A RECOMBINATION ALGORITHM FOR THE DECOMPOSITION OF MULTIVARIATE RATIONAL FUNCTIONS

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Abstract. In this paper we show how we can compute in a deterministic way the decomposition of a multivariate rational function with a recombination strategy. The key point of our recombination strategy is the use of Darboux polynomials. We study the complexity of this strategy and we show that this method improves the previous ones. In the appendix, we explain how the strategy proposed recently by J. Berthomieu and G. Lecerf for the sparse factorization can be used in the decomposition setting. Then we deduce a decomposition algorithm in the sparse bivariate case and we give its complexity.

Introduction

The decomposition of univariate polynomials has been widely studied since 1922 (see [Rit22]), and efficient algorithms are known (see [AT85, BZ85, KL89, Gat90a, Gat90b, Gie88, Klü99]). There also exist results and algorithms in the multivariate case [Dic87, Gat90a, Gie88, GGR03].

The decomposition of rational functions has also been studied; see [Gie88, Zip91, AGR95, GW95]. In the multivariate case the situation is the following:

Let \( f(X_1, \ldots, X_n) = f_1(X_1, \ldots, X_n)/f_2(X_1, \ldots, X_n) \in K(X_1, \ldots, X_n) \) be a rational function, where \( K \) is a field and \( n \geq 2 \). It is commonly said to be composite if it can be written \( f = u(h) \) where \( h(X_1, \ldots, X_n) \in K(X_1, \ldots, X_n) \) and \( u \in K(T) \) such that \( \deg(u) \geq 2 \) (recall that the degree of a rational function is the maximum of the degrees of its numerator and denominator after reduction); otherwise \( f \) is said to be non-composite. We remark that \( \deg u \geq 2 \) because univariate rational functions with degree equal to 1 are invertible for the composition. In this paper, we give an algorithm which computes a non-composite rational function \( h \in K(X_1, \ldots, X_n) \) and a rational function \( u \in K(T) \) such that \( f = u(h) \).

In [Che10], the author shows that we can reduce the decomposition problem to a factorization problem and gives a probabilistic and a deterministic algorithm. The probabilistic algorithm is nearly optimal: it performs \( \tilde{O}(d^n) \) arithmetic operations. The deterministic one computes \( O(d^2) \) absolute factorizations and then performs \( \tilde{O}(d^{n+\omega+2}) \) arithmetic operations, where \( d \) is the degree of \( f \) and \( \omega \) is the feasible matrix multiplication exponent as defined in [GG03] Chapter 12). We recall that \( 2 \leq \omega \leq 2.376 \). As in [Che10], we suppose in this work that \( d \) tends to infinity and \( n \) is fixed. We use the classical \( O \) and \( \tilde{O} \) (“soft \( O \)” notation in the neighborhood of infinity as defined in [GG03] Chapter 25.7). Informally speaking, “soft \( O \)”s are used for readability in order to hide logarithmic factors in complexity estimates.

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In this paper we improve the complexity of the deterministic algorithm. With this algorithm we just compute two factorizations in $\mathbb{K}[X_1, \ldots, X_n]$ and then we use a recombination strategy. Under some hypotheses this new method performs $\tilde{O}(d^{n+\omega-1})$ arithmetic operations.

The decomposition of multivariate rational functions appears when we study the kernel of a derivation; see [MO04]. In [MO04] the author uses Darboux polynomials and gives an algorithm which works with $\tilde{O}(d^{\omega n})$ arithmetic operations.

In this paper, we are also going to use Darboux polynomials (see Section 1 for a definition) and we add a recombination strategy. Roughly speaking, we are going to factorize the numerator and the denominator and then thanks to a property of Darboux polynomials we are going to show that we can recombine the factors and deduce the decomposition. More precisely, irreducible factors of the numerator and the denominator do not give the rational function $h$, but if we use Darboux polynomials we can put them together in order to deduce $h$.

The decomposition of multivariate rational functions also appears when we study intermediate fields of an unirational field and the extended Lüroth’s Theorem (see [GRS01, Chê10]) and when we study the spectrum of a rational function (see [Chê10] and the references therein).

The study of decomposition is an active area of research: for a study on multivariate polynomial systems see e.g. [FP09, FGP10], for a study on symbolic polynomials see e.g. [Wat09], for a study on Laurent polynomials see e.g. [Wat08], for effective results on the reduction modulo a prime number of a non-composite polynomial or a rational function see e.g. [CN10, BDN09, BCN], for combinatorial results see e.g. [Gat11].

In this paper, we improve the strategy proposed in [MO04]. As in [MO04], we consider fields with characteristic zero. Furthermore, as we want to give a precise complexity estimate we are going to suppose that:

**Hypothesis (C):**

$\mathbb{K}$ is a number field: $\mathbb{K} = \mathbb{Q}[\alpha]$, $\alpha$ is an algebraic number of degree $r$.

As in [Chê10], we are going to suppose that the following hypothesis is satisfied:

**Hypothesis (H):**

\[
\begin{aligned}
(i) \text{ deg}(f_1 + \Lambda f_2) &= \text{deg}_{X_n}(f_1 + \Lambda f_2), \text{ where } \Lambda \text{ is a new variable}, \\
(ii) R(\Lambda) &= \text{Res}_{X_n}\left(f_1(0, X_n) + \Lambda f_2(0, X_n), \partial f_1(0, X_n) + \Lambda \partial f_2(0, X_n)\right) \\
&\neq 0 \text{ in } \mathbb{K}[\Lambda],
\end{aligned}
\]

where $\text{deg}_{X_n} f$ represents the partial degree of $f$ in the variable $X_n$, $\text{deg} f$ is the total degree of $f$ and $\text{Res}_{X_n}$ denotes the resultant relative to the variable $X_n$.

This hypothesis is not restrictive but is necessary, because we will use the factorization algorithms proposed in [Lec07], where this kind of hypothesis is needed. Actually, in [Lec07] the author studies the factorization of a polynomial $F$ and uses hypothesis (L), where (L) is the following:

**Hypothesis (L):**

\[
\begin{aligned}
(i) \text{ deg}_{X_n} F &= \text{deg} F, \text{ and } F \text{ is monic in } X_n, \\
(ii) \text{Res}_{X_n}\left(F(0, X_n), \frac{\partial F}{\partial X_n}(0, X_n)\right) &\neq 0.
\end{aligned}
\]

If $F$ is squarefree, then hypothesis (L) is not restrictive since it can be assured by means of a generic linear change of variables, but we will not discuss this question here (for a complete treatment in the bivariate case, see [CL07 Proposition 1]).
Roughly speaking, our hypothesis (H) is the hypothesis (L) applied to the polynomial $f_1 + \Lambda f_2$. In (H,i) we do not assume that $f_1 + \Lambda f_2$ is monic in $X_n$. Indeed, the leading coefficient relative to $X_n$ can be written: $a + \lambda b$, with $a, b \in \mathbb{K}$. In our algorithm, we evaluate $\Lambda$ to $\lambda$ such that $a + \lambda b \neq 0$. Then we can consider the monic part of $f_1 + \lambda f_2$ and we get a polynomial satisfying (L,i). Then (H,i) is sufficient in our situation. Furthermore, in this paper, we assume $f_1/f_2$ to be reduced, i.e., $f_1$ and $f_2$ are coprime. We recall in Lemma 9 that in this situation $f_1 + \Lambda f_2$ is squarefree. Thus hypothesis (H) is not restrictive.

Furthermore, hypothesis (H) will also be useful in a preprocessing step; see Section 2. In this preprocessing step we reduce the decomposition to two factorizations of squarefree polynomials.

**Complexity model.** In this paper the complexity estimates charge a constant cost for each arithmetic operation (+, -, $\times$, $\div$) and the equality test. All the constants in the base fields are thought to be freely at our disposal.

In this paper we suppose that the number of variables $n$ is fixed and that the degree $d$ tends to infinity.

Polynomials are represented by dense vectors of their coefficients in the usual monomial basis. For each integer $d$, we assume that we are given a computation tree that computes the product of two univariate polynomials of degree at most $d$ with at most $\mathcal{O}(d)$ operations, independently of the base ring; see [GG03, Theorem 8.23].

Then with a Kronecker substitution we can compute the product of two multivariate polynomials with degree $d$ with $n$ variables with $\mathcal{O}(d^n)$ arithmetic operations. We also recall (see [GG03, Corollary 11.8]) that if $\mathbb{K}$ is an algebraic extension of $\mathbb{Q}$ of degree $r$, then each field operation in $\mathbb{K}$ takes $\mathcal{O}(r)$ arithmetic operations in $\mathbb{Q}$.

We use the constant $\omega$ to denote a feasible matrix multiplication exponent as defined in [GG03, Chapter 12]: two $n \times n$ matrices over $\mathbb{K}$ can be multiplied with $\mathcal{O}(n^\omega)$ field operations. As in [BP94] we recall that $2 \leq \omega \leq 2.376$ and that the computation of a solution basis of a linear system with $m$ equations and $d \leq m$ unknowns over $\mathbb{K}$ takes $\mathcal{O}(md^{\omega-1})$ operations in $\mathbb{K}$ [BP94, Chapter 2] (see also [Sto00, Theorem 2.10]).

In [Lec06, Lec07] the author gives a deterministic algorithm for the multivariate rational factorization. The rational factorization of a polynomial $f$ is the factorization in $\mathbb{K}[X]$, where $\mathbb{K}$ is the coefficient field of $f$. This algorithm uses one factorization of a univariate polynomial of degree $d$ and $\mathcal{O}(d^{n+\omega-1})$ arithmetic operations, where $d$ is the total degree of the polynomial and $n \geq 2$ is the number of variables.

**Main theorem.** The following theorem gives the complexity result of our algorithm.

**Theorem 1.** Let $f$ be a multivariate rational function in $\mathbb{Q}[\alpha](X_1, \ldots, X_n)$ of degree $d$, where $\alpha$ is an algebraic number of degree $r$. Under hypotheses (C) and (H), we can compute in a deterministic way the decomposition of $f$ with $\mathcal{O}(rd^{n+\omega-1})$ arithmetic operations over $\mathbb{Q}$ plus two factorizations of univariate polynomials of degree $d$ with coefficients in $\mathbb{Q}[\alpha]$.

**Comparison with other algorithms.** There already exist several algorithms for the decomposition of rational functions. They all use the same global strategy: first compute $h$, and then deduce $u$. The first step is the difficult part of the problem.
In [Che10], we explain how we can perform the second step, i.e., compute $u$ from $h$ and $f$, with $\tilde{O}(d^n)$ arithmetic operations.

In [GRS01], the authors provide two algorithms to decompose a multivariate rational function. These algorithms run in exponential time in the worst case. In the first algorithm we have to factorize polynomials with $2n$ variables $f_1(X)f_2(Y) - f_1(Y)f_2(X)$ and to look for factors of the following kind $h_1(X)h_2(Y) - h_1(Y)h_2(X)$. The authors say that in the worst case the number of candidates to be tested is exponential in $d = \deg(f_1/f_2)$. Indeed, the authors test all the possible factors.

In the second algorithm, for each pair of factors $(h_1, h_2)$ of $f_1$ and $f_2$ (i.e., $h_1$ divides $f_1$ and $h_2$ divides $f_2$), we have to test if there exists $u \in K(T)$ such that $f_1/f_2 = u(h_1/h_2)$. Thus in the worst case we also have an exponential number of candidates to be tested.

To the author’s knowledge, the first polynomial time algorithm is due to J. Moulin Ollagnier; see [MO04]. This algorithm relies on the study of the kernel of the following derivation: $\delta_\omega(F) = \omega \wedge dF$, where $F \in K[X]$ and $\omega = f_2 df_1 - f_1 df_2$. In [MO04] the author shows that we can reduce the decomposition of a rational function to linear algebra. The bottleneck of this algorithm is the computation of the kernel of a matrix. The size of this matrix is $O(d^n) \times O(d^n)$, then the complexity of this deterministic algorithm belongs to $O(d^\omega n)$.

The reduction of the decomposition problem to a factorization problem is classical; see e.g. [Kli99, Gie88, GW95, GRS01]. In [Che10] the author shows that if we choose a probabilistic approach, then two factorizations in $K[X_1, \ldots, X_n]$ are sufficient to get $h$ and, furthermore, we do not have a recombination problem. This gives a nearly optimal algorithm. For the deterministic approach the author uses a property on the pencil $f_1 - \lambda f_2$ and shows that with $O(d^2)$ absolute factorization (i.e., factorization in the algebraic closure of $K$) we can get $h$. This deterministic strategy works with $O(d^{n+\omega+2})$ arithmetic operations.

In this paper, we are going to show that we can obtain a deterministic algorithm with just two factorizations in $K[X_1, \ldots, X_n]$ and a recombination strategy. Our algorithm uses at most $O(d^{n+\omega-1})$ arithmetic operations. This cost corresponds to the cost of the factorization and the recombination step.

Our recombination problem comes from the following factorization: If $f_1/f_2 = u_1/u_2(h_1/h_2)$, then

$$f_1 - \lambda f_2 = e(h_1 - t_1h_2) \cdots (h_1 - t_kh_2)$$

where $\lambda, e \in K$, $k = \deg(u_1/u_2)$ and $t_i$ are the roots of the univariate polynomial $u_1(T) - \lambda u_2(T)$; see Lemma 10.

Thus with the factors $h_1 - t_1h_2$ and $h_1 - t_2h_2$ we can deduce $h$. Unfortunately these factors are not necessarily in $K[X_1, \ldots, X_n]$ and are not necessarily irreducible. In this paper we show how we can reduce the problem to a factorization problem in $K[X_1, \ldots, X_n]$ and how we can recombine the irreducible factors of $f_1 - \lambda f_2$ to get $h$.

We can see our recombination scheme as a logarithmic derivative method. Roughly speaking, the logarithmic derivative method works as follows:

If $F(X, Y) = \prod_{j=1}^t F_j(X, Y)$, where $F_j(X, Y) \in A$ and $A \supset K[X, Y]$ (for example, $A = K[[X]][Y]$), then we can write the irreducible factors $F_i(X, Y) \in K[X, Y]$ of $F$ in the following way: $F_i = \prod_{j=1}^t F_j^{e_{i,j}}$, where $e_{i,j} \in \{0, 1\}$. Thus we just have
to compute the exponents $e_{i,j}$ to deduce $F_i$. We compute these exponents thanks to this relation:

$$\frac{\partial X F_i}{F_i} = \sum_{j=1}^{t} e_{i,j} \frac{\partial X F_j}{F_j}.$$ 

With this relation the exponents $e_{i,j}$ are now coefficients, and we can compute them with linear algebra.

This strategy has already been used by several authors in order to factorize polynomials; see e.g. [BHKS09, BLS+04, Lec06, CL07, Wei10]. Here, we use this kind of technique for the decomposition problem. With this strategy the recombination part of our algorithm corresponds to the computation of the kernel of a $O(d^n) \times O(d)$ matrix.

In this context, we do not use exactly a logarithmic derivative. We use a more general derivation, but we use the same idea: If a mathematical object transforms a product into a sum, then the recombination problem becomes a linear algebra problem. In this paper this mathematical object is the cofactor; see Proposition 8.

Structure of this paper. In Section 1, we recall some results about the Jacobian derivative and Darboux polynomials. In Section 2, we describe a reduction step which eases the recombination strategy. In other words, we explain how we can reduce the decomposition problem to a factorization problem. In Section 3, we explain how we can get $h$ with a recombination strategy. In Section 4, we describe our algorithm with two examples. In Section 5 we conclude this paper with a remark on Darboux method and the logarithmic derivative method. In a first appendix, we explain how the strategy proposed recently by J. Berthomieu and G. Lecerf in [BL10] for the sparse factorization can be used in the decomposition setting. Then we deduce a decomposition algorithm in the sparse bivariate case and we give its complexity. In a second appendix, we study the bit-complexity of our algorithm.

Notations. All rational functions are supposed to be reduced.

- Given a polynomial $f$, $\text{deg}(f)$ denotes its total degree.
- Given a rational function $f = f_1/f_2$, $\text{deg}(f)$ denotes $\max\left(\text{deg}(f_1), \text{deg}(f_2)\right)$.
- For the sake of simplicity, sometimes we write $\mathbb{K}[X]$ instead of $\mathbb{K}[X_1, \ldots, X_n]$, for $n \geq 2$.
- $u \circ h$ means $u(h)$.
- $\text{Res}(A, B)$ denotes the resultant of two univariate polynomials $A$ and $B$.
- $|S|$ is the cardinal of the set $S$.

1. Derivation and Darboux polynomials

We introduce the main tool of our algorithm.

Definition 2. A $\mathbb{K}$-derivation $D$ of the polynomial ring $\mathbb{K}[X_1, \ldots, X_n]$ is a $\mathbb{K}$-linear map from $\mathbb{K}[X_1, \ldots, X_n]$ to itself that satisfies the Leibniz rule for the product

$$D(f \cdot g) = D(f) \cdot g + f \cdot D(g).$$

A $\mathbb{K}$-derivation has a unique extension to $\mathbb{K}(X_1, \ldots, X_n)$ and then we will also denote by $D$ the extended derivation.
Definition 3. Given a rational function \( f_1/f_2 \), the Jacobian derivative associated to \( f_1/f_2 \) is the following vector derivation, i.e., an \((n-1)\)-tuple of derivations:

\[
D_{f_1/f_2} : \mathbb{K}[X_1, \ldots, X_n] \rightarrow \left( \mathbb{K}[X_1, \ldots, X_n] \right)^{n-1}
\]

\[
F \mapsto f_2^2 \left( \begin{array}{c}
\partial X_1 (f_1/f_2) \partial X_2 F - \partial X_2 (f_1/f_2) \partial X_1 F \\
\vdots \\
\partial X_1 (f_1/f_2) \partial X_n F - \partial X_n (f_1/f_2) \partial X_1 F
\end{array} \right).
\]

The Jacobian derivative has the following property:

**Proposition 4.** Given \( f = f_1/f_2 \) and \( g \in \mathbb{K}(X_1, \ldots, X_n) \setminus \mathbb{K} \) the following propositions are equivalent:

1. The rank of the Jacobian matrix

\[
\text{Jac}(f, g) = \begin{pmatrix}
\frac{\partial f}{\partial X_1} & \cdots & \frac{\partial f}{\partial X_n} \\
\frac{\partial g}{\partial X_1} & \cdots & \frac{\partial g}{\partial X_n}
\end{pmatrix}
\]

is equal to one;

2. \( D_{f_1/f_2}(g) = 0 \);

3. there exists \( h \) in \( \mathbb{K}(X_1, \ldots, X_n) \) such that \( f = u(h) \) and \( g = v(h) \) for \( u, v \in \mathbb{K}(T) \).

**Proof.** See [PI07] for a proof. In [PI07], \( \mathbb{K} \) is supposed to be algebraically closed. However, we can remove this hypothesis because we have the equivalence: \( f \) is composite over \( \mathbb{K} \) if and only if \( f \) is composite over \( \overline{\mathbb{K}} \); see e.g. [BCN, Theorem 13].

**Definition 5.** Given \( D \) a vector derivation i.e. an \( m \)-tuple of derivations, a polynomial \( F \in \mathbb{K}[X] \) is said to be a Darboux polynomial of \( D \) if there exists \( G \in \left( \mathbb{K}[X] \right)^m \) such that \( D(F) = F \cdot G \). \( G \) is called the cofactor of \( F \) for the derivation \( D \).

We deduce easily the following classical propositions.

**Proposition 6.** \( f_1 \) and \( f_2 \) are Darboux polynomials of \( D_{f_1/f_2} \).

**Proposition 7.** \( D_{f_1/f_2}(h_1/h_2) = 0 \) if and only if \( h_1 \) and \( h_2 \) are Darboux polynomials with the same cofactor.

The following proposition is the main tool of our algorithm. Indeed, this proposition shows that cofactors transform a product into a sum. Then thanks to the cofactors it will be possible to apply a kind of logarithmic derivative recombination scheme.

**Proposition 8.** Let \( F \in \mathbb{K}[X_1, \ldots, X_n] \) be a polynomial and let \( F = F_1^{e_1} \cdots F_r^{e_r} \) be its irreducible factorization in \( \mathbb{K}[X_1, \ldots, X_n] \). Then:

\( F \) is a Darboux polynomial with cofactor \( G_F \) if and only if all the \( F_i \) are Darboux polynomials with cofactor \( G_{F_i} \). Furthermore, \( G_F = e_1 G_{F_1} + \cdots + e_r G_{F_r} \).

**Proof.** See, for example, Lemma 8.3 page 216 in [DLA06].

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2. Reduction to a rational factorization problem

In this section, we recall how the decomposition problem can be reduced to a factorization problem. Furthermore, we show that we can reduce our problem to a situation where \( f_1 \) and \( f_2 \) are squarefree. First, we recall some useful lemmas.

**Lemma 9.** If \( f_1 / f_2 \) is reduced in \( \mathbb{K}(X_1, \ldots, X_n) \), where \( n \geq 1 \) and \( \Lambda \) is a variable, then \( f_1 + \Lambda f_2 \) is squarefree.

**Lemma 10.** Let \( h = h_1 / h_2 \) be a rational function in \( \mathbb{K}(X) \), \( u = u_1 / u_2 \) a rational function in \( \mathbb{K}(T) \) and set \( f = u \circ h \) with \( f = f_1 / f_2 \in \mathbb{K}(X) \). For all \( \lambda \in \mathbb{K} \) such that \( \deg(u_1 - \lambda u_2) = \deg u \), we have

\[
\frac{f_1 - \lambda f_2}{f} = e(h_1 - t_1 h_2) \cdots (h_1 - t_k h_2)
\]

where \( e \in \mathbb{K} \), \( k = \deg u \) and \( t_i \) are the roots of the univariate polynomial \( u_1(T) - \lambda u_2(T) \).

**Proof.** See [Chè10, Lemma 8, Lemma 39].

**Lemma 11.** If \( \lambda = f_1(a) / f_2(a) \), where \( a = (a_1, \ldots, a_n) \in \mathbb{K}^n \), then we can suppose that \( t_1 \in \mathbb{K} \).

**Proof.** We just have to remark that \( t_1 = h_1(a) / h_2(a) \in \mathbb{K} \) is a root of \( u_1 - \lambda u_2 \).

The following lemma says that we can always suppose that \( \deg u_1 = \deg u_2 = \deg u \).

**Lemma 12.** Let \( h = h_1 / h_2 \) be a rational function in \( \mathbb{K}(X) \), \( u = u_1 / u_2 \) a rational function in \( \mathbb{K}(T) \) and set \( f = u \circ h \) with \( f = f_1 / f_2 \in \mathbb{K}(X) \). There exists an homography \( H(T) = (aT + b) / (\alpha T + \beta) \in \mathbb{K}(T) \) such that:

\[
u \circ H = \tilde{u}_1 / \tilde{u}_2, \quad \deg \tilde{u}_1 = \deg \tilde{u}_2, \quad \text{and} \quad f = \frac{\tilde{u}_1}{\tilde{u}_2} \circ \tilde{h}, \quad \text{where} \quad \tilde{h} = H^{-1} \circ h \quad \text{and} \quad H^{-1}
\]

is the inverse of \( H \) for the composition.

**Proof.** If \( \deg u_1 = \deg u_2 \), then we set \( H(T) = T \).

If \( \deg u_2 > \deg u_1 \), then we have

\[
\frac{u_1}{u_2}(H(T)) = \frac{\prod_{i=1}^{\deg u_1} (aT + b - \lambda_i (\alpha T + \beta))}{\prod_{i=1}^{\deg u_2} (aT + b - \mu_i (\alpha T + \beta))} (\alpha T + \beta)^{\deg u_2 - \deg u_1},
\]

where \( u_1(\lambda_i) = 0 \) and \( u_2(\mu_i) = 0 \). We set

\[
\tilde{u}_1(T) = (\alpha T + \beta)^{\deg u_2 - \deg u_1} \prod_{i=1}^{\deg u_1} (aT + b - \lambda_i (\alpha T + \beta))
\]

\[
\tilde{u}_2(T) = \prod_{i=1}^{\deg u_2} (aT + b - \mu_i (\alpha T + \beta))
\]

If \( a - \lambda_i \alpha \neq 0 \), \( \alpha \neq 0 \), and \( a - \mu_i \alpha \neq 0 \), then we get \( \deg \tilde{u}_1 = \deg u_2 = \deg \tilde{u}_2 \).

To conclude the proof we just have to remark that \( \deg H = 1 \), thus \( H \) is invertible for the composition. 

\[\square\]
In order to ease the recombination scheme we reduce our problem to a situation where the rational function is squarefree, i.e., the numerator and the denominator are squarefree. The following algorithm shows that if \( f_1 \) or \( f_2 \) are not squarefree, then we can compute an homography \( U(T) \in \mathbb{K}(T) \) such that \( U(f_1/f_2) \) is squarefree. Furthermore, if we know a decomposition \( U(f_1/f_2) = u(h) \), then we can easily deduce a decomposition \( f_1/f_2 = U^{-1}(u(h)) \). We recall that \( U \) is invertible for the composition because \( \deg U = 1 \). Now, we describe an algorithm which computes a good homography.

**Good Homography**

**Input:** \( f = f_1/f_2 \in \mathbb{K}(X_1, \ldots, X_n) \) of degree \( d \), such that (C) and (H) are satisfied and a finite subset \( S \) of \( \mathbb{K}^n \) such that \( |S| = 2d^2 + 2d \).

**Output:** \( U(T) = (T - \lambda_a)/(T - \lambda_b) \) such that \( U(f) \) is squarefree, \( \lambda_a = f_1/f_2(a), \lambda_b = f_1/f_2(b) \) where \( a, b \in \mathbb{K}^n \), \( \lambda_a \neq \lambda_b \), and \( \deg(f_1 - \lambda_a f_2) = \deg_X(f_1 - \lambda_b f_2) = d \\

(1) Compute \( \overline{f}_1(X_n) := f_1(\emptyset, X_n), \) and \( \overline{f}_2(X_n) := f_2(\emptyset, X_n). \)
(2) Construct an empty list \( L \).
(3) For \( i \) from 1 to \( 2d^2 + 2d \) do:
(a) Compute \( \overline{f} := \overline{f}_1(i)/\overline{f}_2(i). \)
(b) If \( \overline{f} \notin L \), then \( L := \text{concatenate}(L, [\overline{f}]). \)
(4) Construct an empty list \( \mathcal{L} \).
(5) For \( k \) from 1 to \( 2d + 2 \) do:
(a) Compute \( R := \text{Res}_{X_n}(\overline{f}_1(X_n) - L[k]\overline{f}_2(X_n), \partial_{X_n}\overline{f}_1(X_n) - L[k]\partial_{X_n}\overline{f}_2(X_n)). \)
(b) If \( R \neq 0 \) and \( \deg_{X_n}(\overline{f}_1 - L[k]\overline{f}_2) = d \), then \( \mathcal{L} := \text{concatenate}(\mathcal{L}, [L[k]]). \)
(6) \( \lambda_a := \mathcal{L}[1], \lambda_b := \mathcal{L}[2]. \)
(7) Return \( U(T) = (T - \lambda_a)/(T - \lambda_b). \)

**Proposition 13.** The algorithm Good Homography is correct.

**Proof.** In Step \( 3 \) we construct a list with at least \( 2d + 2 \) distinct elements because \( \deg(f) = d \).

By hypothesis (H), \( R(\Lambda) \neq 0 \) and by [GG03, Theorem 6.22], \( \deg(R) \leq 2d - 1 \). Thus \( \mathcal{L} \) contains at least two distinct elements.

As \( R(\lambda_a) \) and \( R(\lambda_b) \) are not equal to zero, and thanks to Step \( 5b \) the condition on the degree is satisfied, we deduce that \( f_1 - \lambda_a f_2 \) and \( f_1 - \lambda_b f_2 \) are squarefree. \( \square \)

**Proposition 14.** The algorithm Good Homography can be performed with at most \( \mathcal{O}(d^n) \) arithmetic operations over \( \mathbb{K} \).

**Proof.** Step \( 1 \) can be done with \( \mathcal{O}(d^n) \) arithmetic operations with Horner’s method. In Step \( 3 \) we use a fast multipoint evaluation strategy, then we can perform this step with at most \( \mathcal{O}(d^2) \) arithmetic operations; see [GG03, Corollary 10.8].

In Step \( 5 \) the computation of the resultant can be done with \( \mathcal{O}(d) \) arithmetic operations; see [GG03, Corollary 11.16]. Thus Step \( 5 \) can be done with \( \mathcal{O}(d^2) \) arithmetic operations.

In conclusion the algorithm can be performed with the desired complexity. \( \square \)

**Lemma 15.** Suppose \( f_1/f_2 = v_1/v_2(h) \). With the algorithm Good Homography we can write \( U(f_1/f_2) = u_1/u_2(h) \) with \( u_1/u_2 \in \mathbb{K}(T) \), \( h \in \mathbb{K}(X_1, \ldots, X_n) \), and \( u_1 \) (resp. \( u_2 \)) has a root \( \alpha_1 \) (resp. \( \alpha_2 \)) in \( \mathbb{K} \).
Proof. We have $u_1 = v_1 - \lambda_v v_2$ (resp. $u_2 = v_1 - \lambda_v v_2$) and $\lambda_v = f_1/f_2(g)$ (resp. $\lambda_v = f_1/f_2(b)$), then we deduce that $\alpha_1 = h_1/h_2(g)$ (resp. $\alpha_2 = h_1/h_2(b)$). □

3. The recombination method

In this section we describe our recombination method. First, we introduce some notation. By Proposition 6, $F_1$ and $F_2$ are Darboux polynomials of $D_{F_1/F_2}$. We denote by

$$G_{F_k} = (G_{F_k}^{(2)}, \ldots, G_{F_k}^{(n)})$$

the cofactor of $F_k$, where $k = 1, 2$, and $G_{F_k}^{(i)} \in \mathbb{K}[X_1, \ldots, X_n]$. We set

$$F_k = \prod_{j=1}^{s_k} F_{k,j}$$

for $k = 1, 2$, and

$$G_{F_{k,j}} = (G_{F_{k,j}}^{(2)}, \ldots, G_{F_{k,j}}^{(n)}).$$

In $\mathbb{Q}[\alpha][X_1, \ldots, X_n]$ polynomials are denoted in the following way:

$$\mathcal{P} = \sum_{|\tau| \leq d} \sum_{\epsilon=0}^{r-1} a_{\epsilon,\tau} \alpha^\epsilon X_1^{\tau_1} \cdots X_n^{\tau_n} \in \mathbb{Q}[\alpha][X_1, \ldots, X_n],$$

where $\alpha$ is an algebraic number of degree $r$, $\tau = (\tau_1, \ldots, \tau_n)$, $|\tau| = \tau_1 + \cdots + \tau_n$, and $a_{\epsilon,\tau} \in \mathbb{Q}$. We set

$$\text{coef}(\mathcal{P}, \alpha^\epsilon X^\tau) = a_{\epsilon,\tau}.$$

Now we define the linear system $\mathcal{S}$:

$$\mathcal{S} := \sum_{j=1}^{s_1} x_{1,j} \text{coef}(G_{F_{1,j}}^{(l)}, \alpha^\epsilon X^\tau) - \sum_{j=1}^{s_2} x_{2,j} \text{coef}(G_{F_{2,j}}^{(l)}, \alpha^\epsilon X^\tau) = 0,$$

where $|\tau| \leq d$, $0 \leq \epsilon \leq r - 1$, and $2 \leq l \leq n$.

We denote by $\ker \mathcal{S}$ the kernel of this linear system, and we remark that

$$x = (x_{1,1}, \ldots, x_{2,s_2}) \in \ker \mathcal{S} \iff \sum_{j=1}^{s_1} x_{1,j} G_{F_{1,j}} - \sum_{j=1}^{s_2} x_{2,j} G_{F_{2,j}} = 0.$$

We define the following maps:

$$\pi_1 : \mathbb{K}^{s_1+s_2} \rightarrow \mathbb{K}^{s_1}$$

$$\pi_2 : \mathbb{K}^{s_1+s_2} \rightarrow \mathbb{K}^{s_2}$$

The following proposition will be the key of our algorithm:

Proposition 16. Suppose that $F_1/F_2 \in \mathbb{K}(X_1, \ldots, X_n)$ comes from the algorithm Good Homography and $F_1/F_2 = \hat{u}(h)$ where $h = h_1/h_2 \in \mathbb{K}(X_1, \ldots, X_n)$ is a non-composite reduced rational function and $u = u_1/u_2 \in \mathbb{K}(T)$ is a reduced rational function, with $\deg u_1 = \deg u_2$.

We denote by $u_k = \prod_{i=1}^{s_k} u_{k,i}$ the factorization of $u_k$ in $\mathbb{K}[T]$, where $k = 1, 2$.

We denote by $F_k = \prod_{j=1}^{s_k} F_{k,j}$ the factorization of $F_k$ in $\mathbb{K}[X_1, \ldots, X_n]$, where $k = 1, 2$. 

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Then:

1. \[ u_{k,i} \left( \frac{h_1}{h_2} \right) . h_2^{\deg u_{k,i}} = \prod_{j=1}^{s_k} F_{k,j}^{e_{k,i,j}} \in \mathbb{K}[X_1, \ldots, X_n] \text{ and } e_{k,i,j} \in \{0,1\}. \]

Furthermore, if we set \( e_{k,i} := (e_{k,i,1}, \ldots, e_{k,i,s_k}) \), then the vectors \( e_{k,i}, i = 1, \ldots, t_k \), are orthogonal for the usual scalar product.

2. We have \( e_{k,i} \in \pi_k(\ker \mathcal{S}) \).

3. \( \{e_{k,1}, \ldots, e_{k,t_k}\} \) is a basis of \( \pi_k(\ker \mathcal{S}) \).

Proof. (1) By Lemma 10 applied to \( F_1/F_2 \) (resp. \( F_2/F_1 \)) with \( \lambda = 0 \), we get

\[ F_k = u_k(h_1/h_2) . h_2^{\deg u_k} = \prod_{i=1}^{t_k} u_{k,i}(h_1/h_2) . h_2^{\deg u_{k,i}}. \]

Then we deduce

\[ u_{k,i}(h_1/h_2) . h_2^{\deg u_{k,i}} = \prod_{j=1}^{s_k} F_{k,j}^{e_{k,i,j}} \text{ in } \mathbb{K}[X_1, \ldots, X_n] \]

with \( e_{k,i,j} \in \{0,1\} \) because \( F_k \) are squarefree. Furthermore, the vectors \( e_{k,i,j} \) are orthogonal for the usual scalar product because \( F_k \) are squarefree.

2. We show this item for \( k = 1 \), the case \( k = 2 \) can be proved in a similar way.

As \( F_1/F_2 \) comes from the algorithm Good Homography and as explained in Lemma 15 we can suppose that:

\[ u_{k,1}(T) = (T - \alpha_k), \text{ with } \alpha_k \in \mathbb{K}. \]

The previous item allows us to write:

\[ \frac{u_{1,i}}{u_{2,1}}^{\deg u_{1,i}} \left( \frac{h_1}{h_2} \right) = \left( \prod_{j=1}^{s_1} F_{1,j}^{e_{1,i,j}} \right) . \left( h_2 \right)^{\deg u_{1,i}} . \left( \prod_{j=1}^{s_2} F_{2,j}^{e_{2,i,j}} \right) . h_2^{\deg u_{1,i}}. \]

By Proposition 4 applied to \( \frac{u_{1,i}}{u_{2,1}}^{\deg u_{1,i}} \left( \frac{h_1}{h_2} \right) \), we then get:

\[ D_{F_1/F_2} \left( \prod_{j=1}^{s_1} F_{1,j}^{e_{1,i,j}} \right) . \left( \prod_{j=1}^{s_2} F_{2,j}^{e_{2,i,j}} \right) = 0. \]

Now, we recall that \( F_{k,j} \) are Darboux polynomials; see Proposition 6 and Proposition 8. Then by Proposition 8 we deduce

\[ \sum_{j=1}^{s_1} e_{1,i,j} G_{F_{1,j}} = \deg(u_{1,i}) \sum_{j=1}^{s_2} e_{2,1,j} G_{F_{2,j}} = 0. \]

It follows \((e_{1,i,1}, \ldots, e_{1,i,s_1}, \deg(u_{1,i}), e_{2,1,1}, \ldots, \deg(u_{1,i}), e_{2,1,s_2}) \in \ker \mathcal{S} \). Thus, \( e_{1,i} \in \pi_1(\ker \mathcal{S}) \).

3. The vectors \( e_{k,1}, \ldots, e_{k,t_k} \) are linearly independent because they are orthogonal. We just have to prove that these vectors generate \( \pi_k(\ker \mathcal{S}) \).

Suppose that \( \rho = (\rho_1, \ldots, \rho_{s_1+s_2}) \in \ker \mathcal{S} \). First, we clear the denominators and we suppose that \( \rho \in \mathbb{Z}^{s_1+s_2} \) instead of \( \mathbb{Q}^{s_1+s_2} \). In a first time we explain the strategy of the proof for this item, and in a second time we will detail the proof.
We set
\[
\frac{F_1}{F_2} = \frac{\prod_{i=1}^{s_1} F_{1,j}^{i}}{\prod_{j=1}^{s_2} F_{2,j}^{i}}
\]
where \(F_1, F_2 \in \mathbb{K}[X]\) and \(F_1/F_2\) is a reduced rational function.

Our goal is to get this kind of equality:
\[
(\mathcal{E}), \quad \frac{F_1}{F_2} = \frac{\prod_{j=1}^{s_1} F_{1,j}^{i}}{\prod_{j=1}^{s_2} F_{2,j}^{i}} = \frac{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\text{num}}} \left( \prod_{j=1}^{m} \frac{F_{k,j}^{e_{k,i,j}}}{F_{k,j}^{e_{k,i,j}}} \right)^{m_{u_k,i}}}{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\text{den}}} \left( \prod_{j=1}^{m} \frac{F_{k,j}^{e_{k,i,j}}}{F_{k,j}^{e_{k,i,j}}} \right)^{m_{u_k,i}}},
\]
where \(m_{u_k,i} \in \mathbb{N}, I = \{(1,1), \ldots, (t_1,1), (1,2), \ldots, (t_2,2)\}, I_{\text{num}} \subset I, I_{\text{den}} \subset I\) and \(I_{\text{num}} \cap I_{\text{den}} = \emptyset\).

By the unicity of the factorization in irreducible factors we deduce:
\[
\pi_1(\rho) = \sum_{(i,1) \in I_{\text{num}}} m_{u_1,i} e_{1,i} - \sum_{(i,1) \in I_{\text{den}}} m_{u_1,i} e_{1,i},
\]
\[
\pi_2(\rho) = \sum_{(i,2) \in I_{\text{num}}} m_{u_2,i} e_{2,i} - \sum_{(i,2) \in I_{\text{den}}} m_{u_2,i} e_{2,i}.
\]

We get that \(\{e_{k,1}, \ldots, e_{k,t_k}\}\) generates \(\pi_k(\ker \mathcal{S})\), and this is the desired result.

Now we detail the proof with four steps:

(a) We remark:
\[
\frac{F_1}{F_2} = \frac{u_1}{u_2}(h) = \frac{\prod_{i=1}^{\deg u_1}(h_1 - \mu_{1,i}h_2)}{\prod_{i=1}^{\deg u_2}(h_1 - \mu_{2,i}h_2)},
\]
where \(\mu_{k,i}\) are roots of \(u_k\).

(b) We have:
\[
\frac{F_1}{F_2} = \frac{\prod_{j=1}^{d_1}(h_1 - \lambda_j h_2)^{m_j}}{\prod_{j=d_1+1}^{d_1+d_2}(h_1 - \lambda_j h_2)^{m_j}} \cdot h_2^{\kappa}, \quad \text{with } \kappa \in \mathbb{Z}, m_j \in \mathbb{N}.
\]
Indeed, as \(\rho \in \ker \mathcal{S}\), we have
\[
\sum_{j=1}^{s_1} \rho_j G_{F_1,j} - \sum_{j=1}^{s_2} \rho_{s_1+j} G_{F_2,j} = 0.
\]
Thus \(\prod_{j=1}^{s_1} F_{1,j}^{i}\) and \(\prod_{j=1}^{s_2} F_{2,j}^{i}\) are Darboux polynomials with the same cofactor. By Proposition 7 we deduce:
\[
D_{F_1/F_2} \left( \frac{\prod_{j=1}^{s_1} F_{1,j}^{i}}{\prod_{j=1}^{s_2} F_{2,j}^{i}} \right) = 0.
\]
Then \(D_{F_1/F_2}(F_1/F_2) = 0\) and thus \(F_1/F_2 = v_1/v_2(h)\) by Proposition 3.
We denote by \(\lambda_j\) the roots of \(v_1\) and \(v_2\) and we get the desired result.

(c) We claim:
\[
\frac{F_1}{F_2} = \frac{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\text{num}}} \left( u_{k,i}(h_1/h_2)h_2^{\deg u_{k,i}} \right)^{m_{u_k,i}}}{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\text{den}}} \left( u_{k,i}(h_1/h_2)h_2^{\deg u_{k,i}} \right)^{m_{u_k,i}}}, \quad \text{where } m_{u_k,i} \in \mathbb{N}.
\]
Indeed, we have for all $j$ there exists $\delta(j)$ such that $\lambda_j = \mu_{\delta(j)}$.

(To prove this remark we suppose the converse: There exists $j_0$ such that $\lambda_{j_0} \neq \mu_{k,i}$, for $k = 1, 2$ and $i = 1, \ldots, \deg u$.

By definition of $F_k$ and by step (3b), there exists $(k_1, j_1)$ such that $F_{k_1, j_1}$ and $h_1 - \lambda_{j_0}h_2$ have a common factor in $\mathbb{C}[X]$. We call $P$ this common factor.

By step (3b), there exists $(k_2, i_2)$ such that $P$ is a factor of $h_1 - \mu_{k_2,i_2}h_2$.

Thus $h_1 - \lambda_{j_0}h_2$ and $h_1 - \mu_{k_2,i_2}h_2$ have a common factor. As $\lambda_{j_0} \neq \mu_{k_2,i_2}$ we deduce that $P$ divides $h_1$ and $h_2$. This is absurd because $h_1/h_2$ is reduced.)

Thus $\kappa = 0$, and for all $j$ there exists $k(j) \in \{1, 2\}$ and such that $u_{k(j)}(\lambda_j) = 0$. As $v_1, v_2 \in \mathbb{K}[T]$, by conjugation, we deduce that if $\lambda_j$ and $\lambda_j'$ are roots of the same irreducible polynomial $u_{k,i} \in \mathbb{K}[T]$, then $m_j = m_j'$.

We denote by $m_{u_{k,i}}$ this common value.

This gives the claimed equality with $I_{\num} \cap I_{\den} = \emptyset$, because $F_1/F_2$ is reduced.

(d) Now we can prove equality (\mathcal{E}).

\[
\frac{F_1}{F_2} = \frac{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\num}} \left( \begin{array}{c}
\left( u_{k,i}(h_1/h_2)h_2^{\deg u_{k,i}} \right)^{m_{u_{k,i}}} \\
\end{array} \right)}{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\den}} \left( \begin{array}{c}
\left( u_{k,i}(h_1/h_2)h_2^{\deg u_{k,i}} \right)^{m_{u_{k,i}}} \\
\end{array} \right)}, \text{ by step (3b),}
\]

\[
= \frac{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\num}} \left( \prod_{j=1}^{s_k} F_{k,j}^{c_{k,j},i} \right)^{m_{u_{k,i}}}}{\prod_{k=1}^{2} \prod_{(i,k) \in I_{\den}} \left( \prod_{j=1}^{s_k} F_{k,j}^{c_{k,j},i} \right)^{m_{u_{k,i}}}}, \text{ by the first item.}
\]

This gives the desired equality (\mathcal{E}).

Now we describe our recombination algorithm:

**Recombination for Decomposition**

**Input:** $f = f_1/f_2 \in \mathbb{K}(X_1, \ldots, X_n)$, such that (C) and (H) are satisfied.

**Output:** A decomposition of $f$ if it exists, with $f = u \circ h$, $u = u_1/u_2$ with $\deg u \geq 2$, and $h = h_1/h_2$ non-composite.

1. Compute $F = F_1/F_2 := U(f)$ with the algorithm **Good Homography**.
2. For $k = 1, 2$, factorize $F_k = \prod_{i=1}^{s_k} F_{k,i}$ in $\mathbb{K}[X]$ with $F_{k,i}$ irreducible.
3. For each $F_{k,i}$ compute the corresponding cofactor $G_{F_{k,i}} := D_{F_1/F_2}(F_{k,i})/F_{k,i}$.
4. Build the system $S$ and compute the basis in reduced row echelon form $B_1$ of $\pi_1(\ker S)$ and $B_2$ of $\pi_2(\ker S)$.
5. For $k = 1, 2$, find $u_k = (u_{k,1}, \ldots, u_{k,s_k}) \in B_k$ such that:
   \[
   \sum_{i=1}^{s_k} u_{k,i} \deg F_{k,i} = \min_{w \in B_k} \sum_{i=1}^{s_k} w_i \deg F_{k,i}, \text{ where } w := (w_1, \ldots, w_{s_k}).
   \]
6. For $k = 1, 2$, compute $H_k := \prod_{i=1}^{s_k} F_{k,i}^{\alpha_{k,i}}$.
7. Set $H := H_1/H_2$.
8. Compute $u$ such that $u(H) = f$.
9. Return $H$, and $u$.

**Proposition 17.** The algorithm **Recombination for Decomposition** is correct.

**Proof.** Consider $F_1/F_2 := U(f)$. As we want to decompose $f_1/f_2$, we just have to decompose $F_1/F_2$, because $\deg U = 1$ and then $U$ is invertible.

As $F_1/F_2$ comes from the algorithm **Good Homography** we can suppose (see Lemma 15), that $u_{k,1}(T) = (T - \alpha_k)$ with $\alpha_k \in \mathbb{K}$, and $k = 1, 2$. Furthermore, by Lemma 12 we can also suppose that $\deg u_1 = \deg u_2$.

Then by Proposition 16 the basis $B_k$ of $\pi_k(\ker S)$ are $\{e_{k,1}, \ldots, e_{k,t_k}\}$. 
The vector $e_{k,i}$ gives the polynomial $H_{k,i} = \prod_{j=1}^{s_k} F_{k,j}^{e_{k,i,j}} = u_{k,i}(h) h^{\deg u_{k,i}}$.

Furthermore, $\deg H_{k,i} = \sum_{j=1}^{s_k} e_{k,i,j} \deg F_{k,j} = \deg u_{k,i} \deg h$. Thus, in Step 5

$$\min_{w \in B_k} \sum_{i=1}^{s_k} w_i \deg F_{k,i} = \deg h,$$

because this minimum is reached with $e_{k,1} \in B_k$. Hence $v_k$ in Step 6 gives $H_k = u_{k,1(k)}(h) h^{\deg u_{k,1(k)}}$ with $\deg u_{k,1(k)} = 1$.

It follows $H = (h_1 - \alpha h_2)/(h_1 - \beta h_2)$ with $\alpha, \beta \in \mathbb{K}$. Thus $H = v(h)$ with $\deg v = 1$, then the algorithm is correct. \hfill \Box

**Proposition 18.** The algorithm Recombination for Decomposition can be performed with $O(rd^{n+w-1})$ arithmetic operations over $\mathbb{Q}$ and two factorizations of univariate polynomials of degree $d$ with coefficients in $\mathbb{K}$.

We recall that in our complexity analysis the number of variables is fixed and the degree $d$ tends to infinity.

**Proof.** Step 1 uses $\tilde{O}(d^n)$ arithmetic operations over $\mathbb{K}$ by Proposition 14, thus it uses $\tilde{O}(rd^n)$ arithmetic operations over $\mathbb{Q}$.

Step 2 uses $\tilde{O}(d^{n+w-1})$ arithmetic operations over $\mathbb{K}$ because we can use Lecerf’s algorithm; see [Lec07]. Thus we use $\tilde{O}(rd^{n+w-1})$ arithmetic operations over $\mathbb{Q}$ and two factorizations of univariate polynomials of degree $d$ with coefficients in $\mathbb{K}$.

In Step 3, we compute $D_{F_1,F_2}(F_{k,i})$, thus we perform $2(n-1)$ multiplications of multivariate polynomials. We can do this with a fast multiplication technique, and then this computation costs $\tilde{O}(nr d^n)$ arithmetic operations over $\mathbb{Q}$. Then we divide $D_{F_1,F_2}(F_{k,i})$ by $F_{k,i}$. We have to perform $n-1$ exact divisions, thus with a Kronecker substitution we reduce this problem to $n-1$ univariate divisions, and the cost of one such division belongs then to $\tilde{O}(rd^n)$. As $s_1$ and $s_2$ are smaller than $d$, Step 3 costs $\tilde{O}(nr d^{n+1})$ arithmetic operations over $\mathbb{Q}$.

Step 4 needs $\tilde{O}(nr d^{n+w-1})$ arithmetic operations over $\mathbb{Q}$ with Storjohann’s method; see [Sto00] Theorem 2.10]. Indeed, $S$ has $O((n-1)rd^n)$ equations and $s_1 + s_2$ unknowns, thus at most $2d$ unknowns.

Step 5 has a negligible cost because $\dim_\mathbb{Q} \pi_k(\ker S) = t_k$ is smaller than $d$ and $s_k$ is also smaller than $d$.

In Step 6, we use a fast multiplication technique and we compute $H_k$ with $\tilde{O}(rd^n)$ arithmetic operations over $\mathbb{Q}$.

Step 8 can be done with $\tilde{O}(rd^n)$ arithmetic operations over $\mathbb{Q}$; see [Chè10].

Thus the global cost of the algorithm belongs to $\tilde{O}(rd^{n+w-1})$ arithmetic operations over $\mathbb{Q}$. \hfill \Box

4. Examples

In this section we show the behavior of the algorithm Recombination for Decomposition with two examples. We consider bivariate rational functions with rational coefficients. Thus hypothesis (C) is satisfied.

4.1. $f$ is non-composite. We set:

$$f_1 = (1 + X + Y^2) (X + Y) = X + X^2 + X Y^2 + Y + Y X + Y^3,$$

$$f_2 = f_1 - (Y^2 - X - 1) (Y - 2 X + 1) = -X^2 + 3 X Y^2 + 2 Y + 2 Y X - Y^2 + 1.$$
We have $\deg(f_1 + \Lambda f_2) = \deg_Y(f_1 + \Lambda f_2) = 3$, and
\[
\Res_Y \left( f_1(0, Y) + \Lambda f_2(0, Y), \partial_Y f_1(0, Y) + \Lambda \partial_Y f_2(0, Y) \right) = -4 - 24 \Lambda - 92 \Lambda^2 - 64 \Lambda^3 + 8 \Lambda^4.
\]
Thus hypothesis (H) is satisfied.

The algorithm Good Homography gives: $\lambda_a = f_1(0, 0)/f_2(0, 0) = 0$ and $\lambda_b = f_1(0, 1)/f_2(0, 1) = 1$.

Then
\[
\begin{align*}
F_1 &= (1 + X + Y^2) (X + Y), \\
F_{1,1} &= 1 + X + Y^2, \\
F_{1,2} &= X + Y, \\
F_2 &= (Y^2 - X - 1) (Y - 2 X + 1), \\
F_{2,1} &= Y^2 - X - 1, \\
F_{2,2} &= Y - 2 X + 1.
\end{align*}
\]

The cofactors are:
\[
\begin{align*}
G_{F_{1,1}} &= 3 X^2 + 8 Y X^2 + 2 X - 2 Y X + 7 X Y^2 - 1 + 3 Y^2 - 6 Y^3 - 6 Y^4 + 2 Y, \\
G_{F_{1,2}} &= 3 X^2 + 8 Y X^2 + 4 Y X + 6 X - 6 Y^2 - 4 Y + 3 - 3 Y^4 - 2 Y^3, \\
G_{F_{2,1}} &= 3 X^2 + 8 Y X^2 - Y Y^2 - 6 Y X + 2 X - 1 - 2 Y - 6 Y^4 - 11 Y^2 - 6 Y^3, \\
G_{F_{2,2}} &= 3 X^2 + 8 Y X^2 + 6 X Y^2 + 8 Y X + 6 X - 3 Y^4 + 8 Y^2 - 2 Y^3 + 3.
\end{align*}
\]

The linear system $S$ is the following:
\[
\begin{bmatrix}
-1 & 3 & -1 & 3 \\
2 & 6 & 2 & 6 \\
3 & 3 & 3 & 3 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
2 & -4 & -2 & 0 \\
-2 & 4 & -6 & 8 \\
8 & 8 & 8 & 8 \\
0 & 0 & 0 & 0 \\
3 & -6 & -11 & 8 \\
7 & 0 & 1 & 6 \\
0 & 0 & 0 & 0 \\
-6 & -2 & -6 & -2 \\
0 & 0 & 0 & 0 \\
-6 & -3 & -6 & -3
\end{bmatrix}
\]

A basis of $\ker(S)$ is given by $\{(-1, -1, 1, 1)\}$. Then it follows that $f_1/f_2$ is non-composite.
4.2. \textbf{f is composite.} Here we set:

\[
\begin{align*}
    h_1 &= (1 + X + Y^2) (X + Y), \\
    h_2 &= h_1 - (Y^2 - X - 1) (Y - 2X + 1), \\
    u_1 &= T(T - 1), \\
    u_2 &= T^2 + 1 \\
    f_1/f_2 &= u_1/u_2(h_1/h_2).
\end{align*}
\]

We have constructed a composite rational function $f_1/f_2$ and now we illustrate how our algorithm computes a decomposition. We can already remark that in the previous example we have shown that $h_1/h_2$ is non-composite.

In this situation the hypothesis (II) is satisfied and the algorithm \textit{Good Homography} gives: $\lambda_a = f_1(0,0)/f_2(0,0) = 0$ and $\lambda_b = f_1(0,2)/f_2(0,2) = 90/101$.

Then:

\[
\begin{align*}
    F_{1,1} &= 1 + X + Y^2, \\
    F_{1,2} &= 2X - Y - 1, \\
    F_{1,3} &= Y^2 - X - 1, \\
    F_{1,4} &= X + Y, \\
    F_{2,1} &= 2X^2 + 11X + 9 + 29XY + 29Y + 38XY^2 - 9Y^2 + 11Y^3, \\
    F_{2,2} &= 11X^2 + X - 10 - 19YX - 19Y - 29XY^2 + 10Y^2 + Y^3.
\end{align*}
\]

The basis in reduced row echelon form of $\pi_1(\ker S)$ (respectively $\pi_2(\ker S)$) is \{(1, 0, 0, 1); (0, 1, 1, 0)\} (respectively \{(1, 0); (0, 1)\}).

Step 5 in the algorithm \textit{Recombination for Decomposition} give: $v_1 = (1, 0, 0, 1)$ and $v_2 = (1, 0)$.

Then we have $H_1 := F_{1,1}F_{1,4}$ and $H_2 := F_{2,1}$.

We remark that $H_1 = h_1$ and that $H_2 = 11h_1 + 9h_2$. Then $H_1/H_2 = w(h_1/h_2)$, where $w(T) = T/(11T + 9)$. As $h_1/h_2$ is non-composite and $\deg w = 1$, we get a correct output.

5. \textbf{Conclusion}

In conclusion, we summarize our algorithm with a “derivation point of view”.

In order to decompose $f_1/f_2$, we have computed with Darboux method a rational first integral of $D_{f_1/f_2}$ with minimum degree. That is to say we have computed $h_1/h_2 \in \mathbb{K}(X_1, \ldots, X_n)$ such that $D_{f_1/f_2}(h_1/h_2) = 0$ and $\deg(h_1/h_2)$ is minimum.

In a general setting, Darboux method works as follows: If we want to compute a rational first integral of a derivation $D$, first we compute all the Darboux polynomials $F_i$ and their associated cofactors $G_{F_i}$, second we solve the linear system

\[
\sum_i e_i G_{F_i} = 0.
\]

Then thanks to Proposition 8 we deduce that $\prod_i F_i^{e_i}$ is a first integral, i.e.,

\[
D(\prod_i F_i^{e_i}) = 0.
\]

When we consider the derivation $D_{f_1/f_2}$ the computation of Darboux polynomials is reduced to the factorization of $f_1 + \lambda f_2$. Thus this step can be done efficiently.
In the general setting, we can also reduce the computation of Darboux polynomials to a factorization problem; see [Chë11].

During the second step, we compute the kernel of $\sum_i e_i G_{F_i} = 0$. It is actually a recombination step. Indeed, this system explains how we have to recombine $F_i$ in order to get a rational first integral. Furthermore, the cofactor $G_{F_i} = D(F_i)/F_i$ can be viewed as a logarithmic derivative.

In conclusion, the recombination scheme used in this paper is called nowadays the logarithmic derivative method, but this method is Darboux original method.

**Appendix A. Convex-dense bivariate decomposition**

In this appendix we give complexity results for the decomposition of sparse bivariate rational functions. These results rely on a strategy proposed by J. Berthomieu and G. Lecerf in [BL10].

Given a polynomial $f(X, Y) \in \mathbb{K}[X, Y]$, its support is the set $S_f$ of integer points $(i; j)$ such that the monomial $X^i Y^j$ appears in $f$ with a nonzero coefficient. The convex hull, in the real space $\mathbb{R}^2$ of $S_f$ is denoted by $N(f)$ and called the Newton’s polygon of $f$. We denote by $|N(f)|$ the number of integral points of $N(f)$. We called $|N(f)|$ the convex-size of $f$.

Roughly speaking, the transformation proposed in [BL10] consists in a monomial recombination step. Indeed, this system explains how we have to recombine $F_i$, and if it contains at least one point in $[0, d_x] \times [0, d_y]$, one can compute an affine map $T = B \circ L$, with $O(\sigma \log^2 \delta)$ bit-operations, such that $T(S)$ is normalized of dense size at most $9\pi$.

We are going to use this transformation in order to prove:

**Theorem 19.** Let $f_1, f_2(X, Y) \in \mathbb{K}(X, Y)$ such that $\deg(f_1/f_2) = d$, $N(f_1) \subset N$, $N(f_2) \subset N$ and $N$ is normalized. Then:
(1) If \( K \) is a field with characteristic 0 or at least \( d(d-1)+1 \) and (H) is satisfied, then there exists a probabilistic algorithm which computes the decomposition of \( f_1/f_2 \) with at most \( \tilde{O}(|N|^{1.5}) \) operations in \( K \) and two factorizations of a univariate polynomial of degree at most \( 9|N| \) over \( K \).

(2) If (C) and (H) are satisfied, then there exists a deterministic algorithm which computes the decomposition of \( f_1/f_2 \) with at most \( \tilde{O}(r|N|^{(\omega+1)/2}) \) operations over \( \mathbb{Q} \) and two factorizations of an univariate polynomials of degree at most \( 9|N| \) over \( \mathbb{Q}[\alpha] \).

Now, we explain how we use the transformation \( T \) in the decomposition setting.

**Proposition 20.** If \( f_1/f_2 = u_1/h \), then \( T(f_1)/T(f_2) = u(L(h_1)/L(h_2)) \).

If \( T(f_1)/T(f_2) = u(H_1/H_2) \), then \( f_1/f_2 = u(L^{-1}(H_1)/L^{-1}(H_2)) \).

**Proof.** We prove the first item, the second can be proved in a similar way.

We have \( f_1/f_2 = \prod_i (h_1 - \mu_{i,j} h_2) \), where \( \mu_{k,i} \) are roots of \( u_k \). Then,

\[
\frac{T(f_1)}{T(f_2)} = \frac{B \circ L(f_1)}{B \circ L(f_2)} = \frac{X^{b_1}Y^{b_2}L(f_1)}{X^{b_1}Y^{b_2}L(f_2)}
\]

\[
= \frac{L(f_1)}{L(f_2)} = \frac{\prod_i (L(h_1) - \mu_{i,j}L(h_2))}{\prod_j (L(h_1) - \mu_{2,j}L(h_2))}
\]

\[
= \frac{u_1(L(h_1)/L(h_2))}{u_2(L(h_1)/L(h_2))} = u(L(h_1)/L(h_2)).
\]

This gives the following algorithm:

**Convex Bivariate Decomposition**

**Input:** \( f = f_1/f_2 \in K(X,Y) \), where \( N(f_1) \subset N \), \( N(f_2) \subset N \) and \( N \) is normalized.

**Output:** A decomposition of \( f \) if it exists, with \( f = u \circ h, u = u_1/u_2 \) with \( \deg u \geq 2 \), and \( h = h_1/h_2 \) non-composite.

1. Compute \( F = T(f_1)/T(f_2) \).
2. Decompose \( F = u(H) \).
3. Return \( f = u(h) \), where \( h = \frac{L^{-1}(H_1)}{L^{-1}(H_2)} = \frac{c_{L^{-1}(H_1),L_0^{-1}(H_1)}}{c_{L^{-1}(H_2),L_0^{-1}(H_2)}} \in K(X,Y) \).

**Proposition 21.** The algorithm Convex Bivariate Decomposition is correct.

**Proof.** This follows from Proposition 20.

**Proposition 22.** The algorithm Convex Bivariate Decomposition uses one decomposition of a rational function of degree at most \( 9|N| \) and \( O(\sigma^2 \delta) \) bit operations.

**Proof.** We apply [BL10] Theorem 2] to \( N \).

The proof of Theorem [19] comes from Proposition 21 and Proposition 22 and complexity results given in Theorem [1] and [Che10 Theorem 2].

**Appendix B. Bit-complexity**

In this paper we have shown that we can improve Moulin Ollagnier’s algorithm. We have measured this improvement with the arithmetic complexity, but a natural question arises: what can be said about the bit-complexity?
In this appendix, we describe briefly a modular strategy for the algorithm Re- 
combination for Decomposition. In order to study the complexity of this algorithm 
we suppose that we have a fast arithmetic, that is to say we can compute \( a \times b \), 
\( a/b \), \( a + b \) and \( a - b \) with \( \tilde{O}(l) \) bit-operations where \( l \) is the bit-size of \( a \) and \( b \); see [GG03, 
Theorem 8.24].

We consider \( f \) a multivariate rational function in \( \mathbb{Z}(X_1, \ldots, X_n) \) of degree \( d \) and 
height \( \mathcal{H} \). That is to say, \( f_1 \) and \( f_2 \) belong to \( \mathbb{Z}[X_1, \ldots, X_n] \) and their heights are 
bounded by \( \mathcal{H} \).

First we remark that we can suppose \( h_1 \) and \( h_2 \) in \( \mathbb{Z}[X_1, \ldots, X_n] \).

Indeed, if \( h_i = H_i/d_i \) where \( H_i \in \mathbb{Z}[X_1, \ldots, X_n] \) and \( d_i \in \mathbb{Z} \), then we have 
\( f = u(d_2/d_1.H_1/H_2) \). Thus, with \( v(T) = u(d_2/d_1.T) \) we have \( f = v(H_1/H_2) \) and 
\( H_i \in \mathbb{Z}[X_1, \ldots, X_n] \).

Now, we modify slightly the algorithm Good Homography. Instead of computing 
\( U(f_1/f_2) \) with \( U(T) = (T - f(a))/(T - f(b)) \) we compute \( V(f_1/f_2) \) with \( V(T) = 
(f_1(a)T - f_1(a)/(f_2(b)T - f_1(b)). \) We set \( F_1/F_2 = V(f_1/f_2) \). Now \( F_1 \) (resp. \( F_2 \)) 
belongs to \( \mathbb{Z}[X_1, \ldots, X_n] \) and has a factor \( h_2(a)h_1 - h_1(a)h_2 \) (resp. \( h_2(b)h_1 - 
h_1(b)h_2 \) ) in \( \mathbb{Z}[X_1, \ldots, X_n] \).

As \( a = (0, \ldots, 0, x) \) where \( x \leq 2d^2 + 2d \), we get a rough estimate for \( f_i(a): \)

\[
|f_i(a)| \leq (d + 1)H(2d^2 + 2d)^d.
\]

Then, we get

\[
\mathcal{H}(F_k) \leq 2(d + 1)H^2(2d^2 + 2d)^d,
\]

where \( \mathcal{H}(F_k) \) is the height of \( F_k \).

A classical result about the height of the factors of a polynomials (see [Sch00, 
Corollary 12 p. 249]) gives

\[
\mathcal{H}(F_{k,i}) \leq 2^{nd^2} \mathcal{H}(F_k),
\]

where \( \mathcal{H}(F_{k,i}) \) is the height of \( F_{k,i} \). Then we deduce:

\[
\mathcal{H}(F_{k,i}) \leq 2^{nd^2+1}(d + 1)H^2(2d^2 + 2d)^d < e^{nd^2+1+2d\ln(2d)(d + 1)\mathcal{H}^2 = B_1}.
\]

Thus, if we factorize \( F_k \) in \( \mathbb{F}_{p_1}(X_1, \ldots, X_n) \), where \( p_1 \) is a prime such that 
\( 4B_1 + 2 \geq p_1 > 2B_1 + 1 \), then we can compute \( F_{k,i} \) with \( \tilde{O}(dn^{n-1}\ln(B_1)) \) 
bit-operations. The factor \( \ln(B_1) \) is the bit-size of \( p_1 \). Then this step needs 
\( \tilde{O}(dn^{n-1}\ln(\mathcal{H})) \) bit-operations. We can rewrite this complexity estimate in 
the following way: \( \tilde{O}(nd^{n+\omega+1}\ln(\mathcal{H})) \) bit-operations. A direct computation shows that 
\( \mathcal{H}(AB) \leq (\deg A + \deg B)^n\mathcal{H}(A)\mathcal{H}(B) \), where \( A, B \in \mathbb{Z}[X_1, \ldots, X_n] \). In our context this gives:

\[
\mathcal{H}(D_{F_1/F_2}(F_{k,i})) \leq 2(3d - 2)^n(2d - 1)^n d\mathcal{H}^2B_1.
\]

As \( \mathcal{G}_{F_{k,i}} \) is a factor of \( D_{F_1/F_2}(F_{k,i}) \) we deduce as before that

\[
\mathcal{H}(\mathcal{G}_{F_{k,i}}) \leq 2^{n(2d-1)}\mathcal{H}(D_{F_1/F_2}(F_{k,i})).
\]

Then, it follows that

\[
\mathcal{H}(\mathcal{G}_{F_{k,i}}) \leq 2e^{n(2d-1)+\ln(3d-2)+\ln(2d-1)}d\mathcal{H}^2B_1 = B_2.
\]

With Hadamard’s bound we deduce that all the minors of \( S \) are bounded by 
\( d^{d/2}B_2 = B_3 \). Thus, if we compute in our algorithm the reduced row echelon form 
modulo \( p_2 \), where \( p_2 \) is a prime number such that \( p_2 \geq B_3 \), then we get a correct output. 
This computation needs \( \tilde{O}(dn^{\omega+1}\ln(B_3)) \) bit-operations. As \( \ln(B_3) \) belongs
to \(\tilde{O}(nd^2 \ln(H))\), we conclude that this step can be done with \(\tilde{O}(nd^{n+\omega+1} \log(H))\) bit-operations.

Thus there exists a modular version of the algorithm \textit{Recombination for Decomposition} which works with \(\tilde{O}(nd^{n+\omega+1} \log(H))\) bit-operations.

In this estimate we do not have take into account the computation of the big prime \(p_1\) and \(p_2\). This cost is negligible if we use a probabilistic approach; see [GG03, Theorem 18.8].

As the bit-complexity of Moulin Ollagnier’s algorithm is bigger than \(\tilde{O}(d^{n\omega})\) we can conclude that the modular version of the algorithm \textit{Recombination for Decomposition} has a better bit-complexity than Moulin Ollagnier’s one when \(n \geq 3\).

References


