

SMOOTH BANACH ALGEBRAS¹

I. N. SPATZ²

Introduction. The purpose of this note is to prove the theorem that follows. This theorem generalizes results of L. Ingelstam [2] and M. F. Smiley [4] for Hilbert algebras. It also provides a simpler proof of Corollary which was obtained by F. F. Bonsall and J. Duncan [1]. (This result was obtained before I was aware of the work of Bonsall and Duncan.)

Let $G(x, y)$ ($G_+(x, y), G_-(x, y)$) denote the limit as t approaches zero (from the right, from the left) of $(\|x+ty\| - \|x\|)/t$. For any of the properties of G used in the proof see Koethe [3].

THEOREM. *Let X be a real Banach algebra with identity e . $\|e\|=1$. If $G(e, s)$ exists for each singular element s of X , then X is a division algebra.*

PROOF. If $s \in X$ is singular, then $G(e, s) = 0$ since $\|e+ts\| \geq 1$ implies $G_+ \geq 0$ and $G_- \leq 0$.

It follows immediately that $e+s$ is invertible for $G(e, e+s) = G(e, e) + G(e, s) = 1$.

Suppose $u \in X$ is invertible and $\|u\| = 1$. Then $\|u+ts\| \leq \|e+tu^{-1}s\|$. It follows immediately from the above inequality (and the fact that $u^{-1}s$ is singular if s is) that $G_+(u, s) \leq G_+(e, u^{-1}s) = 0$ and $G_-(u, s) \geq G_-(e, u^{-1}s) = 0$. But, in general, $G_+ \geq G_-$. Thus $G(u, s) = 0$.

If s is singular, then $u_\alpha = (e+\alpha s)/\|e+\alpha s\|$ (α a real number) is an invertible element of norm one. Hence

$$1 = G(u_\alpha, u_\alpha) = G(u_\alpha, e)/\|e + \alpha s\|.$$

Since $G(u_\alpha, e) \leq \|u_\alpha\| \|e\| = 1$,

$$1 \geq \|e + \alpha s\| \geq |\alpha| \|s\| - 1.$$

Evidently, this implies $s = 0$.

COROLLARY. *If X is a smooth Banach algebra over the reals with identity e of norm one, then X is the reals, complexes or the real quaternions.*

Presented to the Society, February 25, 1967 under the title *Geometry of banach algebras*; received by the editors February 12, 1968.

¹ Taken from a dissertation submitted to the Faculty of the Polytechnic Institute of Brooklyn in partial fulfillment of the requirements for the degree of Doctor of Philosophy (Mathematics), 1967.

² The author held a National Science Foundation Traineeship during the time this research was done.

REFERENCES

1. F. F. Bonsall and J. Duncan, *Dually irreducible representations of Banach algebras*, Yale Univ., New Haven, Conn., 1967, p. 18.
2. L. Ingelstam, *Hilbert algebras with identity*, Bull. Amer. Math. Soc. **69** (1963), 191–194.
3. G. Koethe, *Topologische Lineare Räume*, Springer-Verlag, Berlin, 1960, pp. 351–352.
4. M. F. Smiley, *Real Hilbert algebras with identity*, Proc. Amer. Math. Soc. **16** (1965), 440–441.

BROOKLYN COLLEGE