

## ON LATTICE SUMMING OPERATORS

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**ABSTRACT.** Given a Banach space  $E$  and a Banach lattice  $L$ , necessary and sufficient conditions on  $E$  and  $L$  are given such that every lattice summing operator  $T: E \rightarrow L$  (cf. Introduction) is absolutely summing.

**1. Introduction.** The concept of absolutely summing operators has a certain natural analogue when the range space is a Banach lattice. Namely, an operator  $T: E \rightarrow L$  is called lattice summing, if for every sequence  $(x_n)$  in  $E$  such that  $\sum x_n$  converges unconditionally, the series  $\sum |Tx_n|$  converges in  $L$ . Of course, if e.g.  $L$  is an  $L_1$ -space or  $E$  is an  $L_1$ -space and  $L$  is a Hilbert space, then both notions coincide.

The aim of this paper is to characterize all pairs  $E, L$  for which this happens.

In 1979 Yanovskii [10] investigated problems related to lattice summability. In particular, he formulated a conjecture that, if all lattice summing operators acting on the same Banach lattice  $L$  are absolutely summing, then  $L$  is isomorphic to  $L_1$ . However, it follows from our characterization that spaces of cotype 2 have this property. Another by-product of this paper is a still different formulation of the Dubinsky-Pełczyński-Rosenthal property  $\Pi_2(\ell_\infty, E) = B(\ell_\infty, E)$ .

**2. Notations and preliminary facts.** Throughout this paper  $E, F$  and  $L, K$  denote infinite-dimensional Banach spaces and Banach lattices, respectively.  $E'$  is the norm dual of  $E$  and  $p'$  stands for the exponent dual to  $p \in [1, \infty]$ . The natural basis in  $l_p$ ,  $1 \leq p < \infty$ , is denoted by  $e_1, e_2, \dots$ . For a finite sequence  $(x_i)$  in  $E$  we set

$$w_p(\{x_i\}) = \sup \left\{ \left( \sum | \langle x', x_i \rangle |^p \right)^{1/p} : x' \in E', \|x'\| \leq 1 \right\}.$$

An operator  $T: E \rightarrow F$  is called  $p$ -absolutely summing if there exists a constant  $C > 0$  such that, for all  $x_1, \dots, x_n \in E$ ,

$$\left( \sum \|Tx_i\|^p \right)^{1/p} \leq C w_p(\{x_i\}).$$

The smallest  $C$  is denoted by  $\Pi_p(T)$  and is the norm in the Banach space  $\Pi_p(E, F)$  of all  $p$ -absolutely summing operators.

An operator  $T: E \rightarrow L$  is called lattice summing if, for some  $C > 0$ ,  $\|\sum |Tx_i|\| \leq C w_1(\{x_i\})$  for all  $x_1, \dots, x_n \in E$ . The smallest  $C$ , denoted by  $\Lambda(T)$ , is the norm in the Banach space  $\Lambda(E, L)$  of all lattice summing operators from  $E$  into  $L$ . Clearly  $\Lambda(T) \leq \Pi_1(T)$ .

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The following easy construction shows how lattice summing operators lead to absolutely summing operators. Let  $(L, y')$ , where  $y' \in L', y \geq 0$ , denotes the completion of  $L$  with respect to the seminorm  $\langle y', | \circ | \rangle$ .  $(L, y')$  is isomorphic to  $L_1$  and the natural lattice homomorphism  $I_{y'}: L \rightarrow (L, y')$  is continuous.

PROPOSITION 2.1. *The following assertions are equivalent for an operator  $T: E \rightarrow L$ :*

- (i)  *$T$  is lattice summing;*
- (ii) *for each  $y' \geq 0, I_{y'} \circ T$  is absolutely summing;*
- (iii) *for every, or equivalently, for just one infinite-dimensional  $L_1$ -space and for each positive operator  $S: L \rightarrow L_1, S \circ T$  is absolutely summing.*

We omit a standard proof. In order to give examples of lattice summing operators we state the following result. First we recall that an operator  $T: E \rightarrow L$  is said to be majorizing if

$$M(T) := \sup \left\{ \left\| \sup_i |Tx_i| \right\| : \|x_i\| \leq 1, i = 1, \dots, n \right\}$$

is finite. We denote by  $M(E, L)$  the Banach space of such operators.

PROPOSITION 2.2. *Let  $T: E \rightarrow L. T$  is lattice summing if and only if for each operator  $U: l_\infty \rightarrow E, T \circ U$  is majorizing.*

PROOF. We have

$$\begin{aligned} \Lambda(T) &= \sup_n \sup \left\{ \left\| \sum_{i=1}^n |Tx_i| \right\| : w_1(\{x_i\}) \leq 1, \{x_i\} \subset E \right\} \\ &= \sup_n \sup \{ \| \sup \{ |Ta_i x_i| : |a_i| \leq 1 \} \| : w_1(\{x_i\}) \leq 1 \} \\ &= \sup_n \sup \{ \| \sup \{ |TSu| : u \in l_\infty^n, \|u\|_\infty \leq 1 \} \| : S: l_\infty^n \rightarrow E, \|S\| \leq 1 \} \\ &= \sup \{ M(TS) : S: l_\infty \rightarrow E, \|S\| \leq 1 \}. \quad \text{Q.E.D.} \end{aligned}$$

COROLLARY 2.1. *Each majorizing operator  $T: E \rightarrow L$  is lattice summing and  $\Lambda(T) \leq M(T)$ . If  $E = l_\infty$  we have more:  $M(l_\infty, L) = \Lambda(l_\infty, L)$ .*

Let us recall a few notions which play important roles in what follows.

By definition,  $E$  is of cotype  $q$ , where  $q \geq 2$ , if there exists a  $C > 0$  such that for all finite sets  $\{x_i\} \subset E$

$$\left( \sum \|x_i\|^q \right)^{1/q} \leq C \int_0^1 \left\| \sum r_i(t)x_i \right\| dt,$$

where  $r_1, r_2, \dots$  are Rademacher functions. We say that  $L$  is  $p$ -concave, where  $p \in [1, \infty)$ , if for a  $C > 0$ ,

$$\left( \sum \|x_i\|^p \right)^{1/p} \leq C \left\| \left( \sum |x_i|^p \right)^{1/p} \right\|$$

for all finite choices of  $\{x_i\} \subset E$ . Here

$$\left( \sum |x_i|^p \right)^{1/p} = \sup \left\{ \sum a_i x_i : a_i \in \mathbf{R}, \sum |a_i|^p \leq 1 \right\}$$

(cf. [3 or 5] for details). Note if  $L$  is 1-concave, then it is isomorphic to an  $L_1$ -space (cf. e.g. [9]). We say that  $F$  is finitely representable in  $E$  (respectively,  $K$  is lattice finitely representable in  $L$ ) if there exists  $\delta > 0$  such that, for each finite-dimensional subspace  $F_0 \subseteq F$  (respectively, sublattice  $K_0 \subseteq K$ ), one can find an isomorphism  $I_0: F_0 \rightarrow F$  (respectively  $I_0: K_0 \rightarrow L$ ) satisfying

$$\delta^{-1} \|x\| \leq \|I_0 x\| \leq \delta \|x\|$$

for all  $x \in F_0$  (respectively,  $x \in K_0$ ).

**3. Main result.**

**THEOREM 3.1.** *The following conditions are equivalent:*

- (I)  $\Lambda(E, L) = \Pi_1(E, L)$ ;
- (II)  $M(E, L) \subseteq \Pi_1(E, L)$ ;
- (III) *there exists  $p \in [1, 2]$  such that both  $\Pi_p(\mathcal{L}_\infty, E) = B(\mathcal{L}_\infty, E)$  and  $L$  is  $p$ -concave.*

**REMARK.** We identify  $\infty$ -absolutely summing and bounded operators. The following is an adaptation of what we need from Rosenthal [8] and Maurey and Pisier [7].

**PROPOSITION 3.1.** *Let  $p \in (1, 2]$ . The following properties of a Banach space  $E$  are equivalent:*

- (i)  $M(E, l_p) \subseteq \Pi_1(E, l_p)$ ;
- (ii) *there exists a  $C > 0$  such that*

$$\sum_j \left( \sum_i |\langle x'_i, x_j \rangle|^p \right)^{1/p} \leq C w_1(\langle x_j \rangle) \left( \sum \|x'_i\|^p \right)^{1/p}$$

for all  $x_1, \dots, x_n \in E$  and  $x'_1, \dots, x'_m \in E'$ ;

- (iii) *for every Banach space  $F$ ,  $\Pi_1(E, F) = \Pi_p(E, F)$ ;*
- (iv)  $\Pi_p(\mathcal{L}_\infty, E) = B(\mathcal{L}_\infty, E)$ .

Moreover, if  $p < 2$ , then each of the above conditions is equivalent to

- (v)  $p' > q_E := \inf\{q: \Pi_q(\mathcal{L}_\infty, E) = B(\mathcal{L}_\infty, E)\}$ .

The implications (ii)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (iv) are in Proposition 3 in [8]. The fact that infimum  $q_E$  is never attained for  $q > 2$ , which is precisely what (v) says, is due to Maurey and Pisier [7]. Finally, (ii) is just a reformulation of (i) expressed in terms of finite rank operators.

**LEMMA 3.1.** *Let  $M(E, L) \subseteq \Pi_1(E, L)$ . If  $F$  is finitely representable in  $E$  and  $K$  is lattice finitely representable in  $L$  then  $\Pi_1(T) \leq CM(T)$  for all compact operators  $T: F \rightarrow K$ , where the constant  $C$  does not depend on the operators  $T$ .*

**PROOF.** Let  $M^c$  and  $\Pi_1^c$  denote the classes of compact operators in  $M$  and  $\Pi_1$ , respectively. A standard argument shows that  $M(E, L) \subseteq \Pi_1(E, L)$  implies that  $M^c(E, K) \subseteq \Pi_1^c(E, K)$ . In particular, by the ‘‘local reflexivity principle’’ (cf. [4, Proposition I. 4]),  $M^c(E, K'') \subseteq \Pi_1^c(E, K'')$ . Finally, repeating the usual procedure, however, making use of the extension property of majorizing operators (as it is stated in [9, Proposition IV. 3.10]), we infer that  $M^c(F, K) \subseteq \Pi_1^c(F, K)$ . Q.E.D.

**COROLLARY 3.1.** *If  $l_q$  is finitely representable in  $E$ , where  $1 < q \leq \infty$ , and  $M(E, L) \subseteq \Pi_1(E, L)$ , then  $L$  is  $q'$ -concave. In particular, by Dvoretzky's theorem [2],  $M(E, L) \subseteq \Pi_1(E, L)$  shows  $L$  to be 2-concave.*

**PROOF.** In fact, by Lemma 3.1 we may, and do, assume that  $M(l_q, L) \subseteq \Pi_1(l_q, L)$ ; hence for some  $C > 0$ ,  $\Pi_1(T) \leq CM(T)$ , for each operator of the form  $T = \sum_{i=1}^n e_i \otimes y_i: l_q \rightarrow L$ . But

$$\begin{aligned} \Pi_1(T) &\geq \sup \left\{ \sum |a_i| \|y_i\| : w_1(\{a_i e_i\}) \leq 1 \right\} = \left( \sum \|y_i\|^{q'} \right)^{1/q'}; \\ M(T) &\leq \left\| \sup \left\{ \left| \sum \langle e_i, x \rangle y_i \right| : \|x\| \leq 1 \right\} \right\| = \left\| \left( \sum |y_i|^{q'} \right)^{1/q'} \right\|. \end{aligned}$$

Combining these estimates we get the desired condition. Q.E.D.

**PROOF OF THEOREM 3.1.** (I)  $\Rightarrow$  (II) is an immediate consequence of Proposition 2.2.

(II)  $\Rightarrow$  (III). Let  $p_L := \inf\{p: L \text{ is } p\text{-concave}\}$ .

By [4, Theorem II. 2],  $l_{p_L}$  is lattice finitely representable in  $L$ . Hence, by Lemma 3.1 and Proposition 3.1,  $\Pi_{p_L}(\mathbb{L}_\infty, E) = B(\mathbb{L}_\infty, E)$ .

Now we examine three cases:  $p_L = 2$ ,  $p_L \in (1, 2)$  and  $p_L = 1$ . The first yields (III) with  $p = 2$ , in view of Corollary 3.1. In the second case we apply condition (v) of Proposition 3.1 so that  $p'_L > q_E$  and this implies (III) with  $p \in (1, 2)$ .  $p_L = 1$  carries no information about  $E$ , however, if  $q_E < \infty$ , then again  $q'_E > p_L = 1$  and we proceed as before in order to obtain (III) for a  $p \in (1, 2]$ . On the other hand, if  $q_E = \infty$  or, equivalently, if  $l_\infty$  is finitely representable in  $E$  (see [7]), then by Corollary 3.1,  $L$  is 1-concave (note that  $p_L = 1$  does not imply 1-concavity, in general). This completes the proof of (II)  $\Rightarrow$  (III).

(III)  $\Rightarrow$  (I). Let  $p \in [1, 2]$  be such that  $\Pi_p(\mathbb{L}_\infty, E) = B(\mathbb{L}_\infty, E)$  and let  $L$  be  $p$ -concave. Hence, by Proposition 3.1,  $\Pi_1(E, L) = \Pi_p(E, L)$ . Therefore, by (III), there exist constants  $C$  and  $C_1$  such that for each  $T: E \rightarrow L$  we have

$$\begin{aligned} \Pi_1(T) &\leq C \Pi_p(T) \leq CC_1 \sup \left\{ \left\| \left( \sum |Tx_i|^p \right)^{1/p} \right\| : w_p(\{x_i\}) \leq 1 \right\} \\ &\leq CC_1 \sup \left\{ \left\| \int_0^1 \left| \sum Tx_i f_i(t) \right| dt \right\| : w_1(\sum x_i f_i) \leq 1 \right\} \end{aligned}$$

where  $(f_i)$  is a sequence of independent standard real  $p$ -stable random variables on  $[0, 1]$  and

$$w_1(f) = \sup \left\{ \int_0^1 |\langle x', f(t) \rangle| dt : x' \in E', \|x'\| \leq 1 \right\}$$

for  $E$ -valued function  $f$  (cf. [3]). By routine measure theory one can check that

$$\Lambda(T) = \sup \left\| \int_0^1 |Tf(t)| dt \right\|$$

where the supremum is taken over all finite rank measurable functions with  $w_1(f) \leq 1$ . Hence,  $\Pi_1(T) \leq CC_1 \Lambda(T)$  for all operators  $T: E \rightarrow L$ . This completes the proof of (III)  $\Rightarrow$  (I). Q.E.D.

**4. Comments and corollaries.** By Maurey-Pisier [7],  $q_E = \inf\{q: E \text{ is of cotype } q\}$ . Hence, in virtue of Proposition 3.1, the third assertion of Theorem 3.1 can be rephrased in the following manner:

(III') One of the following conditions is satisfied:

(i)  $l_\infty$  is finitely representable in  $E$  and  $L$  is isomorphic to  $L_1$ .

(ii) there exist  $p, q$ ,  $1 \leq p < q' \leq 2$ , such that  $E$  is of cotype  $q$  and  $L$  is  $p$ -concave,

(iii)  $\Pi_2(\ell_\infty, E) = B(\ell_\infty, E)$  and  $L$  is 2-concave.

If  $E$  is a Banach lattice, then the above takes a much simpler appearance (cf. [6]):

(III) There exist  $p, q$  such that either  $p = q' = 1$  or  $p = q' = 2$  or  $1 \leq p < q' \leq 2$ , and  $E$  is of cotype  $q$  and  $L$  is  $p$ -concave.

**COROLLARY 4.1.**  $\Lambda(L, L) = \Pi_1(L, L)$  if and only if  $L$  is 2-concave.

**COROLLARY 4.2.** For a Banach space  $E$ ,  $\Pi_2(\ell_\infty, E) = B(\ell_\infty, E)$  if and only if for some constant  $C > 0$  for each operator  $U: E' \rightarrow l_1$ ,

$$\int_0^1 \left\| \sum Ux'_i r_i(t) \right\| dt \leq C \|U\| \sup \left\{ \left( \sum \|Vx'_i\|^2 \right)^{1/2} : V: E' \rightarrow l_1, \|V\| \leq 1 \right\}$$

for all  $x'_1, \dots, x'_n \in E'$ .

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