

## EXCEPTIONAL INVARIANTS IN THE PARABOLIC INVARIANT THEORY OF CONFORMAL GEOMETRY

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**ABSTRACT.** We provide a construction for the exceptional invariants of certain modules for a parabolic subgroup of a pseudo-orthogonal group. The invariant theory of these modules has applications in conformal geometry.

### 1. INTRODUCTION

With [F], Fefferman initiated a program whereby certain sorts of geometric questions are reduced to problems in the invariant theory of modules for parabolic subgroups  $P \subset G$  of semisimple Lie groups. Problems in the geometry of projective, conformal and CR structures can be approached in this way (see [Gr] for a review). In each case, the invariant theory problem is to list all the invariants of the relevant  $P$ -module—where by an *invariant*, we mean a  $P$ -equivariant polynomial map from the module to a 1-dimensional  $P$ -module. Some invariants of the modules can be constructed as linear combinations of complete contractions of tensors. Such invariants are called *Weyl invariants* and the initial problem therefore is to determine to what extent all invariants are Weyl invariants. Fefferman [F] obtained partial answers to this question in the case that arises from the problem in CR geometry which he was considering, and also for a “model problem” (the modules  $\mathcal{H}_k$  of §2.1 below) that turned out (see [EG]) to have an interesting interpretation in conformal geometry.

Recently Gover obtained a complete answer to a question of this sort pertaining to projective structures [Go] and following this, Bailey, Eastwood, and Graham [BEGr] solved both Fefferman’s original problems and a similar problem (for the module  $\mathcal{H}$  of §2.2 below) connected with invariants of conformal structures. Every invariant can be written as a sum of an *odd* invariant and an *even* invariant, where “odd” and “even” refer to behavior under orientation reversal. Also, every invariant can be written as a sum of invariants, each of which is homogeneous as a polynomial. For this reason, we consider only invariants that are odd or even, and homogeneous of some *degree* which we denote by  $d$ .

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Invariants which are not Weyl invariants are termed *exceptional*. For the problems related to conformal geometry, it is shown in [BEGr] that for the modules  $\mathcal{H}_k$  an invariant is exceptional if and only if it is odd and of degree  $n$ , and for  $\mathcal{H}$  an invariant is exceptional if and only if it is odd and of degree  $n/2$  (and thus  $\mathcal{H}$  has no exceptional invariants if  $n$  is odd). It is also shown in [BEGr] that there are no exceptional invariants in Fefferman’s original problem pertaining to CR geometry.

In this paper, following work of Gover [Go2] on the exceptional invariants connected with projective structures, we define *basic exceptional invariants* of  $\mathcal{H}_k$  and  $\mathcal{H}$  (see Propositions 3.1 and 4.1). Our main result is that in both cases, every exceptional invariant is a linear combinations of these (Theorems 3.2 and 4.4). For  $\mathcal{H}$ , we show also that all the basic exceptional invariants are zero when  $n$  is not a multiple of 4, and so in these cases, as for  $n$  odd, all invariants of  $\mathcal{H}$  are Weyl invariants. The basic exceptional invariants can be written down quite explicitly, and there are only a finite number in any dimension. This completes the work of [BEGr], in that we now have a means of listing all the invariants of these modules.

Our notation is almost entirely as in [BEGr]. We have tried to give a self-contained treatment, but if we have erred on the side of brevity, we refer the reader to [BEGr] for clarification.

2. DEFINITIONS

For  $n \geq 2$ , let  $W$  denote  $\mathbb{R}^{n+2}$  with coordinates

$$X^I = \begin{pmatrix} X^0 \\ X^i \\ X^\infty \end{pmatrix}, \quad i = 1, \dots, n,$$

and let  $\mathcal{C}$  denote the null cone of the quadratic form  $\tilde{g}$  given by

$$\tilde{g}_{IJ}X^IX^J = 2X^0X^\infty + g_{ij}X^iX^j$$

where  $(g_{ij})$  is a positive definite quadratic form on  $\mathbb{R}^n$ . The quadratic form  $\tilde{g}$  provides an isomorphism of  $W$  with its dual  $W^*$  which we indicate by the use of “\*”, or by “raising and lowering indices” in the usual way. We use  $\partial_I$  to denote the coordinate derivative  $\partial/\partial X^I$ . Let  $e_0 \in \mathcal{C}$  denote the point with coordinates

$$e_0^I = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix},$$

and let  $P$  denote the parabolic subgroup of the identity-connected component  $G$  of  $O(\tilde{g})$  defined by

$$(1) \quad P = \{p \in G : pe_0 = \lambda e_0, \text{ for some } \lambda > 0\}.$$

Let  $\sigma_q$  denote the 1-dimensional representation of  $P$  where the element  $p$  in (1) above acts by  $\lambda^{-q}$ .

We write  $\mathcal{E}(k)$  for the  $(\mathfrak{g}, P)$ -module of jets at  $e_0$  of functions on  $W$  homogeneous of degree  $k$  and  $\mathcal{F}(k)$  for the  $(\mathfrak{g}, P)$ -module of jets at  $e_0$  of

functions on  $\mathcal{Q}$  homogeneous of degree  $k$ . Since all jets to which we will refer are jets at  $e_0$ , we will omit the reference point henceforth. Jets are of functions on  $W$  unless explicitly stated to be of functions on  $\mathcal{Q}$ .

We can regard the coordinates  $X^I$  as being the components of the (homogeneity 1) identity function  $X$  on  $W$ . The tensor  $\tilde{g}$ , its inverse  $\tilde{g}^{-1}$  and the associated volume form  $\tilde{\epsilon}$  all define constant fields on  $W$  and hence constant jets. We abuse notation by relying on context to determine when we are thinking of these objects as jets.

Evaluation at  $e_0$  yields a  $P$ -equivariant evaluation map

$$\text{Eval} : \mathcal{E}(k) \rightarrow \sigma_k,$$

and we use the same notation for the evaluation  $\mathcal{F}(k) \rightarrow \sigma_k$ , and to the obvious generalizations to tensor-valued fields. We define  $e \in W \otimes \sigma_1$  by

$$e = \text{Eval}(X).$$

Let  $\Delta$  denote the (indefinite) Laplacian  $\tilde{g}^{IJ} \partial_I \partial_J$ . (We use the summation convention throughout.) The operator  $D$  is defined on jets of functions or tensor fields homogeneous of degree  $s$  on  $\mathcal{Q}$ , where  $n + 2s \neq 2$ , by

$$(2) \quad D_I f = \left( \partial_I f - \frac{X_I \Delta f}{(n + 2s - 2)} \right) \Big|_{\mathcal{Q}},$$

where an extension of  $f$  off  $\mathcal{Q}$  has been chosen—the result is independent of the choice. If  $f \in \mathcal{F}(r)$  with  $n + 2r \neq 0$ , then

$$(3) \quad D_I(X^I f) = \frac{(n + 2r + 2)(n + r)}{n + 2r} f,$$

and the same applies if  $f$  has indices.

Choose a point  $B \in W^*$  with  $B(e_0) \neq 0$ . Then

$$\xi = \frac{B}{B(X)}$$

defines a jet of homogeneity  $-1$  taking values in  $W^*$  and satisfying  $\xi(X) = 1$ . Define a jet  $\eta$  taking values in  $\Lambda^n W$  and of homogeneity zero by

$$(4) \quad \eta = \xi \lrcorner \tilde{\epsilon}_0,$$

where  $\tilde{\epsilon}_0$  is the jet  $X^* \lrcorner \tilde{\epsilon}$ . It is easy to check (using  $g_{IJ} X^I X^J|_{\mathcal{Q}} = 0$ ) that

$$(5) \quad \tilde{\epsilon}_0|_{\mathcal{Q}} = (X \wedge \eta)|_{\mathcal{Q}}.$$

We need to know how  $\eta$  depends on the choice of  $B$ . Let  $\hat{\xi}$  and  $\hat{\eta}$  be the quantities defined as above, but starting with a different point  $\hat{B} \in W^*$ . A short calculation shows that there exists a jet  $\rho$  taking values in  $\Lambda^{n-1} W$  such that

$$(6) \quad \hat{\eta}|_{\mathcal{Q}} = (\eta + X \wedge \rho)|_{\mathcal{Q}}.$$

**2.1. The scalar  $P$ -modules.** Let  $\mathcal{H}(k)$  denote the  $(\mathfrak{g}, P)$ -submodule of  $\mathcal{E}(k)$  consisting of those elements which are *harmonic* with respect to  $\Delta$ . The harmonic polynomials in  $\mathcal{H}(k)$  form a  $(\mathfrak{g}, P)$ -submodule, and we denote by  $\mathcal{H}_k$  the corresponding quotient. As a  $P$ -module,  $\mathcal{H}_k$  can be regarded as those jets that vanish to order  $k + 1$  at  $e_0$ , and then as a  $P$ -module  $\mathcal{H}(k)$  is a direct

sum of the harmonic polynomials with  $\mathcal{H}_k$ . Algebraically, elements of  $\mathcal{H}_k$  are given by lists of tensors. In fact ([BEGr, Proposition 1.2], following [EG]):

$$(7) \quad \mathcal{H}_k = \left\{ (T^{(k+1)}, T^{(k+2)}, \dots) : T^{(l)} \in \odot_0^l W^* \otimes \sigma_{k-l}, \right. \\ \left. e \lrcorner T^{(l+1)} = (k-l)T^{(l)} \text{ for } l > k \text{ and } e \lrcorner T^{(k+1)} = 0 \right\},$$

where the symbol “ $\odot_0^l$ ” denotes the trace-free part of the  $l$ -fold symmetric tensor product. Given a jet  $f$ , the isomorphism is obtained by setting

$$T^{(l)} = \text{Eval}(\partial^l f), \quad l \geq k + 1,$$

where “ $\partial^l$ ” denotes the  $l$ -fold derivative.

**2.2. The curvature  $P$ -module.** For  $l \geq 0$  and  $n \geq 3$  we denote by  $W^{(l)}$  the  $G$ -submodule of  $\otimes^{l+4} W^*$  consisting of totally trace-free tensors enjoying the following symmetries

$$T_{IJKL, AB \dots D} = T_{[IJ][KL], (AB \dots D)}, \\ T_{[IJK]L, AB \dots D} = 0, \quad T_{IJ[KL, A]B \dots D} = 0,$$

where we use parentheses and square brackets to denote symmetrization and antisymmetrization respectively. The comma is merely a marker separating the first four indices from the remaining  $l$ .

Let  $\mathcal{H}$  denote the  $P$ -module of jets of functions  $f$  on  $W$  homogeneous of degree  $-2$ , taking values in  $W^{(0)}$  and satisfying

$$X^L f_{IJKL} = 0, \quad \partial_H f_{IJKL} = 0$$

to all orders. As a  $P$ -module ([BEGr, Proposition 4.1]),

$$\mathcal{H} = \left\{ (T^{(0)}, T^{(1)}, \dots) : T^{(l)} \in W^{(l)} \otimes \sigma_{-l-2}, \right. \\ \left. e^L T_{IJKL, AB \dots D}^{(l+1)} = -(l+1)T_{IJK(A, B \dots D)}^{(l)} \text{ for } l \geq 0, \quad e^L T_{IJKL}^{(0)} = 0 \right\},$$

with the correspondence being given by  $T^{(l)} = \text{Eval}(\partial^l f)$ .

We will move freely between thinking of elements of  $\mathcal{H}_k$  or  $\mathcal{H}$  as lists of tensors and thinking of them as jets.

**2.3. Weyl invariants.** An odd Weyl invariant of  $\mathcal{H}_k$  or  $\mathcal{H}$  of degree  $d$  is a linear combination of complete contractions each of which is of one of the forms

$$\text{contr}(\tilde{\epsilon} \otimes T^{(l_1)} \otimes T^{(l_2)} \otimes \dots \otimes T^{(l_d)} \otimes \tilde{g}^{-1} \otimes \dots \otimes \tilde{g}^{-1}), \\ \text{contr}(\tilde{\epsilon}_0 \otimes T^{(l_1)} \otimes T^{(l_2)} \otimes \dots \otimes T^{(l_d)} \otimes \tilde{g}^{-1} \otimes \dots \otimes \tilde{g}^{-1})$$

and each taking values in  $\sigma_q$  for some fixed  $q$ . In the case of  $\mathcal{H}_k$ , we have  $l_i \geq k + 1$ , and for  $\mathcal{H}$  we have  $l_i \geq 0$ . Note that we use the same notation  $\tilde{\epsilon}_0$  for the jet  $\tilde{\epsilon}_0 = X^* \lrcorner \tilde{\epsilon}$ , as in (4), and for its image under evaluation at  $e_0$ , which is  $e^* \lrcorner \tilde{\epsilon}$  as immediately above. It should always be clear from context which is meant.

An invariant which is not a Weyl invariant is *exceptional*. An odd invariant of  $\mathcal{H}_k$  is exceptional if and only if it is of degree  $n$  and an odd invariant of  $\mathcal{H}$  is exceptional if and only if it is of degree  $n/2$  [BEGr, Theorems 1.7, 2.8, 4.2 & 5.3]. By elementary symmetry arguments, there are no non-zero odd invariants of  $\mathcal{H}_k$  of degree  $< n$  nor of  $\mathcal{H}$  of degree  $< n/2$  [BEGr]. (There is a similar

definition of even Weyl invariant—there are no even exceptional invariants of either  $\mathcal{H}_k$  or  $\mathcal{H}$ .)

### 3. THE SCALAR CASE

Let  $B, \eta$  be as in §2.

**Proposition 3.1.** *Let  $f \in \mathcal{H}_k$ , and denote by  $\partial^{k+1} f$  the (tensor-valued) jet obtained by  $(k + 1)$ -fold coordinate differentiation of  $f$ . Let  $L$  be a partial contraction of the form*

$$\text{partcontr}(\eta \otimes \underbrace{\partial^{k+1} f \otimes \partial^{k+1} f \otimes \dots \otimes \partial^{k+1} f}_n \otimes \tilde{g}^{-1} \otimes \dots \otimes \tilde{g}^{-1}),$$

taking values in  $\otimes^l W \otimes \sigma_{-n}$  for  $l \geq 0$  and such that all the indices of  $\eta$  are contracted. Then

$$I = \text{Eval} \left( \underbrace{D_I \dots D_K}_l L^{I \dots K} \right)$$

is independent of the choice of  $B$  and is an odd invariant of  $\mathcal{H}_k$  of degree  $n$ . We call such invariants basic exceptional.

*Proof.* The indices of  $\eta$  are necessarily contracted into the  $\partial^{k+1} f$ 's. Since  $X \lrcorner \partial^{k+1} f = 0$  by Euler's equation, it follows from (6) that  $L|_{\mathcal{Q}}$  and hence  $I$  are independent of the choice of  $B$ , and therefore  $I$  is an invariant.  $\square$

To obtain an expression for  $I$ , one uses the definition of  $D$  in (2) and calculates using  $\partial_A \xi_B = -\xi_A \xi_B$ . The end result, having simplified also with the linking relations in (7), is that  $I$  is a linear combination of complete contractions of  $\eta, \tilde{g}^{-1}, \xi$  and derivatives of  $f$ . It is straightforward to check explicitly for particular small values of  $k$  and  $n$  that there exist non-zero examples of basic exceptional invariants and that, in particular, there exist examples which depend non-trivially on the  $T^{(l)}$  for  $l > k + 1$ . The analogous result for the curvature case is discussed in more detail in the following sections.

Our main result in the scalar case is:

**Theorem 3.2.** *Every exceptional invariant of  $\mathcal{H}_k$  is a linear combination of basic exceptional invariants.*

Our starting point in proving the theorem is the same as that used in [BEGr] to show that odd invariants of degree  $> n$  are Weyl invariants. Combining Proposition 2.5 and Lemma 2.4 of [BEGr] and specializing to the case of odd invariants of degree  $n$ , we obtain:

**Proposition 3.3.** *Let  $I : \mathcal{H}_k \rightarrow \sigma_q$  be an odd invariant of degree  $n$ . Then there exists a  $(\mathfrak{g}, P)$ -equivariant mapping  $\tilde{I} : \mathcal{H}_k \rightarrow \mathcal{F}(q)$  with  $\text{Eval}(\tilde{I}) = I$  and a linear combination  $\tilde{C}$  of partial contractions of the tensor-valued jets  $\partial^l f$  ( $l \geq k + 1$ ),  $X, \tilde{g}^{-1}$  and  $\tilde{\epsilon}_0$  such that as jets on  $\mathcal{Q}$ ,*

$$(8) \quad \tilde{C}^{\overbrace{AB \dots E}^m} = X^A X^B \dots X^E \tilde{I},$$

not every partial contraction in  $\tilde{C}$  contains an  $X$ , and  $m \leq 1 - n - q$ .

**Lemma 3.4.** *Let  $I$  and  $\tilde{C}$  be as in Proposition 3.3, and let  $I$  be non-zero. Then  $m = 1 - n - q$ .*

*Proof.* Equation (8) is between jets of homogeneity  $\leq 1 - n$ , and so  $D$  can be applied  $m$  times to both sides. (Recall from (2) that  $D$  can be applied to jets of homogeneity  $s$  provided  $n + 2s \neq 2$ .) Evaluating at  $e_0$ , we obtain

$$\text{Eval}(D_A D_B \cdots D_E \tilde{C}^{AB \cdots E}) = \text{Eval}(D_A D_B \cdots D_E (X^A X^B \cdots X^E \tilde{I})).$$

Suppose  $m < 1 - n - q$ . Applying (3)  $m$  times with  $r$  taking the values  $q + m - 1, q + m - 2, \dots, q$ , we see that the right-hand side is a non-zero multiple of  $I$ . Expanding using the definition (2) of  $D$ , the left-hand side is seen to be a Weyl invariant (cf. [BEGr, Proof of Theorem 2.8]), but every odd Weyl invariant of degree  $n$  is zero, and hence  $I$  is zero, contrary to hypothesis.  $\square$

*Proof of Theorem 3.2.* Let  $I$  and  $\tilde{C}$  be as in Proposition 3.3, with  $I$  non-zero, so that by Lemma 3.4, we may assume  $m = 1 - n - q$ . Write  $\tilde{C}$  as a sum

$$\tilde{C} = \tilde{C}_0 + \tilde{C}_x,$$

where  $\tilde{C}_0$  consists of the sum of those terms containing no  $X$ 's (here, as in Proposition 3.3, we assume that any contracted  $X$ 's have been eliminated using Euler's equation). By taking the symmetric parts if necessary, we can assume that  $\tilde{C}_0$  and  $\tilde{C}_x$  are separately symmetric. Observe that  $\tilde{C}$  has homogeneity  $1 - n$ ,  $\tilde{\epsilon}_0$  has homogeneity 1, and  $\partial^l f$  has homogeneity  $k - l$ . Since  $\tilde{C}$  has degree  $n$ , each term of  $\tilde{C}_0$  must contain exactly  $n$  occurrences of  $\partial^{k+1} f$ , exactly  $n$  indices of  $\tilde{\epsilon}_0$  are contracted into these derivatives of  $f$ , and no other derivatives of  $f$  occur.

Let  $\tilde{C}_\eta$  be obtained from  $\tilde{C}_0$  by replacing  $\tilde{\epsilon}_0^{JK \cdots M}$  with  $X^{[J} \eta^{K \cdots M]}$  and expanding the antisymmetrization. On  $\mathcal{E}$ , we have  $\tilde{C}_\eta = \tilde{C}_0$  by (5). All the terms in  $\tilde{C}_\eta$  where the  $X$  does not contribute a free (uncontracted) index vanish because  $X \lrcorner \partial^{k+1} f = 0$ . It follows that

$$\tilde{C}_\eta^{AB \cdots E} = X^{(A} \tilde{E}_\eta^{B \cdots E)},$$

where  $\tilde{E}_\eta$  is a linear combination of partial contractions of the form of  $L$  in Proposition 3.1.

Since every term in  $\tilde{C}_x$  contains an  $X$  with a free index, we may also write

$$\tilde{C}_x^{AB \cdots E} = X^{(A} \tilde{E}_x^{B \cdots E)},$$

for some linear combination of partial contraction  $\tilde{E}_x$ . Substituting into (8) and canceling an  $X$  throughout yields

$$(9) \quad \tilde{E}_\eta^{B \cdots E} + \tilde{E}_x^{B \cdots E} = \overbrace{X^B \cdots X^E}^{-n-q} \tilde{I}.$$

We can apply  $D_B \cdots D_E$  to both sides of this equation and evaluate at  $e_0$ :

$$(10) \quad \text{Eval}(D_B \cdots D_E (X^B \cdots X^E \tilde{I}))$$

is a non-zero multiple of  $I$  (by repeated use of (3)), and

$$(11) \quad \text{Eval} (D_B \dots D_E \tilde{E}_\eta^{B\dots E})$$

is a linear combination of basic exceptional invariants. The remaining term is an invariant (being the difference of two invariants), and since it is an odd Weyl invariant of degree  $n$ , it must be zero.  $\square$

#### 4. THE CURVATURE CASE

In this section, let  $n$  be even (otherwise there are no exceptional invariants of  $\mathcal{K}$ ). The proof of the following proposition is analogous to that of Proposition 3.1

**Proposition 4.1.** *Let  $f \in \mathcal{K}$ , and let  $L$  be a partial contraction of the form*

$$(12) \quad \text{partcontr}(\underbrace{\eta \otimes f \otimes f \otimes \dots \otimes f}_{n/2} \otimes \tilde{g}^{-1} \otimes \dots \otimes \tilde{g}^{-1}),$$

taking values in  $\otimes^l W \otimes \sigma_{-n}$  for  $l \geq 0$  and such that all the indices of  $\eta$  are contracted. Then

$$I = \text{Eval} \left( \underbrace{D_I \dots D_K}_l L^{I\dots K} \right)$$

is independent of the choice of  $B$  and is an odd invariant of  $\mathcal{K}$  of degree  $n$ . We call such invariants basic exceptional.

The question was raised in [BEGr] as to whether all exceptional invariants of  $\mathcal{K}$  depend only on  $T^{(0)}$ . We provide an example in section 5 of a basic exceptional invariant of  $\mathcal{K}$  for  $n = 4$ , which depends non-trivially on  $T^{(l)}$  for  $l > 0$ .

We will show that all exceptional invariants of  $\mathcal{K}$  are linear combinations of basic exceptional invariants, and also that every basic exceptional invariant is zero when  $n \equiv 2 \pmod{4}$ . We start with the second of these claims, the proof of which requires the following lemma.

**Lemma 4.2.** *Let  $f \in W^{(0)}$ , and let  $S$  be a partial contraction of  $\eta$ ,  $f$  and  $\tilde{g}^{-1}$  of the form*

$$S_{AB}^{I_{2m+1}I_{2m+2}\dots I_n} = \eta^{I_1\dots I_n} f_{I_1I_2AK_1} f_{I_3I_4L_1K_2} f_{I_5I_6L_2K_3} \dots f_{I_{2m-1}I_{2m}L_{m-1}B} \tilde{g}^{K_1L_1} \dots \tilde{g}^{K_{m-1}L_{m-1}},$$

where  $2m \leq n$ . If  $m \equiv 1 \pmod{2}$ , then

$$S_{(AB)}^{I_{2m+1}I_{2m+2}\dots I_n} = 0.$$

*Proof.* Observe that  $\eta^{I_1\dots I_n}$  is unchanged by any permutation of the  $n/2$  index pairs  $(I_1I_2), \dots, (I_{n-1}I_n)$ . Using this and the fact that each  $f$  is anti-symmetric in its third and fourth indices the result follows by straightforward calculation.  $\square$

**Proposition 4.3.** *If  $n \equiv 2 \pmod{4}$ , then every basic exceptional invariant of  $\mathcal{K}$  is zero.*

*Proof.* Let  $n \equiv 2 \pmod{4}$ . We will show that any  $L$  constructed as in Proposition 4.1 is zero or vanishes when symmetrized. Since the operator  $D_I \dots D_K$  is symmetric in the indices  $I \dots K$ , this suffices to prove the proposition.

In the expression (12) for  $L$ , all the indices of  $\eta$  are contracted, and if more than 2 such indices are contracted into the same  $f$ , the result vanishes by the symmetries of  $f$ . The result of contracting two indices of  $\eta$  into  $f$  is independent (up to a non-zero constant) of the indices chosen. We may assume therefore that the first two indices of each  $f$  are contracted into  $\eta$ .

In the expression (12), we will say that two  $f$ 's are *contracted* if there is a  $\tilde{g}^{-1}$  which has one index contracted with each of them. Consider the smallest equivalence relation on the set of  $f$ 's with the property that contracted  $f$ 's are related (i.e., two  $f$ 's are in the same equivalence class if they are joined by a chain of contractions). Since  $n/2$  is odd, at least one of these equivalence classes must have an odd number of  $f$ 's. Consider such an equivalence class of  $f$ 's, and note that it must occur as an expression like that of Lemma 4.2, with the indices  $A, B$  either contracted with  $\tilde{g}^{AB}$  or both raised with  $\tilde{g}^{-1}$  and appearing as free indices in  $L$ . In the first case, Lemma 4.2 gives that  $L = 0$ , and in the second case, it gives that  $L$  will vanish when symmetrized.  $\square$

**Theorem 4.4.** *If  $n \not\equiv 0 \pmod{4}$ , then  $\mathcal{K}$  has no exceptional invariants. If  $n \equiv 0 \pmod{4}$ , then every exceptional invariant of  $\mathcal{K}$  is a linear combination of basic exceptional invariants.*

*Proof.* For  $n$  odd, this is proved in [BEGr]. Given Proposition 4.3, it suffices to show that for all even  $n$ , every invariant is a linear combination of basic exceptional invariants. The proof of this follows the proof of Theorem 3.2, using analogues of Proposition 3.3 and Lemma 3.4.  $\square$

### 5. CLOSING REMARKS

A basic exceptional invariant of  $\mathcal{K}$  for  $n = 4$  is given by

$$J = \text{Eval}\left(D_I D_J (\eta^{ABCD} f_{AB}{}^{IK} f_{CDK}{}^J)\right),$$

where we have used  $\tilde{g}$  to raise some indices compared with the standard form given by Proposition 4.1.

Given a list of tensors  $(u^{(l)}, l = 0, 1, 2, \dots)$  on  $\mathbb{R}^n$ , where each  $u^{(l)}$  has the symmetries of the corresponding  $T^{(l)}$  and is trace-free with respect to  $g$ , one can construct an element of  $\mathcal{K}$  such that  $T_{ijkl, ab\dots d}^{(l)} = u_{ijkl, ab\dots d}^{(l)}$  and all the  $\infty$ -components of the  $T^{(l)}$ 's vanish (see [BEGr, §5]). On such elements, it can be shown by straightforward calculation that the invariant  $J$  is equal to

$$-\frac{3}{16} \epsilon^{abcd} u_{abijk}^{(1)} u_{cd}^{(1)ijk}.$$

This does not vanish identically, and so the invariant depends non-trivially on  $T^{(l)}$  for  $l > 0$ .

The connection between the invariant theory of  $\mathcal{K}$  and the problem of invariants of conformal structures is not straightforward (see [BEGr] for an outline and [FG2] for details), but it was the existence of this invariant of  $\mathcal{K}$  which led Eastwood and the authors to conjecture the existence of, and thus construct, the odd invariant of 4-dimensional conformal structures given in [BEGo].

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