COMPUTATION OF THE ZEROS OF $p$-ADIC $L$-FUNCTIONS

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Abstract. The authors have computed the zeros of the Kubota-Leopoldt $p$-adic $L$-functions $L_p(s, \chi)$ for some small odd primes $p$ and for a number of Dirichlet characters $\chi$. The zeros of the corresponding Iwasawa power series $f_\theta(T)$ are also computed. The characters $\chi$ (associated with quadratic extensions of the $p$th cyclotomic field) are chosen so as to cover as many different splitting types of $f_\theta(T)$ as possible. The $\lambda$-invariant of this power series, equal to its number of zeros, assumes values up to 8.

The article is a report on these computations and their results, including the required theoretical background. Much effort is devoted to a study of the accuracy of the computed approximations.

1. Introduction

This article is a report on our computations of the zeros of the Kubota-Leopoldt $p$-adic $L$-functions $L_p(s, \chi)$, where $p$ is an odd prime and $\chi$ a Dirichlet character. Some theoretical results about the zeros are also established.

Let $f_\theta(T)$ denote the Iwasawa power series representing $L_p(s, \chi)$; here $\theta$, the so-called first factor of $\chi$ (for $p$), is assumed to be nonprincipal. A major part of our work consists in computing the zeros of $f_\theta(T)$. These, besides being of interest in their own right, have a close relationship to the zeros of $L_p(s, \chi)$. They are both theoretically and computationally easier to treat than the latter; in particular, their number equals $\lambda_\theta$, the $\lambda$-invariant of the power series.

Several mathematicians have previously computed zeros of $L_p(s, \theta)$ and $f_\theta(T)$; see [7, 10, 11, 12, 13]. Our computations followed the same main lines as those by Wagstaff in [13]. However, apart from a few examples (in [13]), all the earlier computations deal with the case $\lambda_\theta = 1$, whereas we let $\lambda_\theta$ vary from 2 to 6. We also have partial results with $\lambda_\theta = 7$ and 8. The characters $\theta$ we used in the computations are mainly selected from our recent work [3]. They turned out to represent quite a great variety of different cases, as regards the splitting type and splitting field of $f_\theta(T)$. It was our intention to elaborate a systematic approach applicable to all of these cases, including a thorough study of the accuracy of the computed approximations.

In the material computed by us there are also many examples leading to zeros of $L_p(s, \chi)$ for characters $\chi \neq \theta$, i.e., for $\chi$ not of the "first kind". These
results, as well as some other results pertaining to more complicated cases, will be presented in the forthcoming Part II of the article.

The computations for the present research were performed by the first author. The second author did most of the theoretical work while spending the academic year 1988–89 at the Ohio State University in Columbus, Ohio. He would like to thank the Department of Mathematics at the OSU for its hospitality and the Academy of Finland for financial support.

2. Preliminaries

For an odd prime $p$ let us fix an embedding of the field of algebraic numbers into $\mathbb{C}_p$, the completion of an algebraic closure of the $p$-adic field $\mathbb{Q}_p$. Let $v_p$ denote the $p$-adic exponential valuation on $\mathbb{C}_p$, normalized so that $v_p(p) = X$.

The $p$-adic $L$-function $L_p(s, \chi)$ attached to a Dirichlet character $\chi$, always assumed primitive, was first introduced by Kubota and Leopoldt in [9]. Recall that $L_p(s, \chi)$ is a meromorphic function on $\mathbb{D}_s = \{ s \in \mathbb{C}_p : v_p(s) > -1 + \frac{1}{p-1} \}$ satisfying

$$L_p(1-m, \chi) = (1 - \chi_m(p) p^{m-1}) L(1-m, \chi_m) \quad (m = 1, 2, \ldots),$$

where $L(s, \chi)$ denotes the Dirichlet $L$-function and $\chi_m = \chi \omega^{-m}$, $\omega$ being the Teichmüller character mod $p$. In fact, $L_p(s, \chi)$ is analytic on $\mathbb{D}_s$, except that there is a pole at $s = 1$ when $\chi = 1$. We assume that $\chi$ is even, i.e., $\chi(-1) = 1$, since otherwise $L_p(s, \chi)$ vanishes identically.

As in the Introduction, let $\theta$ be the first factor of $\chi$. Write $\chi = \theta \psi_n$, where $\psi_n$ is of order $p^n$ ($n \geq 0$). Note that the conductor of $\theta$ is of the form $d$ or $dp$ with $d$ prime to $p$, and the conductor of $\psi_n$ equals $p^{n+1}$ (or 1 if $n = 0$).

Let $\mathcal{O}_\theta$ denote the ring of integers in the extension of $\mathbb{Q}_p$ generated by all the values of $\theta$. If $\theta$ is nonprincipal, there is a power series

$$f_\theta(T) = \sum_{j=0}^{\infty} a_j T^j \in \mathcal{O}_\theta[[T]]$$

such that

$$L_p(s, \chi) = f_\theta(\rho_n(1 + dp)^{-1} - 1)$$

with $\rho_n = \psi_n(1 + dp)^{-1}$. For $\theta = 1$, $f_\theta(T)$ is to be replaced by a quotient of two power series.

Assume that $\theta \neq 1$. Viewing $f_\theta(T)$ as a function of $T$ we see that it is analytic on the open unit disc

$$\mathbb{D}_T = \{ T \in \mathbb{C}_p : v_p(T) > 0 \}. $$

By the Ferrero-Washington theorem, $v_p(a_j)$ vanishes for some $j \geq 0$. Thus the $p$-adic Weierstrass preparation theorem enables one to write

$$f_\theta(T) = u_\theta(T)(b_0 + b_1 T + \cdots + b_{\lambda-1} T^{\lambda-1} + T^\lambda),$$

where $u_\theta(T)$ is an invertible power series in $\mathcal{O}_\theta[[T]]$, the coefficients $b_j$ belong to the maximal ideal of $\mathcal{O}_\theta$, and $\lambda = \lambda_\theta$ is the $\lambda$-invariant of $f_\theta(T)$,

$$\lambda_\theta = \min\{ j \geq 0 : v_p(a_j) = 0 \}. $$
From this it follows that $f_\theta(T)$ has exactly $\lambda$ zeros $T_1, \ldots, T_\lambda$ in $D_T$ (not necessarily distinct).

If $\theta = 1$, then

$$f_\theta(T) = \frac{g_\theta(T)}{1 - (1 + p)/(1 + T)}$$

with $g_\theta(T) \in \mathcal{O}_\theta[[T]]$, $g_\theta(T)$ invertible (e.g., [14, p. 125]). This formal identity of power series indeed gives an equation in $\mathbb{C}_p$ for every $T \in D_T$ except for $T = p$ (corresponding to the pole of $L_p(s, 1)$). Consequently, $f_\theta(T)$ has no zeros for $\theta = 1$, and also $L_p(s, \psi_n)$ is nonzero in $D_s$.

Henceforth we will exclude the case $\theta = 1$.

To determine the zeros of $L_p(s, \chi)$, we first compute the $\lambda$ zeros of $f_\theta(T)$. Hence, one has to understand the relationship between the zeros of these functions. This will be discussed in the next section.

3. Relationship between the zeros of $L_p$ and $f_\theta$

The results of this section are partly due to Childress and Gold [2]. Our presentation is somewhat different, however.

By (2.1), the mapping

$$\kappa_\chi : D_s \rightarrow D_T, \quad \kappa_\chi(s) = \rho_n(1 + dp)^s - 1$$

sends any zero of $L_p(s, \chi)$ to a zero of $f_\theta(T)$. To study $\kappa_\chi$ more closely, set

$$D_T^0 = \left\{ T \in \mathbb{C}_p : \nu_p(T) > \frac{1}{p - 1} \right\},$$

$$C_n = \left\{ T \in \mathbb{C}_p : \nu_p(T) = \frac{1}{(p - 1)p^n - 1} \right\} \quad (n = 1, 2, \ldots).$$

**Proposition 1.** Let $\chi = \theta \psi_n$. If $s \in D_s$, then

$$T = \kappa_\chi(s) \in \begin{cases} D_T^0 & \text{for } n = 0, \\ C_n & \text{for } n \geq 1. \end{cases}$$

**Proof.** Introducing the $p$-adic exponential and logarithm functions, we may write

$$T = \rho_n(\exp(s \log(1 + dp)) - 1) + \rho_n - 1.$$ 

Since

$$\nu_p(\exp(s \log(1 + dp)) - 1) = \nu_p(s \log(1 + dp)) = \nu_p(s) + 1 > \frac{1}{p - 1},$$

$$\nu_p(\rho_n - 1) = \frac{1}{(p - 1)p^n - 1} \quad (n = 1, 2, \ldots),$$

and since $\rho_0 = 1$, the assertion follows. $\Box$

Let $T_0$ be a zero of $f_\theta(T)$ in $D_T$. If there exists a character $\chi = \theta \psi_n$ and a zero $s_0$ of $L_p(s, \chi)$ such that $\kappa_\chi(s_0) = T_0$, we say that the zero $s_0$—or, more precisely, the pair $(\psi_n, s_0)$—corresponds to $T_0$. As observed by Washington [15, p. 351], such a pair $(\psi_n, s_0)$, if it exists, is unique. Indeed, an equation of the form

$$\rho_{n_1}(1 + dp)^{s_1} = \rho_{n_2}(1 + dp)^{s_2}$$

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implies, upon raising to the $p^{n_1+n_2}$ th power, that $s_1 = s_2$. Hence, moreover, 
$p_{n_1} = p_{n_2}$, and so $\psi_{n_1} = \psi_{n_2}$.

By Proposition 1, a necessary condition for the existence of a zero $s_0$ corresponding to $T_0$ is that $T_0$ lies in $D_T^0$ or on one of the $C_n$. The next propositions provide some sufficient conditions.

Remark. In [2] it is shown that there always exist zeros of more general $L$-functions corresponding to $T_0$ (in the above sense). These functions, $p$-adic $L$-functions over totally real fields, are not discussed in the present work.

**Proposition 2.** If $f_\theta(T_0) = 0$ and $T_0 \in D_T^0$, then there is a zero $s_0$ of $L_p(s, \theta)$ corresponding to $T_0$. In fact,

$$s_0 = \frac{\log(1 + T_0)}{\log(1 + dp)}.$$ 

**Proof.** Properties of the exp and log function imply that the mapping $\kappa_\theta: D_s \to D_T$ has the inverse

$$\kappa_\theta^{-1}: D_T^0 \to D_s, \quad \kappa_\theta^{-1}(T) = \frac{\log(1 + T)}{\log(1 + dp)}.$$ 

**Proposition 3.** Let $T_0 \in C_n$ be a zero of $f_\theta(T)$. There is a zero $s_0$ of some $L_p(s, \theta \psi_n)$ corresponding to $T_0$ if and only if $\log(1 + T_0)/\log(1 + dp) \in D_s$. If this is the case, then $\psi_n$ is determined by

$$\log(1 + T_0)/\log(1 + dp) \in D_s.$$ 

**Proof.** If $s_0$ corresponds to $T_0$, it follows from the equation $T_0 = \rho_n(1 + dp)s_0 - 1$ that $s_0$ satisfies (3.2). Thus, in particular, $\log(1 + T_0)/\log(1 + dp) \in D_s$.

Conversely, assume that $s_0 = \log(1 + T_0)/\log(1 + dp) \in D_s$. Then

$$\log((1 + dp)^s_0) = s_0 \log(1 + dp) = \log(1 + T_0),$$ 

and so, since $(1 + dp)^s_0$ and $1 + T_0$ are $p$-adic units,

$$(3.4) \quad 1 + T_0 = \zeta((1 + dp)^s_0) \quad (\zeta \text{ a root of } 1).$$

By writing this in the form

$$(3.5) \quad 1 - \zeta + T_0 = \zeta((1 + dp)^s_0 - 1)$$

and by using the fact that $T_0 \in C_n$, we find (cf. the proof of Proposition 1) that $v_p(1 - \zeta) = v_p(T_0) = 1/(p - 1)p^{n-1}$. Consequently, $\zeta$ is of order $p^n$, and we may set $\zeta = \rho_n = \psi_n(1 + dp)^{-1}$ for some character $\psi_n$. By (3.4), $L_p(s_0, \theta \psi_n) = f_\theta(T_0) = 0$. Moreover, by (3.5),

$$v_p(1 - \rho_n + T_0) = v_p((1 + dp)^s_0 - 1) > \frac{1}{p - 1}.$$ 

It remains to show that the inequality in (3.3) determines $\rho_n$ uniquely. Let $\rho'_n$ be any root of unity with $p$-power order such that $v_p(1 - \rho'_n + T_0) > 1/(p - 1)$. Then $v_p(\rho_n - \rho'_n) > 1/(p - 1)$. Therefore, $\rho'_n = \rho_n$. \(\square\)

With the assumption of Proposition 3, when does $\log(1 + T_0)/\log(1 + dp)$ lie in $D_s$? Some results about this are presented in the following Propositions 4 and 5.
For the rest of this section we suppose that \( T_0 \in C_n \) is a zero of \( f_\theta(T) \) and \( s_0 \) is given by (3.2). Let \( \zeta_m \) denote a primitive \( m \)th root of 1.

**Proposition 4.** If \( s_0 \in D_s \), then \( \zeta_p^s \in \mathbb{Q}_p(T_0) \).

**Proof.** Since \( \rho_n \) is a primitive \( p^n \)th root of 1, its conjugates \( \rho \) satisfy \( v_p(\rho - \rho_n) = v_p(1 - \rho^{-1} \rho_n) \leq 1/(p - 1) \) whenever \( \rho \neq \rho_n \). Hence the inequality in (3.3) yields by Krasner’s lemma that \( \rho_n \in \mathbb{Q}_p(1 + T_0) \). \( \square \)

**Proposition 5.** (i) Let \( \theta \) satisfy \( \theta_0 = \mathbb{Z}_p \) and \( \lambda_\theta = (p - 1)p^{n-1} \). If \( s_0 \in D_s \), then \( T_0 \in \mathbb{Q}_p(\zeta_p^s) \).

(ii) Conversely, if \( n = 1 \) and \( T_0 \in \mathbb{Q}_p(\zeta_p) \), then \( s_0 \in D_s \).

**Proof.** (i) Recall by (2.2) that \( T_0 \) is a zero of a polynomial in \( \mathbb{Z}_p[T] \) of degree \( (p - 1)p^{n-1} \). Thus, if \( s_0 \in D_s \), it follows from Proposition 4 that \( \mathbb{Q}_p(T_0) = \mathbb{Q}_p(\zeta_p^s) \).

(ii) Suppose that \( v_p(T_0) = 1/(p - 1) \) and \( T_0 \in \mathbb{Q}_p(\zeta_p) \), say

\[
T_0 \equiv a\pi \pmod{\pi^2} \quad (a \in \mathbb{Z} \setminus p\mathbb{Z})
\]

where \( \pi = 1 - \zeta_p \). We have \( p = e\pi^{p-1} \) with a \( p \)-adic unit \( e \),

\[
e = \prod_{k=1}^{p-1} \frac{1 - \zeta_p^k}{1 - \zeta_p} \equiv \prod_{k=1}^{p-1} k \equiv -1 \pmod{\pi}.
\]

Consequently,

\[
\log(1 + T_0) \equiv T_0 + \frac{T_0^p}{p} \equiv a\pi + \frac{a^p\pi}{e} \equiv (a - a^p)\pi \equiv 0 \pmod{\pi^2},
\]

and so \( v_p(s_0) = v_p(\log(1 + T_0)) - 1 > 1/(p - 1) - 1 \). \( \square \)

With this in mind, a first interesting open question is whether Proposition 5(ii) can be generalized to \( n > 1 \). In the numerical material so far generated by us there are only few zeros \( T_0 \) lying in some \( C_n \) for \( n > 1 \). These are examples with \( p = 3 \), \( n = 2 \), and the zeros \( T_0 \) never belong to \( \mathbb{Q}_3(\zeta_9) \).

### 4. PLAN OF THE COMPUTATION

Tables of zeros of \( f_\theta(T) \) and \( L_p(s, \theta) \) were first published by Iwasawa and Sims [7] and by Wagstaff [12] who were concerned with \( \theta = \omega^t \), a power of the Teichmüller character \( \mathbb{Z}_p \) mod \( p \), for a number of irregular primes \( p \). Sunseri [11] expanded these results by computing considerably more places for the zeros up to \( p < 1000 \).

In [13] Wagstaff reported on new computations with \( \theta = \theta_m \), the quadratic character of the field \( \mathbb{Q}(\sqrt{m}) \), for several positive primes \( m \equiv 1 \pmod{4} \) and for \( p = 3 \) and 5. His results were partly extended by Lambrecht and Zimmer [10] to \( p \leq 13 \). Also, [15] contains some interesting data about the zeros of \( L_3(s, \theta_m) \).

With a few exceptions in [13], all the above results are about the case \( \lambda_\theta = 1 \).

Hence, for the characters \( \theta \) in question, there is always a unique zero \( T_0 \in p\mathbb{Z}_p \) of \( f_\theta(T) \) and a zero \( s_0 \) of \( L_p(s, \theta) \) corresponding to \( T_0 \). Moreover, in all cases one has \( v_p(T_0) = 1 \) and, thus, \( v_p(s_0) = 0 \).
In the present work we are concerned with (even) characters

$$\theta = \theta_m \omega^t \quad (p \nmid m, \ 0 \leq t \leq p - 2)$$

for $p = 3, 5, 7, \text{ and } 11$, allowing both positive and negative values, not necessarily prime, for $m$. For characters of this form, values of $\lambda_\theta$ were computed in [3]. We selected examples with $\lambda_\theta > 1$, trying to find as many different types of cases as possible. The computation procedure was organized to follow the same main lines as that in [13]. Thus, there were the following four principal steps:

1. computing $L_p(s, \theta)$ as a power series in $s$ from Washington’s formula (see (5.1) below),
2. converting this function by (2.1) into the power series $f_\theta(T),$
3. computing the zeros of $f_\theta(T)$ by Newton’s tangent method, and
4. converting these zeros to the zeros of $L_p(s, \theta \psi_n)$ according to Propositions 2 and 3.

Note that $f_\theta(T)$ is not canonically defined. Following Washington, we adopt definition (2.1) which is quite natural, while [13] and [10] define $L_p(s, \theta) = -f_\theta((1 + p)^{-s} - 1).$

The $p$-adic values of the coefficients and of the zeros of $f_\theta(T)$ of course remain invariant.

We performed several additional computations to check the results at each step. For example, when there were zeros $s_0$ of $L_p(s, \theta \psi_n)$ with $\psi_n \neq 1$ (which in fact happened for $p = 3$ and $n = 1$ only), we also computed this $L$-function to verify the vanishing of $L_p(s_0, \theta \psi_n).$

To come up with a representative sample of examples, we divided the computing process into two parts. First, in Program A, all characters $\theta$ with $\lambda_\theta > 1$ from our earlier work [3] were run through the steps (1) and (2). Here, the power series were computed just to a few places of the very first coefficients. In most cases this was sufficient to determine the $p$-adic values of the zeros of $f_\theta(T)$; if it was not, the computations were repeated with a greater number of places.

In Program B, we performed the entire procedure (1)–(4) for characters chosen on the basis of Program A. Some examples were also taken from Kobayashi [8]. The number of computed places varied case by case depending on the type of the example. We point out that step (1) takes much more time to execute than the other steps, and the time grows rapidly when the number of places is increased.

Program B contains cases with $\lambda_\theta$ up to 6. From Program A we also have some results about a few cases in which $\lambda_\theta = 7$ or 8.

The main part of the computations was carried out in 1988–89 on an IBM 3033 computer at the University of Turku.

A detailed description of the computation procedure appears in the following sections. In §§5–9 problems that arose at each step are studied from a theoretical point of view, while §11 in the Supplements section at the end of this issue gives more information about the actual computations. Results are presented in §10. Finally, §12 of the Supplements section is devoted to the proofs of some propositions of a more technical nature.
Henceforth in this paper, we let \( \theta \) denote a nonprincipal character of the form \( \theta_m \omega^i \).

5. Computing a \( p \)-adic \( L \)-function

Washington's formula [14, p. 57] reads

\[
L_p(s, \chi) = \frac{1}{s-1} \frac{1}{\Phi} \sum_{a \mid \Phi} \chi(a)^{1-s} \sum_{j=0}^{\infty} \left( 1 - s \right)^j B_j \left( \frac{\Phi}{a} \right)^j,
\]

where \( \Phi \) is any common multiple of \( p \) and the conductor of \( \chi \); the notation \( \langle a \rangle \) stands for \( a\omega^{-1}(a) \), and \( B_j \) denotes the \( j \)th Bernoulli number (with \( B_1 = -1/2 \)). When using this formula for the computation of \( L_p(s, \theta) \), the optimal choice of \( \Phi \) is

\[
\Phi = dp \quad \text{with} \quad d = \text{conductor of} \ \theta_m \ (\text{either} \ |m| \ \text{or} \ 4|m|).
\]

As in [13], expand the right-hand side of (5.1) in a power series of \( s \) by writing

\[
\langle a \rangle^{1-s} = \sum_{\nu=0}^{\infty} \left( 1 - s \right)^\nu (\langle a \rangle - 1)^\nu
\]

and expanding the binomial coefficients in powers of \( s \). This will be discussed more closely in §11 of the Supplements section. As a result, one obtains

\[
L_p(s, \theta) = \sum_{i=0}^{\infty} u_i s^i
\]

with coefficients \( u_i \) in \( \mathbb{Q}_p \). It follows from [14, Theorem 5.12] (see also Proposition 6 below) that in fact \( u_i \in \mathbb{Z}_p \).

By the computation of \( L_p(s, \theta) \) we mean the calculation of rational integers \( \bar{u}_i \) such that

\[
u_p(u_i) \geq i - \frac{i - 1}{p - 1} \quad (i = 1, 2, \ldots)
\]

Proposition 6. We have

\[
u_p(u_i) \geq i - \frac{i - 1}{p - 1} \quad (i = 1, 2, \ldots).
\]

Proof. The idea is to compare the expansion in (5.2) with that deriving from (2.1). First observe that

\[
(1 + dp)^s - 1 = \exp(s \log(1 + dp)) - 1 = \sum_{i=1}^{\infty} d_i s^i
\]

with \( d_i = \left( \log(1 + dp) \right)^i / i! \). We have

\[
u_p(d_i) = i - \nu_p(i!) \geq i - \frac{i - 1}{p - 1}.
\]
Hence,
\[ v_p(d_i s^i) > v_p(d_i) + i \left( -1 + \frac{1}{p - 1} \right) > 0 \]
for all \( s \in D_s \), and so a general principle (e.g., [1, p. 284]) allows us to write the composite function
\[ f_{\theta}((1 + dp)^s - 1) = \sum_{j=0}^{\infty} a_j \left( \sum_{i=1}^{\infty} d_i s^i \right)^j \]
formally as a power series in \( s \). Compared to (5.2), this implies that \( u_0 = a_0 \) and
\[ u_i = \sum_{j=1}^{i} a_j d_{ij} \quad \text{with} \quad d_{ij} = \sum_{t_i + \cdots + t_j = i} d_{i} \cdots d_{t_j} \quad \text{\( (i = 1, 2, \ldots) \).} \]
Since \( v_p(a_j) \geq 0 \), we have to check that \( v_p(d_{ij}) \geq i - (i - 1)/(p - 1) \) for \( j = 1, \ldots, i \). In view of (5.5) this is indeed the case. \( \square \)

**Remark.** An analogous result for the coefficients in the expansion of \( L_p(s, \theta \psi_n) \) will be proved in Part II.

### 6. Computing the Iwasawa Power Series

If \( T \in D_T^0 \), then \( \log(1 + T)/\log(1 + dp) \in D_s \) and we have, by (2.1),
\[ f_{\theta}(T) = L_p \left( \frac{\log(1 + T)}{\log(1 + dp)}, \theta \right). \]
We now obtain the coefficients of the power series \( f_{\theta}(T) = \sum_{j=0}^{\infty} a_j T^j \) from this formula by using our expansion (5.2) for \( L_p(s, \theta) \). Write
\[ \frac{\log(1 + T)}{\log(1 + dp)} = \frac{1}{p} \sum_{j=1}^{\infty} e_j T^j \quad \text{with} \quad e_j = \frac{(-1)^{j-1}}{j} \frac{p}{\log(1 + dp)}, \]
and note that \( v_p(p^{-1} e_j T^j) > -1 + 1/(p - 1) \) for all \( j \geq 1 \), provided \( v_p(T) > v_0 \), where \( v_0 \) is a suitable constant, \( v_0 \geq 1/(p - 1) \). Consequently, by the substitution principle employed in the proof of Proposition 6, we find that
\[ L_p \left( \frac{\log(1 + T)}{\log(1 + dp)}, \theta \right) = \sum_{i=0}^{\infty} u_i \left( \frac{1}{p} \sum_{j=1}^{\infty} e_j T^j \right)^i = \sum_{j=0}^{\infty} a_j' T^j, \]
say, where \( a_0' = u_0 \) and
\[ a_j' = \frac{1}{p^j} \sum_{i=1}^{j} p^{j-i} u_i \sum_{t_1 + \cdots + t_i = j} e_{t_1} \cdots e_{t_i} \quad \text{\((j = 1, 2, \ldots)\).} \]
Comparison with (6.1) now yields (and here the restriction \( v_p(T) > v_0 \) becomes superfluous)
\[ a_j = a_j' \quad \text{\((j = 0, 1, \ldots)\).} \]

As mentioned in §2, the \( a_j \) are \( p \)-adic integers. Our computation of \( f_{\theta}(T) \) consists of the calculation of rational integers \( \overline{a}_j \) approximating \( a_j \) for \( j =
0, ..., M - 1. We do this by using (6.3), where \( u_i \) is replaced by its approximation \( \bar{u}_i \) mod \( p^M \) as described in §5, and similarly \( e_j \) by an approximation \( \bar{e}_j \) mod \( p^M \). Note that, in contrast to \( u_i \), the numbers \( e_j \) do not tend \( (p\text{-adically}) \) to zero; in fact, \( e_j \) is generally not even a \( p\text{-adic} \) number. By the congruence \( e_j \equiv \bar{e}_j \pmod{p^M} \) we mean that \( v_p(e_j - \bar{e}_j) \geq M \).

For the approximations \( \bar{a}_j \) obtained in this way we may formulate the following result. The proof is postponed to §12 (see Supplements section).

**Proposition 7.** We have \( a_j \equiv \bar{a}_j \pmod{p^{M-j}} \) for \( j = 0, ..., M - 1 \).

### 7. Computing zeros of a power series

Let

\[
\sum_{j=0}^{\infty} c_j T^j \in \mathcal{O}_F[[T]],
\]

where \( \mathcal{O}_F \) is the integer ring of \( F \), a finite extension of \( \mathbb{Q}_p \) (all extensions of \( \mathbb{Q}_p \) are considered in \( \mathbb{C}_p \)). We will study the problem of computing zeros of \( f(T) \), viewed as a function of \( T \) on \( D_T \). This is then applied to the case when \( f(T) \) is either \( f_\theta(T) \) or the quotient of \( f_\theta(T) \) by some of its linear factors.

Suppose we know that there is a simple zero \( T_0 \in D_T \) in an extension \( E \) of \( F \). Then \( T_0 \in \pi \mathcal{O}_E \), where \( \pi \) is a prime element of \( \mathcal{O}_E \). We let \( v_\pi \) denote the valuation on \( \mathbb{C}_p \) to the base \( \pi \), that is, \( v_\pi = ev_p \), where \( e \) is the ramification index of \( E/Q_p \). Note that \( v_\pi(f'(T_0)) \) is a nonnegative integer (\( f' \) stands for the derivative of \( f \)).

Once a sufficiently good initial approximation for \( T_0 \) is known, one can compute \( T_0 \) to any desired accuracy by using Newton’s tangent method. This is based on the following result.

**Proposition 8 (Newton algorithm).** Let \( t_0 \in \pi \mathcal{O}_E \) satisfy \( f(t_0) \equiv 0 \pmod{\pi^{2\gamma+1}} \), where \( \gamma = v_\pi(f'(t_0)) \). Then there exists a unique \( T_0 \in \pi \mathcal{O}_E \) such that

\[
f(T_0) = 0, \quad T_0 \equiv t_0 \pmod{\pi^{\gamma+1}}.
\]

In fact, \( T_0 = \lim_{n \to \infty} t_n \), where \( t_n \) is defined by

\[
t_n = t_{n-1} - \frac{f(t_{n-1})}{f'(t_{n-1})} \quad (n = 1, 2, \ldots).
\]

**Proof.** For the uniqueness which is crucial in the present work, one can give the following simple proof (omitted in several standard references).

Write \( f(T) = (T - T_0)g(T) \) with \( g(T) \in \mathbb{C}_p[[T]] \). Since \( g(T_0) = f'(T_0) \), we have \( \gamma = v_\pi(g(T_0)) = v_\pi(g(t_0)) \). Thus, if \( U_0 \) is any zero congruent to \( t_0 \) (mod \( \pi^{\gamma+1} \)), we see that \( v_\pi(g(U_0)) = \gamma \) and so \( g(U_0) \neq 0 \). But \((U_0 - T_0)g(U_0) = f(U_0) = 0\), hence \( U_0 = T_0 \).

From the well-known existence proof we just quote the following formulas, valid for all \( n \geq 1 \):

\[
(7.1) \quad t_n \equiv t_{n-1} \pmod{\pi^{\gamma+n}},
\]

\[
(7.2) \quad f(t_n) \equiv 0 \pmod{\pi^{2\gamma+n+1}},
\]

\[
(7.3) \quad v_\pi(f'(t_n)) = v_\pi(f'(T_0)) = \gamma. \quad \square
\]
As regards the accuracy of the approximation $t_n$, (7.1) shows that
\[(7.4) \quad T_0 \equiv t_n \pmod{\pi^{\gamma+n+1}}.\]

In our real situation, the power series $f(T)$ is given approximately, in fact, as a polynomial. However, its zeros $T_0$ are approximately the same as the zeros of this polynomial because $T_0^j \to 0$ as $j \to \infty$. We formulate this in a quantitative form.

Let $\gamma$ and $t_n$ ($n \geq 0$) be as in Proposition 8. Fix $N \in \mathbb{Z}$ so that $N > \gamma$. There is a polynomial
\[(7.5) \quad f(T) = \sum_{j=0}^{N'} c_j T^j \in O_F[T]\]
with the following properties:

(i) $\nu_{p}(f^*(t_0)) = \gamma$, $f(t_0) \equiv 0 \pmod{\pi^{2\gamma+1}}$;
(ii) if $t_0, t_1, \ldots$ is the sequence obtained for $f(T)$ by the Newton algorithm, with the initial approximation $t_0 = t_0$ and each $t_n$ computed mod $p^{\gamma+n+1}$, then the zero $T_0 = \lim_{n \to \infty} t_n$ of $f(T)$ satisfies
\[(7.6) \quad T_0 \equiv t_{N-\gamma} \pmod{\pi^{N+1}}.\]

In fact, such a polynomial $\overline{f}(T)$ is given by the following proposition, which will be proved in §12 of the Supplements section.

**Proposition 9.** Choose $w \in \mathbb{Z}$ so that $1 \leq w \leq \gamma + 1$ and $t_0 \equiv 0 \pmod{\pi^w}$. Let $N' = \lfloor (N + \gamma)/w \rfloor$, where $\lfloor x \rfloor$ denotes the largest integer $\leq x$, and assume that $c_j \in O_F$ satisfies
\[(7.7) \quad c_j \equiv \bar{c}_j \pmod{\pi^{N+\gamma+1-wj}} \quad (j = 0, \ldots, N').\]
Then the polynomial $\overline{f}(T)$ defined in (7.5) has the properties (i) and (ii).

8. Computing zeros of $f_\theta$ and $L_p$

Now consider the Iwasawa power series $f_\theta(T) = \sum_{j=0}^{\infty} a_j T^j \in \mathbb{Z}_p[[T]]$. In view of Proposition 7, we will assume that the $a_j$ are approximated by $\bar{a}_j \in \mathbb{Z}$, so that $a_j \equiv \bar{a}_j \pmod{p^{M-j}}$. Set
\[\overline{f}_\theta(T) = \sum_{j=0}^{M-1} \bar{a}_j T^j.\]

Let $T_0$ be a simple zero of $f_\theta(T)$. As in the previous section, let $E$ denote an extension of $\mathbb{Q}_p$ containing $T_0$; also keep the notations $\pi$ and $e$ associated with $E$.

**Proposition 10.** Suppose that $t_0 \in \pi^c O_E$ ($c \geq 1$) satisfies
\[T_0 \equiv t_0 \pmod{\pi^{\gamma+1}}, \quad f_\theta(t_0) \equiv 0 \pmod{\pi^{2\gamma+1}},\]
where $\gamma = \nu_{p}(f_\theta'(t_0))$. The Newton algorithm applied to $\overline{f}_\theta(T)$, with $t_0$ as the initial approximation, produces a zero $\overline{T}_0$ satisfying
\[(8.1) \quad T_0 \equiv \overline{T}_0 \pmod{\pi^{\rho M-\gamma}}.\]
with \( \rho = \min(e, c) \), provided that \( \rho \leq \gamma + 1 \) and \( \rho M > 2\gamma + 1 \).

**Proof.** Apply Proposition 9 with \( N = \rho M - \gamma - 1 \) and \( w = \rho \), observing that \( a_j \equiv \bar{a}_j \pmod{\pi^{\rho M - \rho j}} \). The claimed congruence follows from (7.6). □

**Remarks.** The last two inequalities in Proposition 10 are fulfilled whenever \( c \) and \( M \) are chosen appropriately. Hence, there remains the question how to find a field \( E \) containing a zero \( T_0 \) and how to determine an initial approximation \( t_0 \). This will be discussed in the next section.

Once one zero \( T_0 \) of \( f_\theta(T) \) has been computed, it may be better to deal with \( f_\theta(T)/(T - T_0) \) when calculating further zeros. This is the case, in particular, if \( T_0 = 0 \), but sometimes also for other \( T_0 \in \mathbb{Q}_p \). The above congruences are then to be modified slightly.

Our final task is to determine the zeros \( s_0 \) of \( L_p(s, \theta \psi_n) \) which correspond to each \( T_0 \) lying in \( D_0 \) or on some \( C_n \) \((n \geq 1)\). By Propositions 2 and 3, and by (6.2), \( s_0 \) is obtained from

\[
s_0 = \frac{\log(1 + T_0)}{\log(1 + dp)} = \frac{1}{p} \sum_{j=1}^{\infty} e_j T_0^j;
\]

in the case \( T_0 \in C_n \) one moreover has to check that \( s_0 \in D_0 \). Note that the field \( E \) containing \( T_0 \) also contains \( s_0 \).

For the computation in practice, let \( \bar{e}_j \) be defined by \( e_j \equiv \bar{e}_j \pmod{p^M} \), as in §6, and set

\[
(8.2) \quad \bar{s}_0 = \frac{1}{p} \sum_{j=1}^{J} \bar{e}_j \bar{T}_0^j,
\]

where \( \bar{T}_0 \) is given by Proposition 10.

**Proposition 11.** If \( J \) is large enough and \( \rho M \geq \gamma + e \), then

\[
s_0 \equiv \bar{s}_0 \pmod{\pi^{\rho M - \gamma - e}}.
\]

The proof, which also implies an estimate for \( J \), appears in §12 of the Supplements section.

9. Starting the Newton algorithm

We are left with the question of how to start the Newton algorithm leading to an approximation of a zero \( T_0 \) of \( f_\theta(T) \).

Suppose that \( v_p(T_0) \) is known. In fact, we assume that we know the Newton polygon of \( f_\theta(T) \), that is, the \( p \)-adic values of sufficiently many of its first coefficients \( a_0, a_1, \ldots \) (these values were computed in Program A).

More precisely, we are going to find the (minimal) extension \( E \) of \( \mathbb{Q}_p \) containing \( T_0 \) and to determine \( t_0 \in \pi^{\rho M} \) so that \( f_\theta(t_0) \equiv 0 \pmod{\pi^{\gamma + 1}} \), where \( \gamma = v_p(f'(t_0)) = v_p(f'(T_0)) \). Then, by Proposition 8, \( t_0 \) works as an initial approximation in the computation of \( T_0 \). In particular, \( T_0 \equiv t_0 \pmod{\pi^{\gamma + 1}} \), so that one can proceed in the way described in §8 (Proposition 10).

The above conditions for \( t_0 \) include the implicit assumption that the zero \( T_0 \) be simple. This was satisfied in all examples computed by us. We point out that Ferrero and Greenberg [4] have proved that \( s_0 = 0 \) is at most a simple
zero of \( L_p(s, \chi) \). From this it follows that \( T_0 = 0 \) never occurs as a multiple zero of \( f_\Theta(T) \). Whether the same holds true for nonzero \( T_0 \) is not known.

In the following, we set

\[
a_j = \sum_{k=0}^{\infty} a_{jk} p^k \quad \text{with } 0 \leq a_{jk} < p \quad (j = 0, 1, \ldots)
\]

and restrict ourselves to two basic types of Newton polygon met in the computations. Our discussion not only covers the majority of all examples but also presents the essential features of the required techniques in most, though not all, of the remaining cases. The treatment of these will be completed in Part II.

The first type consists of polygons determined by the conditions \( v_p(a_0) = 1 \), \( \lambda \) prime to \( p \). Hence, there is but one (finite) nonzero slope, and this equals \(-1/\lambda\). For any zero \( T_0 \) one has \( v_p(T_0) = 1/\lambda \), so that \( E/Q_p \) is fully ramified of degree \( \lambda \). Set \( q = (\lambda, p-1) \). Since the ramification is tame, we know (e.g., [5, Ch. II, §16]) that \( E \) is one of the \( q \) (nonconjugate) fields

\[
Q_p(\pi), \quad \pi^k = rp \quad \text{with} \quad r \equiv g^b \pmod{p}, \quad 0 \leq b \leq q - 1,
\]

where \( g \) is a fixed primitive root of \( p \). We also have

\[
y = v_\pi(f'(T_0)) = v_\pi(\lambda a_\lambda T_0^{k-1}) = \lambda - 1.
\]

To determine \( t_0 \), assume that \( \lambda > 1 \), the case \( \lambda = 1 \) being trivial \((t_0 = 0)\).

Write

\[
T_0 \equiv x_1 \pi \pmod{\pi^2}, \quad 0 < x_1 < p.
\]

By Krasner’s lemma, this fixes \( E = Q_p(T_0) \) uniquely (up to conjugates), since \( v_p(\pi - \alpha \pi) = 1/\lambda \) whenever \( \alpha^k = 1 \). Let us make this result explicit as follows.

From \( f_\Theta(T_0) \equiv 0 \pmod{\pi^{k+1}} \) we have that \( a_{01} + a_{10} r x_1^k \equiv 0 \pmod{p} \), or

\[
r x_1^k \equiv -a_{01}/a_{10} \pmod{p}.
\]

This yields a unique solution for \( r \) mod \( p \), and \( q \) solutions for \( x_1 \) mod \( p \), corresponding to \( q \) zeros \( T_0 \in E \) (note that there are \( \lambda/q \) extensions conjugate to \( E \)).

If \( \lambda > 2 \), we go on by setting

\[
T_0 \equiv x_1 \pi + \cdots + x_{\lambda-1} \pi^{\lambda-1} \pmod{\pi^\lambda}.
\]

Observe that

\[
T_0^\lambda \equiv x_1^\lambda \pi^\lambda + c_1 \pi^{k+1} + \cdots + c_{\lambda-2} \pi^{2\lambda-2} \pmod{\pi^{2\lambda-1}},
\]

where the coefficients \( c_j \) are of the form \( \lambda x_{j+1}^{-1} x_{j+1} \) plus terms depending only on \( x_1, \ldots, x_j \). The congruence \( f_\Theta(T_0) \equiv 0 \pmod{\pi^{2\lambda+1}} \) is easily seen to be equivalent to

\[
A_1 + A_2 \pi + \cdots + A_{\lambda-2} \pi^{\lambda-3} \equiv 0 \pmod{\pi^{\lambda-2}}
\]

with each \( A_j = A_j(x_1, \ldots, x_{j+1}) \in \mathbb{Z} \), hence equivalent to \( A_1 \equiv \cdots \equiv A_{\lambda-2} \equiv 0 \pmod{p} \).

Since

\[
A_j \equiv \lambda a_{10} r x_1^{-1} x_{j+1} + A'_j(x_1, \ldots, x_j) \pmod{p},
\]

where \( A'_j \) does not depend on \( x_{j+1} \), we find that \( x_{j+1} \) mod \( p \) becomes uniquely determined once \( x_1 \) is fixed \((j = 1, \ldots, \lambda - 2)\). As final result we get that

\[
t_0 = x_1 \pi + \cdots + x_{\lambda-1} \pi^{\lambda-1}
\]

satisfies the desired conditions.
The second type we consider is the case in which \( v_p(a_0) = 2 \) and \( \lambda = 2 \). Then, for both zeros \( T_0 = T_0' \) and \( T_0'' \), say, \( v_p(T_0) = 1 \), and so \( E \) is one of the fields \( \mathbb{Q}_p \), \( \mathbb{Q}_p(\sqrt{\varrho}) \), \( \mathbb{Q}_p(\sqrt{\varphi}) \), \( \mathbb{Q}_p(\sqrt{\varphi q}) \). In any case, we may write

\[
T_0 \equiv xp \pmod{p^{3/2}} \quad \text{with} \quad x = u + v\sqrt{\varrho} \neq 0,
\]

where \( 0 \leq u < p \), \( 0 \leq v < p \). Now the congruence \( f_\theta(T_0) \equiv 0 \pmod{p^{5/2}} \) becomes

\[
a_{02} + a_{11}x + a_{20}x^2 \equiv 0 \pmod{p},
\]

and this gives us two different solutions \( x \in \mathbb{Z} \) or \( x \in \mathbb{Z}[\sqrt{\varrho}] \) according to whether the discriminant \( D = a_1^2 - 4a_0a_{20} \) is a quadratic residue or nonresidue \( \pmod{p} \), respectively, and a double root \( x \in \mathbb{Z} \) if \( D \equiv 0 \pmod{p} \).

In the former case \( (D \neq 0) \) we have by Krasner's lemma \( E = \mathbb{Q}_p \) for \( x \in \mathbb{Z} \), while \( E = \mathbb{Q}_p(\sqrt{\varrho}) \) for \( x \in \mathbb{Z}[\sqrt{\varrho}] \). Moreover, since \( a_{11} + 2a_{20}x \neq 0 \pmod{p} \), we see that \( \gamma = v_p(f'(T_0)) = 1 \). In this case, \( f_\theta(xp) \equiv 0 \pmod{p^3} \), which shows that \( t_0 = xp \) works as an initial approximation.

Suppose that \( D \equiv 0 \pmod{p} \); then \( v_p(T_0' - T_0'') \geq 3/2 \). We will postpone the cases with \( v_p(T_0' - T_0'') \geq 2 \) to Part II and let here this distance be \( 3/2 \). This means that the zeros are of the form \( T_0 \equiv xp \pm yp\sqrt{p} \pmod{p^2} \), where \( r = 1 \) or \( g \) and \( 0 < x < p \), \( 0 < y < p \). In particular, \( E = \mathbb{Q}_p(\pi) \) with \( \pi = \sqrt{r\rho} \). Using the congruence \( a_{11} + 2a_{20}x \equiv 0 \pmod{p} \), we find that

\[
f_\theta(T_0) \equiv \pm 2a_{20}y\pi \pmod{p^4},
\]

and so \( \gamma = 3 \). Hence, to obtain \( r \) and \( t_0 \) we must consider the congruence \( f_\theta(T_0) \equiv 0 \pmod{\pi^2} \). This is equivalent to

\[
a_{20}ry^2 \equiv -b - a_{03} - a_{12}x - a_{21}x^2 - a_{30}x^3 \pmod{p},
\]

where \( b \equiv (a_{02} + a_{11}x + a_{20}x^2)/p \pmod{p} \). Upon solving for \( r \) and \( y \), we are done: take \( t_0 = xp + yp\pi \).

10. Numerical results

A table including the main results from Program A appears in the Supplements section of this issue. This table gives a first approximation for \( f_\theta(T) \), where \( \theta \) runs through all the characters treated in this program (about 1150 in number).

A sample of the results from Program B is exhibited in Tables I–V of the Supplements. The present section contains a description of these tables together with some further examples.

Each item in the tables is headed by a triple \( (p, \Delta, t) \) identifying the character \( \theta = \theta_m \omega' \). Here, \( \Delta \) denotes the discriminant of \( \mathbb{Q}(\sqrt{m}) \), hence \( \Delta = m \) or \( 4m \) and \( |\Delta| \) equals \( d \), the conductor of \( \theta_m \).

Of the two columns below the triple, the first (left) lists the first coefficients of \( L_p(s, \theta) = \sum_{i=0}^{\infty} u_is^i \) and the second (right) those of \( f_\theta(T) = \sum_{j=0}^{\infty} a_jT^j \). For example, the first item of Table I (with \( p = 3 \) and \( \theta = \theta_{-47} \omega \)) gives the
following data about the coefficients:

\[
\begin{align*}
    u_0 &= 0, & a_0 &= 0, \\
    u_1 &= 0.0020 \cdots, & a_1 &= 0.0100 \cdots, \\
    u_2 &= 0.0120 \cdots, & a_2 &= 1.1222 \cdots, \\
    u_3 &= 0.0012 \cdots, & a_3 &= 1.0002 \cdots, \\
    u_4 &= 0.0010 \cdots, & a_4 &= 2.00 \cdots, \\
    u_5 &= 0.0000 \cdots, & a_5 &= 1.1 \cdots, \\
    u_6 &= 0.0001 \cdots, & a_6 &= (1.) \cdots.
\end{align*}
\]

All the nonzero \( p \)-adic numbers are written in the "decimal" form:

\[
\sum_{\nu = -a}^{b} x_{\nu} p^{\nu} = x_{-a}x_{-a+1} \cdots x_{0}x_{1}x_{2} \cdots x_{b} \quad (0 \leq x_{\nu} < p, \ a \geq 0, \ b \geq 0).
\]

For \( p = 11 \) the digit \( x_{\nu} = 10 \) is denoted by \( \alpha \).

The coefficient columns are followed by the pairs \( T_k, s_k \), for \( k = 1, \ldots, \lambda \), where \( T_k \) is a zero of \( f_0(T) \) and \( s_k = \log(1 + T_k)/\log(1 + dp) \). Observe that \( v_p(s_k) = v_p(T_k) - 1 \) if \( T_k \neq 0 \) and \( v_p(T_k) > 1/(p - 1) \). When \( s_k \) is not a zero of \( L_p(s, \theta) \), it is denoted by \( s'_k \); this occurs only in Tables IV and V. In case \( T_k \) generates a proper extension \( E \) of \( \mathbb{Q}_p \), it is preceded by a "standard" generator of \( E \). If \( E/\mathbb{Q}_p \) is ramified, this generator, a prime element of \( \mathcal{O}_E \), is denoted by \( \pi \) (or \( \pi', \pi_k \)), otherwise by \( \xi \).

Each nonzero value of \( u_i, a_j, T_k, s_k \) is an approximation. When we write 0 for a value of these numbers, it is exact. The approximations are truncated, according to the above propositions, to contain only correct digits. To save space, we in many cases list the coefficients \( u_i \) and \( a_j \) with an accuracy that is lower than actually computed. However, when tabulating \( u_i \), we follow the principle that all the coefficients which are nonzero to the displayed accuracy are included (cf. (5.3) and (5.4)). Thus, in the above example,

\[
u = 0.0000 \cdots \quad \text{for all } i \geq 7.
\]

We now comment on the tables separately and offer some additional examples.

Table I provides examples in which \( \lambda = 2 \) and \( a_0 = 0 \). This is an easy case: apart from the trivial zero \( T_1 = 0 \), \( f_0(T) \) has a unique zero \( T_2 \in \mathbb{Q}_p \) and this can be computed by replacing \( f_0(T) \) with \( f_0(T)/T \) and choosing 0 as an initial approximation. In the examples of this type, it is not rare that \( v_p(T_2) > 1 \), while the previously known examples (with \( \lambda = 1 \)) always are about zeros with \( p \)-ordinal 1. The maximum values we found for \( v_p(T_2) \) occurred in the following cases:

**Example 1.**

\[
(3, -971, 1): \ T_1 = 0, \quad s_1 = 0, \quad T_2 = 0.000011, \quad s_2 = 0.00021.
\]

**Example 2.**

\[
(3, -2351, 1): \ T_1 = 0, \quad s_1 = 0, \quad T_2 = 0.0000021, \quad s_2 = 0.000012.
\]

In Table II we have \( \lambda = 2 \) and \( a_0 \neq 0 \). Here we give only examples with \( v_p(T_k) = 1/2 \) or 1; the cases with higher \( v_p(T_k) \) will be discussed in Part II.
Note that if $p = 3$ and $v_3(T_k) = 1/2$, $s_k$ is not a zero of $L_3(s, \theta)$. These cases are postponed to Part II as well.

The next example, being of the type of Table II, corrects some erroneous data in [13].

Example 3.

$$(5, 109, 0): T_{1.2} = 0.4122 \pm 2.1134\sqrt{2 \cdot 5},$$

$$s_{1.2} = 0.0322 \pm 33.211\sqrt{2 \cdot 5}.$$ 

(With the definition of $f_\theta(T)$ used in [13] one has $T_{1.2} = 0.4434 \pm 2.3244\sqrt{2 \cdot 5}$.)

The examples in Table IIa, with $\lambda = 3$ and $a_0 = 0$, are analogous to those in Table II: exclude the trivial zero $T_1 = 0$ and consider the power series $f_\theta(T)/T$.

Table III is about the case $\lambda = 3$, $a_0 \neq 0$. Except for one example, the zeros lie in ramified cubic extensions. The number of such extensions (not counting conjugates) is one for $p = 5$, and three for $p = 7$. For $p = 3$, the ramification is wild. This case will be studied in Part II, but below we give just one example completing a discussion begun in [13].

Example 4.

$$(3, 281, 0): \pi_k \text{ zero of } x^3 - 6x - 3 \quad (k = 1, 2, 3),$$

$$T_k \equiv \pi_k + \pi_k^3 \pmod{\pi_k^5},$$

no zero $s_k$ corresponding to $T_k$.

The next example, still about $p = 3$, falls into the same category ($\lambda = 3$, $a_0 \neq 0$) but is of another kind: $f_\theta(T)$ has a linear factor. This example was also computed in [13] (with some errors in the last digits).

Example 5.

$$(3, 733, 0): T_1 = 0.12001, \quad s_1 = 1.1220,$$

$$\pi = \sqrt{-3},$$

$$T_{2,3} = 0.20120 \pm 1.12000\pi,$$

$$s_{2,3}^* = 1.2022 \pm 2.1010\pi$$

($s_{2,3}^*$ and $s_3^*$ are zeros of $L_3(s, \theta \psi_1)$; see Part II).

Table IIIa is again a companion to the preceding table, with $\lambda = 4$ and $a_0 = 0$.

Table IV lists examples in the case $\lambda = 4$, $a_0 \neq 0$. In all examples, $v_p(T_k) = 1/4$. Thus, we know about $s_k$ that it is a zero of $L_p(s, \theta)$ for $p = 7$ but no zero of any $L_p(s, \theta \psi_n)$ for $p = 3$. For $p = 5$, the answer depends on $Q_5(\pi)$; in our examples $Q_5(\pi)$ never happens to be $Q_5(\sqrt{-5}) = Q_5(\sqrt{5})$, and so there is no $s_k$ corresponding to $T_k$ (see Proposition 5).

Finally, Table V contains three examples with $\lambda = 5$ and one with $\lambda = 6$.

(In the last case, $\lambda$ was not computed in [3].) Again, most of the zeros $T_k$ lie in quartic extensions, and the $s_k$ obtained from these are no zeros of $L_p(s, \theta)$, not even zeros of $L_p(s, \theta \psi_n)$.

The previously computed tables [3, 8] list 12 further examples with $\lambda \geq 6$. We computed $v_p(T_k)$ for all zeros $T_k$ in these examples. There are three cases (with $p = 3$) in which some of the zeros lie in wildly ramified sextic extensions,
and we will return to them in Part II. In the remaining cases one can determine
the extension $E = \mathbb{Q}_p(\pi)$, up to conjugates, from $v_p(T_k)$; they are as follows:

<table>
<thead>
<tr>
<th>$p$</th>
<th>$\Delta$</th>
<th>$t$</th>
<th>$\lambda$</th>
<th>$\pi$</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>-239, -4088, -1427, -17252, -36140</td>
<td>1</td>
<td>6</td>
<td>$\sqrt{3}$</td>
<td>$T_1 = 0$</td>
</tr>
<tr>
<td>3</td>
<td>-21592</td>
<td>1</td>
<td>7</td>
<td>$\sqrt{3}$</td>
<td>-</td>
</tr>
<tr>
<td>3</td>
<td>-1371</td>
<td>1</td>
<td>7</td>
<td>$\sqrt{7}$</td>
<td>$T_1 = 0$, $T_2 \in \mathbb{Q}_7$</td>
</tr>
<tr>
<td>7</td>
<td>-11156, -30584</td>
<td>1</td>
<td>8</td>
<td>$\sqrt{3}$</td>
<td>-</td>
</tr>
</tbody>
</table>

On the basis of the numerical data computed so far it seems natural to expect
that the zeros $T_i$ and $s_i$ are distributed randomly as regards their $p$-adic value
(within the prescribed limits) and their inclusion in various extensions of $\mathbb{Q}_p$.
Also the $p$-adic expansions of the zeros fail to show any regularity.

**Bibliography**

Supplement to

COMPUTATION OF THE ZEROS OF p-ADIC L-FUNCTIONS

R. ERNVALL AND T. METSÄNKYLÄ

Here we present two supplementary sections, one (§11) providing further details of the computations and another (§12) giving the proofs of some propositions. These are followed by the numerical tables from Programs A and B as announced in the main text.

11. Details of the computations

As mentioned before, our computation of \( L_p(s, \theta) = \sum_{j=0}^{\infty} u_j s^j \), i.e., the approximation of \( u_j \) by \( \bar{u}_j \) mod \( p^M \), was based on the expression given in (5.1). To study the structure of this expression, we write it in the form

\[
L_p(s, \theta) = -\frac{1}{dp} \sum_{a \in \mathbb{F}_p} \theta(a) \left( S_1(a) + S_2(a) + S_3(a) \right),
\]

where

\[
S_1(a) = \sum_{j=1}^{\infty} \frac{1}{1-s} \left( \frac{1-s}{j} \right)^{r_1(a)} (r_1(a) - 1),
\]

\[
S_2(a) = \sum_{j=1}^{\infty} \frac{1}{1-s} \left( \frac{1-s}{j} \right) B_j r_2(a)^j (r_2(a) = dp/a),
\]

\[
S_3(a) = (1-s) S_1(a) S_2(a)
\]

(note that the sum of \( \theta(a) \) with \( a = 1, \ldots, dp, p \nmid a \), vanishes). Setting, moreover, with \( C_{j\nu} \in \mathbb{Z} \), we have

\[
S_{j\nu}(a) = \sum_{s=s_0}^{\infty} R_{s \nu} s^j, \quad R_{s \nu} = \sum_{j=0}^{\infty} C_{j\nu} b_j r_\nu(a)^j (\nu = 1, 2),
\]

with \( b_j = 1 \) and \( b_j = B_j \). Note that \( \nu_j(r_\nu(a)) \geq 1 \).

From this it is seen that the principal task is the calculation of (rational) numbers \( \bar{R}_{s \nu} \) satisfying

(11.1) \( R_{s \nu} \equiv \bar{R}_{s \nu} \pmod{p^{M+1}} \).

These numbers are computed from the series of \( R_{s \nu} \) by way of truncations of two kinds: the truncation of the series itself and that of the \( p \)-adic expansions of \( c_{s \nu}, j!, b_j, \) and \( r_\nu(a) \). Let \( \eta_1 \) and \( \eta_2 \) be parameters such that the series for \( R_{s \nu} \) are computed up to \( j = \eta_1 \) and the above \( p \)-adic numbers are computed mod \( p^{\eta_2} \). An optimal choice appears to be \( \eta_1 = \eta_2 = 1 = \eta \), say. Between \( M \) and \( \eta \) there is the following relationship, proved in the next section.

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PROPOSITION 12. If $p - 1 \nmid \eta + 1$, then (5.3) and (5.4) are satisfied with

$$M = \eta - \left[ \frac{\eta}{p - 1} \right], \quad i_M = \eta - 1.$$

In particular, to obtain

$$\ell_p(s, \theta) = \sum_{i=0}^{i_M} u_i s^i,$$

we thus have to compute $\ell_{i_M}$ up to $i = \eta - 1$.

One check for the calculation of $u_i$ is provided by Proposition 6. By way of another check, we compared the values of $u_0$ with the class number tables of quadratic fields. Let $h(\sqrt{m})$ denote the class number of $\mathbb{Q}(\sqrt{m})$. Since

$$u_0 = L_p(0, \theta) = \frac{\theta\omega^{-1}(p) - 1}{f} \sum_{a=1}^{f} \theta\omega^{-1}(a)a,$$

where $f$ stands for the conductor of $\theta\omega^{-1}$, we have

$$u_0 = \begin{cases} 2h(\sqrt{m}) & \text{for } \theta = \theta_m, m = \text{even}, \eta < 1, \text{if } \theta_m(p) = -1, \\
h(\sqrt{mp}) & \text{for } \theta = \theta_m(p+1), m < 0, p \equiv 1 \pmod{4}, \\
h(-\sqrt{mp}) & \text{for } \theta = \theta_m(p+1), m > 1, p \equiv 3 \pmod{4}. \end{cases}$$

For $m > 0$ and $\theta = \theta_m$, a third check is given by the $p$-adic class number formula

$$L_p(1, \theta_m) = 2 \left( 1 - \frac{\theta_m(p)}{p} \right) \frac{h(\sqrt{m}) \log \epsilon_m}{\sqrt{d}},$$

where $\epsilon_m$ denotes the fundamental unit of $\mathbb{Q}(\sqrt{m})$. In fact, we made this check in the cases when $\sqrt{d} \in \mathbb{Z}_p$ and $\epsilon_m$ is included in the table by Ince [6].

The Iwasawa series $f_\omega(T)$ was computed in the way described in §6. Checks were provided by the facts that all its coefficients are integral and the number of the first coefficients vanishing mod $p$ is $\lambda_p$. A final check was obtained upon converting the zeros $\alpha_0$ of $L_p(s, \chi)$ into the zeros $\alpha_0$ of $L_p(\omega, \chi)$; then we verified that $L_p(\omega, \chi)$ vanishes to the expected accuracy.

In the computation of $\ell_p$ by the Newton algorithm, we notice that the convergence in fact is faster than indicated by (7.4). It is easy to see that the number of correct places in $t_k$ grows exponentially in $n$. Working with the polynomial $f_\omega(T)$ (see Proposition 10), we ran the algorithm until the desired number of places of $\ell_p$ remained the same on two successive iterations. Then $f_\omega(\ell_p)$ was checked to make sure it vanishes. In view of (8.1), one concludes that this quantity should be congruent to 0 (mod $\pi^M \gamma$), but its actual value was much closer to 0.

In order to do the computations implied by the Newton algorithm, we had to prepare computer programs which perform arithmetic in various extensions of $\mathbb{Q}_p$. These programs were also required in the computation, according to (8.2), of the approximate zeros $\xi_0$ of $L_p(s, \theta\phi_\omega)$. If $\xi_0$ approximates a zero $\zeta_0$ of $L_p(s, \theta)$, our check about the vanishing of $L_p(\xi_0, \theta)$ is based on the formula

$$L_p(\xi_0, \theta) = L_p(\zeta_0, \theta) = \sum_{i=0}^{i_M} \left( (u_i - u_0)\xi_0^i + u_0(\xi_0^i - \zeta_0^i) \right) = \sum_{i > i_M} u_i\xi_0^i.$$ 

If $\nu_\omega(\zeta_0) \leq 0$, this implies by (5.3), (5.4), and Proposition 11 that $L_p(\xi_0, \theta) \equiv 0$ (mod $\pi^M$) with

$$M = \min \left( \epsilon M, \rho M - \gamma - \epsilon + \min_{i > 0} \nu_\omega(u_i) \right).$$

In the case $\nu_\omega(\zeta_0) > 0$, this estimate has to be modified. In particular, when estimating $\nu_\omega(\xi_0) \cup_i u_i\xi_0^i$, it suffices for the present purpose to look at the numbers $\nu_\omega(u_i)$ just for a few values of $i$ near $i_M$.

There is a useful way for checking that the theoretical estimates for the number of correct digits (both for the coefficients and for the zeros) are good enough: run the same example anew with a bigger $\eta$. We applied this check in several cases that did not require too much computation time.

12. PROOFS

This section contains the proofs of Propositions 7, 9, 11, and 12.

PROOF OF PROPOSITION 7. For $j = 0$, the assertion follows from (5.3), since $a_0 = u_0$ and $\overline{a}_0 = \overline{u}_0$. If $0 < j < M$, it is enough to show (see (6.3)) that, for $i = 1, \ldots, j$,

$$p^{j-i} \sum_{t_{i+1} \cdots t_{i+j} = n} e_{t_1} \cdots e_{t_j} = p^{j-i} \sum_{t_{i+1} \cdots t_{i+j} = \overline{t}_1 \cdots \overline{t}_j} \overline{e}_{t_1} \cdots \overline{e}_{t_j} \pmod{p^{M}}.$$

Since $e_j \equiv \overline{e}_j \pmod{p^{M}}$ and $j e_j$ is integral, we have

$$p^j e_{t_1} \cdots e_{t_j} \equiv p^j \overline{e}_{t_1} \cdots \overline{e}_{t_j} \pmod{p^{M}}$$

with $S = v_p(t_1) + \cdots + v_p(t_j)$, for any set $\{t_1, \ldots, t_j\}$ of positive indices $t_k$. Hence, we are done once we prove that $S < j$ whenever $t_1 + \cdots + t_j = j$.

In a set $\{t_1, \ldots, t_j\}$ summing up to $j$, every $t_k$ satisfies $1 \leq t_k \leq j - i + 1$. Setting

$$n_k = \text{card} \{k \in \mathbb{Z} : 1 \leq k \leq i, t_k = t \}$$

we find that

$$S = \sum_{i=1}^{j-i} \nu_p(t_i) n_i \leq \sum_{i=1}^{j-i} (t-1) n_i = \sum_{i=1}^{j-i} t n_i - \sum_{i=1}^{j-i} n_i = j - j - i.$$
Proof of Proposition 9. Let $n \geq 0$. Note that $t_n \equiv 0 \pmod{\pi^n}$. The assumptions imply that $e_jT_0^j \equiv e_j\bar{T}_0^j \pmod{\pi^{N+\gamma+1}}$ for $j = 0, \ldots, N$ and $\bar{T}_0^j \equiv 0 \pmod{\pi^{N+\gamma+1}}$. Consequently,

\begin{equation}
  f(t_n) \equiv \bar{f}(t_n) \pmod{\pi^{N+\gamma+1}},
  \quad f'(t_n) \equiv \bar{f}'(t_n) \pmod{\pi^N}.
\end{equation}

Recalling that

\begin{equation}
  v_\pi(f(t_n)) = \gamma, \quad f'(t_n) \equiv 0 \pmod{\pi^{N+\gamma+1}}
\end{equation}

(see (7.2) and (7.3)), we thus find, since $N > \gamma$, that

\begin{equation}
  v_\pi(\bar{f}(t_n)) = \gamma, \quad \bar{f}(t_n) \equiv 0 \pmod{\pi^{N+\gamma+1}}.
\end{equation}

For $n = 0$, this is our assertion (i).

To prove (ii), we suppose that $t_n$ is given in the form $\pi^n + \cdots + \pi^{n+\gamma} (\text{with the coefficients } \pi, \text{ in a fixed residue system mod } \pi)$, and similarly for $\bar{t}_n$. This is no restriction, for the higher powers of $\pi$ do not affect the algorithm. We show that in fact $t_n = \bar{t}_n$ for $n = 1, \ldots, N - \gamma$. Then the assertion, i.e., the congruence (7.6), follows from (7.4). For $t_n$ and $\bar{t}_n$ modified in this way, we have to replace the recursive equations by the congruences

\[ t_n \equiv t_{n-1} - f(t_{n-1}) \pmod{\pi^{N+\gamma+1}}, \quad \bar{t}_n \equiv \bar{t}_{n-1} - \bar{f}(t_{n-1}) \pmod{\pi^{N+\gamma+1}} \quad (n = 1, 2, \ldots). \]

Since $t_0 = \bar{t}_0$, our claim is obtained by induction once we know that

\[ \frac{f(t_{n-1})}{\bar{f}(t_{n-1})} \equiv \frac{\bar{f}(t_{n-1})}{f(t_{n-1})} \pmod{\pi^{N+\gamma+1}} \quad (n = 1, \ldots, N - \gamma). \]

In view of (12.2) and (12.3), this follows from (12.1). \[ \square \]

Proof of Proposition 11. Let us first verify that

\[ e_jT_0^j \equiv e_j\bar{T}_0^j \pmod{\pi^{M+\gamma}} \]

for $j = 1, \ldots, N$. Since $e_j \equiv e_j (\text{mod } \pi^M)$ and $v_\pi(T_0) > 0$, this assertion reduces to the form

\[ e_jT_0^j \equiv e_j\bar{T}_0^j \pmod{\pi^{M+\gamma}}. \]

By (8.1), $T_0 = \bar{T}_0 + \pi^{M+\gamma}$ with $v_\pi(T_0) \geq 0$. Hence,

\[ e_j(T_0^j - \bar{T}_0^j) = \pi^{M+\gamma} \sum_{k=1}^{j} e_j(j-1) \cdots (j-k+1) \bar{T}_0^{k-1} + \pi^{M+\gamma}(\gamma-k) \leq \pi^{M+\gamma} \sum_{k=1}^{j} e_j(j-1) \cdots (j-k+1) \bar{T}_0^{k-1}. \]

The sum $\sum_{k=1}^{j} e_j(j-1) \cdots (j-k+1) \bar{T}_0^{k-1}$ on the right-hand side is $\pi$-integral, since $v_\pi(e_j) = 0$, $v_\pi(k!) < \frac{k}{p-1} \leq \frac{k}{2} \leq k - 1 \quad (k = 2, 3, \ldots)$, and $e \leq \rho - \gamma - \gamma$. This proves the claim.

We have $e_jT_0^j \to 0$ as $j \to \infty$. Therefore, to obtain the congruence of Proposition 11, simply choose $J$ so that

\[ v_\pi(e_jT_0^j) \geq \rho M - \gamma \quad \text{for all } j > J. \square \]

Remark. In fact, $v_\pi(e_jT_0^j) \geq j - v_\pi(j)$, which easily yields an effective bound for $J$.

Proof of Proposition 12. By Proposition 6,

\[ v_\pi(u_i) \geq \eta - \frac{\eta - 1}{p - 1} \quad \text{for all } i \geq \eta. \]

Hence, with the $M$ and $i_M$ given in Proposition 12, we have $v_\pi(u_i) \geq M$ for all $i > i_M$. This proves the second assertion in (5.4); the first is trivial.

To prove (5.3), it suffices to show that $\sum_{i=1}^{n} \sum_{j=1}^{m} \pi^{\eta+1}$, for $i = 0, \ldots, \eta - 1$. We may write

\[ R_{\eta i} = \sum_{j=1}^{n} \sum_{i=1}^{n} \pi^{\eta+1}, \]

where $R_{\eta i}$ approximates $\pi^{\eta+1} \sum_{j=1}^{n} \pi^{\eta+1}$. Thus, the assertion follows once we prove that

\[ r_{\eta i j} \equiv \sum_{j=1}^{n} \pi^{\eta+1} \quad \text{for } j = \eta + 1, \ldots, \eta. \]

Note that $r_{\eta i j}$ is expressed as a $p$-adic integer divided by $j!$ and by the denominator of $b_{\eta i j}$. The latter equals either $1$ or $p^M$, the denominator of the Bernoulli number $B_j$. Staudt's theorem tells us that $v_\pi(D_j) = 1$ or $0$ according to whether or not $p - 1$ divides $j$.

Since the numerator of $r_{\eta i j}$ is computed mod $p^{\eta+1}$, it is enough for (12.4) to verify that

\[ v_\pi(j!) + v_\pi(D_j) \leq \eta - M = \frac{\eta}{p - 1} \quad \text{for } j = 1, \ldots, \eta. \]

If $p - 1 \nmid j$, we obtain this estimate by using the inequality $v_\pi(j!) \leq \frac{j - 1}{p - 1}$. In the remaining case, say $j = k(p - 1)$, the same inequality yields $v_\pi(j!) + v_\pi(D_j) \leq k$, proving the claim.

We prove (12.5) by establishing the estimate

\[ v_\pi(r_{\eta i j}) \geq \eta + 1 - \frac{\eta}{p - 1} \]

separately for $j = \eta + 1, j = \eta + 2, \ldots, j \geq \eta + 3$. Since $v_\pi(r_{\eta i j}) \geq 1$, we know that

\[ v_\pi(r_{\eta i j}) \geq j - \frac{j - 1}{p - 1} - v_\pi(D_j). \]

Hence, if $j = \eta + 1$, we only have to observe that $v_\pi(D_j) = 0$ by the assumption in the proposition. For $j = \eta + 2$, the same assumption implies that $\frac{j - 1}{p - 1} = \frac{\eta + 2}{p - 1}$. If $j \geq \eta + 3$, then

\[ v_\pi(r_{\eta i j}) \geq \eta + 2 - \frac{\eta + 2}{p - 1}. \]

This yields the desired estimate. \[ \square \]
Remark. The above proof can be simplified if one is satisfied with a weaker value of $M$. However, we found it important to have as sharp an estimate as possible, since for large conductors $d$ even a small increase in $\eta$ causes a considerable growth in computation time.

13. Tables

Tables I-V belong to Program B; they are described in §10 of the article. We now explain how to read the remaining tables which expose the main results from Program A. These tables list the first coefficients of the Iwawa power series $f_s(T) = \sum_{m=1}^{\infty} a_m T^m$ for $p = 3, 5, 7, 11$ and for $\theta = \theta_m$, where $m$ ranges through the values given in [3, p. 287], excluding those values for which $\lambda_p = 0$ or 1.

For each prime $p$ there are two tables, the first for $m > 0$, and the second for $m < 0$. Every line in the tables gives data associated with a fixed character $\theta$. These data are arranged under 8 column headings as follows.

- $\text{disc}$: The discriminant of $Q(\sqrt{m})$, in absolute value equal to the conductor of $\theta_m$.
- $\lambda$: The $\lambda$-invariant $\lambda_p$ of $f_s(T)$.
- $a(0)$, $a(1)$, $a(2)$, $a(3)$: The coefficients $a_0, a_1, a_2, a_3$, respectively, of $f_s(T)$, written in the "decimal" form with decimal point (which is omitted) after the first digit. Thus, on the first line of the first table one has $a_0 = 0.110, a_1 = 0.01, a_2 = 2.1, a_3 = 0.$ The given values are approximations; however, as remarked above, one can decide whether $a_0 = 0$ (exactly) by looking at the entries under $\text{disc}$ and $a(0)$.

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