

THE GROUP OF ORDER PRESERVING AUTOMORPHISMS OF AN ORDERED ABELIAN GROUP

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In this note we shall use the terminology and notation from [2, pp. 516–517]. In particular, order will always mean linear order. Some answers are given to the question: When can the group \mathfrak{A} of \mathcal{o} -automorphisms of an abelian \mathcal{o} -group G be ordered? We prove that \mathfrak{A} can be ordered provided that the rank of G is well ordered and the \mathcal{o} -automorphism group of each component of G is isomorphic to a subgroup of the positive rationals. Thus every torsion free abelian group G admits an ordering for which the corresponding \mathfrak{A} can be ordered. Our results provide examples of nonabelian \mathcal{o} -groups, and they can be used to obtain information about the underlying group G .

1. Representation of an automorphism group by a group of matrices. Whenever possible we shall represent automorphisms by matrices. This is a straightforward generalization of the classical case (for example, see Kurosh [3, p. 156]), and no proofs will be given. Let Γ be an ordered set, and for each $\gamma \in \Gamma$, let D_γ be an abelian group. We use the same symbol 0 to denote the identity element of each of the D_γ . Let E_γ be the ring of endomorphisms of D_γ , and let $H(D_\alpha, D_\beta)$, where $\alpha, \beta \in \Gamma$ and $\alpha \neq \beta$, be the group of homomorphisms of D_α into D_β . Finally let G be the restricted direct sum of the D_γ , and let Δ be the set of all square matrices $[\pi_{\alpha\beta}]$, where $\alpha, \beta \in \Gamma$, $\pi_{\alpha\alpha} \in E_\alpha$, and $\pi_{\alpha\beta} \in H(D_\alpha, D_\beta)$ for $\alpha \neq \beta$, that satisfy:

(*) For α fixed and each $d \in D_\alpha$, $d\pi_{\alpha\beta} \neq 0$ for at most a finite number of the β .

If $(\dots, d_\alpha, \dots) \in G$ and $[\pi_{\alpha\beta}] \in \Delta$, then

$$(\dots, d_\alpha, \dots)[\pi_{\alpha\beta}] = (\dots, \sum_{\alpha \in \Gamma} d_\alpha \pi_{\alpha\beta}, \dots) \in G.$$

It is easy to verify that this mapping is an endomorphism of G . Conversely if π is an endomorphism of G , then $(0, \dots, 0, a_\alpha, 0, \dots, 0)\pi = (\dots, b_\beta, \dots)$ where at most a finite number of the b_β are non-zero. Define $a_\alpha \pi_{\alpha\beta} = b_\beta$, then we have a mapping of π upon $[\pi_{\alpha\beta}] \in \Delta$. This mapping is an isomorphism of the ring of all endomorphisms of G onto Δ . Suppose that each D_γ is an \mathcal{o} -group, and define $(\dots, d_\gamma, \dots) \in G$ positive if it is not zero and the nonzero com-

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ponent d_γ with greatest subscript γ is positive. Then G is an abelian o -group, and for each $\gamma \in \Gamma$, $C_\gamma = \{(\dots, g_\alpha, \dots) \in G : g_\alpha = 0 \text{ for all } \alpha > \gamma\}$ is a convex subgroup of G . Let \mathfrak{A} be the group of all o -automorphisms of G , and for each $\gamma \in \Gamma$, let \mathfrak{D}_γ be the group of all o -automorphisms of D_γ . Let $T = T(\Gamma, D_\gamma)$ be the multiplicative semi-group of all the triangular matrices $[\pi_{\alpha\beta}] \in \Delta$ that satisfy:

- (a) $\pi_{\gamma\gamma} \in \mathfrak{D}_\gamma$ for all $\gamma \in \Gamma$.
- (b) If $\alpha, \beta \in \Gamma$, $\beta > \alpha$ and $d \in D_\alpha$, then $d\pi_{\alpha\beta} = 0$.

$[\pi_{\alpha\beta}] \in T$ corresponds to an o -isomorphism of G into itself, and $[\pi_{\alpha\beta}]$ corresponds to an o -automorphism of G if and only if $[\pi_{\alpha\beta}]$ is a unit in T . Let $U = U(\Gamma, D_\gamma)$ be the group of units of T .

LEMMA 1. *If each of the convex subgroups C_γ of G is invariant with respect to \mathfrak{A} , then \mathfrak{A} is isomorphic to U .*

PROOF. By the isomorphism defined in the preceding discussion, \mathfrak{A} is mapped onto a subgroup of the group of all matrices in Δ that have inverses in Δ . Let $\pi \in \mathfrak{A}$ and let $[\pi_{\alpha\beta}]$ be the corresponding matrix. For each $\gamma \in \Gamma$, $C_\gamma[\pi_{\alpha\beta}] = C_\gamma$. It follows that $[\pi_{\alpha\beta}]$ satisfies (b). Then since π is an o -automorphism, $[\pi_{\alpha\beta}]$ satisfies (a).

COROLLARY. *If each D_γ is a subgroup of the reals (naturally ordered), and the only o -permutation of Γ is the identity permutation, then \mathfrak{A} is isomorphic to U .*

For under these restrictions it is easy to verify that every convex subgroup of G is invariant with respect to \mathfrak{A} .

REMARK. If each D_γ is the group of reals, then \mathfrak{A} is isomorphic to U if and only if the only o -permutation of Γ is the identity permutation.

A subgroup S of G is a c -subgroup if for every $\gamma \in \Gamma$ and $d \in D_\gamma$ there exists an element $(\dots, g_\alpha, \dots) \in S$ such that $g_\gamma = d$ and $g_\alpha = 0$ for all $\alpha > \gamma$.

LEMMA 2. *Suppose that each D_γ is a nonzero d -closed subgroup of the reals. Then $U = T$ if and only if Γ is well ordered.*

PROOF. Each $[\pi_{\alpha\beta}] \in T$ induces an o -isomorphism of G onto a c -subgroup of G . If Γ is well ordered, then G has no proper c -subgroups [1, p. 8]. Thus $G[\pi_{\alpha\beta}] = G$ for all $[\pi_{\alpha\beta}] \in T$, hence $U = T$.

Conversely assume that Γ is not well ordered. Then we can find a set $\{h_{\gamma_i}\}_{i=1}^\infty$ of nonzero elements such that $h_{\gamma_i} \in D_{\gamma_i}$ and $\gamma_1 > \gamma_2 > \gamma_3 > \dots$. Let $h_{\gamma_i}^*$ be the smallest d -closed subgroup of D_{γ_i} that contains h_{γ_i} , and let B be the restricted direct sum of the $h_{\gamma_i}^*$. Define $h_{\gamma_i}\pi = h_{\gamma_{i+1}} + h_{\gamma_i}$. Since B is a vector space over the rationals

and the h_{γ_i} form a basis for B , there is a unique extension of π to an o -isomorphism σ of B into B . Note that $(0, \dots, 0, h_{\gamma_1}, 0, \dots, 0)$ does not belong to $B\sigma$. For each γ_i let K_{γ_i} be a subgroup of D_{γ_i} such that $D_{\gamma_i} = h_{\gamma_i}^* \oplus K_{\gamma_i}$. This is possible since the $h_{\gamma_i}^*$ are d -closed. Let E be the restricted direct sum of the K_{γ_i} for $i=1, 2, \dots$ and the D_α for all $\alpha \neq \gamma_1, \gamma_2, \dots$. Then $G = B \oplus E$. For each $g = b + e$ in G let $g\tau = b\sigma + e$. It is easy to verify that τ is an o -isomorphism of G onto a proper subgroup of G , and that the corresponding matrix $[\pi_{\alpha\beta}]$ belongs to $^2 T$. Thus $U \neq T$.

COROLLARY. *If each D_γ is a subgroup of the reals and Γ is well ordered, then \mathfrak{A} is isomorphic to U and $U = T$.*

2. The group \mathfrak{B} . For the rest of this paper G will denote an abelian o -group, and Γ will denote the set of all pairs of convex subgroups G^γ, G_γ of G such that G^γ covers G_γ .

LEMMA 3. *If G^* is the d -closure of G and π is an o -automorphism of G , then there exists a unique o -automorphism π^* of G^* such that $g\pi^* = g\pi$ for all $g \in G$. Moreover the mapping $\pi \rightarrow \pi^*$ is an isomorphism of the group \mathfrak{A} of all o -automorphisms of G into the group \mathfrak{A}^* of o -automorphisms of G^* . Thus \mathfrak{A} can be ordered if \mathfrak{A}^* can be ordered.*

PROOF. For each $g^* \in G^*$ there exists a positive integer n such that $ng^* \in G$. Let $g^*\pi^*$ be the solution of $nx = (ng^*)\pi$. The verification that $\pi^* \in \mathfrak{A}^*$ and that the mapping $\pi \rightarrow \pi^*$ is an isomorphism is not difficult.

For the remainder of this section we shall assume that G is d -closed. Let $\mathfrak{B} = \{\pi \in \mathfrak{A} : (G_\gamma + g)\pi = G_\gamma + g \text{ for all } \gamma \in \Gamma \text{ and all } g \in G^\gamma\}$. That is, \mathfrak{B} consists of all those o -automorphisms of G that induce the identity automorphism on each of the components G^γ/G_γ of G . \mathfrak{B} is a normal subgroup of \mathfrak{A} . For let $\alpha \in \mathfrak{A}, \beta \in \mathfrak{B}$ and $a \in G^\gamma$. $G^\gamma\alpha$ and $G_\gamma\alpha$ are convex subgroups and $G^\gamma\alpha$ covers $G_\gamma\alpha$. Therefore $(G_\gamma + a)\alpha\beta\alpha^{-1} = (G_\gamma\alpha + a\alpha)\beta\alpha^{-1} = (G_\gamma\alpha + a\alpha)\alpha^{-1} = G_\gamma + a$. Since G is d -closed, G^γ and G_γ are d -closed for all $\gamma \in \Gamma$. Thus $G^\gamma = G_\gamma \oplus D_\gamma$, where D_γ is a d -closed subgroup of G . Therefore D_γ is o -isomorphic to a subgroup of the reals. Let $\mathfrak{B}^\gamma(\mathfrak{B}_\gamma)$ denote the group of all o -automorphisms of $G^\gamma(G_\gamma)$ that induce the identity automorphism on all components. By Lemma 1, \mathfrak{B}^γ is isomorphic to the multiplicative group of all matrices of the form

$$[a] = \begin{bmatrix} a_{11} & \theta \\ a_{21} & 1 \end{bmatrix}$$

² The author originally asserted that this was true for an arbitrary choice of the complementary direct summand of B , but the referee killed this with a nice counter example.

Note that the theorem remains true without the restriction that G is d -closed. For if G has well ordered rank (that is, Γ is well ordered), then so does the d -closure of G .

COROLLARY I. *If A is an abelian o -group with well ordered rank, and \mathfrak{A}^* is the group of all o -automorphisms of A that induce the identity automorphism on each of the components of A , then \mathfrak{A}^* can be ordered.*

Let π be a value preserving o -isomorphism of G into G . That is, $g \in G^\gamma \setminus G_\gamma$ if and only if $g\pi \in G^\gamma \setminus G_\gamma$. Define $(G_\gamma + g)\pi' = G_\gamma + g\pi$ for all $g \in G_\gamma$. Then π' is an o -isomorphism of G^γ/G_γ into G^γ/G_γ . $T(\Gamma, D_\gamma)$ is isomorphic to the semigroup of all value-preserving o -isomorphisms of G into G that induce an o -automorphism on each of the components G^γ/G_γ of G . In the proof of the theorem it was shown that $T = U$.

COROLLARY II. *If Γ is well ordered, then every value preserving o -isomorphism of G into G that induces an o -automorphism on each of the components of G is an o -automorphism of G .*

REMARK. Corresponding to Lemma 2 we have the following: *Every value preserving o -isomorphism of G into G is an o -automorphism if and only if Γ is well ordered and no G^γ/G_γ admits an o -isomorphism onto a proper subgroup of itself.* It is not necessary to assume that G is d -closed, since both conditions imply that G is d -closed. The proof is a consequence of Lemma 2, and the embedding theorem [1, p. 19].

If Γ is well ordered, then by the corollary to Lemma 2, $\mathfrak{A}/\mathfrak{B}$ is isomorphic to the group of all diagonal matrices in $T(\Gamma, D_\gamma)$. The o -automorphism group of the subgroup D_γ of the reals is isomorphic to a subgroup of the positive reals, hence it has a natural order. Therefore $\mathfrak{A}/\mathfrak{B}$ can be ordered. Thus an ordering of \mathfrak{B} can be extended to an ordering of \mathfrak{A} so that \mathfrak{B} is a convex subgroup if and only if $\alpha^{-1}\beta\alpha$ is positive for $\alpha \in \mathfrak{A}$ and $\beta \in \mathfrak{B}$ whenever β is positive in \mathfrak{B} (Levi [4]). In §4 we show that \mathfrak{B} can be so ordered, provided that the components of G admit only rational o -automorphisms. In §3 we order \mathfrak{A} by the method we used to order \mathfrak{B} .

3. The group \mathfrak{A} . *Throughout this section assume that G is a d -closed abelian o -group with well ordered rank.* Let $\mathfrak{A}_\gamma(\mathfrak{A}^\gamma)$ be the group of all o -automorphisms of $G_\gamma(G^\gamma)$. $G^\gamma = G_\gamma \oplus D_\gamma$. Thus \mathfrak{A}^γ is isomorphic to the multiplicative group \mathfrak{M} of all matrices of the form

$$[a] = \begin{bmatrix} a_{11} & \theta \\ a_{21} & a_{22} \end{bmatrix}$$

where $a_{11} \in \mathfrak{A}_\gamma$, $a_{21} \in H(D_\gamma, G_\gamma)$ and a_{22} is an o -automorphism of D_γ .

In particular, \mathfrak{A}_γ is isomorphic to a subgroup of \mathfrak{A}^γ . Suppose that \mathfrak{A}_γ and H are ordered and define $[a]$ positive if $a_{22} > 1$ or $a_{22} = 1$ and $a_{11} > 1$ or $a_{22} = 1$, $a_{11} = 1$ and $a_{21} > \theta$. Then it is easy to verify that for any non-zero matrix a in \mathfrak{M} , either a is positive or a^{-1} is positive. Also if a and b are positive matrices in \mathfrak{M} , then ab is positive. Finally suppose that $a, b \in \mathfrak{M}$ and b is positive.

$$a^{-1}ba = \begin{bmatrix} a_{11}^{-1} b_{11} a_{11} & \theta \\ a_{22}^{-1} (b_{21} a_{11} + b_{22} a_{21} - a_{21} a_{11}^{-1} b_{11} a_{11}) & b_{22} \end{bmatrix}.$$

If $b_{22} > 1$ or $b_{22} = 1$ and $b_{11} > 1$, then $a^{-1}ba$ is positive. Suppose that $b_{22} = 1$, $b_{11} = 1$ and $b_{21} > \theta$. Then

$$a^{-1}ba = \begin{bmatrix} 1 & \theta \\ a_{22}^{-1} b_{21} a_{11} & 1 \end{bmatrix}.$$

Thus if we wish to extend the order of \mathfrak{A}_γ to an order of \mathfrak{A}^γ we must order $H(D_\gamma, G_\gamma)$ so that $a_{22}^{-1} b_{21} a_{11}$ is positive. Now without loss of generality, D_γ is a d -closed subgroup of the reals. Hence the only o -automorphisms of D are multiplications by some positive real numbers. In particular, a multiplication by a positive rational is an o -automorphism, since D is d -closed.

LEMMA 5. *If the only o -automorphisms of D_γ are multiplications by positive rationals, then $H(D_\gamma, G_\gamma)$ can be ordered so that if $h \in H$ is positive, then $\alpha h \beta$ is positive for all o -automorphisms α and β of D_γ and G_γ respectively.*

PROOF. Choose and well order a basis d_1, d_2, \dots for D . Define $h \in H$ positive if $h \neq \theta$ and $d_i h > 0$, where d_i is the first basis element for which $d_i h \neq 0$. $d_j \alpha h \beta = (r d_j) h \beta = (r(d_j h)) \beta$, where r is a positive rational and α and β are o -automorphisms of D_γ and G_γ . Thus $d_j \alpha h \beta$ is positive if and only if $d_j h$ is positive.

THEOREM 2. *If G is an abelian d -closed o -group with well ordered rank and each component G^γ/G_γ has its group of o -automorphisms isomorphic to the positive rationals, then \mathfrak{A} can be ordered so that \mathfrak{B} is a convex subgroup.*

The proof is entirely similar to the proof of Theorem 1.

COROLLARY. *Any torsion free abelian group can be ordered in such a way that the resulting group of o -automorphisms can also be ordered.*

PROOF. Let A be a torsion free abelian group. Choose and well order a basis a_1, a_2, \dots for the d -closure A^* of A . Then A^*

$= \mathfrak{R}a_1 \oplus \mathfrak{R}a_2 \oplus \dots$. Define $a = \dots + r_i a_i + \dots$ positive if $a \neq 0$ and the nonzero coefficient r_i with greatest subscript is positive. Then A^* satisfies the hypotheses of the theorem. Hence the group of o -automorphisms \mathfrak{A}^* of A^* can be ordered, and the group \mathfrak{A} of o -automorphisms of A is isomorphic to a subgroup of \mathfrak{A}^* .

REMARK. Note that \mathfrak{A}^* is isomorphic to the group K of all row finite triangular matrices $[\pi_{\alpha\beta}]$, where $\alpha, \beta \in \Gamma$ the rank of A^* , $\pi_{\alpha\alpha}$ is a positive rational, $\pi_{\alpha\beta}$ is a rational, and $\pi_{\alpha\beta} = 0$ for $\alpha < \beta$. Then K can be ordered. In fact, the following definition orders K . $[\pi_{\alpha\beta}]$ is positive if (a) there exists an $\alpha \in \Gamma$ such that $\pi_{\alpha\alpha} > 1$ and $\pi_{\beta\beta} = 1$ for all $\beta < \alpha$, or (b) $\pi_{\alpha\alpha} = 1$ for all $\alpha \in \Gamma$ and there exist $\alpha, \beta \in \Gamma$ such that $\pi_{\alpha\beta} > \theta$, all elements above $\pi_{\alpha\beta}$ in the diagonal containing $\pi_{\alpha\beta}$ and parallel to the main diagonal are zero, and all elements in the diagonals parallel to but distinct from the main diagonal and above the diagonal containing $\pi_{\alpha\beta}$ are zero.

4. **A generalization of Theorem 2.** Our proof of Theorem 2 does not permit the dropping of the hypothesis that G is d -closed. For example, if one of the components of G is isomorphic to $\mathfrak{Z} \oplus \mathfrak{Z}^{2^{1/2}}$, where \mathfrak{Z} is the group of integers, then the corresponding components of the d -closure of G is isomorphic to $\mathfrak{R} \oplus \mathfrak{R}^{2^{1/2}}$. The o -automorphism group of $\mathfrak{R} \oplus \mathfrak{R}^{2^{1/2}}$ is isomorphic to the group of all positive elements of $\mathfrak{R} \oplus \mathfrak{R}^{2^{1/2}}$.

THEOREM 3. *If G is an abelian o -group with well ordered rank, and each component G^γ/G_γ has its group of o -automorphisms isomorphic to a subgroup of the positive rationals, then \mathfrak{A} can be ordered.*

PROOF. Well order \rightarrow the elements of G so that if $a, b \in G$ and $V(a) < V(b)$, then $a \rightarrow b$, where $V(a)$ is the value of a with respect to the given order of G . That is,

$$\frac{0 \rightarrow g_{11} \rightarrow g_{12} \rightarrow \dots \rightarrow g_{21} \rightarrow g_{22} \rightarrow \dots \dots \rightarrow g_{\omega 1} \rightarrow g_{\omega 2} \rightarrow \dots \dots}{G^1 \setminus G_1 \qquad \qquad G^2 \setminus G_2 \qquad \qquad G^\omega \setminus G_\omega}$$

For each $\pi \neq 1$ in \mathfrak{A} there exists a least element g in the well ordering \rightarrow such that $g\pi \neq g$. Denote this least element by $L(\pi)$, and define π positive if $L(\pi)\pi > L(\pi)$. If $\pi \neq 1$ and π is not positive, then $L(\pi^{-1}) = L(\pi)$ and $L(\pi)\pi < L(\pi)$. Thus $L(\pi^{-1}) = L(\pi) < L(\pi)\pi^{-1} = L(\pi^{-1})\pi^{-1}$, hence π^{-1} is positive. Let α and β be positive elements of \mathfrak{A} . If $L(\alpha) = L(\beta)$ or $L(\alpha) \rightarrow L(\beta)$ and $g \rightarrow L(\alpha)$, then $g\alpha\beta = g\beta = g$ and $L(\alpha)\alpha\beta > L(\alpha)\beta \geq L(\alpha)$. If $L(\beta) \rightarrow L(\alpha)$ and $g \rightarrow L(\beta)$, then $g\alpha\beta = g\beta = g$ and $L(\beta)\alpha\beta = L(\beta)\beta > L(\beta)$. Therefore $\alpha\beta$ is positive.

Finally suppose that $\alpha, \beta \in \mathfrak{A}$ and that β is positive. Let $g \in G^\gamma \setminus G_\gamma$.

Then $g\alpha \equiv (m/n)g \pmod{G_\gamma}$, hence $n(g\alpha) = mg + d$ where m and n are positive integers and $d \in G_\gamma$. In particular, $d \geq g$. If $g \geq L(\beta)$, then $n(g\alpha\beta\alpha^{-1}) = (mg + d)\beta\alpha^{-1} = (mg + d)\alpha^{-1} = ng$, hence $g\alpha\beta\alpha^{-1} = g$. If $g = L(\beta)$, then $n(L(\beta)\alpha\beta\alpha^{-1}) = (mL(\beta) + d)\beta\alpha^{-1} = (mL(\beta)\beta + d)\alpha^{-1} > (mL(\beta) + d)\alpha^{-1} = nL(\beta)$, hence $L(\beta)\alpha\beta\alpha^{-1} > L(\beta)$. Therefore $\alpha\beta\alpha^{-1}$ is positive and \mathfrak{A} is ordered.

Note that for this ordering of \mathfrak{A} , \mathfrak{B} is not a convex subgroup. However, the above definition does order \mathfrak{B} for any abelian σ -group with well ordered rank. Thus we have a second proof of Theorem 1. Moreover, this ordering of \mathfrak{B} can be extended to an ordering of \mathfrak{A} provided that each component of G has its group of σ -automorphisms isomorphic to a subgroup of the positive rationals. For if $\alpha \in \mathfrak{A}$, $\beta \in \mathfrak{B}$ and β is positive, then the above proof shows that $\alpha\beta\alpha^{-1}$ is positive. Thus we have a proof of Theorem 2 that does not use the hypothesis that G is d -closed.

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