

REGULARITY OF THE DISTANCE FUNCTION

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ABSTRACT. A coordinate-free proof is given of the fact that the distance function δ for a C^k submanifold M of \mathbf{R}^n is C^k near M when $k \geq 2$. The result holds also when $k = 1$ if M has a neighborhood with the unique nearest point property. The differentiability of δ in the C^1 case is seen to follow directly from geometric considerations.

In the study of analysis and geometry, the function that measures the distance to a submanifold plays an important role. Let M be a submanifold of \mathbf{R}^n , and let $\delta: \mathbf{R}^n \rightarrow \mathbf{R}$ be the distance function for M , $\delta(x) = \text{dist}(x, M)$. If M is C^k , then δ is easily seen to be C^{k-1} near M , since δ is always continuous and can be written in terms of the directions normal to M . It is the case, however, that δ is actually C^k near M when $k \geq 2$, and even when $k = 1$ under certain circumstances. As Krantz and Parks [4] point out, this fact deserves to be better known than it is.

The regularity of δ was first considered in [1], and the proof for the case $k \geq 2$ is found in [2]. The combined results (including the case $k = 1$) are given in [4] in a proof based on the work in [1].

The purpose of this note is to present a simple, coordinate-free proof of the following theorem and its C^1 analog.

THEOREM 1. *Let $M \subset \mathbf{R}^n$ be a compact, C^k submanifold with $k \geq 2$. Then M has a neighborhood U so that δ is C^k on $U - M$.*

In the C^1 case, the additional hypothesis is needed that some neighborhood of M have the *unique nearest point* property. (See [1, 4].) A neighborhood U of M has this property if for every $x \in U$ there is a unique point $P(x) \in M$ so that $\delta(x) = \text{dist}(x, P(x))$. The map $P: U \rightarrow M$ is called the *projection onto M* .

LEMMA. *Let M satisfy the hypothesis of Theorem 1. Then M has a neighborhood U with the unique nearest point property, and the projection map $P: U \rightarrow M$ is C^{k-1} .*

PROOF. This is just the *tubular neighborhood theorem* with the added observation that the projection P factors through the map that creates the neighborhood. (See [3].)

Let

$$\nu(M) = \{(p, v) \in \mathbf{R}^n \times \mathbf{R}^n \mid p \in M \text{ and } v \perp T_p M\}$$

be the normal bundle for M ; it is a C^{k-1} manifold of dimension n . Define the C^{k-1} map $F: \nu(M) \rightarrow \mathbf{R}^n$ by $F(p, v) = p + v$. The Jacobian F_* is easily seen to be nonsingular along the zero section $\{(p, 0) \in \nu(M)\}$. By the inverse function theorem and the compactness of M , there is an $\varepsilon > 0$ such that F restricted to

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$\nu_\varepsilon(M) = \{(p, v) \in \nu(M): |v| < \varepsilon\}$ is a C^{k-1} diffeomorphism onto a neighborhood U of M . On U the map P is the composition

$$U \xrightarrow{F^{-1}} \nu_\varepsilon(M) \rightarrow M,$$

where the last map is projection onto the first factor. Q.E.D.

In [4] M is said to have *positive reach* of at least ε . The largest possible neighborhood U on which P is defined is determined in part by the local extrinsic geometry of M inside \mathbf{R}^n : the extrinsic curvature of M governs the location of the singularities of the map $F: \nu(M) \rightarrow \mathbf{R}^n$. (See [5, §6].)

PROOF OF THEOREM 1. On the neighborhood U where P is well defined, the distance function is given by $\delta(x) = \|x - P(x)\|$. For $v \in \mathbf{R}^n$, let D_v denote differentiation in the direction v . Then for $x \in U - M$,

$$(D_v \delta^2)(x) = 2(x - P(x)) \cdot (v - D_v P(x)) = 2(x - P(x)) \cdot v,$$

since $D_v P(x)$ is tangent to M . Hence

$$(*) \quad (\text{grad } \delta^2)(x) = 2(x - P(x)),$$

which is C^{k-1} , and so δ is C^k on $U - M$. Q.E.D.

In the C^1 case one needs to examine the behavior of the difference quotient.

THEOREM 2. *Let M be C^1 and suppose U is a neighborhood of M with the unique nearest point property. Then δ is C^1 on $U - M$.*

PROOF. A simple argument (see [1, 4.8(4)]) shows that $P: U \rightarrow M$ is continuous. Thus, it suffices to show that (*) holds on $U - M$. If this is not the case, then there is some point $x \in U - M$ and some vector $v \in \mathbf{R}^n$ such that

$$(1) \quad \liminf_{t \rightarrow 0^+} \frac{\delta^2(x + tv) - \delta^2(x)}{t} < 2(x - P(x)) \cdot v$$

or

$$(2) \quad \limsup_{t \rightarrow 0^+} \frac{\delta^2(x + tv) - \delta^2(x)}{t} > 2(x - P(x)) \cdot v.$$

In the first case, one can find a fixed $\varepsilon > 0$ and then choose $t > 0$ arbitrarily close to zero such that

$$\delta^2(x + tv) < \delta^2(x) + 2(x - P(x)) \cdot tv - t\varepsilon.$$

It follows, then, that

$$\begin{aligned} \text{dist}^2(x, P(x + tv)) &= \|(x + tv - P(x + tv)) - tv\|^2 \\ &= \delta^2(x + tv) - 2(x + tv - P(x + tv)) \cdot tv + t^2\|v\|^2 \\ &< \delta^2(x) + 2(P(x + tv) - P(x)) \cdot tv - t\varepsilon - t^2\|v\|^2. \end{aligned}$$

By the continuity of P , t can be chosen small enough so that

$$\text{dist}(x, P(x + tv)) < \delta(x) = \text{dist}(x, P(x)).$$

Then x is closer to $P(x + tv)$ than to $P(x)$, a contradiction.

Similarly, (2) leads to $\text{dist}(x + tv, P(x)) < \text{dist}(x + tv, P(x + tv))$. The theorem follows. Q.E.D.

REMARKS. (1) With some modifications, the same proofs will work when M is a submanifold of a Riemannian manifold.

(2) If M is a hypersurface of the form $M = \{x \in \mathbf{R}^n \mid \rho(x) = 0\}$, where ρ is a C^k function with $d\rho \neq 0$ on M , then one can form the signed distance function

$$\tilde{\delta}(x) = \begin{cases} \delta(x) & \text{for } \rho(x) \geq 0, \\ -\delta(x) & \text{for } \rho(x) \leq 0. \end{cases}$$

It is easy to see that $\tilde{\delta}$ is C^k on all of U .

(3) In the C^1 case, the regularity of M does not enter into the proof. M can be replaced by any closed set in \mathbf{R}^n , and U by any open set on which the projection $P: U \rightarrow M$ is well defined. (For the original treatment of this, see [1].)

(4) The extra hypothesis in the C^1 case is essential. The distance function for the curve $y = |x|^{3/2}$ in \mathbf{R}^2 is not differentiable at any point on the y -axis. See [4] for details.

For further remarks and examples, the reader is directed to the references, especially [4].

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