

UNIFORM ERGODIC THEOREMS  
FOR LOCALLY INTEGRABLE SEMIGROUPS  
AND PSEUDO-RESOLVENTS

SEN - YEN SHAW

*Dedicated to Professor Ky Fan*

**ABSTRACT.** We study uniform ergodicity (at  $\infty$ ) of a locally integrable operator semigroup  $T(\cdot)$  of type  $w_0$  under a suitable condition which is weaker than the usual one " $w_0 \leq 0$ ". We also give a precise characterization of the uniform Cesàro-ergodicity for semigroups of class  $(0, A)$ . To prove the part of Abel-ergodicity we first prove a general uniform ergodic theorem for pseudo-resolvents.

**1. Introduction.** Let  $B(X)$  be the Banach algebra of all bounded linear operators on a Banach space  $X$ , and let  $\{T(t); t > 0\}$  be a family in  $B(X)$  with the properties: (i)  $T(s+t) = T(s)T(t)$  for all  $s, t > 0$ ; (ii) for each  $x \in X$  the function  $T(\cdot)x$  is Bochner integrable with respect to the Lebesgue measure over every finite subinterval of  $(0, \infty)$ . Such a family  $T(\cdot)$  is called a locally integrable semigroup. It is known to be strongly continuous on  $(0, \infty)$ .

The type of  $T(\cdot)$  is the number  $w_0 := \inf_{t > 0} t^{-1} \log \|T(t)\| < \infty$ . For every  $\lambda$  with  $\operatorname{Re} \lambda > w_0$  and  $x \in X$  the Bochner integral

$$R(\lambda)x := \int_0^\infty e^{-\lambda t} T(t)x dt$$

exists and defines a bounded linear operator  $R(\lambda)$  on  $X$ . The function  $R(\lambda)$ ,  $\operatorname{Re} \lambda > w_0$  (called the Laplace transform of  $T(\cdot)$ ), satisfies the first resolvent equation

$$R(\lambda) - R(\mu) = (\mu - \lambda)R(\lambda)R(\mu),$$

that is,  $R(\cdot)$  is a pseudo-resolvent on  $\{\lambda \in \mathbb{C}; \operatorname{Re} \lambda > w_0\}$  (cf. [2, p. 510]).  $R(\cdot)$  has a unique maximal extension satisfying the above equation, and the domain of definition  $\Omega$  of this maximally extended pseudo-resolvent, which we shall still denote by  $R(\cdot)$ , is an open subset of the complex plane  $\mathbb{C}$ , on which  $R(\cdot)$  is analytic and cannot be continued analytically beyond (cf. [2, pp. 188–189]). We denote  $w := \inf\{u \in (-\infty, \infty); \lambda \in \Omega \text{ for all } \lambda \text{ with } \operatorname{Re} \lambda > u\}$ . Then  $w \leq w_0$ .

---

Received by the editors August 7, 1984 and, in revised form, August 29, 1985.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 47A35, 47D05.

*Key words and phrases.* Cesàro-ergodicity, Abel-ergodicity, locally integrable semigroup, Laplace transform, pseudo-resolvent.

©1986 American Mathematical Society  
0002-9939/86 \$1.00 + \$.25 per page

One can also define the Laplace transform  $R_s(\cdot)$  of  $T(\cdot)$  in the following weaker sense:

$$R_s(\lambda) := \text{so-}\lim_{t \rightarrow \infty} \int_0^t e^{-\lambda s} T(s) ds,$$

where the integral represents the operator which maps each  $x \in X$  to the corresponding Bochner integral  $\int_0^t e^{-\lambda s} T(s)x ds$ . It can be proved (cf. [1, p. 248]) that if for a number  $\lambda_0$   $R_s(\lambda_0)$  exists, then  $R_s(\lambda)$  exists for all  $\lambda$  with  $\text{Re } \lambda > \text{Re } \lambda_0$ . Let us denote

$$\begin{aligned} \sigma &:= \inf\{u \in (-\infty, \infty); R_s(\lambda) \text{ exists for all } \lambda \text{ with } \text{Re } \lambda > u\} \\ &= \inf\{u \in (-\infty, \infty); R_s(u) \text{ exists}\}, \\ \sigma_a &:= \inf\{u \in (-\infty, \infty); R_s(u) \text{ is analytic for all } \lambda \text{ with } \text{Re } \lambda > u\}. \end{aligned}$$

It is clear that  $\sigma \leq \sigma_a$ ,  $w \leq \sigma_a \leq w_0$ , and that  $R_s(\lambda) = R(\lambda)$  for all  $\lambda$  with  $\text{Re } \lambda > w_0$ , and hence, for all  $\lambda$  with  $\text{Re } \lambda > \sigma_a$ , by the uniqueness of analytic continuation.

It is known (cf. [1, Theorem 2.1]) that when  $T(\cdot)$  is a semigroup of positive operators on an ordered Banach space,  $R_s(\cdot)$  is analytic on  $\{\lambda \in \mathbf{C}; \text{Re } \lambda > \sigma\}$ , so that  $w \leq \sigma_a = \sigma$ . As will be seen in Proposition 7, this actually holds for all semigroups of class  $(0, A)$ .

By a semigroup of class  $(0, A)$  we mean that  $T(\cdot)$  is locally integrable and  $\lambda R(\lambda)$  converges strongly to  $I$  as  $\lambda \rightarrow \infty$ . In this case, the operator  $A^0: x \rightarrow \lim_{t \rightarrow 0} t^{-1}(T(t) - I)x$  is densely defined and closable (cf. [2, pp. 342–344]). The closure  $A$  of  $A^0$  is called the infinitesimal generator of  $T(\cdot)$ .  $T(\cdot)$  is of class  $(C_0)$  if  $T(t)$  converges strongly to  $I$  as  $t \rightarrow 0^+$ . In this case,  $T(\cdot)$  is also of class  $(0, A)$  and  $A^0$  is closed (see [2, p. 347]).

Let  $S(t): x \rightarrow \int_0^t T(s)x ds$  ( $x \in X$ ). The operators  $C(t) := t^{-1}S(t)$ ,  $t > 0$ , are the Cesàro averages of  $T(\cdot)$ , and the operators  $A(\lambda) := \lambda R(\lambda)$ ,  $\lambda > \sigma_a$ , are the Abel averages of  $T(\cdot)$ . Uniform ergodic theorems are concerned with the uniform operator convergence of  $C(t)$  as  $t \rightarrow \infty$  and of  $A(\lambda)$  as  $\lambda \rightarrow 0^+$ . The result of Hille and Phillips [2, Theorem 18.8.4] deals with the uniform Abel-ergodicity of semigroups of class  $(A)$  (a class slightly larger than  $(0, A)$ ) under the assumption “ $w_0 \leq 0$ ”. The theorem of Lin [3] treats uniform Cesàro-ergodicity and Abel-ergodicity for semigroups of class  $(C_0)$  under the assumption “ $\lim_{t \rightarrow \infty} \|T(t)\|/t = 0$ ”, which also implies  $w_0 \leq 0$ . However, as will be shown by an example in §3, it is possible for a semigroup to be uniformly ergodic while  $-\infty = w = \sigma = \sigma_a < 0 < w_0$ . Thus these well-known theorems do not apply universally.

The main purpose of this paper is to establish in §3 a uniform ergodic theorem (Theorem 4) for a general locally integrable semigroup, assuming the weaker condition “ $\sigma_a \leq 0$ ”. Under this assumption, the condition “ $\lim_{t \rightarrow \infty} \|T(t)R(1)\|/t = 0$ ” (but not  $\lim_{t \rightarrow \infty} \|T(t)\|/t = 0$ ) is necessary for uniform Cesàro-ergodicity of  $T(\cdot)$ . This is unlike the discrete case, where  $\|T^n\|/n \rightarrow 0$  is necessary for the uniform ergodicity of  $\{T^n\}$ . When  $T(\cdot)$  is a semigroup of class  $(0, A)$ , the uniform

Cesàro-ergodicity can be characterized precisely (Theorem 6). We shall begin with a uniform ergodic theorem (Theorem 1) for a general pseudo-resolvent. It will apply in §3 to provide conditions for uniform Abel-ergodicity of  $T(\cdot)$ .

**2. Uniform ergodic theorems for pseudo-resolvents.** In this section  $R(\cdot)$  is a general pseudo-resolvent on an open subset  $\Omega$  of the complex plane  $\mathbb{C}$ .

**THEOREM 1.** *Suppose that  $0 \in \bar{\Omega}$ . Then the following two statements are equivalent:*

- (1) *There is a  $P \in B(X)$  such that  $\|\lambda R(\lambda) - P\| \rightarrow 0$  as  $\lambda \rightarrow 0, \lambda \in \Omega$ .*
- (2)  *$\|\lambda^2 R(\lambda)\| \rightarrow 0$  as  $\lambda \rightarrow 0$ , and the range  $\mathbf{R}(\lambda R(\lambda) - I)$  of  $\lambda R(\lambda) - I$  is closed for some (and hence all)  $\lambda \in \Omega$ .*

**PROOF.** Note first that the range  $\mathbf{R}(\lambda R(\lambda) - I)$  and the null space  $\mathbf{N}(\lambda R(\lambda) - I)$  of  $\lambda R(\lambda) - I$  are independent of  $\lambda$  (see [6, p. 215]).

(1)  $\Rightarrow$  (2). It is known from the mean ergodic theorem [6, p. 217] that  $P$  is the projection onto  $\mathbf{N}(\lambda R(\lambda) - I)$  along  $\mathbf{R}(\lambda R(\lambda) - I)$ . The fact that

$$\|\lambda R(\lambda) | \mathbf{N}(P)\| = \|(\lambda R(\lambda) - P) | \mathbf{N}(P)\| \leq \|\lambda R(\lambda) - P\| \rightarrow 0$$

as  $\lambda \rightarrow 0$  implies that  $(\lambda R(\lambda) - I) | \mathbf{N}(P)$  is invertible for small  $\lambda$  so that we have  $\mathbf{R}(\lambda R(\lambda) - I) \supset \mathbf{N}(P) = \mathbf{R}(\lambda R(\lambda) - I)$ , i.e.,  $\mathbf{R}(\lambda R(\lambda) - I)$  is closed.

(2)  $\Rightarrow$  (1). Fix a  $\mu \neq 0$ . Since  $\mu R(\mu) - I$  has closed range, there exists a  $M > 0$  such that each  $y$  in  $\mathbf{R}(\mu R(\mu) - I)$  can be written as  $y = (\mu R(\mu) - I)x$  for some  $x$  satisfying  $\|x\| \leq M\|y\|$ . Using the resolvent equation we have

$$\begin{aligned} \|\lambda R(\lambda)y\| &= \|\lambda R(\lambda)(\mu R(\mu) - I)x\| = \|(\mu - \lambda)^{-1}[\lambda^2 R(\lambda) - \lambda \mu R(\mu)]x\| \\ &\leq |\mu - \lambda|^{-1} [\|\lambda^2 R(\lambda)\| + |\lambda| \|\mu R(\mu)\|] M \|y\|, \end{aligned}$$

which implies that  $\|\lambda R(\lambda) | \mathbf{R}(\lambda R(\lambda) - I)\| \rightarrow 0$  as  $\lambda \rightarrow 0$ . Hence for small  $\lambda$  the operator  $K := (\lambda R(\lambda) - I) | \mathbf{R}(\lambda R(\lambda) - I)$  is invertible and so we have that  $\mathbf{R}(\lambda R(\lambda) - I) = \mathbf{R}(K) = \mathbf{R}((\lambda R(\lambda) - I)^2)$ . From this one easily deduces that  $X = \mathbf{N}(\lambda R(\lambda) - I) + \mathbf{R}(\lambda R(\lambda) - I)$ .

To show that the summation is direct, let  $y$  be in  $\mathbf{N}(\lambda R(\lambda) - I) \cap \mathbf{R}(\lambda R(\lambda) - I)$ . Then  $y = \lambda R(\lambda)y$  for all  $\lambda \in \Omega$  and, as shown above,  $\lambda R(\lambda)y \rightarrow 0$  as  $\lambda \rightarrow 0$ . Hence  $y = 0$  and so  $X = \mathbf{N}(\lambda R(\lambda) - I) \oplus \mathbf{R}(\lambda R(\lambda) - I)$ . Let  $P$  be the projection onto  $\mathbf{N}(\lambda R(\lambda) - I)$  along  $\mathbf{R}(\lambda R(\lambda) - I)$ . Clearly we have  $\|\lambda R(\lambda) - P\| = \|0 \oplus [\lambda R(\lambda) | \mathbf{R}(\lambda R(\lambda) - I)]\| \rightarrow 0$  as  $\lambda \rightarrow 0$ .

**COROLLARY 2.** *Suppose that the domain  $\Omega$  of  $R(\cdot)$  is unbounded. Then the following statements are equivalent:*

- (1) *There is a  $Q \in B(X)$  such that  $\|\lambda R(\lambda) - Q\| \rightarrow 0$  as  $|\lambda| \rightarrow \infty, \lambda \in \Omega$ .*
- (2)  *$\|R(\lambda)\| \rightarrow 0$  as  $|\lambda| \rightarrow \infty$ , and  $\mathbf{R}(R(\lambda))$  is closed for some (and hence all)  $\lambda \in \Omega$ .*
- (3)  *$R(\lambda) = Q(\lambda I - A)^{-1}$  for some  $Q, A \in B(X)$  satisfying  $Q^2 = Q, AQ = QA = A$ .*

**PROOF.** Define  $R_1(\lambda) := 1/\lambda - (1/\lambda^2)R(1/\lambda)$  for  $\lambda \in \Omega_1 := \{\lambda \in \mathbb{C}; 1/\lambda \in \Omega\}$ . An easy computation shows that  $R_1(\cdot)$  is a pseudo-resolvent on  $\Omega_1$ , which has the limit point 0. Therefore, Theorem 1 applies to  $R_1(\cdot)$ , and the equivalence of (1) and

(2) follows by the facts that  $\|\lambda^2 R_1(\lambda)\| \rightarrow 0$  as  $\lambda \rightarrow 0$ ,  $\lambda \in \Omega_1$ , if and only if  $\|R(\lambda)\| \rightarrow 0$  as  $|\lambda| \rightarrow \infty$ ,  $\lambda \in \Omega$ , and that  $\|\lambda R_1(\lambda) - P\| \rightarrow 0$  as  $\lambda \rightarrow 0$  if and only if  $\|\lambda R(\lambda) - Q\| \rightarrow 0$  as  $|\lambda| \rightarrow \infty$ , where  $Q = I - P$ . “(3)  $\Rightarrow$  (1)” is obvious, and “(1)  $\Rightarrow$  (3)” is proved in Theorem 18.8.2 of [2].

REMARK. The operators  $P$  and  $Q$  turn out to be the linear projections with  $\mathbf{R}(P) = \mathbf{N}(\lambda R(\lambda) - I)$ ,  $\mathbf{N}(P) = \mathbf{R}(\lambda R(\lambda) - I)$ ,  $\mathbf{R}(Q) = \mathbf{R}(R(\lambda))$  and  $\mathbf{N}(Q) = \mathbf{N}(R(\lambda))$  for all  $\lambda \in \Omega$ .

**3. Uniform ergodic theorems for locally integrable semigroups.** All well-known uniform ergodic theorems for semigroups have been formulated for those of type  $w_0 \leq 0$ . We shall first give an example of a uniformly ergodic semigroup of class  $(C_0)$  which satisfies  $-\infty = \sigma_a < 0 < w_0$ , and then prove a uniform ergodic theorem for general locally integrable semigroups under the assumption  $\sigma_a \leq 0$ .

EXAMPLE. Let  $1 \leq p < q < \infty$ , and let  $X$  be the set of all Lebesgue measurable functions  $f$  on  $(0, \infty)$  such that

$$\|f\| := \left( \int_0^\infty e^{ps^2} |f(s)|^p ds \right)^{1/p} + \left( \int_0^\infty |f(s)|^q ds \right)^{1/q} < \infty.$$

Then  $(X, \|\cdot\|)$  is a Banach lattice which is reflexive whenever  $p > 1$ . For  $\alpha \geq 0$  let  $T_\alpha(\cdot)$  be the semigroup defined by  $(T_\alpha(t)f)(s) := e^{\alpha t} f(t+s)$  ( $f \in X, s, t \geq 0$ ). Then  $T_\alpha(t) = e^{\alpha t} T_0(t)$ .

It was shown in [1] that  $\|T_0(t)\| = 1$  for all  $t \geq 0$  and for  $T_0(\cdot)$   $w = \sigma_a = \sigma = -\infty$ . Hence  $T_\alpha(\cdot)$  has type  $w_0 = \alpha$  and  $w = \sigma_a = \sigma = -\infty$  for all  $\alpha \geq 0$ . Thus the infinitesimal generator  $A_\alpha = d/ds + \alpha I$  of  $T_\alpha(\cdot)$  has empty spectrum, and so

$$\|A(\lambda)\| = \|\lambda(\lambda I - A_\alpha)^{-1}\| \rightarrow 0 \cdot \|A_\alpha^{-1}\| = 0 \quad \text{as } \lambda \rightarrow 0.$$

Since  $T_\alpha(t)$  are positive operators, we have for any nonnegative function  $f$  in  $X$

$$\begin{aligned} C(t)f &= t^{-1} \int_0^t T_\alpha(s)f ds \leq t^{-1} \int_0^t e^{1-s/t} T_\alpha(s)f ds \\ &\leq t^{-1} e \int_0^\infty e^{-s/t} T_\alpha(s)f ds = e t^{-1} R(t^{-1})f = eA(t^{-1})f. \end{aligned}$$

It follows that  $\|C(t)\| \leq e\|A(t^{-1})\| \rightarrow 0$  as  $t \rightarrow \infty$  (cf. [4, pp. 81 and 230]). Hence  $T_\alpha(\cdot)$  is uniformly Abel and Cesàro ergodic to 0.

We shall need the following lemma in the proof of Theorem 4:

LEMMA 3. Let  $T(\cdot)$  be a locally integrable semigroup, and let  $S(t)$  and  $R_s(\lambda)$  be as defined in §1. The equality  $(T(t) - I)R_s(\lambda) = S(t)(\lambda R_s(\lambda) - I)$  holds for all  $t > 0$  and  $\operatorname{Re} \lambda > \sigma$ .

PROOF. Integration by parts gives that

$$R_s(\lambda)x = \int_0^\infty e^{-\lambda u} T(u)x du = \lambda \int_0^\infty e^{-\lambda u} S(u)x du.$$

Then we use the identity  $(T(t) - I)S(u) = S(t)(T(u) - I)$  (see [5]) to obtain that

$$\begin{aligned} (T(t) - I)R_s(\lambda)x &= \lambda \int_0^\infty e^{-\lambda u} (T(t) - I)S(u)x du \\ &= \lambda \int_0^\infty e^{-\lambda u} S(t)(T(u) - I)x du = S(t)(\lambda R_s(\lambda) - I)x. \end{aligned}$$

**THEOREM 4.** *Let  $T(\cdot)$  be a locally integrable semigroup. Assume that  $\sigma_a \leq 0$ . Then the following statements are equivalent:*

- (1)  $T(\cdot)$  is uniformly Cesàro-ergodic.
- (2)  $\|T(t)R(1)\|/t \rightarrow 0$  as  $t \rightarrow \infty$ , and  $T(\cdot)$  is uniformly Abel-ergodic.
- (3)  $\|T(t)R(1)\|/t \rightarrow 0$  as  $t \rightarrow \infty$ , and  $\mathbf{R}(R(1) - I)$  is closed.

**PROOF.** (1)  $\Rightarrow$  (2). We have for each  $x \in X$  and  $a > 0$ ,

$$\begin{aligned} \|(A(\lambda) - P)x\| &= \left\| \lambda^2 \int_0^\infty e^{-\lambda t} (S(t) - tP)x \, dt \right\| \\ &\leq \left[ \lambda^2 \int_0^a e^{-\lambda t} (\|S(t)\| + t\|P\|) \, dt + \lambda^2 \int_a^\infty e^{-\lambda t} \|C(t) - P\| \, dt \right] \|x\| \\ &\leq \left[ \left( \sup_{0 \leq t \leq a} \|S(t)\| + a\|P\| \right) \lambda^2 a + \sup_{t > a} \|C(t) - P\| \right] \|x\|. \end{aligned}$$

If  $\|C(t) - P\| \rightarrow 0$  as  $t \rightarrow \infty$ , then it is easy to see from the above estimate that  $\|A(\lambda) - P\| \rightarrow 0$  as  $\lambda \rightarrow 0^+$ . Then the fact that  $\mathbf{N}(P) = \mathbf{R}(R(1) - I)$  (see the remark in §2) and Lemma 3 imply

$$\begin{aligned} \|(T(t) - I)R(1)\|/t &= \|C(t)(R(1) - I)\| = \|(C(t) - P)(R(1) - I)\| \\ &\leq \|C(t) - P\| \|R(1) - I\| \rightarrow 0 \quad (t \rightarrow \infty). \end{aligned}$$

Hence the statement (2) holds when (1) holds.

“(2)  $\Rightarrow$  (3)” is contained in Theorem 1.

(3)  $\Rightarrow$  (1). First we prove that  $\lim_{t \rightarrow \infty} \|T(t)R(1)\|/t = 0$  implies  $\lim_{\lambda \rightarrow 0^+} \|\lambda^2 R(\lambda)\| = 0$ . Given  $\varepsilon > 0$ , let  $a > 0$  be such that  $\|T(t)R(1)\| \leq \varepsilon t$  for all  $t > a$ . Using the resolvent equation we have for every  $x \in X$

$$\begin{aligned} \|\lambda^2 R(\lambda)x\| &= \|\lambda^2 [R(1) + (1 - \lambda)R(\lambda)R(1)]x\| \\ &\leq \lambda^2 \|R(1)\| \|x\| + |1 - \lambda| \lambda^2 \int_0^\infty e^{-\lambda t} \|T(t)R(1)x\| \, dt \\ &\leq \lambda^2 \|R(1)\| \|x\| + |1 - \lambda| \left[ \lambda^2 \int_0^a \|T(t)R(1)x\| \, dt + \varepsilon \lambda^2 \int_a^\infty e^{-\lambda t} \, dt \|x\| \right] \\ &\leq \{ \lambda^2 \|R(1)\| + |1 - \lambda| [\lambda^2 \|W(a)\| \|R(1)\| + \varepsilon] \} \|x\|, \end{aligned}$$

where  $W(a)$  denotes the operator from  $X$  to  $L_1(X, [0, a])$  defined by  $W(a)x = T(\cdot)x$ , which is known to be bounded (cf. [2, p. 58]). It is easily seen from the above estimate that  $\|\lambda^2 R(\lambda)\| \rightarrow 0$  as  $\lambda \rightarrow 0^+$ .

Now the statement (2) of Theorem 1 holds, and it was proved there that

$$X = \mathbf{N}(R(1) - I) \oplus \mathbf{R}(R(1) - I).$$

Let  $K$  be the restriction of  $R(1) - I$  to  $\mathbf{R}(R(1) - I)$ . Then  $K$  is one-to-one, onto, and hence invertible. For  $x \in \mathbf{R}(R(1) - I)$  let  $y = K^{-1}x$ . By Lemma 3 we have

$$\begin{aligned} \|C(t)x\| &= \|C(t)(R(1) - I)y\| = \|t^{-1}(T(t) - I)R(1)K^{-1}x\| \\ &\leq t^{-1}(\|T(t)R(1)\| + \|R(1)\|) \|K^{-1}\| \|x\|. \end{aligned}$$

This shows that  $\|C(t)|\mathbf{N}(R(1) - I)\| \rightarrow 0$  as  $t \rightarrow \infty$ . Let  $P$  be the projection onto  $\mathbf{R}(R(1) - I)$  along  $\mathbf{N}(R(1) - I)$ . In order to prove that  $\|C(t) - P\|$  tends to 0 as  $t \rightarrow \infty$ , it remains to show that the restriction of  $C(t)$  to  $\mathbf{N}(R(1) - I)$  is an identity map for all  $t > 0$ . It suffices to show that  $\mathbf{N}(R(1) - I) \subset \mathbf{N}(T(t) - I)$ . Let  $x \in \mathbf{N}(R(1) - I)$ . Then  $x \in \mathbf{N}(\lambda R(\lambda) - I)$  for all  $\lambda > \sigma_a$  [6, p. 215], so that

$$\begin{aligned} (T(t) - I)x &= \lambda(T(t) - I)R(\lambda)x \\ &= \lambda S(t)(\lambda R(\lambda) - I)x = S(t)(\lambda^2 R(\lambda) - \lambda)x, \end{aligned}$$

which converges to 0 as  $\lambda \rightarrow 0^+$ . Hence  $x$  belongs to  $\mathbf{N}(T(t) - I)$ . The proof is now completed.

**COROLLARY 5.** *Let  $T(\cdot)$  be a locally integrable semigroup satisfying  $\sigma_a \leq 0$  and  $\|T(t)R(1)\|/t \rightarrow 0$  ( $t \rightarrow \infty$ ). Then the following statements are equivalent:*

- (1)  $T(\cdot)$  is uniformly Cesàro-ergodic.
- (2)  $T(\cdot)$  is uniformly Abel-ergodic.
- (3)  $\mathbf{R}(R(1) - I)$  is closed.

**REMARKS.** (1) If  $T(\cdot)$  is of class  $(0, A)$  with generator  $A$ , one has that  $\mathbf{R}(R(1) - I) = \mathbf{R}((I - A)^{-1} - I) = \mathbf{R}(A(I - A)^{-1}) = \mathbf{R}(A)$ . Thus the theorem of Lin [3] is a specialization of Corollary 5.

(2) It follows from Theorem 4 that the semigroup  $T_\alpha(\cdot)$  in the previous example satisfies  $\|T_\alpha(t)R(1)\|/t \rightarrow 0$  ( $t \rightarrow \infty$ ), while  $\|T_\alpha(t)\|/t = e^{\alpha t} \rightarrow \infty$  in case  $\alpha > 0$ . Therefore, the hypothesis in Corollary 5 is in general strictly weaker than Lin's ( $\|T(t)\|/t \rightarrow 0$ ), and it cannot be further weakened.

The next theorem gives a precise characterization for the uniform Cesàro-ergodicity of  $(0, A)$  semigroups.

**THEOREM 6.** *Let  $T(\cdot)$  be a semigroup of class  $(0, A)$ . Then  $T(\cdot)$  is uniformly Cesàro ergodic if and only if (i)  $\sigma \leq 0$ , (ii)  $\mathbf{R}(A)$  is closed, and (iii)  $\|T(t)R(1)\|/t \rightarrow 0$  as  $t \rightarrow \infty$ .*

This theorem is deduced from Theorem 4 and the following two propositions.

**PROPOSITION 7.** *If  $T(\cdot)$  is a semigroup of class  $(0, A)$ , then  $\sigma = \sigma_a$ .*

**PROOF.** Since  $\sigma \leq \sigma_a$ , it suffices to show that if  $\operatorname{Re} \lambda > \sigma$ , then  $\lambda I - A$  is invertible and  $R_s(\lambda) = (\lambda I - A)^{-1}$ .

We first prove that  $(\lambda I - A^0)R_s(\lambda)x = x$  for  $x \in D(A^0)$ . Let

$$A_h = h^{-1}(T(h) - I).$$

Then we have

$$\begin{aligned} A_h R_s(\lambda)x &= A_h \lim_{t \rightarrow \infty} \int_0^t e^{-\lambda s} T(s)x ds \\ &= h^{-1} \lim_{t \rightarrow \infty} \int_0^t e^{-\lambda s} [T(s+h)x - T(s)x] ds \\ &= h^{-1}(e^{\lambda h} - 1)R_s(\lambda)x - h^{-1} \int_0^h e^{\lambda(h-s)} T(s)x ds, \end{aligned}$$

which tends to  $\lambda R_s(\lambda)x - x$  as  $h \rightarrow 0$  if  $x \in D(A^0)$ , by the continuity of  $T(t)x$  at  $t = 0$ . Hence  $R_s(\lambda)x \in D(A^0)$  and  $A^0R_s(\lambda)x = \lambda R_s(\lambda)x - x$ .

If  $y \in X$ , we can obtain a sequence  $y_n \in D(A^0)$  such that  $y_n \rightarrow y$ . Then we have that  $R_s(\lambda)y_n \rightarrow R_s(\lambda)y$  and  $A^0R_s(\lambda)y_n - y_n = \lambda R_s(\lambda)y_n - y_n \rightarrow \lambda R_s(\lambda)y - y$ . Since  $A$  is the closure of  $A^0$ , we have proved that  $R_s(\lambda)X \subset D(A)$  and  $(\lambda I - A)R_s(\lambda) = I$ .

It remains to show that  $AR_s(\lambda)x = R_s(\lambda)Ax$  for  $x \in D(A)$ . Given  $x \in D(A)$  there exists a sequence  $x_n \in D(A^0)$  such that  $x_n \rightarrow x$  and  $A^0x_n \rightarrow Ax$ . Since  $A_hR_s(\lambda)x_n = R_s(\lambda)A_hx_n$ , by letting  $h \rightarrow 0$  we obtain that  $A^0R_s(\lambda)x_n = R_s(\lambda)A^0x_n$ . Therefore,  $A^0R_s(\lambda)x_n$  tends to  $R_s(\lambda)Ax$  as  $n \rightarrow \infty$ . This and the fact that  $R_s(\lambda)x_n \rightarrow R_s(\lambda)x$  show that  $R_s(\lambda)x \in D(A)$  and  $AR_s(\lambda)x = R_s(\lambda)Ax$ .

**PROPOSITION 8.** *If a locally integrable semigroup  $T(\cdot)$  is strongly Cesàro-ergodic, then  $\sigma \leq 0$ , i.e. the Laplace transform  $R_s(\lambda)$  exists for all  $\lambda$  with  $\text{Re } \lambda > 0$ .*

**PROOF.** The uniform boundedness principle implies that  $\|C(t)\| \leq M$  for all  $t > 1$ . Let  $\text{Re } \lambda > 0$ . We have for all  $v > u > 0$  and  $x \in X$

$$\begin{aligned} \left\| \int_u^v e^{-\lambda t} T(t)x \, dt \right\| &= \left\| e^{-\lambda t} S(t)x \Big|_u^v + \lambda \int_u^v e^{-\lambda t} S(t)x \, dt \right\| \\ &\leq \left\{ |e^{-\lambda v}|v + |e^{-\lambda u}|u + |\lambda| \int_u^v e^{-t \text{Re } \lambda} dt \right\} M \|x\|, \end{aligned}$$

which shows that  $\left\| \int_u^v e^{-\lambda t} T(t)x \, dt \right\| \rightarrow 0$  as  $u \rightarrow \infty$ . Hence

$$R_s(\lambda) = \text{uo-} \lim_{t \rightarrow \infty} \int_0^u e^{-\lambda t} T(t)x \, dt$$

exists.

**ACKNOWLEDGMENT.** The author wishes to thank the referee for his valuable suggestion.

REFERENCES

1. G. Greiner, J. Voigt, and M. Wolff, *On the spectral bound of the generator of semigroups of positive operators*, J. Operator Theory 5 (1981), 245–256.
2. E. Hille and R. S. Phillips, *Functional analysis and semigroups*, Amer. Math. Soc. Colloq. Publ., vol. 31, Amer. Math. Soc., Providence, R. I., 1957.
3. M. Lin, *On the uniform ergodic theorem. II*, Proc. Amer. Math. Soc. 46 (1974), 217–225.
4. H. H. Schaefer, *Banach lattices and positive operators*, Springer-Verlag, Berlin-Heidelberg-New York, 1974.
5. S.-Y. Shaw, *Ergodic properties of operator semigroups in general weak topologies*, J. Funct. Anal. 49 (1982), 152–169.
6. K. Yosida, *Functional analysis*, 3rd ed., Springer-Verlag, New York, 1971.

DEPARTMENT OF MATHEMATICS, NATIONAL CENTRAL UNIVERSITY, CHUNG - LI, TAIWAN 320, REPUBLIC OF CHINA