

## IRREDUCIBLE ALGEBRAS OF OPERATORS WHICH CONTAIN A MINIMAL IDEMPOTENT

BRUCE A. BARNES<sup>1</sup>

**ABSTRACT.** We prove that when  $A$  is a closed subalgebra of the bounded operators on a reflexive Banach space  $X$ , which acts irreducibly on  $X$  and contains a minimal idempotent, then every bounded operator with finite dimensional range on  $X$  is in  $A$ . We use this result to prove that every continuous irreducible representation of a GCR-algebra on a Hilbert space  $\mathcal{H}$  is similar to a \*-representation on  $\mathcal{H}$ .

**1. Notation and terminology.** Assume that  $X$  is a normed linear space.  $\mathcal{B}(X)$  denotes the algebra of bounded operators on  $X$ ,  $\mathcal{C}(X)$  denotes the algebra of compact operators on  $X$ , and  $\mathcal{F}(X)$  denotes the algebra of bounded operators on  $X$  which have finite dimensional range. A subalgebra  $A$  of  $\mathcal{B}(X)$  acts irreducibly on  $X$  (or is irreducible on  $X$ ) if the only closed  $A$ -invariant subspaces of  $X$  are  $0$  and  $X$ .  $A$  acts strictly irreducibly on  $X$  if the only  $A$ -invariant subspaces of  $X$  are  $0$  and  $X$ .

If  $A$  is a normed algebra and  $X$  is a normed linear space, then a representation of  $A$  on  $X$  is an algebra homomorphism  $\pi$  of  $A$  into  $\mathcal{B}(X)$ . A representation  $\pi$  of  $A$  on  $X$  is irreducible (strictly irreducible) if  $\pi(A)$  acts irreducibly (strictly irreducibly) on  $X$ . When  $A$  has an involution  $*$  and  $\mathcal{H}$  is a Hilbert space, a representation  $\pi$  of  $A$  on  $\mathcal{H}$  is a \*-representation if  $\pi(a^*) = \pi(a)^*$  for all  $a \in A$ .

Let  $X$  be a normed linear space. We denote the dual space of  $X$  as  $X^*$ . Given  $x \in X$  and  $f \in X^*$ , let  $(f|x)$  be the operator defined by  $(f|x)(y) = f(y) \cdot x$ ,  $y \in X$ . Every bounded operator on  $X$  with 1-dimensional range has the form  $(f|x)$  for some  $x \in X$ ,  $f \in X^*$ . When  $\mathcal{H}$  is a Hilbert space and  $\phi, \psi \in \mathcal{H}$ , then  $(\phi|\psi)$  is the operator defined by  $(\phi|\psi)(\tau) = (\tau, \phi) \cdot \psi$ ,  $\tau \in \mathcal{H}$ .

A nonzero idempotent  $E$  in a complex normed algebra  $A$  is a minimal idempotent of  $A$  if  $EAE = \{\lambda E | \lambda \text{ complex}\}$ . There is a close relationship between minimal idempotents of  $A$  and minimal left (or right) ideals of  $A$ ; see [5, pp. 45-46]. All vector spaces in this paper are complex.

---

Received by the editors July 30, 1970.

*AMS 1970 subject classifications.* Primary 46L20, 46L05.

*Key words and phrases.* Algebras of operators, irreducible algebra, irreducible representation,  $B^*$ -algebra.

<sup>1</sup> A portion of this research was supported by a grant from the Graduate School of the University of Oregon.

**2. Irreducible algebras of operators which contain a minimal idempotent.** We assume throughout this section that  $X$  is a normed linear space and that  $A$  is a subalgebra of  $\mathfrak{B}(X)$  such that  $A$  acts irreducibly on  $X$ . We prove several lemmas and then the main result of this section (Theorem 3).

**LEMMA 1.** *If  $E$  is a minimal idempotent of  $A$ , then there exist  $x \in X$ ,  $f \in X^*$ , with  $f(x) = 1$ , such that  $E = (f|x)$ .*

**PROOF.** Choose  $x \in X$ ,  $x \neq 0$ , such that  $E(x) = x$ . Let  $Y = \{T(x) | T \in A\}$ .  $Y$  is an invariant subspace of  $X$  for  $A$ . When  $T \in A$  we denote by  $T'$  the restriction of  $T$  to  $Y$ . Assume that  $T_1(x)$  and  $T_2(x)$  are in the range of  $E'$ ,  $T_1, T_2 \in A$ . Then  $T_i(x) = E'T_i(x) = ET_iE(x) = \lambda_i x$  for some scalars  $\lambda_i$ ,  $i = 1, 2$ . Thus  $E'$  has 1-dimensional range on  $Y$ . It follows that  $E' = (g|x)$  for some  $g \in Y^*$ . Also since  $E'$  is a projection, then  $g(x) = 1$ . Let  $f$  be the unique extension of  $g$  to a continuous functional on  $X$  (note that  $Y$  is dense in  $X$ ). Then  $E = (f|x)$  since  $E - (f|x)$  is 0 on  $Y$ .

**LEMMA 2.** *Assume that  $A$  is a closed subalgebra of  $\mathfrak{B}(X)$  and that  $A$  contains a minimal idempotent  $E$ . Then  $A$  acts strictly irreducibly on  $X$ .*

**PROOF.** By Lemma 1,  $E = (f|x)$  for some  $x \in X$ ,  $f \in X^*$ , with  $f(x) = 1$ .  $AE = \{(f|T(x)) | T \in A\}$  is a left ideal of  $A$  which is closed in the operator norm.  $W = \{T(x) | T \in A\}$  is a nonzero invariant subspace of  $X$  for  $A$ , and, therefore,  $W$  is dense in  $X$ . Given  $y \in X$  we can choose  $\{y_n\} \subset W$  such that  $y_n = T_n(x) \rightarrow y$ . Then  $(f|y_n) = T_n(f|x) \in AE$  for all  $n$  and  $(f|y_n) \rightarrow (f|y)$  in the operator norm. Therefore  $(f|y) \in AE$ . Assume now that  $y_1, y_2 \in X$  and  $y_1 \neq 0$ . As above  $(f|y_1), (f|y_2) \in AE$ .  $\mathfrak{L} = A(f|y_1)$  is a nonzero left ideal of  $A$  in  $AE$ . By the proof of [5, Lemma (2.18), p. 45],  $\mathfrak{L} = AE$ . Then there exists  $T \in A$  such that  $T(f|y_1) = (f|y_2)$ . It follows that  $T(y_1) = y_2$ , and therefore  $A$  acts strictly irreducibly on  $X$ .

**THEOREM 3.** *Assume that  $X$  is a reflexive Banach space, and that  $A$  is a closed subalgebra of  $\mathfrak{B}(X)$  which acts irreducibly on  $X$  and contains a minimal idempotent  $E$ . Then  $\mathfrak{F}(X) \subset A$ .*

**PROOF.** By Lemma 1 there exists  $x \in X$ ,  $f \in X^*$  with  $f(x) = 1$  such that  $E = (f|x)$ . By Lemma 2,  $A$  acts strictly irreducibly on  $X$ . Therefore  $(f|y) \in A$  for all  $y \in X$ . Let  $K = \{g \in X^* | (g|y) \in A \text{ for some } y \in X, y \neq 0\}$ . We prove that  $K$  is a closed subspace of  $X^*$ . For assume that  $f_1, f_2 \in K$ . Then there exists  $x_1 \neq 0, x_2 \neq 0$  in  $X$  such that  $(f_1|x_1)$  and  $(f_2|x_2)$  are in  $A$ . Choose  $T \in A$  such that  $T(x_1) = x_2$ . Then  $(f_1 + f_2|x_2) = T(f_1|x_1) + (f_2|x_2)$ . Thus,  $f_1 + f_2 \in K$ . Now assume that

$(f_n|x_n) \in A$ ,  $x_n \neq 0$  for all  $n$ , and  $f_n \rightarrow g$  in  $X^*$ . Choose  $T_n \in A$  such that  $T_n(x_n) = x$  for all  $n$ . Then  $T_n(f_n|x_n) = (f_n|x) \in A$  for all  $n$  and  $(f_n|x) \rightarrow (g|x)$  in  $\mathfrak{B}(X)$ . Since  $A$  is closed,  $(g|x) \in A$ , and this proves  $g \in K$ .

Now suppose that  $K \neq X^*$ . Since  $K$  is closed and  $X$  is reflexive, there exists  $y \in X$ ,  $y \neq 0$ , such that  $g(y) \neq 0$  for all  $g \in K$ . Given  $T \in \mathfrak{B}(X)$ , let  $T^*$  be the conjugate (adjoint) operator of  $T$  on  $X^*$ . Note that  $(f|x)T = (T^*(f)|x)$ . Then  $T^*(f) \in K$  for all  $T \in A$ , and it follows that  $T^*(f)(y) = f(T(y)) = 0$  for all  $T \in A$ . But there exists  $T \in A$  such that  $T(y) = x$ , and  $f(x) = 1$ . This contradiction proves that  $K = X^*$ . Therefore, by the definition of  $K$ ,  $A$  contains every bounded operator on  $X$  which has 1-dimensional range. This implies that  $\mathfrak{F}(X) \subset A$ , so the proof of the theorem is complete.

We denote the radical of an algebra  $B$  by  $\text{rad}(B)$ . In the next theorem we give a sufficient condition that an irreducible algebra  $A$  contain a minimal idempotent.

**THEOREM 4.** *Assume that  $X$  is a Banach space and that  $A$  is a closed subalgebra of  $\mathfrak{B}(X)$  which acts irreducibly on  $X$ . If  $A$  contains an operator  $C \in \mathfrak{C}(X)$  such that  $C$  does not have zero spectrum, then  $A$  contains a minimal idempotent.*

**PROOF.**  $A \cap \mathfrak{C}(X)$  is a closed subalgebra of  $\mathfrak{C}(X)$  which contains  $C$ . Since  $C$  does not have zero spectrum, we can produce a nonzero projection  $F \in A \cap \mathfrak{C}(X)$  by taking the appropriate contour integral about a nonzero (isolated) point of the spectrum of  $C$ .  $F$  must have finite dimensional range. Then  $FAF$  is a finite dimensional subalgebra of  $A$ . By the Wedderburn theory there exists a projection  $E$  in  $FAF$  such that the residue class of  $E$  in the quotient algebra  $FAF/\text{rad}(FAF)$  is a minimal idempotent.  $\text{rad}(FAF)$  is nilpotent, so we can choose a positive integer  $m$  such that  $(FSF)^m = 0$  whenever  $FSF \in \text{rad}(FAF)$ . By [2, Proposition 1, p. 48],  $\text{rad}(FAF) = F \text{rad}(A) F$ . Then if  $T \in \text{rad}(A)$ , we have  $ETE \in \text{rad}(FAF)$ . Therefore  $(ETE)^m = 0$  whenever  $T \in \text{rad}(A)$ .

Now we show that  $\text{rad}(A) \cap AE = 0$ . For suppose not. Then there exists  $T \in \text{rad}(A) \cap AE$  such that  $T = TE \neq 0$ . Choose  $x \in X$ ,  $x \neq 0$ , such that  $E(x) = x$  and  $T(x) \neq 0$ . Set  $M = \text{rad}(A) \cap AE$ . If  $S \in M$ , then  $S^{m+1} = S(ESE)^m = 0$ . The set  $\{S(x) | S \in M\}$  is a nonzero invariant subspace of  $X$  for  $A$ . Therefore there exists  $\{S_n\} \subset M$  such that  $S_n(x) \rightarrow x$ .  $EM(x)$  is a finite dimensional (and hence closed) subspace of  $X$ . Since  $ES_n(x) \rightarrow E(x) = x$ ,  $x \in EM(x)$ . Therefore there exists  $S \in M$  such that  $ES(x) = x$ . But  $ES \in M$ , so that  $(ES)^{m+1} = 0$ . This is a contradiction since  $0 \neq x = (ES)^{m+1}(x) = 0$ . Therefore  $\text{rad}(A) \cap AE = 0$ .

Given  $T \in A$  there exists a scalar  $\lambda$  and  $S \in \text{rad}(FAF)$  such that  $ETE = \lambda E + S$  (recall that  $E + \text{rad}(FAF)$  is a minimal idempotent in  $FAF/\text{rad}(FAF)$ ). Then  $ETE - \lambda E = S \in \text{rad}(A) \cap AE = 0$ . Therefore  $E$  is a minimal idempotent of  $A$ .

**COROLLARY 5.** *Assume that  $A$  satisfies the hypotheses of Theorem 4. Then  $A$  acts strictly irreducibly on  $X$ .*

**COROLLARY 6.** *Assume that  $A$  satisfies the hypotheses of Theorem 4 and that  $X$  is a reflexive Banach space. Then  $\mathfrak{F}(X) \subset A$ .*

**3. Representations similar to \*-representations.** In this section we give a sufficient condition that a continuous irreducible representation of a  $B^*$ -algebra on a Hilbert space  $\mathcal{H}$  be similar to a \*-representation on  $\mathcal{H}$ . R. V. Kadison gives very general necessary and sufficient conditions in [3]. Kadison also discusses the important connections this subject has with the representation theory of topological groups.

Throughout this section we assume that  $A$  is a  $B^*$ -algebra. S. Cleveland has shown, [1, Lemma 5.3, p. 1104], that when  $\pi$  is a continuous algebra isomorphism of  $A$  into a Banach algebra  $B$ , then  $\pi(A)$  is closed in  $B$ . This is easily extended to the case where  $\pi$  has a nonzero kernel  $I$ . For since  $\pi$  is continuous,  $I$  is a closed ideal of  $A$ , and then  $A/I$  is again a  $B^*$ -algebra. Define  $\tilde{\pi}$  on  $A/I$  by  $\tilde{\pi}(a+I) = \pi(a)$ ,  $a \in A$ .  $\tilde{\pi}$  is a continuous algebra isomorphism of  $A/I$  into  $B$ . Therefore, the range of  $\tilde{\pi}$  is closed in  $B$  by Cleveland's result. But  $\pi(A) = \tilde{\pi}(A)$ , so that  $\pi(A)$  is closed in  $B$ .

Throughout this section  $\mathcal{H}$  denotes a Hilbert space. Assume that  $\pi$  is a continuous irreducible representation of the  $B^*$ -algebra  $A$  into  $\mathfrak{B}(\mathcal{H})$ , and that  $A/\ker(\pi)$  contains a minimal idempotent. Then  $\pi(A)$  is an irreducible closed subalgebra of  $\mathfrak{B}(\mathcal{H})$  which contains a minimal idempotent. An application of Theorem 3 proves the following result.

**LEMMA 7.** *Assume that  $\pi$  is a continuous irreducible representation of  $A$  on  $\mathcal{H}$  such that  $A/\ker(\pi)$  contains a minimal idempotent. Then  $\pi$  is strictly irreducible on  $\mathcal{H}$  and  $\mathfrak{C}(\mathcal{H}) \subset \pi(A)$ .*

Every minimal left ideal of  $A$  has the form  $Ah$  where  $h$  is a self-adjoint minimal idempotent by [5, Lemma (4.10.1), p. 261]. Assume that  $h$  is a selfadjoint minimal idempotent of  $A$ . Then  $hAh$  is just the set of all scalar multiples of  $h$ . We define an inner product  $\langle \cdot, \cdot \rangle$  on  $Ah$  by the rule  $\langle xh, yh \rangle_h = hy^*xh$ ; see [5, Theorem (4.10.3), p. 261]. We call  $\langle \cdot, \cdot \rangle$  the canonical inner product on  $Ah$ . Now we prove the main result of this section.

THEOREM 8. Assume that  $A$  is a  $B^*$ -algebra and that  $\pi$  is a continuous irreducible representation of  $A$  on  $\mathfrak{H}$ . Assume that  $A/\ker(\pi)$  contains a minimal left ideal. Then there exists a strictly irreducible  $*$ -representation  $\rho$  of  $A$  on  $\mathfrak{H}$  and a positive invertible operator  $V \in \mathfrak{B}(\mathfrak{H})$  such that  $\pi(a) = V^{-1}\rho(a)V$  for all  $a \in A$ .

PROOF. We may assume without loss of generality that  $\pi$  is an isomorphism. For in the general case we can define  $\bar{\pi}$  on  $A/\ker(\pi)$  as in the discussion preceding Lemma 7, and apply our arguments to  $\bar{\pi}$ . Therefore we assume that  $\pi$  is an isomorphism and that  $A$  has a minimal left ideal  $Ah$ , where  $h$  is a selfadjoint minimal idempotent of  $A$ . Let  $\langle \cdot, \cdot \rangle$  denote the canonical inner product on  $Ah$  and let  $(\cdot, \cdot)$  denote the inner product on  $\mathfrak{H}$ .  $\pi(h)$  is a minimal idempotent of  $\pi(A)$ . It follows that there exists  $\phi, \psi \in \mathfrak{H}$  such that  $(\psi, \phi) = 1$  and  $\pi(h) = (\phi | \psi)$ . By Lemma 7,  $\pi$  is strictly irreducible on  $\mathfrak{H}$ . Since  $\pi(Ah)\psi$  is a nonzero invariant subspace of  $\mathfrak{H}$  for  $\pi(A)$ , then  $\mathfrak{H} = \pi(Ah)\psi$ . If, for some  $x \in A$ ,  $\pi(xh)\psi = 0$ , then  $\pi(x)(\phi | \psi)\psi = 0$ , and therefore  $\pi(x)\psi = 0$ . Then  $\pi(x)(\phi | \psi) = 0$ , so that  $\pi(xh) = 0$ , and finally we have  $xh = 0$ . Therefore  $xh \rightarrow \pi(xh)\psi$  is a 1-1 map of  $Ah$  onto  $\mathfrak{H}$ . We use this map to transfer the canonical inner product on  $Ah$  to a positive definite form on  $\mathfrak{H}$ . Define  $[\pi(xh)\psi, \pi(yh)\psi] = \langle xh, yh \rangle$  for all  $xh, yh \in Ah$ . It follows from this definition that  $[\cdot, \cdot]$  is a bounded positive definite form on  $\mathfrak{H}$ . By Riesz's theorem there exists a positive operator  $U \in \mathfrak{B}(\mathfrak{H})$  such that  $[\phi_1, \phi_2] = (U\phi_1, \phi_2)$  for all  $\phi_1, \phi_2 \in \mathfrak{H}$ . Assume that  $\tau = \pi(xh)\psi \in \mathfrak{H}$ . Then

$$\begin{aligned} (\tau, \tau) &= (\pi(xh)\psi, \pi(xh)\psi) \leq \|\pi(xh)\|^2 \|\psi\|^2 \leq \|\pi\|^2 \|\psi\|^2 \|xh\|^2 \\ &= \|\pi\|^2 \|\psi\|^2 \|hx^*xh\| = \|\pi\|^2 \|\psi\|^2 \|h\| \langle xh, xh \rangle. \end{aligned}$$

Set  $M = \|\pi\|^2 \|\psi\|^2 \|h\|$ . We have

$$(\tau, \tau) \leq M \langle xh, xh \rangle = M [\pi(xh)\psi, \pi(xh)\psi] = M [\tau, \tau] = M(U\tau, \tau).$$

Therefore  $U^{-1} \in \mathfrak{B}(\mathfrak{H})$ .

Let  $V = \sqrt{U}$ . Define  $\rho(a) = V\pi(a)V^{-1}$ ,  $a \in A$ . Given  $\psi_1, \psi_2 \in \mathfrak{H}$ , there exists  $\phi_1, \phi_2 \in \mathfrak{H}$  and  $x_1, x_2 \in A$  such that  $\psi_i = V\phi_i$  and  $\phi_i = \pi(x_ih)\psi$  for  $i = 1, 2$ . Then

$$\begin{aligned} (\rho(a)\psi_1, \psi_2) &= (V\pi(a)V^{-1}V\phi_1, V\phi_2) = [\pi(a)\phi_1, \phi_2] \\ &= [\pi(a)\pi(x_1h)\psi, \pi(x_2h)\psi] = \langle ax_1h, x_2h \rangle \\ &= \langle x_1h, a^*x_2h \rangle = [\pi(x_1h)\psi, \pi(a^*)\pi(x_2h)\psi] \\ &= (V\phi_1, V\pi(a^*)V^{-1}V\phi_2) = (\psi_1, \rho(a^*)\psi_2). \end{aligned}$$

Therefore  $\rho$  is a  $*$ -representation of  $A$  on  $\mathfrak{H}$ . This completes the proof of the theorem.

Now assume that  $A$  is a GCR-algebra as defined by I. Kaplansky; see [4]. Assume that  $\pi$  is a continuous irreducible representation of  $A$  on a Hilbert space  $\mathfrak{H}$ . Then  $A/\ker(\pi)$  has no ideal divisors of zero by [4, Lemma 2.5, p. 223]. Therefore  $A/\ker(\pi)$  contains a minimal left ideal by [4, Lemma 7.4, p. 247]. These remarks together with Theorem 8 prove the following corollary.

**COROLLARY 9.** *When  $A$  is a GCR-algebra, then every continuous irreducible representation of  $A$  on a Hilbert space  $\mathfrak{H}$  is similar to a strictly irreducible  $*$ -representation of  $A$  on  $\mathfrak{H}$ .*

#### REFERENCES

1. S. B. Cleveland, *Homomorphisms of non-commutative  $*$ -algebras*, Pacific J. Math. **13** (1963), 1097–1109. MR **28** #1500.
2. N. Jacobson, *Structure of rings*, Amer. Math. Soc. Colloq. Publ., vol. 37, Amer. Math. Soc., Providence, R. I., 1956. MR **18**, 373.
3. R. V. Kadison, *On the orthogonalization of operator representations*, Amer. J. Math. **77** (1955), 600–620. MR **17**, 285.
4. I. Kaplansky, *The structure of certain operator algebras*, Trans. Amer. Math. Soc. **70** (1951), 219–255. MR **13**, 48.
5. C. E. Rickart, *Banach algebras*, University Series in Higher Math., Van Nostrand, Princeton, N. J., 1960. MR **22** #5903.

UNIVERSITY OF OREGON, EUGENE, OREGON 97403