

# ON A PROBLEM OF E. ČECH<sup>1</sup>

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Let  $P$  be an abstract set and let  $\mathfrak{P}$  be the system of subsets of  $P$ . An additive, single-valued set-function  $u$  taking  $\mathfrak{P}$  into  $\mathfrak{P}$  and satisfying the conditions  $u(0) = 0$  and  $u(M) \supset M$  for each subset  $M$  of  $P$  is called a topology in  $P$ , more precisely, an additive topology<sup>2</sup> in  $P$ . The space  $P$  with the topology  $u$  is denoted by  $(P, u)$  or, briefly,  $P$ . Instead of  $u(M)$  we shall write simply  $uM$ . We define:

$$u^0M = M, u^1M = uM, u^\xi M = u(u^{\xi-1}M) \text{ for isolated ordinals } \xi,$$

and

$$u^\xi M = \bigcup_{0 \leq \eta < \xi} u^\eta M \text{ for non-isolated } \xi.$$

The set  $M$  is *closed* if  $M = uM$ .  $\phi(M)$  is the least ordinal number  $\xi$  for which the set  $u^\xi M$  is closed, that is,  $u^\xi M = u^{\xi+1}M$ .  $G(P, u)$  is the set of all ordinal numbers  $\phi(M)$  where  $M \subset P$ .

E. Čech<sup>3</sup> has posed the following problem: What are necessary and sufficient conditions on a set  $H$  of ordinals in order that there exist a topology  $u$  in a countable set  $P$  for which  $H = G(P, u)$ ? V. Jarník<sup>4</sup> solved the generalization of the problem for a set of arbitrary cardinal number  $\aleph_\rho$ ; his topology, however, is not an additive one, as it satisfies only the axiom of monotony. The present paper contains a solution to this problem when  $u$  is to be additive; this solution is stated in Theorem 1. The proof is carried through for a class of cardinals including  $\aleph_0$ .

First we have to prove the following lemma.

**LEMMA.** *If the space  $(P, u)$  contains a non-closed subset, then there exists a non-closed subset  $A \subset P$  such that  $uA = u^2A$ .*

Let  $M$  be a non-closed subset. The set  $uM - M$  is nonvoid: choose  $x$  in  $uM - M$  and let  $A = P - (x)$ . By monotony of  $u$ ,  $A \neq uA = P$ , so  $A$

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<sup>1</sup> This paper was rewritten for the Bulletin; the transcriber takes full responsibility for any errors that may have been introduced by this process.

<sup>2</sup> Every set function  $f$  satisfies the axiom of monotony: If  $M \subset N$ , then  $f(M) \subset f(N)$ .

<sup>3</sup> E. Čech, *Topologické prostory*, Časopis pro Pěstování Matematiky a Fysiky vol. 66 (1937) pp. D225–D264, p. D264.

<sup>4</sup> V. Jarník, *Sur un problème de M. Čech*, Věstník královské české společnosti nauk (1938) pp. 1–7.

is nonclosed but  $uA$  is closed.<sup>5</sup>

**THEOREM 1.** *Let  $H$  be a set of ordinal numbers. There exists an additive topology  $u$  in a countable set  $P$  (different from  $uM = M$  for all  $M \subset P$ ) for which  $H = G(P, u)$  if and only if:<sup>6</sup>*

1°.  *$H$  contains only countable ordinals (that is, ordinals of the first and second number classes) including 0 and 1, and*

2°. *If  $\alpha$  and  $\beta$  are ordinal numbers such that  $\alpha + \beta \in H$ , then  $\beta \in H$ .*

*Necessity.* Obviously  $0 \in H$ ; by the lemma, 1 also is in  $H$ . Every  $\phi(M)$  is countable since  $P$  can contain no uncountable ascending family of distinct sets.<sup>7</sup> 2° follows from the equality  $u^{\xi+\beta}M = u^{\xi+\beta+1}M$ , which can be rewritten as  $u^\beta(u^\xi M) = u^{\beta+1}(u^\xi M)$ . (If  $P$  is an arbitrary set of cardinal  $\aleph_\rho$ , the same necessity proof works with 1° changed to allow only ordinals corresponding to cardinals not greater than  $\aleph_\rho$ .)

*Sufficiency.* This proof will be carried through for cardinals  $\aleph_\rho$  satisfying the following condition: If  $R$  is a set of cardinal number  $\aleph_\rho$ , there exists a family  $\mathfrak{C}$  of cardinal number  $\aleph_{\rho+1}$  whose elements are subsets  $P_\lambda$  of  $R$ ,  $\lambda < \omega_{\rho+1}$ , such that each  $P_\lambda$  is of cardinal  $\aleph_\rho$ , while

$$(1) \quad P_\lambda \cap P_\mu, \quad \lambda \neq \mu, \text{ has cardinal less than } \aleph_\rho.$$

Such cardinals do exist; in particular, taking  $R$  to be the set of rational numbers, well-ordering the irrationals, and defining  $P_\lambda$  to be a sequence of rationals converging to the  $\lambda$ th irrational, shows that  $\aleph_0$  is such a cardinal. Define  $M \sim 0$  to mean that the cardinal of  $M < \aleph_\rho$ .

We assume that  $H$  is a set of ordinals satisfying 1°, generalized to allow ordinals less than  $\omega_{\rho+1}$ , and 2°. To topologize a set  $R$  of the given cardinal  $\aleph_\rho$ , assume that  $H$  is well-ordered by magnitude,  $H = \alpha_0, \alpha_1, \dots, \alpha_\lambda, \dots$ , with  $\alpha_\lambda < \omega_{\rho+1}$  and  $\lambda < \omega_\sigma$ ,  $\sigma \leq \rho + 1$ . Then, by 1°,  $\alpha_0 = 0$  and  $\alpha_1 = 1$ . For each  $\lambda < \omega_\sigma$  and each  $\xi \leq \alpha_\lambda$  let  $P_{\lambda\xi}$  be subsets of  $P_\lambda$  such that

$$(2) \quad P_{\lambda 0} \subset P_{\lambda 1} \subset \dots \subset P_{\lambda \xi} \subset \dots \subset P_{\lambda \alpha_\lambda},$$

$$(3) \quad P_{\gamma\xi} \text{ and } P_{\lambda\xi+1} - P_{\lambda\xi} \text{ are of cardinal number } \aleph_\rho,$$

and

$$(4) \quad P_{\lambda \alpha_\lambda} = P_\lambda \text{ and } P_{\lambda \xi} = \bigcup_{0 \leq \eta < \xi} P_{\lambda \eta} \text{ for non-isolated } \xi.$$

<sup>5</sup> By footnote 2,  $u$  is a monotone.

<sup>6</sup> The condition 2° is essentially the same as Jarník's condition 3 in the paper cited under footnote 4.

<sup>7</sup> V. Jarník defines  $\phi(0) = \phi(P) = 1$ ; therefore according to Jarník ex definitione  $1 \in H$ .

Set  $P_{\lambda-1} = 0$  and assume for convenience that  $-1$  is less than all ordinals.

For each subset  $M$  of  $R$  and each  $\lambda < \omega_\sigma$  define the symbol  $(\lambda M)$  to be the least index  $\xi$  such that  $M \cap (P_\lambda - P_{\lambda\xi}) \sim 0$ . Then  $-1 \leq (\lambda M) \leq \alpha_\lambda$ , and  $(\lambda M) = -1$  if and only if  $M \cap P_\lambda \sim 0$ . We now prove

$$(5) \quad (\lambda [M \cup N]) = \max [(\lambda M), (\lambda N)].$$

Indeed it follows from the definition that  $(\lambda A) \leq (\lambda B)$  if  $A \subset B$ . Therefore  $(\lambda [M \cup N]) \geq \max [(\lambda M), (\lambda N)]$ . Suppose that, on the other hand,  $(\lambda M) \leq (\lambda N)$ ; then the set  $(M \cup N) \cap (P_\lambda - P_{\lambda(\lambda N)}) \sim 0$  so that  $(\lambda [M \cup N]) \leq \max [(\lambda M), (\lambda N)]$ .

For each  $\lambda < \omega_\sigma$  define  $f_\lambda(\xi) = \xi + 1$  for  $0 \leq \xi < \alpha_\lambda$ ,  $f_\lambda(-1) = -1$ , and  $f_\lambda(\alpha_\lambda) = \alpha_\lambda$ . Say that a subset  $M$  of  $R$  is of kind  $\alpha$  if there exist a finite set of indices,  $\lambda_1, \dots, \lambda_n$ , such that  $M - \bigcup_{i \leq n} P_{\lambda_i} \sim 0$ ; otherwise say that  $M$  is of kind  $\beta$ . If  $F$  is a set of kind  $\alpha$ , put

$$F(M) = \bigcup_{i \leq n} P_{f_i(\lambda_i M)},$$

where, for brevity,  $P_{\lambda_i}$  and  $f_{\lambda_i}$  have been replaced by  $P_i$  and  $f_i$ . If  $M$  is of kind  $\alpha$ , define  $uM = M \cup F(M)$ ; if  $M$  is of kind  $\beta$ , define  $uM = R$ .

If  $M$  is of kind  $\alpha$ , the set  $F(M)$  is uniquely defined. Indeed, let  $\bigcup_{i \leq n} P_{\lambda_i} \supset M \subset \bigcup_{j \leq m} P_{\mu_j}$  and take  $i \leq n$ ; then  $M \cap P_{\lambda_i} - \bigcup_{j \leq m} P_{\mu_j} \sim 0$ . By (1) either  $\lambda_i$  is a  $\mu_j$  or  $(\lambda_i M) = -1$  and  $P_{f_i(\lambda_i M)} = 0$ . Hence every contribution to  $F(M)$  by a  $\lambda_i$  is supplied by a  $\mu_j$ , and conversely.

$M \cup N$  is of kind  $\alpha$  if and only if both  $M$  and  $N$  are of kind  $\alpha$ . Also for sets of kind  $\alpha$  we have  $F(M \cup N) = F(M) \cup F(N)$ . Indeed, let  $M \cup N - \bigcup_{i \leq n} P_{\lambda_i} \sim 0$ . By (5) the contribution,  $P_{f_i(\lambda_i [M \cup N])}$ , of the  $i$ th term to  $F(M \cup N)$  comes either from  $F(M)$  or  $F(N)$ . From this it follows that  $u$  is an additive topology.

First,  $u$  is uniquely defined for each subset of  $R$  and  $M \subset uM$ ; also  $u0 = 0$  since the void set is of kind  $\alpha$ .  $u$  is additive for sets of kind  $\alpha$  for  $u(M \cup N) = F(M \cup N) \cup M \cup N = F(M) \cup F(N) \cup M \cup N = uM \cup uN$ . Every set  $M \sim 0$  is of kind  $\alpha$  and  $F(M) = 0$  so  $uM = M$  if  $M \sim 0$ . If either  $M$  or  $N$  is of kind  $\beta$ ,  $u[M \cup N] = R = uM \cup uN$ .

Since  $(\lambda P_{\lambda\xi}) = \xi$ , (3) and (4) imply that  $uP_{\lambda\xi} = P_{\lambda\xi+1}$  for  $0 \leq \xi < \alpha_\lambda$  and  $uP_{\lambda\xi} = P_\lambda$  for  $\xi = \alpha_\lambda$ . By transfinite induction the reader can easily verify from this and (4) that

$$(6) \quad u^\eta P_{\lambda\xi} = P_{\lambda\xi+\eta} \text{ for } \xi + \eta \leq \alpha_\lambda; \quad u^\eta P_{\lambda\xi} = P_\lambda \text{ for } \xi + \eta \geq \alpha_\lambda.$$

It remains to show that  $G(R, u) = H$ . By (6) with  $\xi = 0$ ,  $\eta = \alpha_\lambda$ , it follows that  $\phi(P_{\lambda 0}) = \alpha_\lambda$ . Hence  $H \subset G(R, u)$ . To establish the opposite

inequality we must prove  $\phi(M) \in H$  if  $M \subset R$ . If  $uM = u^2M$ ,  $\phi(M) = 0$  or 1, so it is in  $H$  by 1°. If  $uM \neq u^2M$ , then  $M$  is of kind  $\alpha$ , for  $uM = R = u^2M$  if  $M$  is of kind  $\beta$ . We note also that if  $uA = uB$  and  $uA \neq u^2A$ , from the equalities  $u^2A = u^2B$ , it follows that  $\phi(A) = \phi(B)$ . Hence for  $M - \bigcup_{i \leq n} P_{\lambda_i} \sim 0$  we have

$$uM = \bigcup_{i \leq n} P_{i(\lambda_i M)} \cup K = u \left( \bigcup_{i \leq n} P_{i(\lambda_i M)} \cup K \right),$$

where  $K \sim 0$ . By the remarks above, since  $uM \neq u^2M$ , we have

$$\phi M = \phi \left( \bigcup_{i \leq n} P_{i(\lambda_i M)} \cup K \right).$$

By (6) and additivity of  $u$ ,  $\phi(M) = \max_{i \leq n} \phi(P_{i(\lambda_i M)})$  so it suffices to see that every  $\phi(P_{\lambda_\xi})$  is in  $H$ . However if  $\eta = \phi(P_{\lambda_\xi})$ , then by (6)  $\xi + \eta = \phi(P_{\lambda_0}) = \alpha_\lambda \in H$ ; by 2°,  $\eta \in H$ . This completes the proof.

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