

**AN UPPER BOUND FOR THE SUM OF LARGE  
 DIFFERENCES BETWEEN PRIME NUMBERS**

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**ABSTRACT.** Let  $p_n$  denote the  $n$ th prime number,  $d_n = p_{n+1} - p_n$ . We estimate the sum  $\sum d_n$  taken over  $p_n < x, d_n > x^\mu$  where  $1/6 < \mu < 5/9$ .

**1. Introduction.** There has been much work done on studying irregularities in the distribution of prime numbers. Let  $p_n$  denote the  $n$ th prime number and set  $d_n = p_{n+1} - p_n$ . Assuming the Riemann hypothesis Cramér [3] proved that  $d_n = O(p_n^{1/2} \log p_n)$  and [4] that for any  $\epsilon > 0$

$$\sum_{p_n < x} d_n^2 \ll x \log^{3+\epsilon} x, \tag{1}$$

where the constant implied by Vinogradov's notation depends only on  $\epsilon$ , and Selberg [17] subsequently improved on this.

For  $0 < \mu < 1$  let

$$S_\mu(x) = \sum_{p_n < x, d_n > x^\mu} d_n \tag{2}$$

and take  $f(\mu)$  to be the least value such that for all  $\epsilon > 0$ ,

$$S_\mu(x) \ll x^{f(\mu)+\epsilon} \text{ as } x \rightarrow \infty.$$

From (1) we see that the Riemann hypothesis implies

$$f(\mu) \begin{cases} = 0 & \text{for } \mu > 1/2, \\ \leq 1 - \mu & \text{for } 0 < \mu < 1/2. \end{cases}$$

Montgomery [15, pp. 130–132] has shown that the density hypothesis is sufficient to imply that  $f(\mu) = 0$  for  $\mu > 1/2$ .

Since the Riemann hypothesis implies that  $d_n = O(p_n^{1/2} \log p_n)$ , Erdős asked whether  $f(1/2) < 1$  and there has been much interest recently in estimating  $f(1/2)$  (see [2], [11], [12], [16] and [20]). Heath-Brown [7] has given an unconditional proof that

$$\sum_{p_n < x} d_n^2 \ll x^{4/3} (\log x)^{10,000}$$

which gives

$$f(\mu) \begin{cases} = 0 & \text{for } \mu > 2/3, \\ \leq 4/3 - \mu & \text{for } 2/3 > \mu > 1/3, \end{cases} \tag{3}$$

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while Huxley [10] has shown that  $f(\mu) = 0$  for  $\mu > 7/12$ .

A recent result of Warlimont [19], when combined with Huxley's density theorem [10], shows that  $f(\mu) < 1$  for  $\mu > 1/6$ . Warlimont's result depends on an inexplicit estimate of Halász and Turán [6] and so seems incapable of giving explicit upper bounds for  $f(\mu)$  in the range  $\mu > 1/6$ . We use different estimates to improve the upper bound (3) throughout the interval  $5/9 > \mu > 1/6$ .

**THEOREM.** *We have  $f(\mu) < F(\mu)$  where*

$$F(\mu) = \begin{cases} 19/18 - \mu/2 & \text{for } 47/99 < \mu < 5/9, \\ 10/7 - 9\mu/7 & \text{for } 3/8 < \mu < 47/99, \\ 1 - \mu/7 & \text{for } 7/32 < \mu < 3/8, \\ 11/10 - 3\mu/5 & \text{for } 1/6 < \mu < 7/32. \end{cases} \quad (4)$$

In particular,  $f(1/2) < 29/36 = .80\bar{5}$  which is an improvement on Ivić's estimate [13],  $f(1/2) < .809$ .

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**2. Preliminaries.** Let  $\varepsilon > 0$  and  $x > x_0(\varepsilon)$  be large. It is sufficient to obtain the corresponding estimates for a sum

$$\sum_{\substack{x < p_n < 2x \\ d_n > x^\mu}} d_n$$

since the theorem then follows on summing over intervals  $[x, x/2]$ ,  $[x/2, x/4]$ ,  $\dots$  and noticing that at  $O(\log x)$  end-points we have  $d_n = O(x^{7/12+\varepsilon})$ : see Huxley [10].

The function

$$\psi(z) = \sum_{p^r < z} \log p$$

has an explicit formula (see Chandrasekharan [1, p. 120])

$$\psi(z) = z - \sum_{|\gamma| < T} z^\rho / \rho + E(z, T) \quad (5)$$

where the summation is taken over the nontrivial zeros  $\rho = \beta + i\gamma$  of  $\zeta(s)$ ,  $0 < \alpha < 1$  and  $E(z, T) = O(zT^{-1} \log^2 z)$  uniformly over the range  $3 < T < z$ .

We take

$$T = x^\alpha, \quad U = 2x^{1-\mu}, \quad \delta = \log(1 + 1/U) \ll U^{-1}, \quad (6)$$

and put

$$\Delta(y) = \psi\left(y + \frac{y}{U}\right) - \psi(y) - \frac{y}{U} + \sum_{(8)} \frac{(e^{\delta\rho} - 1)}{\rho} y^\rho - E\left(y + \frac{y}{U}\right) + E(y) \quad (7)$$

where  $x < y < 2x$ , the summation is over the zeros of  $\zeta(s)$  in the region

$$|\gamma| < x^\alpha, \quad \sigma_0 < \beta < 1, \quad (8)$$

and  $\sigma_0 = \sigma_0(\mu)$  will be chosen later. From (5) we have

$$\Delta(y) = \sum_{(10)} \frac{(e^{\delta\rho} - 1)}{\rho} y^\rho \quad (9)$$

where the summation is over the zeros of  $\zeta(s)$  in the region

$$|\gamma| < x^\alpha, \quad 0 < \beta < \sigma_0. \quad (10)$$

Following Warlimont [19] we have

$$\int_x^{2x} |\Delta(y)|^2 dy \ll \delta^2 x \log^2 x \sum_{(10)} x^{2\beta} \ll U^{-2} x \log^2 x \sum_{(10)} x^{2\beta}. \quad (11)$$

The proof of the theorem depends on obtaining a lower bound for  $\int |\Delta(y)|^2 dy$  in terms of large differences between primes, and an upper bound for the right side of (11) via zero-density estimates.

Let  $N(\sigma, T)$  denote the number of zeros of  $\zeta(s)$  in the rectangle  $\sigma < \beta < 1$ ,  $|\gamma| < T$  and write  $N(T)$  for  $N(0, T)$ . Then, see Davenport [5, Chapter 15], we have

$$N(T) \ll T \log T. \quad (12)$$

Since

$$\begin{aligned} \sum_{(10)} (x^{2\beta} - 1) &= 2 \sum_{(10)} \int_0^\beta x^{2\sigma} \log x \, d\sigma \\ &= 2 \int_0^{\sigma_0} x^{2\sigma} N(\sigma, x^\alpha) \log x \, d\sigma \end{aligned}$$

we have

$$\sum_{(10)} x^{2\beta} \ll x^{1+\alpha} \log x + \int_{1/2}^{\sigma_0} x^{2\sigma} N(\sigma, x^\alpha) \log x \, d\sigma. \quad (13)$$

**3. Density theorems.** These are upper bounds for  $N(\sigma, T)$  which can be stated in either the form

$$N(\sigma, T) \ll T^{g(\sigma)(1-\sigma)} \log^A T \quad (14)$$

where  $A$  is an absolute constant, not necessarily the same at each occurrence, or in the form

$$N(\sigma, T) \ll T^{g(\sigma)(1-\sigma)+\eta} \quad (15)$$

where  $\eta$  is an arbitrary small positive constant. In (14) and (15) the implicit constants are independent of  $\sigma$ , although they may depend on  $A$  and  $\eta$ .

**LEMMA 1.** For  $1/2 < \sigma < 1$ , (14) holds with  $g(\sigma) = 3/(2 - \sigma)$ .

This is due to Ingham [12].

**LEMMA 2.** For  $3/4 < \sigma < 1$ , (14) holds with  $g(\sigma) = 3/(3\sigma - 1)$ .

This is due to Huxley [10].

**LEMMA 3.** For  $3/4 < \sigma < 11/14$ , (15) holds with  $g(\sigma) = 1/(7\sigma - 5)$ .

This is the case  $k = 3$  of equation (1.8) of Jutila [13].

LEMMA 4. For  $11/14 < \sigma < 1$ , (15) holds with  $g(\sigma) = 9/(7\sigma - 1)$ .

This is due to Heath-Brown [9].

LEMMA 5. Let  $M(\psi, T) = \max|\zeta(s)|$  where the maximum is taken over  $\text{re } s > \psi$ ,  $|\text{im } s| < T$  and  $|s - 1| > 1$ . Then for  $T > 2$

$$N(\sigma, T) \ll (BM(\psi, 8T)\log^5 T)^{2(1-\sigma)(3\sigma-1-2\psi)/(2\sigma-1-\psi)(\sigma-\psi)} \log^8 T \quad (16)$$

provided that  $1/2 < \psi < 1$  and  $\sigma > (1 + \psi)/2$ , where  $B$  is a large absolute constant.

This is Theorem 12.3 of Montgomery [15].

We combine Lemma 5 with estimates for  $\zeta(s)$  obtained by van der Corput's method.

LEMMA 6. If  $l > 3$ ,  $L = 2^{l-1}$ ,  $\sigma = 1 - l/(2L - 2)$  then

$$\zeta(\sigma + it) \ll t^{1/(2L-2)} \log t.$$

This is Theorem 5.14 of Titchmarsh [18].

LEMMA 7. For  $17/18 < \sigma < 1$ , (14) holds with  $g(\sigma) = 1/(7\sigma - 6)$ .

PROOF. Taking  $l = 4$  in Lemma 6 we have

$$\zeta(5/7 + it) \ll t^{1/14} \log t.$$

This implies that the function

$$g(s) = \frac{\zeta(s)}{s^{1/14} \log s} \left( \frac{s-1}{s} \right)^2$$

which is holomorphic for  $\text{re } s > 1$ , is uniformly bounded on the line  $\text{re } s = 5/7$ . It is also uniformly bounded in the half-plane  $\text{re } s > 2$ , so by the Phragmen-Lindelöf theorem it is uniformly bounded in  $\text{re } s > 5/7$ . Hence

$$M(5/7, 8T) \ll T^{1/14} \log T.$$

Now apply Lemma 5 with  $\psi = 5/7$ . For  $17/18 < \sigma < 1$  the exponent of  $B$  is bounded uniformly in  $\sigma$  so

$$g(\sigma) \ll \frac{2(3\sigma - 1 - 10/7)}{14(2\sigma - 1 - 5/7)(\sigma - 5/7)} = \frac{(21\sigma - 17)}{2(7\sigma - 6)(7\sigma - 5)} \ll \frac{1}{(7\sigma - 6)}.$$

Combining these lemmas, we have

$$N(\sigma, T) \ll T^{G(\sigma)(1-\sigma)+\eta} \quad (1/2 < \sigma < 1) \quad (17)$$

where

$$G(\sigma) = \begin{cases} 3/(2-\sigma), & 1/2 < \sigma < 3/4, \\ 3/(3\sigma-1), & 3/4 < \sigma < 7/9, \\ 1/(7\sigma-5), & 7/9 < \sigma < 11/14, \\ 9/(7\sigma-1), & 11/14 < \sigma < 53/56, \\ 1/(7\sigma-6), & 53/56 < \sigma < 1. \end{cases} \quad (18)$$

Thus  $G(\sigma)$  is a continuous function with  $G(1/2) = 2$ , increasing monotonically to the value  $G(3/4) = 12/5$  and thereafter decreasing monotonically to the value  $G(1) = 1$ .

**4. Estimation of an integral.** For given  $\mu$  satisfying  $1/6 < \mu < 5/9$  we choose  $\sigma^* = \sigma^*(\mu)$  to be the largest  $\sigma^* < 1$  satisfying  $(1 - \mu)G(\sigma^*) = 1$ . Thus

$$\sigma^* = \sigma^*(\mu) = \begin{cases} (7 - \mu)/7, & 1/6 < \mu < 3/8, \\ (10 - 9\mu)/7, & 3/8 < \mu < 1/2, \\ (6 - \mu)/7, & 1/2 < \mu < 5/9. \end{cases} \quad (19)$$

We take  $\alpha = 1 - \mu + \varepsilon_1$  where  $\varepsilon_1 > 0$  is a constant which will be chosen later. For a given small  $\varepsilon_2 > 0$  we take  $\sigma_0 = \sigma_0(\mu)$  to be the largest  $\sigma_0 < 1$  satisfying

$$\alpha G(\sigma_0) = 1 - \varepsilon_2. \quad (20)$$

Since  $G(\sigma)$  is a continuous decreasing function near  $\sigma = 1$ , for given  $\delta > 0$  we have  $0 < \sigma_0 - \sigma^* < \delta$  provided that  $\varepsilon_1$  is chosen sufficiently small in terms of  $\delta$ , and  $\varepsilon_2$  sufficiently small in terms of  $\varepsilon_1$ .

LEMMA 8. For any  $\varepsilon > 0$  we can choose  $\delta, \varepsilon_1, \varepsilon_2$  so that

$$\int_{1/2}^{\sigma_0} x^{2\sigma} N(\sigma, x^\alpha) \log x \, d\sigma \ll x^{1+F(\mu)+\varepsilon} \quad \text{as } x \rightarrow \infty.$$

PROOF. We break the range of integration up into subintervals  $[1/2, 3/4]$ ,  $[3/4, 7/9]$ ,  $[7/9, 11/14]$ ,  $[11/14, 53/56]$  and  $[53/56, 1]$ , stopping at  $\sigma_0$ . We use the upper bounds for  $N(\sigma, x^\alpha)$  provided by (18), and note that the exponent is a continuous function of  $\alpha$  and  $\sigma$ , and so uniformly continuous on the region  $1/2 < \sigma < 1, 0 \leq \alpha \leq 1$ .

Putting  $a = 1 - \mu$  it is sufficient to prove that for any  $\varepsilon > 0$

$$\int_{1/2}^{\sigma^*} x^{2\sigma} N(\sigma, x^\alpha) \log x \, d\sigma \ll x^{1+F(\mu)+\varepsilon/2} \quad \text{as } x \rightarrow \infty, \quad (21)$$

as the perturbations from  $\sigma^*$  to  $\sigma_0$  and  $a$  to  $\alpha$  can be absorbed in the exponent  $\varepsilon$  provided that  $\varepsilon_1, \varepsilon_2$  and  $\delta$  are sufficiently small as functions of  $\varepsilon$ . For we estimate the integrand in (21) as

$$\ll x^{2\sigma} x^{aG(\sigma)(1-\sigma)+\eta} \log x.$$

Between  $\sigma^*$  and  $\sigma_0$  the function  $G(\sigma)(1 - \sigma)$  decreases so this is

$$\ll x^{2\sigma_0+aG(\sigma^*)(1-\sigma^*)+\eta} \log x \ll x^{2\sigma_0+(1-\sigma^*)+\eta} \log x \ll x^{1+\sigma^*+2\delta+\eta} \log x$$

and  $\sigma^*(\mu) \leq F(\mu)$  for  $1/6 < \mu < 5/9$ . Replacing  $a = 1 - \mu$  by  $\alpha = 1 - \mu + \varepsilon_1$  in the estimates for the integrand will increase the exponents of  $x$  by a factor no larger than  $1 + 3\varepsilon_1$ , since  $1 - \mu \geq 1/3$ . Thus the lemma follows from the estimate (21).

The first interval contributes

$$\ll \max_{1/2 < \sigma < 3/4} x^{2\sigma+3a(1-\sigma)/(2-\sigma)+\eta} \ll x^{3/2+3a/5+\eta},$$

since the exponent is an increasing function of  $\sigma$ .

The second interval contributes

$$\ll \max_{3/4 < \sigma < 7/9} x^{2\sigma + 3a(1-\sigma)/(3\sigma-1) + \eta}.$$

The exponent is a convex function of  $\sigma$  so the maximum occurs at an end-point of the interval. Hence the contribution of the second interval is

$$\begin{aligned} &\ll \max(x^{3/2+3a/5+\eta}, x^{14/9+a/2+\eta}) \\ &\ll \begin{cases} x^{3/2+3a/5+\eta}, & a \geq 5/9, \\ x^{14/9+a/2+\eta}, & a < 5/9. \end{cases} \end{aligned}$$

The third interval contributes

$$\ll \max_{7/9 < \sigma < 11/14} x^{2\sigma + a(1-\sigma)/(7\sigma-5) + \eta}$$

and again the exponent is a convex function of  $\sigma$  so this is

$$\ll \max(x^{14/9+a/2+\eta}, x^{11/7+3a/7+\eta}) \ll x^{14/9+a/2+\eta}$$

since  $a = 1 - \mu > 2/9$ .

For  $4/9 < a < 1/2$ ,  $\sigma^* \in [7/9, 11/14]$  and the total contribution of the interval  $[1/2, \sigma^*]$  is  $\ll x^{14/9+a/2+\eta}$ .

For  $1/2 < a < 5/8$ ,  $\sigma^* \in [11/14, 53/56]$  and the contribution of the interval  $[11/14, \sigma^*]$  is

$$\begin{aligned} &\ll \max(x^{11/7+3a/7+\eta}, x^{2\sigma^*+9a(1-\sigma^*)/(7\sigma^*-1)+\eta}) \\ &= \max(x^{11/7+3a/7+\eta}, x^{1+\sigma^*+\eta}). \end{aligned}$$

Now  $\sigma^* = (1 + 9a)/7$  so the total contribution of the interval  $[1/2, \sigma^*]$  is

$$\ll \begin{cases} x^{14/9+a/2+\eta}, & 1/2 < a < 52/99, \\ x^{1+\sigma^*+\eta}, & 52/99 < a < 5/8. \end{cases}$$

For  $a > 5/8$  the contribution of the interval  $[1/2, 53/56]$  is

$$\ll \begin{cases} x^{53/28+3a/35+\eta}, & a < 55/72, \\ x^{3/2+3a/5+\eta}, & a \geq 55/72. \end{cases}$$

Now  $\sigma^* = (6 + a)/7$  and the contribution of the interval  $[53/56, \sigma^*]$  to the integral is

$$\ll \max(x^{53/28+3a/35+\eta}, x^{1+\sigma^*+\eta}) \ll x^{1+\sigma^*+\eta} = x^{(13+a)/7+\eta}$$

since  $a > 5/8$ . Thus for  $a > 5/8$  the integral  $[1/2, \sigma^*]$  contributes

$$\ll \begin{cases} x^{3/2+3a/5+\eta}, & a \geq 25/32, \\ x^{(13+a)/7+\eta}, & a < 25/32, \end{cases}$$

and this completes the proof of the lemma.

From Lemma 8, (11) and (13) we have

$$\int_x^{2x} |\Delta(y)|^2 dy \ll x^{2\mu-1+\epsilon} \{x^{1+\alpha} + x^{1+F(\mu)}\} \ll x^{2\mu+F(\mu)+\epsilon} \quad (22)$$

provided that  $\epsilon_1$  is sufficiently small that  $\alpha < F(\mu)$ , as  $F(\mu) > 1 - \mu$  for  $1/6 < \mu < 5/9$ .

**5. Estimation of a sum.** Suppose that  $x < p_m < 2x$  and  $p_{m+1} - p_m > x^\mu$ . Then for

$$x < p_m < y < p_m + d_m/3 < p_{m+1} < 2x \tag{23}$$

we have  $y + y/U < p_{m+1}$ . Then there are no primes in the interval  $(y, y + y/U)$  so

$$\psi(y + y/U) - \psi(y) = \sum_{y < n < y+y/U} \Lambda(n) \ll \log^2 x. \tag{24}$$

Further for any  $\eta < \varepsilon_1$

$$E(y) - E(y + y/U) \ll x^{1-\alpha} \log x \ll x^{1-\eta}/U. \tag{25}$$

Observing that

$$|\exp(z) - 1| \ll e|z| \quad \text{for } \operatorname{re} z \leq 1$$

we have

$$\sum_{(8)} \frac{(e^{\delta\rho} - 1)y^\rho}{\rho} \ll \delta \sum_{(8)} x^\beta \ll U^{-1} \int_{\sigma_0}^1 x^\sigma N(\sigma, x^\alpha) \log x \, d\sigma. \tag{26}$$

For  $\sigma \in [\sigma_0, 99/100]$  we use Lemmas 3, 4 and 7, as necessary, to estimate  $N(\sigma, x^\alpha)$ . We have  $\alpha G(\sigma) \leq 1 - \varepsilon_2$  throughout the interval and choosing  $\eta = \varepsilon_2/300$ ,

$$\int_{\sigma_0}^{99/100} x^\sigma N(\sigma, x^\alpha) \log x \, d\sigma \ll x^{1-\varepsilon_2/100+\eta} \log x \ll x/\log x. \tag{27}$$

**LEMMA 9.** For some positive constant  $d$ ,  $\zeta(s) \neq 0$  in the region

$$\sigma = \operatorname{re} s > 1 - d/(\log \tau)^{2/3}(\log \log \tau)^{1/3} \quad \text{where } \tau = |\operatorname{im} s| + 2.$$

This is Corollary 11.4 in Montgomery [15].

We take, with some suitable constant  $D$ ,

$$\sigma_1 = 1 - D(\log x)^{-3/4}. \tag{28}$$

Then  $\zeta(s) \neq 0$  in the region  $\sigma > \sigma_1$ ,  $|t| \leq x^\alpha$  and so

$$\int_{99/100}^1 x^\sigma N(\sigma, x^\alpha) \log x \, d\sigma = \int_{99/100}^{\sigma_1} x^\sigma N(\sigma, x^\alpha) \log x \, d\sigma.$$

For  $\sigma \in [99/100, \sigma_1]$  we use Lemma 7 to estimate  $N(\sigma, x^\alpha)$  and note that  $\alpha G(\sigma) \leq 1 - \varepsilon_2$  throughout the interval. Hence using (28),

$$\int_{99/100}^{\sigma_1} x^\sigma N(\sigma, x^\alpha) \log x \, d\sigma \ll x \log^{4+1} x \int_{99/100}^{\sigma_1} x^{-\varepsilon_2(1-\sigma)} \, d\sigma \ll x/\log x. \tag{29}$$

Combining (26), (27) and (29) we obtain

$$\sum_{(8)} \frac{(e^{\delta\rho} - 1)y^\rho}{\rho} \ll x/(U \log x). \tag{30}$$

Substituting this, (24) and (25) in (7) we obtain that for those  $y$  in the range (23),

$$|\Delta(y)| = |-y/U + O(x^{1-\eta}/U) + O(x/U \log x) + O(\log^2 x)| > x/2U.$$

Hence

$$\int_x^{2x} |\Delta(y)|^2 dy \gg \frac{x^2}{U^2} \sum_{\substack{x < p_m < 2x \\ d_m > x^\mu}} d_m,$$

and so, from (22),

$$\sum d_m \ll x^{-2} U^2 \int_x^{2x} |\Delta(y)|^2 dy \ll x^{F(\mu)+\varepsilon}.$$

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