

A THEOREM ON THE TENSION FIELD

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ABSTRACT. Suppose M and N are complete Riemannian manifolds; M with Ricci curvature bounded from below and N with sectional curvature bounded from above by a constant K_0 . Let $f: M \rightarrow N$ be a smooth map such that $f(M) \subset B_R$, where B_R is a normal ball in N and furthermore $R < \pi/2\sqrt{K_0}$ if $K_0 > 0$. If the energy density $e(f)$ is bounded below by a positive constant, then there is a point $P \in M$ such that the tension field $\tau(f)$ at P is different from zero.

1. Introduction. Let M be a complete Riemannian manifold with Ricci curvature bounded below by a constant and N be a complete Riemannian manifold with sectional curvature K bounded above by a constant K_0 . Suppose $f: M \rightarrow N$ is a smooth map such that $f(M)$ lies in a normal ball B_R of radius R . From now on, we will moreover suppose that $R < \pi/2\sqrt{K_0}$ if $K_0 > 0$. It is well known that there can be harmonic maps $f: M \rightarrow N$ with $f(M) \subset B_R$. For example if M is the hyperbolic half plane and N is the euclidean space E^2 then the map $f(z) = (z-i)/(z+i)$, $z \in C$, is harmonic and $f(M)$ is contained in the unit disk D^2 of E^2 . We remark that there are points ($z \rightarrow \infty$) on M where the energy density $e(f)$ of f tends to zero. This and all the examples known to us suggested considering whether for any harmonic map $f: M \rightarrow N$ there must be sequences of points on M where $e(f)$ tends to zero. The answer is given in the following theorem.

THEOREM. *Let $f: M \rightarrow N$ be a smooth map such that $f(M) \subset B_R$ ($R < \pi/2\sqrt{K_0}$, if $K_0 > 0$). If $e(f)$ is bounded below away from zero then there exists a point $P \in M$ such that the tension field $\tau(f)$ at P is different from zero.*

2. Preliminaries. We denote $\langle \cdot, \cdot \rangle_M$ and $\langle \cdot, \cdot \rangle_N$ the metrics of M and N respectively. Given a smooth map $f: M \rightarrow N$ the inverse image $f^*(\langle \cdot, \cdot \rangle_N)$ considered as a 2-form on M is positive semidefinite and is called the first fundamental form of f . The induced vector bundle $E = f^{-1}TN \rightarrow M$ has a Riemannian structure $\langle \cdot, \cdot \rangle_E$ induced from that of N . Thus if ∇ and $\bar{\nabla}$ are the Riemannian connections in M and N respectively, then for any $Y \in TM$ and for any section $w \in \beta(E)$, where $\beta(E)$ is the set of sections of the bundle E , the induced Riemannian connection $\bar{\bar{\nabla}}$ in E is defined as follows. We consider a local frame field p_a in TN so that for $w \in \beta(E)$ there are real functions h^a in a chart of M with $w = \sum_a h^a p_a$. Then we set

$$\bar{\bar{\nabla}}_Y w = \sum_a \{ (Yh^a) p_a + h^a \bar{\nabla}_{f_* Y} p_a \}.$$

We note that the differential df of f , for which we write f_* , can be interpreted as a E -valued 1-form of M i.e., $f_* \in \beta(T^*M \otimes E)$, where T^*M is the dual bundle

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of the tangent bundle TM . The bundle $T^*M \times E \rightarrow M$ inherits a Riemannian structure from those of M and E . Thus the norm $\|f_*\|$ of f_* is defined by $\|f_*\|^2 = \sum_i \langle f_*Y_i, f_*Y_i \rangle_N$, where Y_i is an orthonormal basis in M . $\|f_*\|^2$ is known as the energy density of f and it is denoted by $e(f)$. The covariant differential $\tilde{\nabla}f_* \in \beta(T^*M \otimes T^*M \otimes E)$ is called the second fundamental form of f and it is denoted by L . We can see that for vector fields X, Y on M it is valid: $L(X, Y) = \overline{\nabla}_X(f_*Y) - f_*(\nabla_X Y)$. The tension field $\tau(f)$ of f is a section of the vector bundle E and it is defined as the trace of the second fundamental form of f . If $\tau(f) \equiv 0$, then f is called harmonic. For the above definitions see [2].

We are going to use the following three lemmas.

LEMMA 1. *Let $\Phi: M \rightarrow R$ be a smooth function having an upper bound. Then for any point $P \in M$ and for any $\varepsilon > 0$, there exists a point $q \in M$ depending on P , such that $\Phi(q) \geq \Phi(P)$, $\|\text{grad } \Phi\|(q) < \varepsilon$ and the Laplacian $(\Delta\Phi)(q) < \varepsilon$.*

LEMMA 2. *Suppose x_0 and x are points of N so that x does not lay in the cut locus of x_0 . Let $\gamma: [0, 1] \rightarrow N$ be the minimizing geodesic segment connecting x_0 with x parametrized proportionally to the arc length. Take a positive number a . For any Jacobi field X along γ which is zero at $t = 0$ and perpendicular to $\gamma'(t) = T$, we have for $t \in (0, 1]$, $\langle \overline{\nabla}_T X, X \rangle_N / \langle X, X \rangle_N \geq \mu(alt)$, where $l = \|T\|_N$ and*

$$\mu(alt) = \begin{cases} al \cot(alt) & \text{if } \max_\gamma K = a^2 \text{ and } l < \pi/a, \\ t^{-1} & \text{if } \max_\gamma K = 0, \\ al \coth(alt) & \text{if } \max_\gamma K = -a^2. \end{cases}$$

From now on, for the sake of economy of notations, we will use the same symbol \langle , \rangle instead of $\langle , \rangle_M, \langle , \rangle_N$ and \langle , \rangle_E .

Let $Q \in N$ be the center of the normal ball B_R . Let Y_P be a unit vector tangent to M at a point $P \in M$ such that $f_*Y_P \neq 0$ and $\gamma(u)$ be a geodesic in M with $\gamma(0) = P$ and $\gamma'(0) = Y_P$. Let $\gamma'(u) = Y$. We join Q with the point $f(\gamma(u))$ by the unique geodesic $\sigma(t, u)$, $0 \leq t \leq 1$, parametrized proportionally to the arc length, such that $\sigma(0, u) = Q$ and $\sigma(1, u) = f(\gamma(u))$. Put $T = \sigma_*\partial/\partial t$, $X = \sigma_*\partial/\partial u$ ($X(0, u) = 0$, $X(1, u) = f_*Y$). It is easy to see that $\overline{\nabla}_X T = \overline{\nabla}_T X$ and X is a Jacobi field along every geodesic $t \rightarrow \sigma(t, u)$.

Now, we consider the smooth function Φ on M defined by

$$\Phi(P) = (1/2)\{\text{dist}(Q, f(P))\}^2 \quad \text{for any } P \in M.$$

We wish to estimate the gradient and the Laplacian of Φ .

LEMMA 3. *The following are valid: $\langle \text{grad } \Phi, Y \rangle = \langle f_*Y, T \rangle$ and*

$$\Delta\Phi = \langle \tau(f), T \rangle + \sum_i \langle f_*Y_i, \overline{\nabla}_T(f_*Y_i) \rangle.$$

PROOF OF THE LEMMAS. The proofs of Lemmas 1 and 2 are known. Lemma 1 has been proved in [3] and it is essentially Omori's Theorem A' in [4]. Lemma 2 can also be deduced from the Rauch comparison theorem given in [1, p. 32]. For the proof of Lemma 3 if we put

$$F(\gamma(u)) = \text{dist}(Q, f(\gamma(u))) = \int_0^1 \langle T, T \rangle^{1/2} dt$$

then we get $(F \circ \gamma)' = \langle T, T \rangle^{-1/2} \langle f_* Y, T \rangle$. So,

$$\langle \text{grad } \Phi, Y \rangle = (\Phi \circ \gamma)' = (1/2)\{(f \circ \gamma)^2\}' = \langle f_* Y, T \rangle.$$

Also, for the Hessian form $\nabla^2 \Phi$ of Φ , we have

$$\begin{aligned} \nabla^2 \Phi(Y, Y) &= Y Y \Phi - (\nabla_Y Y) \Phi = (\Phi \circ \gamma)'' = Y \langle f_* Y, T \rangle \\ &= \langle \bar{\nabla}_Y (f_* Y), T \rangle + \langle f_* Y, \bar{\nabla}_Y T \rangle = \langle L(Y, Y), T \rangle + \langle f_* Y, \bar{\nabla}_T (f_* Y) \rangle. \end{aligned}$$

So, the second relation of Lemma 3 follows from the above because $\Delta \Phi$ is the trace of $\nabla^2 \Phi$.

3. Proof of the theorem. The vector field X can be written $X = X_K + (\langle X, T \rangle / l^2) T$, where X_K is normal to T . If R is the curvature tensor of N we have

$$T^2 \langle X, T \rangle = \langle \bar{\nabla}_T \bar{\nabla}_T X, T \rangle = \langle R(T, X) T, T \rangle = 0.$$

So, $T \langle X, T \rangle = h(u)$ and $\langle X, T \rangle = h(u)t$. From the above relations we get, at $t = 1$

$$(1) \quad \langle X_K, X_K \rangle = \langle f_* Y, f_* Y \rangle - \frac{\langle f_* Y, T \rangle^2}{l^2}$$

and

$$(2) \quad \langle \bar{\nabla}_T (f_* Y), f_* Y \rangle = \langle \bar{\nabla}_T X_K, X_K \rangle + \frac{1}{l^2} \langle f_* Y, T \rangle^2.$$

It is easy to see that $X_K = X - (h(u)t/l^2)T$ is a Jacobi field, normal along every geodesic $t \rightarrow \sigma(t, u)$ with $X_K(0) = 0$. So, applying Lemma 2 for X_K , we have at $t = 1$

$$(3) \quad \frac{\langle \bar{\nabla}_T X_K, X_K \rangle}{\langle X_K, X_K \rangle} \geq \mu(al) > 0.$$

Now, we pick a point $P_0 \in M$ such that $f(P_0) \neq Q$ and we put $l_0 = \text{dist}(Q, f(P_0))$. Then applying Lemma 1 for the function Φ we have that for any $\epsilon' > 0$ and $\epsilon > 0$ there exists a point $P \in M$ depending on P_0 such that

- (a) $l = \text{dist}(Q, f(P)) \geq \text{dist}(Q, f(P_0)) = l_0$;
- (b) $\|\text{grad } \Phi\|(P) < \epsilon'$;
- (c) $\Delta \Phi(P) < \epsilon$.

We are going to use the relations (1)–(4) for this point P . From (1) using Lemma 3 and (4)(a), (b), we deduce now

$$(5) \quad \langle X_K, X_K \rangle > \langle f_* Y, f_* Y \rangle - \frac{\epsilon'^2}{l_0^2}.$$

When $\mu(al) - 1 \geq 0$ from (2) using (1), (3) and (5), we obtain

$$\begin{aligned} \langle \bar{\nabla}_T (f_* Y), f_* Y \rangle &= \langle f_* Y, f_* Y \rangle - \langle X_K, X_K \rangle + \langle \bar{\nabla}_T X_K, X_K \rangle \\ &\geq \langle f_* Y, f_* Y \rangle - \langle X_K, X_K \rangle + \langle X_K, X_N \rangle \mu(al) \\ &> \langle f_* Y, f_* Y \rangle + (\mu(al) - 1) (\langle f_* Y, f_* Y \rangle) > -\epsilon'^2 / l_0^2. \end{aligned}$$

So,

$$(6) \quad \langle \bar{\nabla}_T (f_* Y), f_* Y \rangle > \langle f_* Y, f_* Y \rangle \mu(al) - \epsilon'^2 (\mu(al) - 1) / l_0^2.$$

If $f_*Y_P = 0$ for some $Y_P \in TM$ then the last inequality is trivial. So, applying (6) to an orthonormal basis Y_i , adding and using Lemma 3, we get

$$\Delta\Phi(P) > \langle \tau(f), T \rangle + \sum_i \langle f_*Y_i, f_*Y_i \rangle \mu(al) - \varepsilon'^2(\dim M)(\mu(al) - 1)/l_0^2.$$

Given any positive integer m we choose $\varepsilon = 1/m$ and $\varepsilon'^2 = \varepsilon l_0^2 / \dim M$. Using (4)(c) for the points P_m , which correspond to m , the last inequality is converted into

$$\frac{1}{m} > \Delta\Phi(P_m) > \langle \tau(f), T \rangle + e(f)\mu(al_m) - \frac{1}{m}(\mu(al_m) - 1)$$

where $l_m = \text{dist}(Q, f(P_m))$. So, if we suppose that the theorem is not valid, hence $\tau(f) \equiv 0$, we get $e(f) < 1/m$, which contradicts the hypothesis of the theorem.

When $\mu(al) - 1 < 0$, from (2) using (3) and (1), we have

$$\langle \bar{\nabla}_T(f_*Y), f_*Y \rangle \geq \mu(al)\langle f_*Y, f_*Y \rangle.$$

For the rest of the proof we work as before.

An immediate consequence of this theorem is the following corollary.

COROLLARY. *If $f: M \rightarrow N$ is a harmonic map with $e(f) \geq c > 0$, then $f(M)$ is not contained in a normal ball B_R ($R < \pi/2\sqrt{K_0}$ if $K_0 > 0$).*

If N is a Hadamard manifold (i.e. a simply-connected complete Riemannian manifold with nonpositive sectional curvature) then the corollary says: For any harmonic map $f: M \rightarrow N$ with $e(f) \geq c > 0$ the image $f(M)$ is unbounded.

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