \( J_k \) is generated by all \( J_k^I \) for all finite parabolic subgroups \( W^I \).

**Proof.** According to [L5, Theorem 4.2] and [L5, Theorem 6.7(a2)], for any simple \( J_C \)-module \( E \), we can find a finite parabolic subgroup \( W^I \) of \( W \) such that the action of \( J_C^I \) on \( E \) is nonzero. This implies that as a two-sided ideal, \( J_C \) is generated by all \( J_C^I \) for all finite parabolic subgroups \( W^I \). With respect to the basis \( \{ t_w | w \in W \} \), the structure constants of \( J_k \) are in \( \mathbb{N} \) if \( \text{char } k = 0 \). The theorem follows. \( \square \)

When \( q_0 \) is not a root of unity, the following result was proved by Lusztig [L4, Theorem 3.4], except for the uniqueness in (a).

**Theorem 3.2.** Assume that \( \text{char } k = 0 \) and \( \sum_{w \in W^I} q_{0}^{(w)} \neq 0 \) (\( l \) is the length function of \( W \)). Then

(a) for each simple \( J_k \)-module \( E \), the \( H_{k,q_0} \)-module \( E_{\varphi} \) has a unique simple constituent \( M \) such that \( a(M) = a(E) \). For other simple constituents \( M' \) of \( E_{\varphi} \), we have \( a(M') < a(E) \). The \( H_{k,q_0} \)-module \( M \) is the unique simple quotient of \( E_{\varphi} \). (The uniqueness is part of [L2] 9.10, Conjecture A1. The other part of the conjecture was proved in [L3].)

(b) Keep the notation in (a). The map \( E \rightarrow M \) defines a bijection between the isomorphism classes of simple \( J_k \)-modules and the isomorphism classes of simple \( H_{k,q_0} \)-modules.

**Proof.** Let \( W^I \) be a finite parabolic subgroup of \( W \). Since \( \sum_{w \in W^I} q_{0}^{(w)} \neq 0 \), it is easy to check that \( \sum_{w \in W^I} q_{0}^{(w)} \neq 0 \). Thus the subalgebra \( H_{k,q_0}^I \) of \( H_{k,q_0} \) generated by all \( C_w \) (\( w \in W^I \)) is semisimple [G1, Theorem 3.9]. Then the restriction of \( \varphi \) to \( H_{k,q_0}^I \) induces an isomorphism \( \varphi_I : H_{k,q_0}^I \rightarrow J_k^I \) [G2, Lemma 2.1]. The isomorphism \( \varphi_I \) sends \( C_w \) (\( w \in W^I \)) to a linear combination of \( t_u, u \in W^I \) with \( a(u) \geq a(w) \).

Now for each simple \( J_k \)-module \( E \), we can find a finite parabolic subgroup \( W^I \) such that \( J_k^I E \neq 0 \) (Theorem 3.1). Let \( N_1 = J_k^I E \) and \( N_2 = \{ v \in E | J_k^I v = 0 \} \).

Then \( E = N_1 \oplus N_2 \) and \( J_k^I N_1 = N_1 \). Moreover, for any \( v \) in \( N_1 \) and \( h \) in \( H_{k,q_0}^I \), we have \( \varphi(h)v = \varphi_I(h)v \). Let \( u \in W^I \) be such that \( t_u N_1 \neq 0 \). Then \( a(u) = a(E) \) and \( h = \varphi_I^{-1}(t_u) \) is a linear combination of \( C_w, w \in W^I \) with \( a(w) \geq a(E) \).

Now we have \( h N_1 = \varphi(h) N_1 = \varphi_I(h) N_1 = t_u N_1 \neq 0 \). Using section 2.3 (b) we can find an element \( w \in W^I \) such that \( a(w) = a(E) \) and \( C_w N_1 \neq 0 \). This implies that \( C_w E_{\varphi} \neq 0 \). By Corollary 2.6 and Lemma 2.5, we see that \( E_{\varphi} \) has a unique simple constituent \( M \) such that \( a(M) = a(E) \). Moreover, \( M \) is the unique simple quotient of \( E_{\varphi} \).

Using section 2.3 (b), we know that for other simple constituents \( M' \) of \( E_{\varphi} \), we have \( a(M') < a(E) \). Part (a) is proved.

Using section 2.3 (a) and Lemma 2.7 we see that (b) is true. \( \square \)
Theorem 3.3. Assume that $k = C$ and $\sum_{w \in W_0} q_0^{l(w)} \neq 0$. Then the classification of simple $H_{k,\varrho_0}$-modules in $[\text{KL2}]$ remains valid.

Proof. The theorem follows from $[\text{L5}, \text{Theorem 4.2}]$ and Theorem 3.2 (b). □

Remark 3.4. (a) When $\sum_{w \in W_0} q_0^{l(w)} = 0$, there are simple $J_C$-modules $E$ such that the $H_{k,\varrho_0}$-modules $E_\varphi$ have no simple constituents $M$ with $a(M) = a(E)$ $[\text{XI}, \text{Theorem 7.8}]$.

(b) A weaker result was proved in $[\text{X1}, \text{Theorem 6.6}]$.

(c) In $[\text{Gr}]$, Grojnowski announced a stronger result. The proof seems not to be available yet. The validity of the result will be commented on in a future work.

(d) For type $\tilde{A}_n$, rank 2 cases, the structure of the based ring $J$ is known explicitly $[\text{XI}, \text{X2}, \text{BO}]$. In these cases we can get a classification of simple $H_{k,\varrho_0}$-modules for any field $k$ containing square roots of $q_0$, by means of $J_k$. The result suggests that an analogue of the Deligne-Langlands-Lusztig classification of simple $H_{k,\varrho_0}$-modules remains true, provided that $k$ is algebraically closed and the subalgebra $H(W_0)_{k,\varrho_0}$ of $H_{k,\varrho_0}$ generated by all $C_w$ ($w \in W_0$) is semisimple. The details will appear elsewhere.

Acknowledgements

I am grateful to Professors T. Tanisaki and Y. Zhu for stimulating conversations. Part of the work was done during my visit to the Hong Kong University of Science and Technology and to the Osaka City University. I thank the universities for their hospitality and for financial support. I would like to thank the referee for very helpful comments.

References


INSTITUTE OF MATHEMATICS, CHINESE ACADEMY OF SCIENCES, BEIJING 100080, PEOPLE'S REPUBLIC OF CHINA

E-mail address: nanhua@math.ac.cn