

MAXIMAL LOGICS

JOSEPH SGRO¹

ABSTRACT. In this paper we present a general method for producing logics on various classes of models which are maximal with respect to a Łoś ultraproducts theorem. As a corollary we show that \mathcal{L}^{Top} is maximal. We also show that these maximal logics satisfy the Souslin-Kleene property.

0. Introduction. In this paper we will prove that there is a strongest logic for certain classes of models with a Łoś ultraproduct theorem.

The motivation comes from two sources. The first is the area of abstract logic and model theory. P. Lindström first proved that $\mathcal{L}_{\omega\omega}$ is the strongest logic which satisfies the compactness and Löwenheim-Skolem theorem. K. J. Barwise [B-1] expanded, simplified, and strengthened these results by formulating abstract model theory in a category-theoretic framework.

The second area is topological model theory. In [S-1] we presented a topological logic using generalized quantifiers. This logic is formed by adding a quantifier symbol Qx to $\mathcal{L}_{\omega\omega}$, denoted by $\mathcal{L}(Q)$, where the interpretation of $Qx\phi(x)$ is that the set defined by $\phi(x)$ is "open". Another logic, denoted by $\mathcal{L}(Q^n)_{n \in \omega}$, is formed by adding $Q^n x_1, \dots, x_n$ for each n so that the interpretation of $Q^n x_1, \dots, x_n \phi(x_1, \dots, x_n)$ is that the set defined by $\phi(x_1, \dots, x_n)$ is "open in the n th product topology". However, they are not the strongest logics even though they both satisfy the compactness and Löwenheim-Skolem theorems.

More recently, S. Garavaglia and T. McKee (see [G-1] or [McK]) have found an extension, \mathcal{L}^{Top} , of $\mathcal{L}(Q)$ and $\mathcal{L}(Q^n)_{n \in \omega}$ which has many desirable properties, e.g. compactness, Löwenheim-Skolem, interpolation, and an isomorphic ultrapowers theorem.

Hence, one is naturally led to the question of when there is a strongest logic with first order properties. In this paper we give a construction of the strongest logic with a Łoś ultraproduct theorem. We then show that these maximal logics have the Souslin-Kleene property.

1. Abstract logics. We will assume that the reader is familiar with the basic notions of first order model theory (e.g. many-sorted logics, ultrafilters, and ultraproducts), topology and category theory.

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Take a first order model $\mathfrak{A}, q \subseteq \mathcal{P}(A)$, and form (\mathfrak{A}, q) . (\mathfrak{A}, q) is called a *weak model*. (If q is a topology then (\mathfrak{A}, q) is called *topological*.) If we take a class of weak models, Mod , we can give a definition of a logic on Mod .

Our notion of a logic is similar to that of Barwise [B-1] and we will assume some familiarity with it. We take the set of objects to be \mathcal{L} , the class of languages. The morphisms will be the k -morphisms in [B-1].

We define a logic, \mathcal{L}^* , to consist of a syntax and a semantics. The syntax is a functor $*$ on \mathcal{L} . The elements \mathcal{L}^* for $L \in \mathcal{L}$ are called \mathcal{L}^* sentences. The functor $*$ satisfies the following axiom:

OCCURRENCE AXIOM. For every \mathcal{L}^* sentence ϕ there is a smallest (under \subseteq) language L_ϕ in \mathcal{L} such that $\phi \in L_\phi^*$.

The semantics of \mathcal{L}^* is a relation $\vDash^{\mathcal{L}^*}$ such that if $(\mathfrak{A}, q) \vDash^{\mathcal{L}^*} \phi$, then \mathfrak{A} is an L -structure for some L in \mathcal{L} and $\phi \in L^*$. It satisfies the following axiom:

ISOMORPHISM AXIOM. If $(\mathfrak{A}, q) \vDash^{\mathcal{L}^*} \phi$ and $(\mathfrak{A}, q) \cong (\mathfrak{B}, r)$ (i.e. $(\mathfrak{A}, q), (\mathfrak{B}, r)$ are isomorphic as 2-sorted structures), then $(\mathfrak{B}, r) \vDash^{\mathcal{L}^*} \phi$.

A logic on Mod which has important applications is the 2-sorted logic, $\mathcal{L}_2^{\text{Mod}}$. (We will write \mathcal{L}_2 for $\mathcal{L}_2^{\text{Mod}}$ when the meaning is understood.) For $L \in \mathcal{L}$, L^2 is the set of 2-sorted sentences (i.e. built up from the constants, predicates, functions, individual variables, set variables, equality and \in using $\vee, \neg, \exists x, \exists X$). Note that \in has its standard meaning and is a logical symbol. If $(\mathfrak{A}, q) \in \text{Mod}$ then

$$(\mathfrak{A}, q) \vDash^{L^2} \phi$$

will be the usual satisfaction relation.

We say that ϕ in \mathcal{L}^* is $EC_{\mathcal{L}^*}(L)$ if and only if there is a $\psi \in L^\#$ such that

$$\text{Mod}_L^{\mathcal{L}^*}(\phi) = \text{Mod}_L^{\mathcal{L}^\#}(\psi)$$

where

$$\text{Mod}_L^{\mathcal{L}}(\phi) = \{(\mathfrak{A}, q) \mid (\mathfrak{A}, q) \vDash^{\mathcal{L}} \phi, (\mathfrak{A}, q) \text{ an } L\text{-structure}\}.$$

Suppose we have two logics, $\mathcal{L}^*, \mathcal{L}^\#$, on a class of models, Mod . Then we can define an ordering between them which is a measure of their strength of expressibility. We say that $\mathcal{L}^\#$ is as strong as \mathcal{L}^* , $\mathcal{L}^\# \geq \mathcal{L}^*$, if for every \mathcal{L}^* -sentence ϕ there is an $\mathcal{L}^\#$ -sentence ψ such that

- (i) Every symbol occurring in ψ occurs in ϕ , i.e. $L_\psi \subseteq L_\phi$.
- (ii) $\text{Mod}^{\mathcal{L}^*}(\phi) = \text{Mod}^{\mathcal{L}^\#}(\psi)$.

Again taking an arbitrary class of models, Mod , let $T = \{q \mid (\mathfrak{A}, q) \in \text{Mod} \text{ for some } \mathfrak{A}\}$ and suppose we are given an $\mathcal{F}: T \rightarrow T$, a map, so that $\mathcal{F}(q) = \mathcal{F}(\mathcal{F}(q))$ and for each q , if $(\mathfrak{A}, q) \in \text{Mod}$ then $(\mathfrak{A}, \mathcal{F}(q)) \in \text{Mod}$. We can define two logics based on this \mathcal{F} . Let ϕ be an \mathcal{L}_2 -sentence; then ϕ is called \mathcal{F} -invariant if and only if for all $(\mathfrak{A}, q) \in \text{Mod}$,

$$(\mathfrak{A}, q) \vDash^{L^2} \phi \text{ if and only if } (\mathfrak{A}, \mathcal{F}(q)) \vDash^{L^2} \phi.$$

We define $\mathcal{L}_2^{\mathcal{F}}$ to be the sublogic of \mathcal{L}_2 (on Mod) which consists of the \mathcal{F} -invariant sentences. Taking $\text{Mod}(\mathcal{F}) = \{(\mathfrak{A}, \mathcal{F}(q)) \mid (\mathfrak{A}, q) \in \text{Mod}\}$, we can define a logic, $\mathcal{L}^{\mathcal{F}}$, on $\text{Mod}(\mathcal{F})$ as follows:

- (a) the $L^{\mathcal{F}}$ -sentences are just the \mathcal{F} -invariant ones,
- (b) $(\mathfrak{A}, \mathcal{F}(q)) \models^{\mathcal{L}^{\mathcal{F}}} \phi$ if and only if $(\mathfrak{A}, q) \models^{\mathcal{L}_2} \phi$.

If we are given a logic \mathcal{L}^* on $\text{Mod}(\mathcal{F})$ where \mathcal{F} is as above then we can define an \mathcal{L}_2^* on Mod. \mathcal{L}_2^* will have the same sentences as \mathcal{L}^* but the satisfaction relation will be defined as follows: if $(\mathfrak{A}, q) \in \text{Mod}$ then

$$(\mathfrak{A}, q) \models^{\mathcal{L}_2^*} \phi \text{ if and only if } (\mathfrak{A}, \mathcal{F}(q)) \models^{\mathcal{L}^*} \phi.$$

This is the analogue of $\models^{\mathcal{L}^{\mathcal{F}}}$ for \mathcal{L}^* . We can then prove the following:

LEMMA 1. *If $\mathcal{L}_2^* \leq \mathcal{L}_2$ then $\mathcal{L}^* \leq \mathcal{L}^{\mathcal{F}}$.*

PROOF. Assume $\mathcal{L}_2^* \leq \mathcal{L}_2$ and suppose that $\phi \in L^*$. Since ϕ is $EC_{\mathcal{L}_2}(L)$ we have $\psi \in L^2$ such that $\text{Mod}^{\mathcal{L}_2^*}(\phi) = \text{Mod}^{\mathcal{L}_2}(\psi)$ but then ψ is \mathcal{F} -invariant so $\text{Mod}^{\mathcal{L}_2^*}(\phi) = \text{Mod}^{\mathcal{L}^{\mathcal{F}}}(\psi)$.

Suppose we are given a class of models, Mod, such that if $(\mathfrak{A}_\gamma, q_\gamma) \in \text{Mod}$, $\gamma < \lambda$ and U is an ultrafilter on λ , then we have that $\prod_U (\mathfrak{A}_\gamma, q_\gamma) = (\prod_U \mathfrak{A}_\gamma, \prod_U q_\gamma)$ is in Mod (i.e. Mod is closed under ultraproducts, where $\prod_U \mathfrak{A}_\gamma$ is the usual ultraproduct on the \mathfrak{A}_γ , $\gamma < \lambda$, and $\prod_U q_\gamma = \{\prod_U [\mathcal{O}_\gamma] \mid \mathcal{O}_\gamma \in q_\gamma\}$, where $\prod_U [\mathcal{O}_\gamma] = \{[f]_U \mid \{\gamma \mid f(\gamma) \in \mathcal{O}_\gamma\} \in U\}$). Furthermore if $\mathcal{F}(\prod_U q_\gamma) = \mathcal{F}(\prod_U \mathcal{F}(q_\gamma))$, then we can give a notion of ultraproduct for \mathcal{L}^* on $\text{Mod}(\mathcal{F})$ as follows:

$$\prod_U^{\mathcal{F}} (\mathfrak{A}_\gamma, q_\gamma) = \left(\prod_U \mathfrak{A}_\gamma, \mathcal{F}\left(\prod_U q_\gamma\right) \right) \in \text{Mod}(\mathcal{F}).$$

This naturally leads to the question of whether there is an analogue to the Łoś ultraproduct theorem for \mathcal{L}^* (i.e. $\prod_U^{\mathcal{F}} (\mathfrak{A}_\gamma, q_\gamma) \models^{\mathcal{L}^*} \phi$ if and only if $\{\gamma \mid (\mathfrak{A}_\gamma, \mathcal{F}(q_\gamma)) \models^{\mathcal{L}^*} \phi\} \in U$ for all ϕ in \mathcal{L}^*). The following lemma clarifies the situation.

LEMMA 2. *\mathcal{L}_2^* has a Łoś theorem (on Mod) if and only if \mathcal{L}^* has a Łoś theorem (on $\text{Mod}(\mathcal{F})$).*

PROOF. (IF) Assume \mathcal{L}^* is such that for all ϕ in \mathcal{L}^* , $\prod_U^{\mathcal{F}} (\mathfrak{A}_\gamma, q_\gamma) \models^{\mathcal{L}^*} \phi$ if and only if $\{\gamma \mid (\mathfrak{A}_\gamma, \mathcal{F}(q_\gamma)) \models^{\mathcal{L}^*} \phi\} \in U$. By the definition of \mathcal{L}_2^* ,

$$\begin{aligned} \prod_U (\mathfrak{A}_\gamma, q_\gamma) &= \left(\prod_U \mathfrak{A}_\gamma, \prod_U q_\gamma \right) \models^{\mathcal{L}_2^*} \phi \text{ iff } \left(\prod_U \mathfrak{A}_\gamma, \mathcal{F}\left(\prod_U q_\gamma\right) \right) \models^{\mathcal{L}^*} \phi \\ &\text{iff } \left(\prod_U \mathfrak{A}_\gamma, \mathcal{F}\left(\prod_U \mathcal{F}(q_\gamma)\right) \right) \models^{\mathcal{L}^*} \phi \text{ iff } \{\gamma \mid (\mathfrak{A}_\gamma, \mathcal{F}(q_\gamma)) \models^{\mathcal{L}^*} \phi\} \in U \\ &\text{iff } \{\gamma \mid (\mathfrak{A}_\gamma, q_\gamma) \models^{\mathcal{L}_2^*} \phi\} \in U. \end{aligned}$$

(ONLY IF) The proof is similar to the if direction.

EXAMPLES. (a) The most interesting example is topology. Let $\text{Mod}_b = \{(\mathfrak{A}, q) \mid q \text{ is a base for a topology on } A\}$ and $\text{Top}(q)$ be the topology generated by q . Then

$$\text{Top}(\text{Top}(q)) = \text{Top}(q), \quad \text{Top}\left(\prod_U q_\gamma\right) = \text{Top}\left(\prod_U \text{Top}(q_\gamma)\right)$$

and \mathcal{L}^{TOP} has a Łoś theorem.

(b) Let $\text{Mod}_{\text{Fil}} = \{(\mathfrak{A}, q) \mid q \text{ is a base for a filter on } A\}$; then $\text{Fil}(q) =$ the filter on A generated by q . Hence $\text{Fil}(q) = \text{Fil}(\text{Fil}(q))$, $\text{Fil}(\prod_U q_\gamma) = \text{Fil}(\prod_U \text{Fil}(q_\gamma))$ and \mathcal{L}^{Fil} satisfies a Łoś theorem.

(c) Let $\text{Mod}_{\text{BA}} = \{(\mathfrak{A}, q) \mid q \subseteq \mathcal{P}(A)\}$. Then $\text{BA}(q)$ ($\text{CBA}(q)$) is the (complete) Boolean algebra generated by q and $\text{BA}(\prod_U q_\gamma) = \text{BA}(\prod_U \text{BA}(q_\gamma))$ ($\text{CBA}(\prod_U q_\gamma) = \text{CBA}(\prod_U \text{CBA}(q_\gamma))$). \mathcal{L}^{BA} and \mathcal{L}^{CBA} have Łoś theorems.

If \mathcal{L}^* has a Łoś theorem, we say that \mathcal{L}^* has the Łoś property.

The last result we need is a two-sorted version of Shelah’s isomorphic ultrapowers theorem.

THEOREM. *If $(\mathfrak{A}, q) \equiv_{\mathcal{E}_2} (\mathfrak{B}, r)$, then there is an ultrafilter U on a cardinal κ such that $\prod_U (\mathfrak{A}, q) \cong \prod_U (\mathfrak{B}, r)$.*

PROOF. This two-sorted version is analogous to the proof found in [C-N].

Now we can prove

THEOREM. *Let \mathcal{L}^* be a logic on $\text{Mod}(\mathfrak{F})$. If \mathcal{L}^* has the Łoś property, then $\mathcal{L}^* \leq \mathcal{L}^{\mathfrak{F}}$.*

(One should note that we have not placed any restrictions on the size of L .)

PROOF. Assume \mathcal{L}^* has the Łoś property. Assume furthermore that $\mathcal{L}^* \not\leq \mathcal{L}^{\mathfrak{F}}$ and we will proceed to produce a contradiction.

We know that $\mathcal{L}_2^* \not\leq \mathcal{L}_2$ by Lemma 1 and the assumption. Let $\phi \in \mathcal{L}^*$ be a sentence such that ϕ is not $EC_{\mathcal{E}_2}(L)$. Since L is a set we have that $|L| \leq \lambda$, λ some infinite cardinal.

Take U to be a λ -regular ultrafilter on λ which exists. By the definition of regular, we know that there is a set $\mathfrak{X} \subseteq U$ of power λ such that each $\gamma \in \lambda$ belongs to only finitely many $X \in \mathfrak{X}$.

Let $\{\psi_\gamma\}_{\gamma \in \lambda}$ and $\{X_\delta\}_{\delta < \lambda}$ be enumerations of L^2 and \mathfrak{X} , respectively. Then we claim that for each $\delta < \lambda$ there is $(\mathfrak{A}_\delta, q_\delta), (\mathfrak{B}_\delta, r_\delta) \in \text{Mod}$ such that for each $\gamma \in \Sigma(\delta)$,

$$(*) \quad \begin{aligned} (\mathfrak{A}_\delta, q_\delta) \models^{\mathcal{E}_2} \psi_\gamma \text{ iff } (\mathfrak{B}_\delta, r_\delta) \models^{\mathcal{E}_2} \psi_\gamma \text{ and} \\ (\mathfrak{A}_\delta, q_\delta) \models^{\mathcal{L}_2^*} \phi, (\mathfrak{B}_\delta, r_\delta) \not\models^{\mathcal{L}_2^*} \phi, \end{aligned}$$

where $\Sigma(\delta) = \{\gamma \mid \delta \in X_\gamma\}$ which is finite by the selection of \mathfrak{X} . Assume $(*)$ does not hold and that $\text{Mod}(\theta)^0 = \text{Mod}(\theta)$ and $\text{Mod}(\theta)^1 = \text{Mod}(\theta)^c$. By our assumption we know that for each $\eta: \Sigma(\delta) \rightarrow \{0, 1\}$ either

$$\bigcap_{\gamma \in \Sigma(\delta)} \text{Mod}(\psi_\gamma)^{\eta(\gamma)} \cap \text{Mod}(\phi) = \emptyset \text{ or}$$

$$\bigcap_{\gamma \in \Sigma(\delta)} \text{Mod}(\psi_\gamma)^{\eta(\gamma)} \cap \text{Mod}(\phi)^c = \emptyset.$$

Then since $\bigcup_\eta \bigcap_{\gamma \in \Sigma(\delta)} \text{Mod}(\psi_\gamma)^{\eta(\gamma)} = \text{Mod}$ and $\Sigma(\delta)$ is finite, ϕ would be $EC_{\mathcal{L}_2}(L)$. This is a contradiction.

Since \mathcal{L}^* has the Łoś property, Lemma 2 implies that \mathcal{L}_2^* has the Łoś property, so

$$\prod_U (\mathfrak{A}_\delta, q_\delta) \vDash^{\mathcal{L}_2^*} \phi \text{ and } \prod_U (\mathfrak{B}_\delta, r_\delta) \not\vDash^{\mathcal{L}_2^*} \phi.$$

Also

$$\prod_U (\mathfrak{A}_\delta, q_\delta) \equiv_{\mathcal{L}_2} \prod_U (\mathfrak{B}_\delta, r_\delta).$$

This follows from the following observation. If $\psi \in L^2$ then $\psi = \psi_\alpha$ for some $\alpha < \lambda$. We know from (*) that we have for each $\delta \in X_\alpha \in U$,

$$(\mathfrak{A}_\delta, q_\delta) \vDash^{\mathcal{L}_2} \psi \text{ iff } (\mathfrak{B}_\delta, r_\delta) \vDash^{\mathcal{L}_2} \psi.$$

This yields the result.

To finish the proof we use Shelah’s isomorphic ultrapowers theorem to obtain an ultrafilter, V , such that $\prod_V \prod_U (\mathfrak{A}_\delta, q_\delta) \cong \prod_V \prod_U (\mathfrak{B}_\delta, r_\delta)$,

$$\prod_V \prod_U (\mathfrak{A}_\delta, q_\delta) \vDash^{\mathcal{L}_2^*} \phi \text{ and } \prod_V \prod_U (\mathfrak{B}_\delta, r_\delta) \not\vDash^{\mathcal{L}_2^*} \phi.$$

Hence, since $\prod_V \prod_U (\mathfrak{A}_\delta, q_\delta) = \prod_{U \times V} (\mathfrak{A}_\delta, q_\delta)$, etc., we have produced a contradiction and are done.

COROLLARY 1. \mathcal{L}^{Top} , the logic on the topological models, is maximal with respect to the Łoś ultraproducts theorem.

PROOF. A direct application of the theorem to topological models.

COROLLARY 2. If $\mathcal{L}^* < \mathcal{L}^\mathfrak{F}$ then \mathcal{L}^* does not have an isomorphic ultrapowers theorem.

PROOF. Suppose $\mathcal{L}^* < \mathcal{L}^\mathfrak{F}$ and \mathcal{L}^* has an isomorphic ultrapowers theorem. Since $\mathcal{L}^* < \mathcal{L}^\mathfrak{F}$ there is a ϕ in $\mathcal{L}^\mathfrak{F}$ which is not $EC_{\mathcal{L}^*}(L)$. As in the proof of the theorem we have

$$\prod_U (\mathfrak{A}_\delta, q_\delta) \vDash^{\mathcal{L}^\mathfrak{F}} \phi, \prod_U (\mathfrak{B}_\delta, r_\delta) \not\vDash^{\mathcal{L}^\mathfrak{F}} \phi \text{ and}$$

$$\prod_U (\mathfrak{A}_\delta, q_\delta) \equiv_{\mathcal{L}^*} \prod_U (\mathfrak{B}_\delta, r_\delta)$$

for some $(\mathfrak{A}_\delta, q_\delta), (\mathfrak{B}_\delta, r_\delta), \delta < \lambda$. Since \mathcal{L}^* has an isomorphic ultrapowers theorem, we have a V such that $\prod_V \prod_U (\mathfrak{A}_\delta, q_\delta) \cong \prod_V \prod_U (\mathfrak{B}_\delta, r_\delta)$ which

leads to a contradiction as in the theorem.

REMARK. $\mathcal{L}(I)$, $\mathcal{L}(I_{n \in \omega}^n)$, the interior operator logics (see [S-3]), do not have an isomorphic ultrapowers theorem even though they satisfy interpolation since $\mathcal{L}(I)$, $\mathcal{L}(I_{n \in \omega}^n) < \mathcal{L}^{\text{Top}}$.

Problem. Are there any other examples of “natural” logics which have a Łoś ultraproducts theorem?

2. Separation properties. In this section we prove two weak separation properties for an arbitrary $\mathcal{L}^{\mathfrak{F}}$.

DEFINITION 1. \mathcal{L}^* is said to have the *Souslin-Kleene property* iff for each $\Omega \subseteq \text{Mod}$ if Ω and Ω^c are $PC_{\mathcal{L}^*}(L)$ -classes then they are $EC_{\mathcal{L}^*}(L)$ classes.

DEFINITION 2. \mathcal{L}^* is said to have the *weak-Beth property* iff for each $\Omega \subseteq \text{Mod}_{L \cup \{R\}}$, if Ω is an $EC_{\mathcal{L}^*}(L \cup \{R\})$ class such that for each (\mathfrak{A}, q) there is a unique R so that $(\mathfrak{A}, R, q) \in \Omega$, then $\{(\mathfrak{A}, a_1, \dots, a_n, q) \mid (\mathfrak{A}, R, q) \in \Omega \text{ and } \langle a_1, \dots, a_n \rangle \in R\}$ is an $EC_{\mathcal{L}^*}(L \cup \{c_1, \dots, c_n\})$ class.

THEOREM. $\mathcal{L}^{\mathfrak{F}}$ has the *Souslin-Kleene property*.

PROOF. Suppose Ω, Ω^c are both $PC_{\mathcal{L}^{\mathfrak{F}}}(L)$ classes, i.e. there is a $\theta_0 \in L_0^{\mathfrak{F}}, \theta_1 \in L_1^{\mathfrak{F}}$ such that

$$\Omega = \{(\mathfrak{A} \upharpoonright L, \mathfrak{F}(q)) \mid (\mathfrak{A}, \mathfrak{F}(q)) \models^{\mathcal{L}^{\mathfrak{F}}} \theta_0\},$$

$$\Omega^c = \{(\mathfrak{B} \upharpoonright L, \mathfrak{F}(r)) \mid (\mathfrak{B}, \mathfrak{F}(r)) \models^{\mathcal{L}^{\mathfrak{F}}} \theta_1\}.$$

To obtain a contradiction suppose that Ω is not $EC_{\mathcal{L}^{\mathfrak{F}}}(L)$.

For each collection $0 \leq i \leq n$, $\phi_i \in L^2$ (the 2-sorted logic), we claim that there is an L_0 -model (\mathfrak{A}, q) and an L_1 -model (\mathfrak{B}, r) in Mod so that

$$(*) \quad (\mathfrak{A}, q) \models^{\mathcal{L}^2} \theta_0, \quad (\mathfrak{B}, r) \models^{\mathcal{L}^2} \theta_1,$$

and for each ϕ_i , $0 \leq i \leq n$,

$$(\mathfrak{A}, q) \models^{\mathcal{L}^2} \phi_i \text{ iff } (\mathfrak{B}, r) \models^{\mathcal{L}^2} \phi_i.$$

Again as in the proof of the main theorem, we suppose not and derive a contradiction.

DEFINITION 3. If $\mathcal{D} \subseteq \text{Mod}(\mathfrak{F})$, $\mathcal{D}^* = \{(\mathfrak{A}, q) \mid (\mathfrak{A}, \mathfrak{F}(q)) \in \mathcal{D}\} \subseteq \text{Mod}$.

We claim $(\Omega^c)^* = (\Omega^*)^c$.

$$(\mathfrak{A}, q) \in (\Omega^c)^* \text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \in \Omega^c \text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \notin \Omega$$

$$\text{iff } (\mathfrak{A}, q) \notin \Omega^* \text{ iff } (\mathfrak{A}, q) \in (\Omega^*)^c.$$

By our assumption, we have that for each $\eta: n + 1 \rightarrow \{0, 1\}$ either

$$\bigcap_{0 \leq i < n} \text{Mod}(\phi_i)^{\eta(i)} \cap \Omega^* = \emptyset \quad \text{or} \quad \bigcap_{0 \leq i < n} \text{Mod}(\phi_i)^{\eta(i)} \cap (\Omega^*)^c = \emptyset.$$

Since $\bigcup_{\eta} \bigcap_{0 \leq i < n} \text{Mod}(\phi_i)^{\eta(i)} = \text{Mod}$, we know that $\Omega^* = \text{Mod}_{L^2}^{\mathcal{L}^{\mathfrak{F}}}(\psi)$. We will

now show that ψ is an invariant sentence of L .

$$\begin{aligned}
 (\mathfrak{A}, q) \models \psi &\text{ iff } (\mathfrak{A}, q) \in \Omega^* \text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \in \Omega \\
 &\text{ iff } \exists \text{ an expansion } \mathfrak{A}^* \text{ of } \mathfrak{A} \text{ to } L_0 \text{ s.t. } (\mathfrak{A}^*, \mathfrak{F}(q)) \models \theta_0 \\
 &\text{ iff } \exists \text{ an expansion } \mathfrak{A}^{**} \text{ of } \mathfrak{A} \text{ to } L_1 \text{ s.t. } (\mathfrak{A}^{**}, \mathfrak{F}(q)) \not\models \theta_1 \\
 &\text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \notin \Omega^c \text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \notin (\Omega^c)^* \\
 &\text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \notin (\Omega^*)^c \text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \in \Omega^* \\
 &\text{ iff } (\mathfrak{A}, \mathfrak{F}(q)) \models \psi.
 \end{aligned}$$

But this is a contradiction since we assumed that Ω was not $EC_{\mathcal{L}^{\mathfrak{F}}}(L)$.

Hence by (*) and the methods of our main theorem, we obtain $(\mathfrak{A}_\delta, q_\delta)$, $(\mathfrak{B}_\delta, r_\delta)$ for $\delta < \lambda$ such that

$$\begin{aligned}
 \prod_U (\mathfrak{A}_\delta, q_\delta) \models \theta_0, \quad \prod_U (\mathfrak{B}_\delta, r_\delta) \models \theta_1 \quad \text{and} \\
 \prod_U (\mathfrak{A}_\delta \upharpoonright L, q_\delta) \equiv_{\mathcal{L}_2} \prod_U (\mathfrak{B}_\delta \upharpoonright L, r_\delta).
 \end{aligned}$$

By Shelah’s isomorphic ultrapowers theorem we have that there is an ultrafilter V so that

$$\begin{aligned}
 \prod_{U \times V} (\mathfrak{A}_\delta \upharpoonright L, q_\delta) &= \prod_V \prod_U (\mathfrak{A}_\delta \upharpoonright L, q_\delta) \cong \prod_V \prod_U (\mathfrak{B}_\delta \upharpoonright L, r_\delta) \\
 &= \prod_{U \times V} (\mathfrak{B}_\delta \upharpoonright L, r_\delta), \\
 \prod_{U \times V} (\mathfrak{A}_\delta, q_\delta) \models \theta_0 \quad \text{and} \quad \prod_{U \times V} (\mathfrak{B}_\delta, r_\delta) \models \theta_1.
 \end{aligned}$$

This contradicts the fact that $\Omega \cap \Omega^c = \emptyset$. So we are done.

REMARK. We say that Ω is Σ_1^1 in \mathcal{L}^* if it is the class of relativized reducts of some \mathcal{L}^* -definable class; i.e., if there is a 0-morphism $L \rightarrow^\alpha K$ which is the identity except for the possibility that $\alpha(\forall) \neq \forall$, and an \mathcal{L}^* -definable class Ω' of K -structures such that every $(\mathfrak{A}, q) \in \Omega'$ is α -invertible and $\Omega = \{(\mathfrak{A}, q)^{-\alpha} : (\mathfrak{A}, q) \in \Omega'\}$. If we assume that $(\mathfrak{A}, q)^{-\alpha} = (\mathfrak{A}^{-\alpha}, q^{-\alpha})$ where $q^{-\alpha} = \{B \cap |\mathfrak{A}^{-\alpha}| \mid B \in q\}$ and that $\mathfrak{F}(q^{-\alpha}) = (\mathfrak{F}(q))^{-\alpha}$, then the following stronger result is provable.

THEOREM. *If Ω and Ω^c are Σ_1^1 in $\mathcal{L}^{\mathfrak{F}}$, then Ω is $EC_{\mathcal{L}^{\mathfrak{F}}}(L)$, i.e. definable.*

The examples given in §1 all satisfy the stronger requirements.

COROLLARY. $\mathcal{L}^{\mathfrak{F}}$ has the weak-Beth property.

PROOF. This is essentially the proof given in [J]. Assume Ω is $EC_{\mathcal{L}^{\mathfrak{F}}}(L \cup \{R\})$ such that for each (\mathfrak{A}, q) there is a unique R so that $(\mathfrak{A}, R, q) \in \Omega$. Let $\Omega = \text{Mod}_{\mathcal{L}^{\mathfrak{F}}}(\theta(R))$; then $\psi = \theta(R) \wedge R(c_1, \dots, c_n)$ is an invariant sentence

of $L \cup \{R, c_1, \dots, c_n\}$. Let $\Omega^\# = \text{Mod}(\psi) \upharpoonright L \cup \{c_1, \dots, c_n\}$. Then $\Omega^\#$, $(\Omega^\#)^c$ are both $PC_{\mathcal{E}^\#}(L \cup \{c_1, \dots, c_n\})$ -classes. Hence $\Omega^\# = \{(\mathcal{A}, a_1, \dots, a_n, q) \mid (\mathcal{A}, R, a_1, \dots, a_n, q) \in \Omega \text{ and } \langle a_1, \dots, a_n \rangle \in R\}$ is $EC_{\mathcal{E}^\#}(L \cup \{c_1, \dots, c_n\})$.

Problem. Does an arbitrary $\mathcal{E}^\#$ have any stronger separation properties, e.g., interpolation or isomorphic ultrapowers? \mathcal{E}^{Top} has an isomorphic ultrapowers theorem.

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DEPARTMENT OF MATHEMATICS, YALE UNIVERSITY, NEW HAVEN, CONNECTICUT 06520