

## INDECOMPOSABLE MODULES OVER NAGATA VALUATION DOMAINS

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**ABSTRACT.** For a discrete valuation ring  $R$ , let  $\text{fr}(R)$  be the supremum of the ranks of indecomposable finite rank torsion-free  $R$ -modules. Then  $\text{fr}(R) = 1, 2, 3,$  or  $\infty$ . A complete list of indecomposables is given if  $\text{fr}(R) \leq 3$ , in which case  $R$  is known to be a Nagata valuation domain.

Let  $R$  be a discrete valuation ring with prime  $p$  and quotient field  $Q$ , and let  $R^*$  be the  $p$ -adic completion of  $R$  with quotient field  $Q^*$ . Define  $\text{fr}(R) = \sup\{\text{rank } X : X \text{ indecomposable torsion-free } R\text{-module of finite rank}\}$ . In this paper, we show that  $\text{fr}(R) = 1, 2, 3,$  or  $\infty$ . This resolves a conjecture by P. Va'mos that  $\text{fr}(R) = 1, 2,$  or  $\infty$ .

It is well known that  $\text{fr}(R) = \infty$  in case  $[Q^* : Q]$  is infinite and  $\text{fr}(R) = 1$  if  $[Q^* : Q] = 1$ . Call  $R$  a Nagata valuation domain if  $2 \leq [Q^* : Q]$  is finite  $[Z]$ . In this case  $\text{char } Q^* = q > 0$ ;  $Q^* = Q(u)$  for some unit  $u$  of  $R^*$  with  $u^n = \lambda$ , a unit of  $R$ ; and  $[Q^* : Q]$  is a power of  $q$  [V, R]. Examples of Nagata valuation domains are given in [N] and [V].

Zanardo [Z] shows that if  $[Q^* : Q] = 2$ , then  $\text{fr}(R) = 2$ . Moreover, in this case there are, up to isomorphism, only three indecomposables:  $R, Q,$  and  $R^*$ . His example showing that  $\text{fr}(R) \geq 6$  for  $[Q^* : Q] = 3$  is in error.

Henceforth, assume  $[Q^* : Q] = n \geq 2$ . Then  $Q^*$  is a splitting field for each finite rank  $R$ -module  $X$ ; i.e.,  $R^* \otimes X$  is the direct sum of a free  $R^*$ -module and a  $Q^*$ -module. Thus, quasi-homomorphism results of Lady [L1, L3] for modules over a discrete valuation ring with a fixed splitting field are applicable.

As summarized in [L1, Theorem 1] and proved in [L3, Theorem 5.1], for:

$n = 2$ , there are three strongly indecomposables:  $R, Q,$  and  $R^*$ ;

$n = 3$ , there are five strongly indecomposables:  $R, Q, R^*, C^-R$  ( $p$ -rank 1, rank 2), and  $C^+R^*$  ( $p$ -rank 2, rank 3);

$n = 4$ , there are strongly indecomposables of arbitrarily large finite rank, but all strongly indecomposables are potentially describable (tame representation type);

$n \geq 5$ , there are strongly indecomposables of arbitrarily large finite rank, but a description is generally regarded as hopeless (wild representation type).

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Since strongly indecomposables are indecomposable, Lady's theorem yields  $\text{fr}(R) = \infty$  for  $n \geq 4$ . We give an alternate proof by easily constructed examples in §3. This is sufficient for our purposes and avoids the deep arguments used in [L3].

The only unresolved case is  $n = 3$ . In this case, we show that  $\text{fr}(R) = 3$  and give a complete list of indecomposables up to isomorphism:  $R$ ,  $Q$ ,  $R^*$ ,  $C^-R$ , and infinitely many of  $p$ -rank 2, rank 3 (all quasi-isomorphic to  $C^+R^*$ ). The strongly indecomposable  $R$ -module  $C^+R^*$  is the quasi-homomorphism dual of  $R^*$  defined in [A1].

## 1. PRELIMINARIES

The  $p$ -rank of an  $R$ -module  $X$  is the  $R/pR$ -dimension of  $X/pX$ . Fundamental properties of  $p$ -rank are given in [A1].

**Lemma 1.1** [A1, Proposition 1.3, Lemma 1.5]. *Two finite rank  $R$ -modules  $G$  and  $H$  are quasi-isomorphic if and only if  $p$ -rank  $G = p$ -rank  $H$ , rank  $G = \text{rank } H$ , and there is a monomorphism  $f: G \rightarrow H$ . Moreover, quasi-isomorphism implies isomorphism for modules of  $p$ -rank 1.*

## 2. INDECOMPOSABLES FOR $[Q^*: Q] = 3$

As noted in the introduction,  $\text{char } Q = 3$  and  $Q^* = Q(u)$  for some unit  $u$  of  $R^*$  with  $u^3 = \lambda$ , a unit of  $R$ . This notation is maintained throughout the rest of this section.

Define  $A[u]$  to be the pure  $R$ -submodule of  $R^*$  generated by  $\{1, u\}$ . Then  $A[u] = (Q \oplus Qu) \cap R^*$  is strongly indecomposable with  $p$ -rank 1 and rank 2 and, hence, is quasi-isomorphic to  $C^-R$  by Lady's theorem. The following lemma is proved in [Z, Proposition 5] using Kurosch matrix-invariant arguments from [A1]. However, it can also be proved directly from the definition of  $A[u]$  (a proof is not included).

**Lemma 2.1** [Z, Corollary 12, Theorem 8]. *The module  $A[u]$  is (strongly) indecomposable. Moreover, if  $X$  is an indecomposable  $R$ -module of rank  $\leq 2$ , then  $X$  is isomorphic to  $R$ ,  $Q$ , or  $A[u]$ .*

Next let  $a, b \in R^* \setminus R$  and define  $A[a, b]$  to be the pure  $R$ -submodule of  $R^* \oplus R^*$  generated by  $(1, 0)$ ,  $(0, 1)$ , and  $(a, b)$ . In particular,  $QA[a, b] = Q(1, 0) \oplus Q(0, 1) \oplus Q(a, b)$  and  $A[a, b] = QA[a, b] \cap (R^* \oplus R^*)$ . Up to isomorphism, this definition of  $A[a, b]$  coincides with that of [Z]. Then  $A[a, b]$  has  $p$ -rank 2 and rank 3. A routine argument shows that  $A[a, b]$  is (strongly) indecomposable if and only if  $\{1, a, b\}$  is a  $Q$ -independent set. In this case,  $A[a, b]$  is quasi-isomorphic to  $C^+R^*$  by Lady's theorem. Moreover,  $A[u, u^2]$  is the quasi-homomorphism dual of  $R^*$ , noting that  $R^*$  has  $p$ -rank 1 and rank 3.

**Lemma 2.2.** *Suppose that  $(a, b)$  and  $(c, d)$  are  $R^*$ -vectors.*

- (a) *If  $(c, d) = s(a, b)M + P$  for an invertible  $2 \times 2$   $R$ -matrix  $M$ , a  $Q$ -vector  $P$ , and  $0 \neq s \in Q$ , then  $A[a, b] \approx A[c, d]$ .*
- (b)  *$A[u, p^i u^2] \approx A[p^i u, u^2]$ .*
- (c) *If  $r$  is a unit of  $R$  and  $j > i$ , then  $A[u + p^i r u^2, p^j u^2] \approx A[u, p^j u^2]$ .*

*Proof.* (a) Define an  $R^*$ -automorphism  $\phi$  of  $R^* \oplus R^*$  by  $\phi(x) = xM^{-1}$ . Then  $\phi$  induces a homomorphism  $A[c, d] \rightarrow A[a, b]$  since  $(Q \oplus Q)M^{-1}$  is contained in  $Q \oplus Q$  and  $(c, d)M^{-1} = s(a, b) + PM^{-1}$ . In fact, this is an isomorphism since  $A[a, b]$  and  $\phi(A[c, d])$  are both pure rank-3 submodules of  $R^* \oplus R^*$ .

(b) Let  $A = A[u, p^i u^2]$  and  $B = A[p^i u, u^2]$  with  $i \geq 1$ . There is an  $R^*$ -endomorphism  $\phi$  of  $R^* \oplus R^*$  defined by

$$\begin{aligned} \phi(1, 0) &= (1, 1) = (1, 0) + (0, 1) \in A, \\ \phi(0, 1) &= (-u^{-2}, -p^i u^{-1} + p^{2i}) = -\lambda^{-1}(u, p^i u^2) + p^{2i}(0, 1) \in A, \end{aligned}$$

recalling that  $u^3 = \lambda$ . Now  $\phi$  is an automorphism as

$$\begin{pmatrix} 1 & 1 \\ -u^{-2} & -p^i u^{-1} + p^{2i} \end{pmatrix}$$

has determinant  $d \equiv -u^{-2} \pmod{pR^*}$ , a unit of  $R^*$ . Moreover,  $\phi(B)$  is contained in  $A$  since

$$\begin{aligned} \phi(p^i u, u^2) &= p^i u(1, 1) + u^2(-u^{-2}, -p^i u^{-1} + p^{2i}) = (p^i u - 1, p^{2i} u^2) \\ &= p^i(u, p^i u^2) - (1, 0) \in A. \end{aligned}$$

It follows that  $\phi: B \rightarrow A$  is an isomorphism.

(c) Let  $A = A[u, p^j u^2]$  and  $B = A[u + p^i r u^2, p^j u^2]$ , and assume that either  $i \geq 1$  or else  $i = 0$  and  $ru$  is not congruent to 1 modulo  $pR^*$ .

Define an  $R^*$ -endomorphism  $\phi$  of  $R^* \oplus R^*$  by

$$\begin{aligned} \phi(1, 0) &= (1 - p^i r u, -p^{i+j} r u^2 + p^{2i+j} r^2 \lambda) \\ &= (1, 0) - p^i r(u, p^j u^2) + p^{2i+j} r^2 \lambda(0, 1) \in A, \\ \phi(0, 1) &= (0, 1 - p^{3i} r^3 \lambda) = (1 - p^{3i} r^3 \lambda)(0, 1) \in A. \end{aligned}$$

Then  $\phi$  is an automorphism if  $i \geq 1$ , since the coefficient determinant  $d = (1 - p^i r u)(1 - p^{3i} r^3 \lambda) \equiv 1 \pmod{pR^*}$ . If  $i = 0$ , then  $d = (1 - ru)(1 - \lambda r^3)$ . Since  $\text{char } Q^* = 3$ ,  $1 - \lambda r^3 = 1 - (ru)^3 = (1 - ru)^3$ , whence  $d = (1 - ru)^4$ . Thus,  $\phi$  is an automorphism, as  $ru$  is not congruent to 1 mod  $pR^*$ .

Now  $\phi(B)$  is contained in  $A$  since

$$\begin{aligned} \phi(u + p^i r u^2, p^j u^2) &= (u + p^i r u^2)\phi(1, 0) + p^j u^2 \phi(0, 1) \\ &= (u + p^i r u^2)(1 - p^i r u, -p^{i+j} r u^2 + p^{2i+j} r^2 \lambda) + p^j u^2(0, 1 - p^{3i} r^3 \lambda) \\ &= (u - p^{2i} r^2 \lambda, p^j u^2 - p^{i+j} r \lambda) \\ &= (u, p^j u^2) - p^{2i} r^2 \lambda(1, 0) - p^{i+j} r \lambda(0, 1) \in A, \end{aligned}$$

recalling that  $u^3 = \lambda$ . As in the proof of (b),  $B \approx \phi(B) = A$ .

It remains to show that it is sufficient to assume that either  $i \geq 1$  or else  $i = 0$  and  $u$  is not congruent to 1 modulo  $pR^*$ . To see this, assume that  $i = 0$  and  $ru = 1 + ps$  for some  $s = s_0 + s_1 u + s_2 u^2 \in R^*$ . Then  $u + ru^2 = (2 + ps_0)u + ps_1 u^2 + ps_2 \lambda$ . Since  $ps_2 \lambda \in Q$ , it follows from (a) that  $B = A[u + ru^2, p^j u^2] \approx A[(2 + ps_0)u + ps_1 u^2, p^j u^2]$ . As  $\text{char } Q = 3$ ,  $2 + ps_0 = -1 + ps_0$  is a unit of  $R^*$ . Thus,  $B \approx A[u + ptu^2, p^j u^2]$  for  $t = (2 + ps_0)^{-1} s_1$  by (a). If  $i' = p\text{-height}(pt) \geq j$ , an application of (a) shows that  $B \approx A$ . Otherwise,  $j < i'$  and  $i' \geq 1$ , as desired.

**Theorem 2.3.** *If  $X$  is an indecomposable  $R$ -module of rank 3, then  $X$  is isomorphic to  $R^*$  or  $A[u, p^j u^2]$  for some  $j$ .*

*Proof.* Note that  $p$ -rank  $X \neq 0$  or 3, as  $X$  is reduced with no free summands (see [A1]). If  $p$ -rank  $X = 1$ , then  $X$  embeds in its completion which is isomorphic to  $R^*$ . Since  $R^*$  also has  $p$ -rank 1 and rank 3,  $X \approx R^*$  by Lemma 1.1.

Now assume that  $X$  is indecomposable with  $p$ -rank 2 and rank 3. Then  $X \approx A[a, b]$  with  $(a, b) = (u, u^2)M$  for some  $2 \times 2$   $R$ -matrix  $M$  with  $\det M \neq 0$  [ $Z$ ]. We outline another proof that avoids matrix invariants. Let  $Rx \oplus Ry$  be a basic submodule of  $X$  and extend to a maximal free submodule  $Rx \oplus Ry \oplus Rz$  of  $X$ . Then  $X$  embeds as a pure submodule of  $R^*x \oplus R^*y \approx (R^* \otimes X)/d(R^* \otimes X)$ , where  $d(R^* \otimes X)$  is the maximal divisible submodule. It follows that  $X \approx A[a, b]$ , where image  $z = ax \oplus by$  for  $a, b \in R^*$ . Since  $Q^* = Q(u) = Q \oplus Qu \oplus Qu^2$ , we may write  $(a, b) = (u, u^2)M + P$  for some  $R$ -matrix  $M$  and  $R$ -vector  $P$ . Apply Lemma 2.2(a) to see that, up to isomorphism,  $P$  may be chosen to be 0.

In view of Lemma 2.2(a), the isomorphism class of  $A[a, b]$  is preserved by invertible  $R$ -column operations on  $M$ . In particular, it suffices to assume that  $M$  is of the form

$$\begin{pmatrix} p^k & 0 \\ p^r & p^j \end{pmatrix}$$

with  $i < j$  and  $r$  either 0 or a unit of  $R$ . This follows from the observation that if an element in a row has least  $p$ -height, then the other entry in its row can be set to 0 using an invertible  $R$ -column operation. Moreover, column interchange and multiplication of a column by a unit are invertible  $R$ -operations.

We now have  $X \approx A[a, b]$  with  $(a, b) = (p^k u + p^i r u^2, p^j u^2)$ ,  $j > i$  and  $r$  either 0 or a unit of  $R$ .

First, assume  $k \leq i$ . Then  $X \approx A[u + p^{i-k} r u^2, p^{j-k} u^2]$  by Lemma 2.2(a). Moreover,  $A[u + p^{i-k} r u^2, p^{j-k} u^2] \approx A[u, p^{j-k} u^2]$  via Lemma 2.2(c). Thus,  $X \approx A[u, p^{j-k} u^2]$ .

Now assume  $k > i$ . Factor out  $p^i$  and apply Lemma 2.2(a) to assume, up to isomorphism, that  $[a, b] = [p^{k-i} u + r u^2, p^{j-i} u^2]$ . If  $r = 0$ , then  $X \approx A[a, b] \approx A[u, p^t u^2]$  for some  $t$ , obtained by factoring out  $p^{\min\{k-i, j-i\}}$  and applying Lemma 2.2(b) in the case  $k - i > j - i$ .

Finally assume that  $r$  is a unit. Then  $X \approx A[a, b] = A[r u^2 + p^{k-i} u, p^{j-i} u^2] \approx A[u^2 + p^{i'} r' u, p^{j'} u^2]$  for  $i' = k - i$ ,  $j' = j - i$ , and  $r' = r^{-1}$  (Lemma 2.2(a)). Since  $(u^2)^2 = u\lambda$ , substituting  $v$  for  $u^2$  in the latter term and relabeling exponents and units gives  $X \approx A[v + p^i r v^2, p^j v]$  for a unit  $r = r'/\lambda$  of  $R$ . Invertible  $R$ -column operations on

$$\begin{pmatrix} 1 & p^j \\ p^{i'} & 0 \end{pmatrix}$$

reduce to the case that  $X \approx A(v + p^i r v^2, p^{i+j} v^2)$ . However,  $Q^* = Q(u) = Q(v)$  with  $v^3 = \lambda^2$ , a unit of  $R$ . Thus, Lemma 2.2, with  $u$  replaced by  $v$ , is true. The argument of the first case then shows that  $X \approx A[v, p^t v^2]$  for some  $t$ . Hence, by Lemma 2.2,  $X \approx A[u^2, p^t \lambda u] \approx A[u^2, p^t u] \approx A[p^t u, u^2] \approx A[u, p^t u^2]$ , as desired.

For finite rank torsion-free  $R$ -modules  $G$  and  $H$ , define  $S_G(H)$  to be the

image of the evaluation map  $\text{Hom}(G, H) \otimes_R G \rightarrow H$ . Fundamental properties of  $S_G(-)$  are given in [A2, Chapter 5] for torsion-free abelian groups of finite rank.

**Proposition 2.4.** (a) *If  $A[u, p^j u^2] \approx A[u, p^i u^2]$ , then  $i = j$ .*

(b) *There are embeddings  $A[u, p^i u^2] \rightarrow A[u, p^{i-1} u^2]$  and  $A[u, p^{i-1} u^2] \rightarrow A[u, p^i u^2]$ . In each case the image has index  $p$ .*

(c) *If  $G$  and  $H$  are indecomposable with  $p$ -rank 2 and rank 3, then  $S_G(H) = H$ .*

*Proof.* (a) can be proven as in [Z, Proposition 16] for the case  $i = 0, j = 1$ . We outline an alternate proof that avoids matrix invariants. An  $R$ -isomorphism  $\phi: A = A[u, p^i u^2] \rightarrow B = A[u, p^j u^2]$  lifts to an  $R^*$ -isomorphism of completions  $\phi^*: A^* = R^* \oplus R^* \rightarrow B^* = R^* \oplus R^*$ . Since  $\phi(u, p^i u^2) \in B$  and  $\phi^{-1}(u, p^j u^2) \in A$ , it follows from a computation of  $p$ -heights that  $i = j$ .

(b) There is a monomorphism  $f: A[u, p^{i-1} u^2] \rightarrow A[u, p^i u^2]$  induced by an  $R^*$ -endomorphism  $\phi$  of  $R^* \oplus R^*$  with  $\phi(1, 0) = (1, 0)$  and  $\phi(0, 1) = (0, p)$ . Moreover, there is a monomorphism  $f': A[u, p^i u^2] \rightarrow A[u, p^{i-1} u^2]$  induced by  $\phi'(1, 0) = (p, 0)$  and  $\phi'(0, 1) = (0, 1)$ . Note that  $f f' = p$  and  $f' f = p$ . Hence, if  $H_i = A[u, p^i u^2]$ , then  $pH_i$  is contained in image  $f$ . But  $p$ -rank  $H_i = 2$  and  $H_i$  is not isomorphic to  $H_{i-1}$  by (b). It follows that  $H_i/\text{image } f \approx R/pR$ . Similarly,  $H_{i-1}/\text{image } f' \approx R/pR$ .

(c) For  $i \geq 1$  and for  $\phi'$  and  $\phi$  defined as in the proof of (b), there is  $g: A[p^{i-1} u, u^2] \rightarrow A[p^i u, u^2]$  induced by  $\phi'$  and  $g': A[p^i u, u^2] \rightarrow A[p^{i-1} u, u^2]$  induced by  $\phi$  with  $g g' = p$  and  $g' g = p$ . It now follows that if  $G_i = A[p^i u, u^2]$ , then  $G_i/\text{image } g \approx R/pR \approx G_{i-1}/\text{image } g'$ .

In view of Theorem 2.3, it is sufficient to show that

$$f \oplus \delta_i g \delta_{i-1}^{-1}: H_{i-1} \oplus H_{i-1} \rightarrow H_i \quad \text{and} \quad f' \oplus \delta_{i-1} g' \delta_i^{-1}: H_i \oplus H_i \rightarrow H_{i-1}$$

are onto, for  $\delta_i$  the isomorphism  $G_i = A[p^i u, u^2] \rightarrow A[u, p^i u^2] = H_i$  given in Lemma 2.2(b). Assume that  $f \oplus \delta_i g \delta_{i-1}^{-1}$  is not onto. Since  $H_i/pH_i \approx R/pR \oplus R/pR$  and  $pH_i$  is properly contained in both the image of  $f$  and the image of  $\delta_i g \delta_{i-1}^{-1}$ , it follows that  $\text{image } f = \text{image } \delta_i g \delta_{i-1}^{-1}$ . Hence,  $f \delta_{i-1}(G_{i-1}) = \delta_i g(G_{i-1})$ . But this is a contradiction, as can be seen by observing that  $f$  is a restriction of  $\phi$  and  $g$  is a restriction of  $\phi'$ . The proof that  $f' \oplus \delta^{-1} g'$  is onto is analogous.

**Lemma 2.5.** *Assume that  $X$  is a finite rank  $R$ -module with submodule  $K$  such that  $A = X/K \approx A[u]$  or  $A[u, p^i u^2]$  for some  $i \geq 0$ . If  $S_A(X) = X$ , then  $K$  is a summand of  $X$ .*

*Proof.* It suffices to prove that  $\text{End}(A[u])$  and  $\text{End}(A[u, p^i u^2])$  are commutative. This is a consequence of [AR2, Theorems 5.6 and 5.8] as the abelian group proof therein carries over to modules over discrete valuation rings. Recall that  $A[u]$  has  $p$ -rank 1 and is reduced. Hence its completion is isomorphic to  $R^*$ . In particular,  $\text{End}(A[u])$  is isomorphic to a subring of  $R^*$ . Moreover,  $A[u, p^i u^2]$  is quasi-isomorphic to  $A[u, u^2]$  which is the dual of  $R^*$ , as noted above. Thus,  $Q\text{End}(A[u, p^i u^2]) = Q\text{End}(A[u, u^2]) = Q\text{End}(R^*) = QR^*$ . It follows that  $\text{End}(A[u, p^i u^2])$  is commutative.

**Theorem 2.6.** *If  $X$  is a finite rank  $R$ -module, then  $X$  is the direct sum of modules of rank  $\leq 3$ .*

*Proof.* Choose pure strongly indecomposable submodules  $X_i$  of  $X$  with  $X/(X_1 \oplus \cdots \oplus X_m)$   $p^k$ -bounded. Each  $X_j$  is isomorphic to  $R, R^*, Q, A[u]$ , or  $A[u, p^r u^2]$  for some  $r \geq 0$  by Lady's theorem, Lemma 2.1, and Theorem 2.3. If  $X_i$  is isomorphic to the pure injective module  $R^*$  or  $Q$ , then  $X_i$  is a summand of  $X$ . Moreover, if  $X_i \approx R$ , then  $X$  has a cyclic summand, since  $X$  modulo the pure submodule generated by  $\{X_j: j \neq i\}$  is isomorphic to  $R$ .

We may now assume that each  $X_j$  is isomorphic to  $A[u]$  of some  $A[u, p^i u^2]$ . By induction on rank  $X$  and  $|X/(X_1 \oplus \cdots \oplus X_m)|$ , it suffices to further assume that  $X/(X_1 \oplus \cdots \oplus X_m) \approx R/pR$  and prove that  $X$  has a summand of rank  $\leq 3$ . Write  $X = (X_1 \oplus \cdots \oplus X_m) + R(x_1 + \cdots + x_m)/p$ . Let  $K$  be the pure submodule of  $X$  generated by  $\{X_j: j \neq 1\}$  and  $A = X/K$ , quasi-isomorphic to  $X_1$ . Then  $A$  has  $p$ -rank 1, rank 2 or  $p$ -rank 2, rank 3 and has no free summands, being quasi-isomorphic to a strongly indecomposable  $X_1$ . Hence,  $A$  is indecomposable [A1, Proposition 4.1].

It is now sufficient to prove that  $S_A(X) = X$ , in which case  $X$  has a summand isomorphic to  $A$  of rank  $\leq 3$  by Lemma 2.5. There is some  $Y = X_i$ , say  $i = 1$ , with  $S_Y(X_j) = X_j$  for each  $j$ . This follows from the natural exact sequence  $A[u, p^r u^2] \rightarrow A[u] \rightarrow 0$ , Proposition 2.4(c), and the assumption that each  $X_j \approx A[u]$  or  $A[u, p^r u^2]$ . Moreover, for  $A = X/K \approx X_1 + R(x_1/p)$ ,  $S_A(X_j) = X_j$  for each  $j$ , again by Proposition 2.4(c) or Lemma 2.1 and the fact that  $A$  is indecomposable.

Write  $X'_i = pX_i + Rx_i$ , an indecomposable module for the same reason that  $A$  is. For each  $i$ , there is  $y_i \in A$ , a unit  $r_i$  of  $R$ , and  $f_i: A \rightarrow X'_i$  with  $f_i(y_i) \equiv r_i x_i \pmod{pX_i}$ . This is because if  $X'_i = S_A(X'_i)$  is contained in  $pX_i$ , then  $x_i \in pX_i$  and letting  $r_i = 1$  will do. Note, for future reference, that we may as well assume that  $f_i(y_i) \equiv x_i \pmod{pX_i}$ . To see this, choose a unit  $s_i$  of  $R$  with  $1 = r_i s_i + p t_i$ ,  $t_i \in R$ . Then  $s_i f_i(y_i) \equiv x_i \pmod{pX_i}$ , as desired.

We begin with the case  $m = 2$  and find  $x \in A$  and  $g_i: A \rightarrow X'_i$  with  $g_1(x) \equiv x_1 \pmod{pX_1}$  and  $g_2(x) \equiv x_2 \pmod{pX_2}$ . If either  $f_1(y_2) \equiv s_1 x_1 \pmod{pX_1}$  or  $f_2(y_1) \equiv s_2 x_2 \pmod{pX_2}$  for units  $s_1, s_2$  of  $R$ , then let  $x = y_2$ , respectively,  $x = y_1$ . Otherwise,  $f_1(y_2) \in pX_1$  and  $f_2(y_1) \in pX_2$ . In this case, let  $x = y_1 + y_2$ . In any case, there are units  $t_i$  of  $R$  with  $f_i(x) \equiv t_i x_i \pmod{pX_i}$ . As above, choose  $g_i$  to be an appropriate  $R$ -unit multiple of  $f_i$ .

Next let  $A' = pA + Rx$ , an indecomposable submodule of  $A$  for the same reason that  $A$  is indecomposable. Restriction induces a well-defined  $\phi = g_1 \oplus g_2: A' \rightarrow pX = pX_1 \oplus pX_2 + R(x_1 \oplus x_2)$  with  $\phi(x) \in (x_1 \oplus x_2) + pX_1 \oplus pX_2$ . Since  $S_A(A') = A'$  by Proposition 2.4(c) and  $S_A(X_i) = X_i$  for each  $i$ , it follows that  $S_A(pX) = pX$  and so  $S_A(X) = X$ . This completes the proof for  $m = 2$ .

We illustrate an induction argument with  $m = 3$ . From the  $m = 2$  case  $S_A(X_{12'}) = X_{12'}$  for  $X_{12'} = pX_1 \oplus pX_2 + R(x_1 \oplus x_2)$ . Consequently, there is  $x \in A$  and  $g_{12}: A \rightarrow X_{12'}$  with  $g_{12}(x) \equiv (x_1 \oplus x_2) \pmod{pX_1 \oplus pX_2}$ . Otherwise,  $x_1 \oplus x_2 \in S_A(X_{12'}) = X_{12'}$  is contained in  $pX_1 \oplus pX_2$ . Recall that there is  $y_3 = A$  and  $f_3: A \rightarrow X'_3$  with  $f_3(y_3) \equiv x_3 \pmod{pX_3}$ . If  $f_3(x) \equiv s_3 x_3 \pmod{pX_3}$  for some unit  $s_3$  of  $R$ , then let  $a = x$ . If  $g_{12}(y_3) \equiv s(x_1 \oplus x_2) \pmod{pX_1 \oplus pX_2}$  for some unit  $s$  of  $R$ , then let  $a = y_3$ . Otherwise, let  $a = x + y_3$ . It follows that  $a \in A$  with  $g_{12}(a) \equiv r(x_1 \oplus x_2) \pmod{pX_1 \oplus pX_2}$  and  $f_3(a) \equiv r_3 x_3 \pmod{pX_3}$  for units  $r$  and  $r_3$  of  $R$ . As in the  $m = 2$  case, we may assume that  $r = r_3 = 1$  and construct  $\phi: A' = pA + Ra \rightarrow pX$  with  $\phi(a) \equiv (x_1 \oplus x_2 \oplus x_3)$

$(\text{mod } pX_1 \oplus pX_2 \oplus pX_3)$ . It follows, as above, that  $S_A(X) = X$ .

The proof is concluded by an induction on  $m$ ; the argument for passing from  $m$  to  $m + 1$  is analogous to that of the preceding paragraph.

### 3. INDECOMPOSABLES FOR $[Q^* : Q] = n \geq 4$

The following are examples showing that  $\text{fr}(R) = \infty$  for  $[Q^* : Q] = n \geq 4$ . The detailed computations needed to verify that the modules are actually strongly indecomposable are omitted.

**Example 3.1.** Assume  $n \geq 4$ . Given  $m \geq 2$ , there is a strongly indecomposable  $R$ -module with  $p$ -rank  $m$  and rank  $2m$ .

*Proof.* *Case I:*  $\text{char } Q^* \geq 5$ . Since  $Q^*$  is purely inseparable over  $Q$ , there is  $u \in R^*$  such that  $1, u, u^2, u^3$ , and  $u^4$  are  $Q$ -independent. Let  $M$  be an  $m \times m$  simple Jordan block  $R$ -matrix, i.e., the diagonal elements of  $M$  are a fixed unit  $\lambda$  of  $R$ , the super diagonal elements are all 1's, and the remaining entries are 0. Define  $X = A[\Gamma] = (R^*)^m \cap (Q^m \oplus Q^m \Gamma)$ , where  $\Gamma = uM + u^2I_m$ , and  $R$ -module with  $p$ -rank  $m$  and rank  $2m$ .

It can be shown that  $\text{End}(X)$  is represented by the set of  $2m \times 2m$   $R$ -matrices  $\begin{pmatrix} \Pi & 0 \\ 0 & \Pi \end{pmatrix}$  with  $\Pi M = M \Pi$ . This can be seen by equating  $Q$ -coefficients  $1, u, u^2, u^3$ , and  $u^4$ . Consequently,  $Q \text{End}(X) \approx Q[M] \approx Q[x]/\langle (x - \lambda)^m \rangle$  is a ring with no nontrivial idempotents, whence  $X$  is strongly indecomposable.

*Case II:*  $\text{char } Q^* = 3$ . If there is  $u \in R^*$  with  $1, u, u^2, u^3$ , and  $u^4$   $Q$ -independent, the construction of Case I suffices. Otherwise, there are  $u, v \in R^*$  with  $u^3, v^3 \in R$  and  $1, u, v, u^2v, v^2u, u^2v^2, u^2$ , and  $v^2$  are  $Q$ -independent. Choose  $M$  as in Case I, and define  $X = A[\Gamma]$  for  $\Gamma = uM + vI$ . An argument similar to that of Case I shows that  $Q \text{End}(X) \approx Q[X]/\langle (x - \lambda)^m \rangle$  and  $X$  is strongly indecomposable.

*Case III:*  $\text{char } Q^* = 2$ . We are left with two possibilities not covered in Case I: there is  $u \in R^*$  with  $1, u, u^2$ , and  $u^3$   $Q$ -independent and  $u^4 \in R$ , or there is  $u, v \in R^*$  with  $u^2, v^2 \in R$  and  $\{1, u, v, uv\}$   $Q$ -independent. In the first case, define  $X = A[\Gamma]$  for  $\Gamma = uM + u^3I$ . An argument similar to that of Case I shows that  $X$  is strongly indecomposable.

For the second case, define  $X = A[\Gamma]$  for  $\Gamma = uM + vI$ . Once again, it can be shown that  $Q \text{End}(X)$  has no nontrivial idempotents, but the argument is slightly more complicated than the previous cases.

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