

## ON A LATTICE WITH A VALUATION

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A real-valued function  $v(x)$  defined on a lattice is called a valuation if and only if it satisfies

$$(1) \quad v(x) + v(y) = v(x \cap y) + v(x \cup y),$$

and a distributive valuation if and only if it satisfies

$$(2) \quad \begin{aligned} 2\{v(x \cup y \cup z) - v(x \cap y \cap z)\} &= v(x \cup y) + v(y \cup z) \\ &+ v(z \cup x) - v(x \cap y) - v(y \cap z) - v(z \cap x). \end{aligned}$$

If  $z = x \cup y$ , then (2) becomes (1); therefore a distributive valuation is a valuation.

In his book *Lattice theory*, G. Birkhoff conjectured the following theorem.

**THEOREM 1.** *If  $L$  is a lattice with a distributive valuation which is not constant on an interval  $[x, y]$  unless  $x = y$ , then  $L$  is distributive.<sup>1</sup>*

We intend to affirm this proposition by proving more precisely the following theorem.

**THEOREM 2.** *A lattice  $L$  is distributive if and only if the following condition (\*) is satisfied: (\*) For every  $x < y$  in  $L$ , there exists a distributive valuation which is defined on  $L$  and is not constant on the interval  $[x, y]$ .*

We now begin with a lemma.

**LEMMA 1.** *A lattice  $L$  is modular if, for every  $x < y$  in  $L$ , there exists a valuation which is defined on  $L$  and is not constant on the interval  $[x, y]$ .*

**PROOF.** If  $L$  is not modular, then  $L$  contains a nonmodular five-element sublattice, in which

$$a \cup b = a \cup c = e, \quad a \cap b = a \cap c = f, \quad b < c.$$

If  $t$  is an element of the interval  $[b, c]$ , then every valuation  $v(t)$  satisfies

$$v(t) + v(a) = v(t \cap a) + v(t \cup a) = v(f) + v(e);$$

hence  $v(t)$  is constant on the interval  $[b, c]$ .

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<sup>1</sup> G. Birkhoff, *Lattice theory*, Amer. Math. Soc. Colloquium Publications, rev. ed., 1948, Problem 71.

LEMMA 2. Let a modular lattice  $L$  contain a nondistributive five-element sublattice  $A$ , in which

$$a \cup b = b \cup c = c \cup a = e, \quad a \cap b = b \cap c = c \cap a = f,$$

and  $t$  be an element of  $L$  such that  $a \leq t < e$ . Then  $L$  contains a new non-distributive five-element sublattice  $B$ , in which

$$s \cup t = t \cup r = r \cup s = e, \quad s \cap t = t \cap r = r \cap s = g.$$

PROOF. Put  $s = (t \cap c) \cup b$  and  $r = (t \cap b) \cup c$ . Then immediately  $s \cup t = t \cup r = r \cup s = e$ . As  $L$  is modular,  $s \cap t = ((t \cap c) \cup b) \cap t = (t \cap c) \cup (b \cap t)$ , and similarly  $r \cap t = (t \cap b) \cup (c \cap t)$ . The modular law also implies that  $s \cap c = ((t \cap c) \cup b) \cap c = (t \cap c) \cup (b \cap c) = t \cap c$ , and  $s \cap r = s \cap (c \cup (t \cap b)) = (s \cap c) \cup (t \cap b) = (t \cap c) \cup (t \cap b)$ . Consequently  $s \cap t = t \cap r = r \cap s (=g)$ . As  $t \neq e$ , the five elements of  $B$  are mutually distinct.

LEMMA 3. If the above five-element lattice  $A$  is a sublattice of a lattice with a distributive valuation  $v(x)$ , then  $v(a) = v(b) = v(c) = v(e) = v(f)$ .

PROOF. Using (1), we have  $v(b) + v(c) = v(e) + v(f)$ ,  $v(c) + v(a) = v(e) + v(f)$ , and  $v(a) + v(b) = v(e) + v(f)$ , whence  $v(a) = v(b) = v(c) = \{v(e) + v(f)\}/2$ . If we put  $x = a$ ,  $y = b$ ,  $z = c$  in (2), then we have  $2\{v(e) - v(f)\} = 3v(e) - 3v(f)$ , namely  $v(e) = v(f)$ .

PROOF OF THEOREM 2. Let  $L$  be a lattice satisfying the condition (\*). Then, by Lemma 1,  $L$  is modular. If  $L$  is not distributive, then  $L$  contains a nondistributive five-element sublattice  $A$  as mentioned in Lemma 2. Given an element  $t$  ( $t \neq e$ ) of the interval  $[a, e]$ , there exists a nondistributive five-element sublattice  $B$  as shown in Lemma 2. Then Lemma 3 implies that  $v(t) = v(e)$  for every distributive valuation  $v(x)$ ; namely every  $v(x)$  is constant on the interval  $[a, e]$ . This contradicts the condition (\*).

Conversely suppose that  $L$  is a distributive lattice. For any  $x < y$  in  $L$ , there exists a prime ideal  $P$  which contains  $x$  and not  $y$ . If we define a function  $v(t)$  such that  $v(t) = 0$  for  $t \in P$  and  $v(t) = 1$  for  $t \notin P$ , then  $v(t)$  is a distributive valuation and  $v(x) \neq v(y)$ . Thus our theorem is completely proved.

By the way, all the statements in this note are valid even if  $v(t)$  takes values in an Abelian group in which no element has the order 2.

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