

## RESOLVING ACYCLIC IMAGES OF NONORIENTABLE THREE-MANIFOLDS

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**ABSTRACT.** We show that every 1-LC  $\mathbf{Z}_2$ -homology 3-manifold (without boundary) which is an almost 1-acyclic (over  $\mathbf{Z}_2$ ) proper image of a *nonorientable* 3-manifold  $M$  (without boundary) is a resolvable generalized 3-manifold. The analogous result for the case when  $M$  is *orientable* was recently proved by J. L. Bryant and R. C. Lacher.

**1. Introduction.** A space  $X$  is said to be *locally simply connected* (1-LC) if for every  $x \in X$  and every neighborhood  $U \subset X$  of  $x$  there is a neighborhood  $V \subset U$  of  $x$  such that any loop in  $V$  is null-homotopic in  $U$ . A compact subset  $Y$  of an ANR  $X$  is *cell-like* if for every neighborhood  $U \subset X$  of  $Y$  there is a neighborhood  $V \subset U$  of  $Y$  such that  $V$  is contractible in  $U$ . A mapping  $f$  of an ANR  $M$  onto a space  $N$  is *cell-like* (resp. *monotone*) if for every  $x \in N$ ,  $f^{-1}(x)$  is a cell-like set (resp. compact and connected). A mapping  $f: X \rightarrow Y$  is *proper* if it is closed and if  $f^{-1}(y)$  is compact for all  $y \in Y$ .

Let  $R$  be a principal ideal domain. A metrizable space  $X$  is an  *$R$ -homology  $n$ -manifold* (with respect to singular homology and without boundary) provided  $H_*(X, X - \{x\}; R) \cong H_*(\mathbf{R}^n, \mathbf{R}^n - \{0\}; R)$  for each  $x \in X$ , where  $H_*(; R)$  is the singular homology with coefficients in  $R$ . A *generalized  $n$ -manifold* is a euclidean neighborhood retract (ENR) that is also a  $\mathbf{Z}$ -homology  $n$ -manifold. An  *$n$ -dimensional resolution* of a space  $X$  is a pair  $(M, f)$  where  $M$  is an  $n$ -manifold without boundary and  $f: M \rightarrow X$  is a proper, cell-like onto mapping.

J. L. Bryant and R. C. Lacher [2, Theorem 2] have proved that every locally contractible 1-acyclic over  $\mathbf{Z}_2$  image  $X$  of a 3-manifold  $M$  without boundary admits a resolution. In particular,  $X$  is a generalized 3-manifold. A refinement of their proof enabled them to omit the acyclicity hypothesis over a 0-dimensional set, provided that  $M$  was orientable [2, Theorem 3]. We prove that orientability is not necessary.

**THEOREM 1.1.** *Let  $f$  be a closed, monotone mapping from a 3-manifold  $M$  without boundary onto a locally simply connected  $\mathbf{Z}_2$ -homology 3-manifold  $X$ . Suppose there is a 0-dimensional set  $Z \subset X$  such that  $\check{H}^1(f^{-1}(x); \mathbf{Z}_2) = 0$  for all  $x \in X - Z$ . Then the*

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set  $C = \{x \in X \mid f^{-1}(x) \text{ is not cell-like}\}$  is locally finite in  $X$ . Moreover,  $X$  is a resolvable generalized 3-manifold.

As a corollary we obtain a partial converse in dimension 3 to the well-known fact that a cell-like upper semicontinuous decomposition  $G$  of an  $n$ -manifold  $M$  without boundary always yields a generalized  $n$ -manifold (if  $n \geq 4$  one must assume, in addition, that  $M/G$  is finite dimensional) [5, 7].

**COROLLARY 1.2.** *Let  $G$  be a 0-dimensional upper semicontinuous decomposition of a closed 3-manifold  $M$  such that  $M/G$  is a 1-LC  $\mathbf{Z}_2$ -homology 3-manifold. Then the set  $C = \{g \in G \mid g \text{ is not cell-like}\}$  is finite.*

**REMARK 1.3.** Let  $\pi: M \rightarrow M/G$  denote the quotient map,  $H_G$  the collection of all nondegenerate elements of  $G$ , and  $N_G$  their union.

(1) The Hopf maps or the Bing map [1] show that if  $\pi(N_G)$  is a 1-manifold then all nondegenerate elements of  $G$  may fail to be cell-like.

(2) Spine maps [1] show that  $C = \{g \in G \mid g \text{ is not cell-like}\}$  may have any finite number of elements even when  $C = H_G$ .

(3) An easy modification of the construction of the Whitehead continuum [12] shows that all nondegenerate elements of  $G$  may fail to be cellular even when  $\pi(N_G)$  is a Cantor set and  $G$  is cell-like. (For details see [11].)

**2. Neighborhoods of compacta in nonorientable 3-manifolds.** Under some additional hypotheses, Knoblauch’s finiteness theorem [4, Theorem 1] extends to non-orientable 3-manifolds.

**PROPOSITION 2.1.** *For every closed nonorientable 3-manifold  $M$  there exists an integer  $K$  such that if  $X_1, \dots, X_{K+1} \subset M$  are pairwise disjoint compact sets and each  $X_i$  has a neighborhood  $U_i \subset M$  such that the inclusion-induced homomorphism  $H_1(U_i - X_i; \mathbf{Z}_2) \rightarrow H_1(M; \mathbf{Z}_2)$  is trivial, then at least one  $X_i$  has a neighborhood in  $M$  which embeds in  $\mathbf{R}^3$ .*

**PROOF.** We shall suppress the  $\mathbf{Z}_2$  coefficients from the notation. Let  $X_1, \dots, X_n \subset M$  be pairwise disjoint compact sets and suppose each  $X_i$  has a neighborhood  $U_i \subset M$  such that the inclusion-induced homomorphism  $H_1(U_i - X_i) \rightarrow H_1(M)$  is trivial, and if  $i \neq j$  then  $U_i \cap U_j = \emptyset$ . Let  $X = \bigcup_{i=1}^n X_i$  and  $U = \bigcup_{i=1}^n U_i$ . Consider the following commutative diagram:

$$\begin{array}{ccccccc}
 \bigoplus_{i=1}^n H_1(U_i - X_i) & \rightarrow & \bigoplus_{i=1}^n H_1(U_i) & & & & \\
 \downarrow \cong & & \downarrow \cong & & & & \\
 \cdots \rightarrow H_1(U - X) & \rightarrow & H_1(U) & \rightarrow & H_1(U, U - X) & \rightarrow \cdots & \\
 & & \downarrow & & \Psi \downarrow \cong & & \\
 \cdots \rightarrow H_1(M - X) & \rightarrow & H_1(M) & \rightarrow & H_1(M, M - X) & \rightarrow \cdots & 
 \end{array}$$

where the horizontal sequences are exact and  $\Psi$  is the excision isomorphism. It is easy to see that the image of the inclusion-induced homomorphism  $H_1(U) \rightarrow H_1(M)$

is the direct sum of the images of the inclusion-induced homomorphisms  $H_1(U_i) \rightarrow H_1(M)$ ,  $1 \leq i \leq n$ . So if we let  $\beta_1 = \text{rank } H_1(M)$  then  $n - \beta_1$  of the homomorphisms  $H_1(U_i) \rightarrow H_1(M)$  are trivial. It follows by [8, Lemma (4.1)] that  $n - \beta_1$  of the neighborhoods  $U_i$  are orientable. Let  $k(\tilde{M})$  be the Knoblauch number of the orientable 3-manifold double cover of  $M$  [4, Theorem 1]. Since every orientable neighborhood lifts in  $\tilde{M}$  to two (homeomorphic) copies, it follows that if  $2(n - \beta_1) > k(\tilde{M})$  then some  $\tilde{X}_i$  has a neighborhood in  $M$  which embeds in  $\mathbf{R}^3$ . We can now determine the number  $K$  from the equation  $2(K - \beta_1) = k(\tilde{M})$ .

**PROPOSITION 2.2.** *Let  $K$  be a compact connected subset of the interior of a 3-manifold  $M$ . Suppose  $K$  does not separate its connected neighborhoods and, for every neighborhood  $U \subset M$  of  $K$  there exists a neighborhood  $V \subset U$  of  $K$  such that the inclusion-induced homomorphism  $H_1(V - K; \mathbf{Z}_2) \rightarrow H_1(U; \mathbf{Z}_2)$  is trivial. Then  $K = \bigcap_{i=1}^\infty N_i$  where each  $N_i \subset \text{int } M$  is a compact 3-manifold with boundary satisfying the following properties:*

- (i)  $N_{i+1} \subset \text{int } N_i$ ;
- (ii)  $N_i$  is obtained from a compact 3-manifold  $Q_i$  with a 2-sphere boundary by adding to  $\partial Q_i$  a finite number of orientable (solid) 1-handles;
- (iii) the inclusion-induced homomorphism  $H_1(\partial N_{i+1}; \mathbf{Z}_2) \rightarrow H_1(N_i; \mathbf{Z}_2)$  is trivial;
- (iv) there is a homeomorphism  $h_i: N_i \rightarrow N_i$  such that  $h_i|_{\partial N_i} = \text{identity}$  and  $h_i(Q_i^*) = Q_{i+1}$ , where  $Q_i^* \subset \text{int } Q_i$  is formed by pushing  $Q_i$  into  $\text{int } Q_i$  along a collar of  $\partial Q_i$ .

**REMARK 2.3.** An examination of the proofs in [10] shows that the orientability hypothesis can be removed from all results in [10] if one uses Proposition 2.2 in place of [9, Theorem 2].

**PROOF OF PROPOSITION 2.2.** By [13, Theorem 2],  $K = \bigcap_{i=1}^\infty N_i$  where each  $N_i \subset \text{int } M$  is a compact 3-manifold with boundary satisfying (i) and (ii) above (the orientability of the 1-handles follows by [8, Lemma (4.1)]). By choosing an appropriate subsequence of  $\{N_i\}$  we can satisfy (iii). We prove (iv). Let  $K_i \subset \text{int } Q_i$  be a spine of  $Q_i$ . Let  $\hat{Q}_i$  be the closed 3-manifold we obtain by attaching a 3-cell to  $\partial Q_i$ . For each  $i \geq 1$ ,  $N_i = (N_i/K_i) \# \hat{Q}_i$  (the interior connected sum [3]). Since  $N_i$  is nonorientable, it admits a unique normal, prime decomposition  $N_i = M_1 \# \cdots \# M_n$ ,  $M_i \neq S^2 \times S^1$  [3, Theorem (3.15) and Lemma (3.17)]. Consider normal, prime decompositions of  $N_i/K_i$  and  $\hat{Q}_i$  ( $i \geq 1$ ). Since  $N_i/K_i$  is clearly orientable, its normal, prime decomposition  $N_i/K_i = A_1 \# \cdots \# A_p \# B_1 \# \cdots \# B_q$  may contain  $p > 0$  summands  $A_i = S^2 \times S^1$ . On the other hand,  $\hat{Q}_i$  is nonorientable (since  $N_i$  is) so its normal prime decomposition  $\hat{Q}_i = C_1 \# \cdots \# C_r$  contains no  $S^2 \times S^1$  summands. By [3, Lemma (3.17)] we may replace each  $A_i$  by  $P =$  the nonorientable  $S^2$ -bundle over  $S^1$  to get a normal, prime decomposition  $N_i = P \# \cdots \# P \# B_1 \# \cdots \# B_q \# C_1 \# \cdots \# C_r$  ( $p$  summands  $P$ ) of  $N_i$ . It follows by the uniqueness of normal, prime decompositions that  $p + q + r = n$  and that after a suitable permutation of the summands each  $C_i$  is homeomorphic to some  $M_i$ . We may conclude that among any  $n + 1$   $\hat{Q}_i$ 's at least two have the same prime summands (up to a homeomorphism). By choosing an appropriate subsequence of  $\{Q_i\}$  we may henceforth assume that for each  $i \leq j$  there is a homeomorphism  $s_{ij}: Q_i \rightarrow Q_j$ .

We first construct  $h_1$ . The identity on  $\partial N_1$  induces a homeomorphism  $t'_{ij}: \partial(N_1/K_i) \rightarrow \partial(N_1/K_j)$  for each  $i \leq j$ . Using Dehn's lemma we can extend  $t'_{ij}$  to a homeomorphism  $t_{ij}: N_1/K_i \rightarrow N_1/K_j$ . Finally, define  $h_{ij}: N_1 \rightarrow N_1$  by  $h_{ij}(x) = s_{ij}(x)$  if  $x \in Q_i$  and  $h_{ij}(x) = t_{ij}(x)$  if  $x \in N_1 - Q_i$ . Clearly,  $h_{ij}|_{\partial N_1} = \text{identity}$  and  $h_{ij}(Q_i^*) = Q_j^*$ . We define  $h_2$  as the composition of  $h_{12}$  and a homeomorphism of  $N_1$  that is the identity outside a neighborhood of  $\partial Q_2$  in  $N_2$  and pushes  $Q_2^*$  onto  $Q_2$ . We can get  $h_i, i \geq 2$ , in a similar way. For details see [11].

**3. The proof of Theorem (1.1).** We shall suppress the  $\mathbf{Z}_2$  coefficients from the notation. Let  $A = \{x \in X | \check{H}^1(f^{-1}(x)) \neq 0\}$ . By [6, Theorem (4.1)],  $A$  is locally finite in  $X$ . Let  $B = \{x \in X | f^{-1}(x) \text{ has no neighborhood embeddable in } \mathbf{R}^3\}$ . In order to show that  $B$  is locally finite in  $X$  it suffices, by Proposition 2.1, to prove that for each  $x \in X, f^{-1}(x)$  possess a neighborhood  $U \subset M$  such that

$$H_1(U - f^{-1}(x)) \rightarrow H_1(M)$$

is trivial. So let  $x \in X$ . Since  $A$  is locally finite there is a neighborhood  $W \subset X$  of  $x$  such that  $W \cap A \subset \{x\}$ . By hypothesis  $X$  is  $LC^1$  so there is a connected neighborhood  $W' \subset W$  of  $x$  such that any loop in  $W'$  is null-homotopic in  $W$ . Consider the following commutative diagram:

$$\begin{array}{ccc} H_1(f^{-1}(W') - f^{-1}(x)) & \xrightarrow{i'_*} & H_1(f^{-1}(W) - f^{-1}(x)) \\ \cong \downarrow f|_* & & \cong \downarrow f|_* \\ H_1(W' - \{x\}) & \rightarrow & H_1(W - \{x\}) \\ \downarrow j'_* & & \downarrow j_* \\ H_1(W') & \xrightarrow{i_*} & H_1(W) \end{array}$$

where the horizontal homomorphisms are induced by inclusions,  $f|_*$  is the Vietoris-mapping theorem isomorphism [7, 3.4], while  $j_*$  and  $j'_*$  are the isomorphisms from the homology sequence of the pairs  $(W, W - \{x\})$  and  $(W', W' - \{x\})$ , respectively. By hypothesis,  $i_* = 0$ , hence  $i'_* = 0$ . Thus we may apply Proposition 2.1. By Proposition 2.2,  $f^{-1}(x)$  is definable by (orientable) cubes with handles for all  $x \in X - B$ , so by [9, Theorem 3],  $f^{-1}(x)$  has the 1-UV property. Since cubes with handles have no higher homotopy, each  $f^{-1}(x)$  has the  $UV^\infty$  property and hence  $C \subset B$  (cf. [7]). Therefore,  $C$  is locally finite in  $X$ . In particular,  $X - C$  is finite dimensional by [5]. A resolution of  $X$  is now obtained by improving  $f$  over the points of  $C$ . This is done similarly as in [2]. For details see [11].

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