

## INDECOMPOSABLE CONTINUA IN STONE-ČECH COMPACTIFICATIONS

DAVID P. BELLAMY AND LEONARD R. RUBIN

**ABSTRACT.** We show that if  $Y$  is a continuum irreducible from  $a$  to  $b$ , which is connected im Kleinen and first countable at  $b$ , and if  $X = Y - \{b\}$ , then  $\beta X - X$  is an indecomposable continuum. Examples are given showing that both first countability and connectedness im Kleinen are needed here. We also show that  $\beta[0, 1] - [0, 1]$  has a strong near-homogeneity property.

**1. Introduction.** In [2] and [3] it is shown that if  $X = [0, 1]$  then  $\beta X - X$  is an indecomposable continuum; here  $\beta X$  is the Stone-Čech compactification of  $X$ . In [7], Dickman showed that among locally connected spaces,  $[0, 1]$  is essentially the only such space. In this paper we exhibit other types of spaces  $X$  with this property. We shall also show that for  $X = [0, 1]$ ,  $\beta X - X$  is stably almost homogeneous, a concept to be defined below.

The set function  $T$  has been studied and applied in [1], [5], [6], [8], [9], [11], and [14]. We follow these papers in writing  $T(p)$  for  $T(\{p\})$ . This set function will be used in the argument at one point and familiarity with it is assumed. Familiarity with [10], [12], [13], and [15] is also assumed. If we write  $X = A \cup B$  sep, then we mean that  $\text{Cl}(A) \cap B = \emptyset$  and  $A \cap \text{Cl}(B) = \emptyset$  while neither  $A$  nor  $B$  is empty. By  $f: X \cong Y$ , we mean  $f$  is a homeomorphism of  $X$  onto  $Y$ .

### 2. Indecomposable continua in $\beta X$ .

**LEMMA 1.** *There is a covariant functor  $\beta$  on the category of Tychonoff spaces and continuous maps such that for any Tychonoff space  $X$ ,  $\beta X$  is the Stone-Čech compactification of  $X$  and if  $f: X \rightarrow Y$  then  $\beta f: \beta X \rightarrow \beta Y$  is the unique extension of  $f$  induced by  $f$  treated as a map from  $X$  to  $\beta Y$ .*

**Notation.** If  $X$  is a Tychonoff space, let  $\gamma X = \beta X - X$ . If  $f$  is a continuous map from  $X$  to  $Y$ , let  $\gamma f$  denote  $\beta f|_{\gamma X}$ .

**DEFINITION.** Let  $Y$  be a compact Hausdorff continuum irreducible from  $a$  to  $b$  such that  $Y$  is both connected im Kleinen and first countable

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at  $b$ . Let  $X = Y - \{b\}$ . Then we call the topological pair  $(Y, X)$  a *wave* from  $a$  to  $b$ .

By stringing together indecomposable continua, a wave  $(Y, X)$  can be constructed such that  $Y$  is not connected im Kleinen at any point of  $X$ .

**LEMMA 2.** *If  $Y$  is a compact Hausdorff continuum irreducible from  $a$  to  $b$  and  $x \in Y$ ,  $T(x)$  either separates  $a$  from  $b$ , contains  $a$ , or contains  $b$ . In case  $T(x)$  separates  $a$  from  $b$ ,  $Y - T(x)$  has exactly two components,  $A$  and  $B$ , where  $a \in A$  and  $b \in B$ , and both  $T(x) \cup A$  and  $T(x) \cup B$  are proper subcontinua of  $Y$  containing  $a$  and  $b$  respectively.*

**REMARK ON PROOF.** This lemma can be established using standard techniques and Theorem 1.10 of [14], since each  $x \in Y$  different from  $a$  and  $b$  weakly separates  $a$  from  $b$ .

**LEMMA 3.** *If  $Y$  is a compact Hausdorff continuum irreducible from  $a$  to  $b$  and  $W \subseteq Y$  is a continuum with  $b \in \text{Int } W$ , then  $W - \{b\}$  is connected.*

**PROOF.** If  $W - \{b\} = M_0 \cup N_0$  sep, let  $M = M_0 \cup \{b\}$ ;  $N = N_0 \cup \{b\}$ . Then  $b$  lies in the boundary of  $M$  and  $N$  and, by Theorem 6 of [15, p. 194], each of  $M$  and  $N$  is nowhere dense, so that  $M \cup N = W$  is nowhere dense also, a contradiction.

**LEMMA 4.** *If  $(Y, X)$  is a wave from  $a$  to  $b$ , and  $Z$  is a Hausdorff compactification of  $X$ , then  $Z - X$  is a Hausdorff continuum.*

**PROOF.** Since  $Y$  is connected im Kleinen and first countable at  $b$ , there exists a denumerable collection of continua  $\{N_i\}_{i=1}^{\infty}$  such that for each  $i$ ,  $b \in \text{Int}(N_i)$  and  $N_{i+1} \subseteq N_i$  and  $\bigcap_{i=1}^{\infty} N_i = \{b\}$ . It is readily seen that

$$Z - X = \text{Cl}_Z(N_i - \{b\}) - N_i = \bigcap_{i=1}^{\infty} \text{Cl}_Z(N_i - \{b\}).$$

Then, since each  $N_i - \{b\}$  is connected by Lemma 3,  $Z - X$  is an intersection of a monotone collection of continua.

**LEMMA 5.** *Let  $X$  be a compact Hausdorff space,  $b \in X$ ,  $\{b\}$  a component of  $X$ , and suppose  $X$  is first countable at  $b$  and  $\langle b_i \rangle_{i=1}^{\infty}$  is a sequence in  $X - \{b\}$  converging to  $b$ . Then there exist two closed subsets  $A$  and  $B$  of  $X$  such that  $A \cup B = X$ ,  $A \cap B = \{b\}$ , and each of  $A$  and  $B$  contains infinitely many (that is, a subsequence) of the  $b_i$ 's.*

**PROOF.** It is readily seen that there exists a neighborhood basis  $\{N_j\}_{j=1}^{\infty}$  at  $b$  consisting of closed and open sets such that  $N_{j+1} \subseteq N_j$  for each  $j$  and  $N_1 = X$ ; by passing to a subset if necessary, we may suppose that each

$N_j - N_{j+1}$  contains at least one of the  $b_i$ 's. Then set

$$A = \{b\} \cup \bigcup_{j=1}^{\infty} (N_{2j-1} - N_{2j}), \quad B = \{b\} \cup \bigcup_{j=1}^{\infty} (N_{2j} - N_{2j+1}).$$

Then  $A$  and  $B$  have the desired properties.

LEMMA 6. *If  $(Y, X)$  is a wave from  $a$  to  $b$  and  $W$  is a nondegenerate subcontinuum of  $Y$  containing  $b$ , then  $b \in \text{Int } W$ .*

PROOF. Suppose not. Then let  $p \in W, p \neq b$ . Since  $b \notin T(p)$ , by connectedness im Kleinen at  $b$ , it follows that either  $a \in T(p)$  or  $Y - T(p) = A \cup B$  sep, where  $a \in A$  and  $b \in B$ . If  $a \in T(p)$ ,  $T(p) \cup W$  is a proper subcontinuum of  $Y$  containing both  $a$  and  $b$ ; if  $a \notin T(p)$ ,  $A \cup T(p) \cup W$  is such a continuum, and in either case we have a contradiction.

COROLLARY 1. *If  $(Y, X)$  is a wave from  $a$  to  $b$  and  $M$  is a closed subset of  $Y$  with  $b \in M$  but  $b \notin \text{Int } M$ ,  $\{b\}$  is a component of  $M$ .*

LEMMA 7. *If  $Y$  is a compact Hausdorff space first countable at a point  $b$ , then  $Y - \{b\}$  is normal.*

PROOF. Let  $\{O_k\}_{k=1}^{\infty}$  be a countable basis of open neighborhoods at  $b$ . Then  $Y - \{b\} = \bigcup_{k=1}^{\infty} (Y - O_k)$ , so that  $Y - \{b\}$  is sigma compact and hence Lindelöf. Then  $Y - \{b\}$  is paracompact [10, p. 174, 6.5] and hence normal [10, p. 163, 2.2].

THEOREM 1. *If  $(Y, X)$  is a wave from  $a$  to  $b$ , then  $\gamma X$  is an indecomposable continuum.*

PROOF. By Lemma 4,  $\gamma X$  is a continuum. Suppose  $F$  is a proper subcontinuum of  $\gamma X$  which contains an interior point  $q$  with respect to  $\gamma X$ . Let  $p \in \gamma(X) - F$ . Let  $U$  and  $V$  be open sets in  $\beta X$  with  $\text{Cl}(U) \cap \text{Cl}(V) = \text{Cl}(U) \cap (\gamma X - \text{Int } F) = \text{Cl}(V) \cap F = \emptyset$  while  $p \in V$  and  $q \in U$ . This is possible by regularity.

Then  $X \cap V$  and  $X \cap U$  are open subsets of  $X$  and hence of  $Y$  since  $X$  is open in  $Y$ . Let  $\langle b_i \rangle_{i=1}^{\infty}$  be a sequence of points in  $U \cap X$  converging in  $Y$  to  $b$ . This is possible since  $b \in \text{Cl}_Y(U \cap X)$  and  $Y$  is first countable at  $b$ .

Then  $\{b\}$  is a component of  $Y - (V \cap X)$ , by Corollary 1, and  $\langle b_i \rangle$  is a sequence in  $(Y - V) - \{b\}$  converging to  $b$ . By Lemma 6 there are two closed sets  $A_0$  and  $B_0$  such that  $A_0 \cup B_0 = Y - V, A_0 \cap B_0 = \{b\}$ , and each of  $A_0$  and  $B_0$  contains a subsequence of the  $b_i$ 's. Let  $A = A_0 \cap X, B = B_0 \cap X$ . Then  $A$  and  $B$  are disjoint closed subsets of  $X$ , and since  $X$  is normal, disjoint closed sets lie in disjoint zero sets, and by Theorem 6.5 III of [12],  $\text{Cl}_{\beta X}(A) \cap \text{Cl}_{\beta X}(B) = \emptyset$ . Now since each of  $A$  and  $B$  contains infinitely many of the  $b_i$ 's, it follows that each of  $\text{Cl}_{\beta X}(A)$  and  $\text{Cl}_{\beta X}(B)$  contains

points of  $\text{Cl}_{\beta X}(U) \cap \gamma X$ , and hence points of  $F$ . Thus, since if  $x \in \gamma X - \text{Cl}_{\beta X}(A \cup B)$ , it follows that  $x \in \text{Cl}_{\beta X}(V)$  and hence  $x \notin F$ , we have  $F = (F \cap \text{Cl}_{\beta X}(A)) \cup (F \cap \text{Cl}_{\beta X}(B))$  sep, so that  $F$  is no continuum.

**COROLLARY 2 ([2] AND [3]).** *Let  $X = [0, 1)$ . Then  $\gamma X$  is an indecomposable continuum.*

**EXAMPLE 1.** Let  $L$  denote the long line, consisting of  $\omega_1 \times [0, 1)$  with the lexicographic order, where  $\omega_1$  is the first uncountable ordinal; we take the order topology on  $L$ . Then consider  $L \times [0, 1)$  with the product topology. Let

$$X = \{((\alpha, t), s) \in L \times [0, 1) : t = 0 \text{ or } t = s\}.$$

Let  $Y = X \cup \{b\}$  be the one-point compactification of  $X$ . Then  $Y$  is irreducible from  $((0, 0), 1)$  to  $b$  and is connected im Kleinen at  $b$ .  $(Y, X)$  fails to be a wave from  $a$  to  $b$  because  $Y$  is not first countable at  $b$ .

Standard techniques applied to continuous functions from  $\omega_1$  to  $[0, 1)$  yield the result that  $\gamma X \cong [0, 1)$  in this case. Thus, first countability cannot be dispensed with in the hypothesis of Theorem 1. Connectedness im Kleinen also cannot be removed from the hypothesis of Theorem 1; the usual topologist's  $\sin 1/x$  curve, with  $b$  taken from the limit arc, yields a decomposable continuum as  $\gamma X$ .

**LEMMA 8.** *If  $X$  is a Tychonoff space and  $Z$  is any compactification of  $X$  with inclusion map  $i: X \rightarrow Z$ , then  $\gamma i(\gamma X) = Z - i(X)$ .*

**REMARK ON PROOF.** This is a special case of Theorem 6.12 of [12, p. 92].

**LEMMA 9.** *If  $X$  and  $Y$  are Tychonoff spaces and  $f: X \cong Y$ , then  $\gamma f: \gamma X \cong \gamma Y$ .*

**PROOF.** By Lemma 8,  $\gamma f(\gamma X) = \gamma Y$  and since  $\beta$  is a functor it follows that  $\beta f$  is a homeomorphism since it has inverse  $\beta(f^{-1})$ . Then  $\gamma f$  is a homeomorphism since it is a restriction of one.

**LEMMA 10.** *Let  $X$  be a normal Hausdorff space and  $A$  a closed subset of  $X$  such that  $X - A$  contains a closed but not compact subset of  $X$ . Then  $\gamma X - \text{Cl}_{\beta X}(A)$  is a nonempty, open subset of  $\gamma X$ .*

**LEMMA 11.** *Suppose  $X$  is a Tychonoff space and  $f: X \cong X$  is the identity inside some closed subset  $V$  of  $X$ . Then  $\gamma f: \gamma X \cong \gamma X$  is the identity inside  $\gamma X \cap \text{Cl}_{\beta X}(V)$ .*

**DEFINITION.** We say a topological space  $X$  is *almost homogeneous* if for any  $p, q \in X$ , and any neighborhood  $U$  of  $q$  there is a homeomorphism  $h: X \cong X$  such that  $h(p) \in U$ . If, in addition, we may choose  $h$  to be the

identity on some nonempty open subset of  $X$ , we say  $X$  is *stably almost homogeneous*.

**THEOREM 2.** *Let  $X = [0, 1]$ ; then  $\gamma X$  is a stably almost homogeneous indecomposable continuum.*

**PROOF.** Throughout this proof,  $\text{Cl}$  denotes  $\text{Cl}_{\beta X}$ . Let  $x, y \in \gamma X$  and let  $V_0$  be any open set in  $\gamma X$  containing  $y$ . Then  $V_0 = V_1 \cap \gamma X$  for some  $V_1$  open in  $\beta X$ . Then there exists a  $V_2$  open in  $\beta X$  such that  $y \in V_2 \subseteq \text{Cl} V_2 \subseteq V_1$  and  $x \notin \text{Cl} V_2$  unless  $x = y$ , in which case there is nothing to prove. Let  $U_0$  be open in  $\beta X$  with  $x \in U_0$  and  $\text{Cl} U_0 \cap \text{Cl} V_2 = \emptyset$ . Now let  $U = U_0 \cap X$  and  $V = V_2 \cap X$ . We shall assume, with no loss of generality, that  $0 < \inf U < \inf V$ .

Now, define four sequences  $\langle p_n \rangle_{n=1}^\infty$ ,  $\langle q_n \rangle_{n=1}^\infty$ ,  $\langle r_n \rangle_{n=1}^\infty$ , and  $\langle s_n \rangle_{n=1}^\infty$  as follows:  $p_1 = \inf U$ . Whenever  $p_i$  has been defined, set  $q_i = \sup\{t \in U : [p_i, t] \cap V = \emptyset\}$ . When  $q_i$  has been defined, set  $r_i = \inf\{t \in V : t > q_i\}$ . When  $r_i$  has been defined, set  $s_i = \sup\{t \in V : [r_i, t] \cap U = \emptyset\}$ . When  $s_i$  has been defined, set  $p_{i+1} = \inf\{t \in U : t > s_i\}$ . This completes the recursive definition of the four sequences. They have the following properties:  $p_i < q_i < r_i < s_i < p_{i+1}$  for each  $i$ ; the limit in  $[0, 1]$  of each sequence is 1,  $U \subseteq \bigcup_{i=1}^\infty [p_i, q_i]$ , and  $V \subseteq \bigcup_{i=1}^\infty [r_i, s_i]$ . We now choose two more sequences  $\langle x_i \rangle_{i=1}^\infty$  and  $\langle y_i \rangle_{i=1}^\infty$  so that, for each  $i$ ,  $r_i < x_i < y_i < s_i$  and the closed interval  $[x_i, y_i]$  is a subset of  $V$ . Finally we choose two more sequences  $\langle a_i \rangle_{i=1}^\infty$  and  $\langle b_i \rangle_{i=1}^\infty$  such that  $a_1 = 0$ ;  $0 < b_1 < p_1$ , and for  $i > 1$  we choose  $s_i < a_{i+1} < b_{i+1} < p_{i+1}$ . Now define  $h: X \cong X$  as follows: For each  $i$ ,

- (1)  $h$  is the identity on  $[a_i, b_i]$ ,
- (2)  $h$  maps the interval  $[b_i, p_i]$  linearly onto  $[b_i, x_i]$ ,
- (3)  $h$  maps  $[p_i, q_i]$  linearly onto  $[x_i, y_i]$ ,
- (4)  $h$  maps  $[q_i, a_{i+1}]$  linearly onto  $[y_i, a_{i+1}]$ .

Then  $h(U) \subseteq V$ , and hence  $\beta h(\text{Cl}(U)) \subseteq \text{Cl}(V)$ , and since  $x \in \text{Cl}(U)$ ,  $\beta h(x) \in \text{Cl}(V) \subseteq \text{Cl}(V_2) \subseteq V_1$ , and  $\beta h(x) = \gamma h(x) \in V_0$  as desired. Furthermore,  $\gamma h$  is the identity inside the set  $\gamma X \cap \text{Cl}(\bigcup_{i=1}^\infty [a_i, b_i])$ , which contains a nonvoid open subset of  $\gamma X$  by Lemma 10, setting the closed set  $\bigcup_{i=1}^\infty [b_i, a_{i+1}]$  equal to  $A$  in the lemma.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF DELAWARE, NEWARK, DELAWARE  
19711

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF OKLAHOMA, NORMAN, OKLAHOMA  
73069