

COMMUTING HOLOMORPHIC FUNCTIONS AND HYPERBOLIC AUTOMORPHISMS

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ABSTRACT. We give a complete classification of the holomorphic self-maps of the unit ball of \mathbf{C}^n into itself which commute with a given hyperbolic automorphism.

INTRODUCTION

Let Δ be the unit disc of \mathbf{C} , and let γ be a hyperbolic automorphism of Δ . In 1941 M.H. Heins (see [6]) proved that, if a holomorphic map $f \in Hol(\Delta, \Delta)$ from the unit disc Δ into itself commutes with γ (under composition), then f is either the identity map on Δ , or it is a hyperbolic automorphism of Δ with the same fixed points of γ .

If one considers the unit ball Δ_n of \mathbf{C}^n for $n > 1$, then the study of the class of all holomorphic maps $f \in Hol(\Delta_n, \Delta_n)$ which commute with a given hyperbolic automorphism γ of Δ_n is still open. The author, together with Gentili (see [4]), contributed to this subject by obtaining information on the “structure” of the maps f which commute with γ , under the hypothesis of “regularity” at one of the fixed points of γ in $\partial\Delta_n$.

In this paper a complete classification of all the holomorphic maps of Δ_n into itself which commute with a given hyperbolic automorphism γ of Δ_n , for $n > 1$, is obtained (Theorem 2.5). In dimension greater than one, the results turn out to be very different from those obtained by Heins for the unit disc of \mathbf{C} . A map $f \in Hol(\Delta_n, \Delta_n)$ which commutes with a hyperbolic automorphism γ need not be an automorphism of Δ_n ; instead a large class of non-automorphisms which commute with γ is found and classified (Theorem 2.5 and Corollary 2.7).

In dimension 2, the results obtained also provide information on the fixed points set of a map f which commutes with γ ; still the results differ much from those obtained in the one-dimensional case (Proposition 2.9).

Preliminaries and notation can be found in [10], [1] and [4].

1. PRELIMINARY RESULTS

In this section we recall some results which will be useful in the sequel. The proof of the following theorem can be found, *e.g.*, in [1].

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Theorem 1.1. *Each element γ of the group $\text{Aut}\Delta_n$ can be extended holomorphically to an open neighborhood of $\bar{\Delta}_n$ and, if $\gamma \neq \text{id}_{\Delta_n}$, then either γ has at least one fixed point in Δ_n , or it has no fixed points in Δ_n and it has one or two fixed points in $\partial\Delta_n$.*

The following definition is also classical.

Definition 1.1. If γ has some fixed points in Δ_n , then it is called *elliptic*; if γ has no fixed points in Δ_n and one fixed point in $\partial\Delta_n$, then it is called *parabolic*; if γ has no fixed points in Δ_n and two fixed points in $\partial\Delta_n$, then it is called *hyperbolic*.

In 1941 M.H. Heins proved the following

Theorem 1.2. *Let γ be a hyperbolic automorphism of Δ , and let $f \in \text{Hol}(\Delta, \Delta)$ be such that $f \circ \gamma = \gamma \circ f$. Then either $f = \text{id}_\Delta$ or f is a hyperbolic automorphism of Δ with the same fixed points of γ .*

A proof of the above theorem can be found in [6] (for a more recent exposition of this and related results, see [1]): the proof relies upon the existence of the derivative of f at the Wolff point.

From now on γ will be a hyperbolic element of $\text{Aut}\Delta_n$. Since $\text{Aut}\Delta_n$ acts doubly transitively on $\partial\Delta_n$, we can suppose, up to conjugation in $\text{Aut}\Delta_n$, that the fixed points of γ in $\partial\Delta_n$ are e_1 and $-e_1$, where e_j denotes the j -th element of the standard basis of \mathbf{C}^n . Such a hyperbolic automorphism γ of Δ_n can be expressed, up to conjugation in $\text{Aut}\Delta_n$, by

$$(1.1) \quad \gamma(z) = \frac{(\cosh t_0 z_1 + \sinh t_0, e^{i\theta_2} z_2, \dots, e^{i\theta_n} z_n)}{\sinh t_0 z_1 + \cosh t_0},$$

where $t_0 \in \mathbf{R} - \{0\}$ and $\theta_2, \dots, \theta_n \in \mathbf{R}$. Therefore, if γ is a hyperbolic automorphism of Δ_n and if $f \in \text{Hol}(\Delta_n, \Delta_n)$, then in the search for the solutions of equation $f \circ \gamma = \gamma \circ f$, we can suppose that γ is given by (1.1).

The following result is due to de Fabritiis and Gentili (see [4]).

Proposition 1.3. *Let $\gamma \in \text{Aut}\Delta_n$ be a hyperbolic automorphism as in (1.1), and let $f = (f_1, \dots, f_n) \in \text{Hol}(\Delta_n, \Delta_n)$. If $f \circ \gamma = \gamma \circ f$, then there exists $t_1 \in \mathbf{R}$ such that*

$$(1.2) \quad f_1(z_1, 0, \dots, 0) = \frac{\cosh t_1 z_1 + \sinh t_1}{\sinh t_1 z_1 + \cosh t_1}.$$

The next result also appears in [4] and completely determines the behaviour of f on the disc $\Delta \times \{0\}$.

Proposition 1.4. *Let $\gamma \in \text{Aut}\Delta_n$ be a hyperbolic automorphism as in (1.1), and let $f = (f_1, \dots, f_n) \in \text{Hol}(\Delta_n, \Delta_n)$ be such that $f \circ \gamma = \gamma \circ f$. Then $f_2(z_1, 0, \dots, 0) = \dots = f_n(z_1, 0, \dots, 0) = 0$ for all $z_1 \in \Delta$.*

Now, if $f \in \text{Hol}(\Delta_n, \Delta_n)$ is a map which commutes with the holomorphic automorphism γ defined by (1.1), we want to study the behaviour of f outside the disk $\Delta \times \{0\}$. At first we “transfer” the problem to the Siegel upper half-space $H_n = \{w \in \mathbf{C}^n : \text{Im } w_1 > |w_2|^2 + \dots + |w_n|^2\}$ via the Cayley transform \mathcal{C} from Δ_n to H_n given by

$$\mathcal{C}(z) = \left(i \frac{1 + z_1}{1 - z_1}, \frac{iz_2}{1 - z_1}, \dots, \frac{iz_n}{1 - z_1} \right).$$

If $F = \mathcal{C} \circ f \circ \mathcal{C}^{-1}$ and $\mu = \mathcal{C} \circ \gamma \circ \mathcal{C}^{-1}$, then $\mu \in \text{Aut}H_n$ and the fact that f and γ commute is equivalent to the fact that F and μ commute.

An expression for μ is easily recovered from the form of γ (see (1.1)); it turns out that

$$(1.3) \quad \mu(w) = (\lambda^2 w_1, e^{i\theta_2} \lambda w_2, \dots, e^{i\theta_n} \lambda w_n),$$

where $\lambda = e^{t_0}$ (hence, by our assumptions, $\lambda \neq 1$). By Proposition 1.4 and by the definition of the Cayley transform we obtain:

Corollary 1.5. *Let μ be a hyperbolic automorphism of H_n given by (1.3), and let $F : H_n \rightarrow H_n$ be holomorphic and such that $F \circ \mu = \mu \circ F$. Then there exists $k > 0$ such that*

$$(1.4) \quad F_1(w_1, 0, \dots, 0) = k^2 w_1$$

and that

$$(1.5) \quad F_2(w_1, 0, \dots, 0) = \dots = F_n(w_1, 0, \dots, 0) = 0.$$

2. MAIN RESULTS

In this section we study the family of all holomorphic self-maps of Δ_n which commute with a given hyperbolic automorphism of Δ_n ($n \geq 1$) without any condition on the “regularity” of the self-maps. As we have seen, via the Cayley transform, this is equivalent to studying the family of all holomorphic self-maps F of the Siegel upper half-space H_n which commute with a hyperbolic automorphism μ of H_n given by (1.3).

We know that there exists $k \in \mathbf{R}^+$ such that $F_1(w_1, 0, \dots, 0) = k^2 w_1$ and $F_j(w_1, 0, \dots, 0) = 0$ for all $j \geq 2$ and all $w_1 \in H_1$ (see Corollary 1.5). Now equation (1.5) has very strong consequences on the form of F_2 if $n = 2$: since $F_2(w_1, 0) = 0$, for all $w_1 \in H_1$, then we can find a function h , holomorphic on H_2 , such that $F_2(w) = w_2 h(w)$. The fact that F and μ commute yields that $e^{i\theta_2} w_2 h(\mu(w)) = e^{i\theta_2} w_2 h(w)$ for all $w \in H_2$. Therefore

$$(2.1) \quad h(\mu(w)) = h(w) \quad \forall w \in H_2.$$

In fact, if $w_2 \neq 0$, equation (2.1) is obviously satisfied and, by continuity, it holds for all $w \in H_2$.

Equation (2.1) suggests the investigation of the action of the subgroup generated by μ on H_2 , or on H_n for $n \geq 1$. Let $\Gamma = \{\mu^m, m \in \mathbf{Z}\}$ be the subgroup of $\text{Aut}H_n$ generated by μ .

Proposition 2.1. Γ acts freely and properly discontinuously on H_n .

Proof. The fact that $\lambda \neq 1$ in (1.3) implies that Γ acts freely on H_n . To prove that Γ acts properly discontinuously, we can consider the case in which $\lambda > 1$ (otherwise we consider μ^{-1} instead of μ). Let $\tilde{w} \in H_n$ and set

$$U(\tilde{w}) = \overline{B(\tilde{w}, \rho)} \cap \{w \in \mathbf{C}^n : |\text{Im } \tilde{w}_1 - \text{Im } w_1| \leq \frac{1}{4} (1 - \frac{1}{\lambda^2}) \text{Im } \tilde{w}_1\},$$

where $B(\tilde{w}, \rho)$ is the ball of center \tilde{w} and euclidean radius ρ in \mathbf{C}^2 . If $\rho \ll 1$, then $U(\tilde{w})$ is a compact neighborhood of \tilde{w} contained in H_n .

Now we prove that, if there exists $s \in \mathbf{Z}$ such that $\mu^s(U(\tilde{w})) \cap U(\tilde{w}) \neq \emptyset$, then $s = 0$. Let $w \in U(\tilde{w})$ be such that $\mu^s(w) \in U(\tilde{w})$. Since $w \in U(\tilde{w})$, we have

$$(2.2) \quad \operatorname{Im} w_1 \geq \left(1 - \frac{1}{4}\left(1 - \frac{1}{\lambda^2}\right)\right) \operatorname{Im} \tilde{w}_1 \geq \frac{3}{4} \operatorname{Im} \tilde{w}_1.$$

The fact that $\mu^s(w)$ belongs to $U(\tilde{w})$ implies now that

$$|\operatorname{Im} \tilde{w}_1 - \lambda^{2s} \operatorname{Im} w_1| \leq \frac{1}{4}\left(1 - \frac{1}{\lambda^2}\right) \operatorname{Im} \tilde{w}_1$$

and hence

$$\begin{aligned} |\lambda^{2s} - 1| \operatorname{Im} w_1 &= |\operatorname{Im} w_1 - \lambda^{2s} \operatorname{Im} w_1| \\ &\leq |\operatorname{Im} w_1 - \operatorname{Im} \tilde{w}_1| + |\lambda^{2s} \operatorname{Im} w_1 - \operatorname{Im} \tilde{w}_1| \leq \frac{1}{2}\left(1 - \frac{1}{\lambda^2}\right) \operatorname{Im} \tilde{w}_1. \end{aligned}$$

This in turn implies that

$$\operatorname{Im} w_1 \leq \frac{1}{2}\left(1 - \frac{1}{\lambda^2}\right) \operatorname{Im} \tilde{w}_1 |\lambda^{2s} - 1|^{-1}.$$

If $s > 0$, since $\lambda > 1$, then $\lambda^{2s} - 1 \geq \lambda^2 - 1$, and therefore we obtain that

$$\operatorname{Im} w_1 \leq \frac{1}{2}\left(1 - \frac{1}{\lambda^2}\right) \operatorname{Im} \tilde{w}_1 (\lambda^2 - 1)^{-1} = \frac{1}{2\lambda^2} \operatorname{Im} \tilde{w}_1 < \frac{3}{4} \operatorname{Im} \tilde{w}_1,$$

which contradicts (2.2).

If $s < 0$, then $|\lambda^{2s} - 1| = 1 - \lambda^{2s} \geq 1 - \lambda^{-2}$, therefore we have

$$\operatorname{Im} w_1 \leq \frac{1}{2}\left(1 - \frac{1}{\lambda^2}\right) \operatorname{Im} \tilde{w}_1 (1 - \frac{1}{\lambda^2})^{-1} = \frac{1}{2} \operatorname{Im} \tilde{w}_1 < \frac{3}{4} \operatorname{Im} \tilde{w}_1,$$

which again contradicts (2.2). In conclusion $s = 0$ and therefore Γ acts properly discontinuously on H_n . □

Let μ be a hyperbolic automorphism of H_n as in (1.3), and let $F \in \operatorname{Hol}(H_n, H_n)$ be such that $F \circ \mu = \mu \circ F$. We will now prove a result on the structure of the last $n - 1$ components of F .

Let j be a natural number such that $1 \leq j \leq n$, and define Γ_j to be the subgroup of $\operatorname{Aut} H_j$ generated by the holomorphic automorphism of H_j given by $(w_1, \dots, w_j) \mapsto (\lambda^2 w_1, \lambda e^{i\theta_2} w_2, \dots, \lambda e^{i\theta_j} w_j)$, *i.e.* by the “restriction” of μ to H_j . Then Proposition 2.1 implies that Γ_j acts freely and properly discontinuously on H_j for all $j \leq n$, and therefore we can endow $X_j = H_j/\Gamma_j$ with a complex structure such that the projection π_j from H_j to X_j is holomorphic.

We will find a suitable form of the m -th component F_m of F , for $2 \leq m \leq n$. Let $\ln : H_1 \rightarrow \mathbf{C}$ be a branch of the logarithm on the upper half-plane in \mathbf{C} and recall that, by Corollary 1.5, $F_m(w_1, 0, \dots, 0) = 0$ for all $2 \leq m \leq n$.

Proposition 2.2. *Let $F : H_n \rightarrow H_n$ be a holomorphic map which commutes with the hyperbolic automorphism μ given by (1.3). Then there exist $(n - 1)^2$ holomorphic functions $\hat{g}_{jm} : X_j \rightarrow \mathbf{C}$ such that the m -th component F_m of F is given by*

$$F_m(w) = k \sum_{j=2}^n w_j e^{i(\theta_m - \theta_j) \log w_1 / 2 \log \lambda} \hat{g}_{jm}(\pi_j(w_1, \dots, w_j)).$$

Proof. First of all we prove that $F_m(w) = k \sum_{j=2}^n w_j g_{jm}(w_1, \dots, w_j)$, where g_{jm} are suitable holomorphic functions on H_j .

Since $F_m(w_1, 0, \dots, 0) = 0$, the map $w_2 \mapsto F_m(w_1, w_2, 0, \dots, 0)$ is equal to 0 if $w_2 = 0$, and hence there exists a holomorphic map on H_2 , say g_{2m} , such that

$$F_m(w_1, w_2, 0, \dots, 0) = kw_2g_{2m}(w_1, w_2).$$

Now the map $w_3 \mapsto F_m(w_1, w_2, w_3, 0, \dots, 0) - kw_2g_{2m}(w_1, w_2)$ is equal to 0 if $w_3 = 0$, and hence there exists a holomorphic map on H_3 , say g_{3m} , such that

$$F_m(w_1, w_2, w_3, 0, \dots, 0) - kw_2g_{2m}(w_1, w_2) = kw_3g_{3m}(w_1, w_2, w_3).$$

By a recursive procedure, we obtain the existence of the functions g_{jm} such that

$$F_m(w) = k \sum_{j=2}^n w_j g_{jm}(w_1, \dots, w_j).$$

Now we prove that such g_{jm} 's are unique. In fact, if there are two families of holomorphic functions, say g_{jm} and p_{jm} , from H_j to \mathbf{C} such that

$$F_m(w) = k \sum_{j=2}^n w_j g_{jm}(w_1, \dots, w_j) = k \sum_{j=2}^n w_j p_{jm}(w_1, \dots, w_j),$$

then the difference $g_{jm} - p_{jm}$ satisfies the equation

$$\sum_{j=2}^n w_j (g_{jm} - p_{jm})(w_1, \dots, w_j) = 0 \text{ for all } w \in H_n.$$

Let $w_3 = \dots = w_n = 0$. Then $g_{2m}(w_1, w_2) = p_{2m}(w_1, w_2)$ for all $(w_1, w_2) \in H_2$ (if $w_2 \neq 0$ the assertion is obvious, otherwise we use a continuity argument). We proceed in the same way, taking $w_4 = \dots = w_n = 0$ and we obtain $g_{3m}(w_1, w_2, w_3) = p_{3m}(w_1, w_2, w_3)$ for all $(w_1, w_2, w_3) \in H_3$. In conclusion we obtain the uniqueness of the functions g_{jm} recursively.

Now we will get information about the behaviour of the functions g_{jm} . In order to simplify notation we will write $\mu(w_1, \dots, w_j)$ to denote $(\lambda^2 w_1, \lambda e^{i\theta_2} w_2, \dots, \lambda e^{i\theta_j} w_j)$. The fact that $F \circ \mu = \mu \circ F$ implies that (for any $2 \leq m \leq n$)

$$\sum_{j=2}^n w_j (e^{i\theta_m} g_{jm}(w_1, \dots, w_j) - e^{i\theta_j} g_{jm}(\mu(w_1, \dots, w_j))) = 0.$$

Then, for any $2 \leq j, m \leq n$,

$$g_{jm}(w_1, \dots, w_j) = e^{i(\theta_j - \theta_m)} g_{jm}(\mu(w_1, \dots, w_j))$$

(for all $(w_1, \dots, w_j) \in H_j$). Having defined

$$\tilde{g}_{jm}(w_1, \dots, w_j) = e^{i(\theta_j - \theta_m) \log w_1 / 2 \log \lambda} g_{jm}(w_1, \dots, w_j),$$

it is easy to see that \tilde{g}_{jm} is automorphic under the action of the "restriction" of μ to H_j . Therefore there exist holomorphic functions $\hat{g}_{jm} : X_j \rightarrow \mathbf{C}$ such that $\tilde{g}_{jm}(w_1, \dots, w_j) = \hat{g}_{jm}(\pi_j(w_1, \dots, w_j))$, and this concludes the proof. \square

We turn our attention now to the investigation of the behaviour of the first component of F . We already proved that there exists a positive k such that $F_1(w_1, 0, \dots, 0) = k^2 w_1$. Then we can write

$$(2.3) \quad F_1(w) = k^2 (w_1 + \sum_{j=2}^n w_j \alpha_j(w_1) + P(w)),$$

where $P(w_1, 0, \dots, 0) = 0$ and $\frac{\partial P}{\partial w_j}(w_1, 0, \dots, 0) = 0$ for all $w_1 \in H_1$ and for $j = 2, \dots, n$. First of all we want to prove that the α_j 's vanish identically.

Proposition 2.3. *Let F be a holomorphic map from H_n into itself which commutes with μ given by (1.3). Write F_1 as in (2.3). Then $\alpha_j \equiv 0$ for $j = 2, \dots, n$.*

Proof. Since $\text{Im } F_1(w) > |F_2(w)|^2 + \dots + |F_n(w)|^2$ for all $w \in H_n$, it follows that $\text{Im } F_1(w) > 0$ for all $w \in H_n$. Take $j \in \{2, \dots, n\}$ and consider F_1 on $(w_1, 0, \dots, 0, w_j, 0, \dots, 0)$. Writing w_2 instead of w_j , it is enough to prove that $\alpha_j = 0$ when $j = 2$. Therefore it is enough to prove the statement when $n = 2$. From now on we will denote α_2 by α . The fact that $P(w_1, 0) = 0$ and $\frac{\partial P}{\partial w_2}(w_1, 0) = 0$ for all $w_1 \in H_1$ implies that there exists a function η , holomorphic on H_2 , such that $P(w_1, w_2) = w_2^2 \eta(w_1, w_2)$. Since $\text{Im } F_1(w_1, w_2) > 0$ for all $(w_1, w_2) \in H_2$, we have

$$(2.4) \quad \text{Im } w_1 > -\text{Im}(\alpha(w_1)w_2 + \eta(w)w_2^2)$$

for all $(w_1, w_2) \in H_2$. Take $w_1^0 \in \mathbf{C}$ such that $\text{Im } w_1^0 > 0$. Then, for any $w_2 \in \mathbf{C}$ such that $|w_2|^2 < \text{Im } w_1^0$, the point (w_1^0, w_2) belongs to H_2 . Let $\varepsilon \in \mathbf{R}$ be such that $0 < \varepsilon < \text{Im } w_1^0$ and set $R = \sqrt{\text{Im } w_1^0 - \varepsilon}$, $r = R/2$. The Borel-Carathéodory theorem and inequality (2.4) imply now that

$$(2.5) \quad \begin{aligned} & \max_{w_2 \in \Delta_r} |\alpha(w_1^0)w_2 + w_2^2 \eta(w_1^0, w_2)| \\ & \leq \frac{2r}{R-r} \max_{w_2 \in \partial \Delta_r} \text{Im}(-(\alpha(w_1^0)w_2 + \eta(w_1^0, w_2)w_2^2)) \\ & \leq \frac{2r}{R-r} \max_{w_2 \in \partial \Delta_r} \text{Im } w_1^0 = 2 \text{Im } w_1^0. \end{aligned}$$

We pass to the evaluation of the maximum modulus of the function $w_2 \mapsto w_2^2 \eta(w_1^0, w_2) + w_2 \alpha(w_1^0)$ on Δ_r , and obtain

$$\begin{aligned} 2 \text{Im } w_1^0 & \geq \max_{w_2 \in \Delta_r} |w_2^2 \eta(w_1^0, w_2) + w_2 \alpha(w_1^0)| = \max_{w_2 \in \partial \Delta_r} |w_2^2 \eta(w_1^0, w_2) + w_2 \alpha(w_1^0)| \\ & = \frac{1}{2} \sqrt{\text{Im } w_1^0 - \varepsilon} \max_{w_2 \in \partial \Delta_r} |w_2 \eta(w_1^0, w_2) + \alpha(w_1^0)| \\ & = \frac{1}{2} \sqrt{\text{Im } w_1^0 - \varepsilon} \max_{w_2 \in \Delta_r} |w_2 \eta(w_1^0, w_2) + \alpha(w_1^0)|. \end{aligned}$$

Taking the limit for $\varepsilon \rightarrow 0^+$ we get

$$(2.6) \quad |w_2 \eta(w_1^0, w_2) + \alpha(w_1^0)| \leq 4(\text{Im } w_1^0)^{1/2}$$

for all $w_2 \in \mathbf{C}$ such that $|w_2|^2 < \text{Im } w_1^0$. The number $\alpha(w_1^0)$ is the value at 0 of the holomorphic function $w_2 \mapsto w_2 \eta(w_1^0, w_2) + \alpha(w_1^0)$ and therefore inequality (2.6) implies that

$$(2.7) \quad |\alpha(w_1^0)| \leq 4(\text{Im } w_1^0)^{1/2}$$

for all $w_1^0 \in \mathbf{C}$ such that $\text{Im } w_1^0 > 0$.

Inequality (2.7) implies now that, for any $\tau \in \mathbf{R}$,

$$\limsup_{w_1 \rightarrow \tau} \max_{\text{Im } w_1 > 0} |\alpha(w_1)| = 0,$$

and the reflection principle yields that there exists an entire function $\tilde{\alpha}$ which extends α . Inequality (2.7) entails that $\tilde{\alpha}(w) = 0$ for all $w \in \mathbf{R}$. Therefore $\tilde{\alpha} \equiv 0$ and hence $\alpha \equiv 0$, which proves the proposition. \square

Thus far we have proved that the function F_1 has the form

$$F_1(w) = k^2(w_1 + P(w)),$$

where $P(w_1, 0, \dots, 0) = 0$ and $\frac{\partial P}{\partial w_j}(w_1, 0, \dots, 0) = 0$ for all $w_1 \in H_1$ and for $j = 2, \dots, n$. Now we want to give a “standard” form to the function P and use this form to give a complete classification of the holomorphic self-maps of H_n which commute with μ given by (1.3).

Proposition 2.4. *Let P be a holomorphic function on H_n such that $P(w_1, 0, \dots, 0) = 0$ and $\frac{\partial P}{\partial w_j}(w_1, 0, \dots, 0) = 0$ for all $w_1 \in H_1$ and for $j = 2, \dots, n$. Then there exist $n(n - 1)/2$ holomorphic functions $\beta_{jl} : H_j \rightarrow \mathbf{C}$ for $2 \leq j \leq l \leq n$ such that $P(w) = \sum_{2 \leq j \leq l \leq n} w_j w_l \beta_{jl}(w_1, \dots, w_j)$. Moreover the family $\{\beta_{jl}\}$ ($2 \leq j \leq l \leq n$) is unique.*

Proof. First of all we prove the assertion on uniqueness: if there are two families of functions with the required properties, then there exists a family $\{d_{jl}\}$ such that

$$\sum_{2 \leq j \leq l \leq n} w_j w_l d_{jl}(w_1, \dots, w_j) = 0$$

on H_n . Let $w_3 = \dots = w_n = 0$. Then $w_2^2 d_{22}(w_1, w_2) = 0$ for all $(w_1, w_2) \in H_2$ and therefore $d_{22} \equiv 0$. Let $w_3 = \dots = \hat{w}_j \dots = w_n = 0$; then $w_2 w_j d_{2j}(w_1, w_2) + w_j^2 d_{jj}(w_1, w_2, 0, w_j, 0, 0) = 0$ and therefore $d_{2j} \equiv 0$ on H_2 . By iterating the above procedure, we obtain the uniqueness of the family $\{\beta_{jl}\}$.

We pass now to the proof of the existence of such a family of functions $\{\beta_{jl}\}$ as in the statement.

Since $P(w_1, 0, \dots, 0) = 0$ and $\frac{\partial P}{\partial w_j}(w_1, 0, \dots, 0) = 0$ (for $j = 2, \dots, n$ and for all $w_1 \in H_1$), then there exist $\beta_{2j} : H_2 \rightarrow \mathbf{C}$, holomorphic, such that $w_2^2 \beta_{22}(w_1, w_2) = P(w_1, w_2, 0, \dots, 0)$ and that $w_2 \beta_{2j}(w_1, w_2) = \frac{\partial P}{\partial w_j}(w_1, w_2, 0, \dots, 0)$ (for $j = 3, \dots, n$ and for all $(w_1, w_2) \in H_2$).

Set $P_1(w) = P(w) - w_2^2 \beta_{22}(w_1, w_2) - w_2 w_3 \beta_{23}(w_1, w_2) - \dots - w_2 w_n \beta_{2n}(w_1, w_2)$. It is easy to see that $P_1(w_1, w_2, 0, \dots, 0) = 0$ and $\frac{\partial P_1}{\partial w_j}(w_1, w_2, 0, \dots, 0) = 0$ for $j = 3, \dots, n$ and for all $(w_1, w_2) \in H_2$. Then there exist $\beta_{3j} : H_3 \rightarrow \mathbf{C}$, holomorphic, such that $w_3^2 \beta_{33}(w_1, w_2, w_3) = P_1(w_1, w_2, w_3, 0, \dots, 0)$ and $w_3 \beta_{3j}(w_1, w_2, w_3) = \frac{\partial P_1}{\partial w_j}(w_1, w_2, w_3, 0, \dots, 0)$ for $j = 4, \dots, n$ and for all $(w_1, w_2, w_3) \in H_3$. By iterating this procedure, we end with a holomorphic function $\beta_{nn} : H_n \rightarrow \mathbf{C}$ such that $P_{n-2}(w) = w_n^2 \beta_{nn}(w)$. This proves the existence of the required family. \square

By gathering together all the results, we have proved that, if $F : H_n \rightarrow H_n$ is a holomorphic map which commutes with μ given by (1.3), then there exist: $k > 0$, $\beta_{jl} \in Hol(H_j, \mathbf{C})$ ($2 \leq j \leq l \leq n$) and $\hat{g}_{jm} \in Hol(X_j, \mathbf{C})$ ($j, m = 2, \dots, n$) such that

$$F_1(w) = k^2(w_1 + \sum_{2 \leq j \leq l \leq n} w_j w_l \beta_{jl}(w_1, \dots, w_j))$$

and

$$F_m(w) = k \sum_{j=2}^n w_j e^{i(\theta_j - \theta_m) \log w_1 / 2 \log \lambda} \hat{g}_{jm}(\pi_j(w_1, \dots, w_j)).$$

The fact that F commutes with μ and the uniqueness of the family β_{jm} imply that

$$(2.8) \quad e^{i(\theta_j + \theta_l)} \beta_{jl}(\mu(w)) = \beta_{jl}(w) \quad \forall w \in H_j.$$

Let us define

$$\tilde{\beta}_{jl}(w) = e^{i(\theta_j + \theta_l) \log w_1 / 2 \log \lambda} \beta_{jl}(w);$$

then equation (2.8) entails that $\tilde{\beta}_{jl}$ is automorphic under the action of Γ_j . Therefore, if $F : H_n \rightarrow H_n$ is a holomorphic map which commutes with μ given by (1.3), we can find $k > 0$, $\hat{g}_{jl}, \hat{\beta}_{jl} \in Hol(X_j, \mathbf{C})$ such that

$$F_1(w) = k^2 \left(w_1 + \sum_{2 \leq j \leq l \leq n} w_j w_l e^{-i(\theta_j + \theta_l) \log w_1 / 2 \log \lambda} \hat{\beta}_{jl}(\pi_j(w_1, \dots, w_j)) \right) \quad \text{and}$$

$$F_m(w) = k \sum_{j=2}^n w_j e^{i(\theta_m - \theta_j) \log w_1 / 2 \log \lambda} \hat{g}_{jm}(\pi_j(w_1, \dots, w_j)),$$

for $m = 2, \dots, n$.

Moreover it is easy to see that, if F has the above form, then $F : H_n \rightarrow \mathbf{C}^n$ commutes with μ given by (1.3) (to be more precise, with the holomorphic extension of μ to \mathbf{C}^n).

Then we need only a “restriction of the image” to obtain a complete classification of the holomorphic maps from H_n into itself which commute with μ . Now we can state:

Theorem 2.5. *Let $F : H_n \rightarrow H_n$ be a holomorphic map which commutes with μ , the hyperbolic automorphism of H_n given by (1.3). Then there exist $k > 0$, $\hat{g}_{jl} \in Hol(X_j, \mathbf{C})$ (for $j, l = 2, \dots, n$) and $\hat{\beta}_{jl} \in Hol(X_j, \mathbf{C})$ (for $2 \leq j \leq l \leq n$) such that*

$$(2.9) \quad F_1(w) = k^2 \left(w_1 + \sum_{2 \leq j \leq l \leq n} w_j w_l e^{-i(\theta_j + \theta_l) \log w_1 / 2 \log \lambda} \hat{\beta}_{jl}(\pi_j(w_1, \dots, w_j)) \right),$$

$$(2.10) \quad F_m(w) = k \sum_{j=2}^n w_j e^{i(\theta_m - \theta_j) \log w_1 / 2 \log \lambda} \hat{g}_{jm}(\pi_j(w_1, \dots, w_j))$$

for $m = 2, \dots, n$ and that

$$(2.11) \quad \text{Im} \left(w_1 + \sum_{2 \leq j \leq l \leq n} w_j w_l e^{-i(\theta_j + \theta_l) \log w_1 / 2 \log \lambda} \hat{\beta}_{jl}(\pi_j(w_1, \dots, w_j)) \right) > \sum_{m=2}^n \left| \sum_{j=2}^n w_j e^{i(\theta_m - \theta_j) \log w_1 / 2 \log \lambda} \hat{g}_{jm}(\pi_j(w_1, \dots, w_j)) \right|^2 \quad \forall w \in H_n.$$

Vice versa, let F be as in (2.9) and (2.10), where $\hat{g}_{jm}, \hat{\beta}_{jm} \in Hol(X_j, \mathbf{C})$ satisfy (2.11). Then $F : H_n \rightarrow H_n$ commutes with μ . Moreover, the map which associates F to $(k, \hat{g}_{jm}, \hat{\beta}_{jm})$ is one-to-one.

The above theorem gives a complete answer to the problem of finding all holomorphic self-maps of H_n which commute with the hyperbolic automorphism μ given by (1.3). By conjugation—as remarked at the beginning of this paper—it also gives an answer to the problem of finding all holomorphic self-maps of H_n which commute with a given hyperbolic automorphism of H_n . Notice that condition (2.11) is fulfilled if the modulus of the \hat{g}_{jm} and $\hat{\beta}_{jm}$ is very small. Therefore an open neighborhood of 0 in $Hol(X_2, \mathbf{C}) \times \cdots \times Hol(X_n, \mathbf{C})$ satisfies condition (2.11). This shows how deep the difference between the one-dimensional case and the multidimensional case is (for the one-dimensional case see, e.g., [1] or [6]).

Now we will consider, with particular attention, the case $n = 2$, in which some other results can be given. First of all we restate Theorem 2.5 for $n = 2$.

Theorem 2.6. *Let $F : H_2 \rightarrow H_2$ be a holomorphic map which commutes with the hyperbolic automorphism μ given by (1.3). Then there exist $k > 0$ and $\hat{g}, \hat{\beta} \in Hol(X_2, \mathbf{C})$ such that*

- a) $F(w) = \left(k^2(w_1 + w_2^2 e^{-i\theta_2 \log w_1 / \log \lambda} \hat{\beta}(\pi(w))), kw_2 \hat{g}(\pi(w)) \right)$ and
- b) $\text{Im} \left(w_1 + w_2^2 e^{-i\theta_2 \log w_1 / \log \lambda} \hat{\beta}(\pi(w)) \right) > |w_2 \hat{g}(\pi(w))|^2 \quad \forall w \in H_2.$

Vice versa, let F be as in a), where $\hat{g}, \hat{\beta} \in Hol(X_2, \mathbf{C})$ satisfy b). Then $F : H_2 \rightarrow H_2$ commutes with μ . Moreover, the map which associates F to $(k, \hat{g}, \hat{\beta})$ is one-to-one.

Notice that condition b) is satisfied if $e^{\theta_2 \pi / |\log \lambda|} |\hat{\beta}(\pi(w))| + |\hat{g}(\pi(w))|^2 \leq 1$. Therefore an open neighborhood of 0 in $Hol(X_2, \mathbf{C}) \times Hol(X_2, \mathbf{C})$ consists of maps satisfying condition b).

There are “many” functions f which commute with γ : we will study in detail the “richness” of the class of functions given in Theorem 2.6, when $\hat{\beta} = 0$.

Corollary 2.7. *Let $F : H_2 \rightarrow H_2$ be a holomorphic map which commutes with the hyperbolic automorphism μ given by (1.3). If F_1 does not depend on w_2 , then there exist $k > 0$ and $\hat{g} \in Hol(X_2, \mathbf{C})$ such that*

- a) $F(w) = \left(k^2 w_1, kw_2 \hat{g}(\pi(w)) \right)$ and
- b) $|\hat{g}(\pi(w))| \leq 1 \quad \forall w \in H_2.$

Vice versa, let F be as in a), where $\hat{g} \in Hol(X_2, \mathbf{C})$ satisfies b). Then $F : H_2 \rightarrow H_2$ commutes with μ .

Proof. By Theorem 2.6, if F is a holomorphic self-map of H_2 which commutes with μ , then $F_1(w_1, 0) = k^2 w_1$ for a suitable $k > 0$.

The fact that F_1 does not depend on w_2 implies that

$$F(w) = \left(k^2 w_1, kw_2 \hat{g}(\pi(w)) \right).$$

Moreover, that fact that F maps H_2 into itself entails that

$$(2.12) \quad \text{Im } k^2 w_1 > k^2 |w_2|^2 |\hat{g}(\pi(w))|^2 \quad \forall w \in H_2.$$

Consider $w_1^0 \in \mathbf{C}$ such that $\text{Im } w_1^0 > 0$, and let $\varepsilon \in \mathbf{R}$ be such that $0 < \varepsilon < \text{Im } w_1^0$. Define $r(\varepsilon) = \sqrt{\text{Im } w_1^0 - \varepsilon}$. Inequality (2.12) now implies that

$$\begin{aligned} \text{Im } w_1^0 &> \max_{w_2 \in \Delta_{r(\varepsilon)}} |w_2|^2 |\hat{g}(\pi(w_1^0, w_2))|^2 = \max_{w_2 \in \partial \Delta_{r(\varepsilon)}} |w_2|^2 |\hat{g}(\pi(w_1^0, w_2))|^2 \\ &= (\text{Im } w_1^0 - \varepsilon) \max_{w_2 \in \partial \Delta_{r(\varepsilon)}} |\hat{g}(\pi(w_1^0, w_2))|^2 \\ &= (\text{Im } w_1^0 - \varepsilon) \max_{w_2 \in \Delta_{r(\varepsilon)}} |\hat{g}(\pi(w_1^0, w_2))|^2. \end{aligned}$$

Taking the limit for $\varepsilon \rightarrow 0^+$ we obtain that $|\hat{g}(\pi(w_1^0, w_2))|^2 \leq 1$ for all $w_2 \in \mathbf{C}$ such that $|w_2|^2 < \text{Im } w_1^0$. This in turn implies that, for any $w \in H_2$, $|\hat{g}(\pi(w))| \leq 1$.

The sufficiency of a) and b) is obvious. □

The above Corollary shows how large the family of all holomorphic self-maps of H_2 which commute with a given hyperbolic automorphism of H_2 is. In fact, if $\hat{g} \circ \pi$ does not depend on w_2 , then $\hat{g} \circ \pi$ is a holomorphic map from the annulus $A(\rho, 1) = \{z \in \mathbf{C} : \rho < |z| < 1\}$ (where $\rho = \exp(-\pi^2/|\log \lambda|)$) to the closed unit disk Δ . It is well known that this space of functions is quite large.

Theorem 2.6 also makes it possible to study the fixed points set of a holomorphic self-map of Δ_2 which commutes with a given hyperbolic automorphism γ . As we are interested in the structure of $\text{fix } f = \{z \in \Delta_2 : f(z) = z\}$, up to the action of $\text{Aut } \Delta_2$, we can suppose that γ is given by (1.1). First of all we restate Theorem 2.6 on Δ_2 by means of the Cayley transform $\mathcal{C} = (\mathcal{C}_1, \mathcal{C}_2) : \Delta_2 \rightarrow H_2$.

Corollary 2.8. *Let $f : \Delta_2 \rightarrow \Delta_2$ be a holomorphic map which commutes with γ given by (1.1). Then there exist $t_1 \in \mathbf{R}$ and $\hat{g}, \hat{\beta} \in \text{Hol}(X, \mathbf{C})$ such that*

$$\begin{aligned} \text{a) } f(z) &= \frac{(\cosh t_1 z_1 + \sinh t_1 + i e^{t_1} z_2^2 e^{-i\theta_2 \log \mathcal{C}_1(z) / \log \lambda} \hat{\beta}(\pi(\mathcal{C}(z))) / 2(1 - z_1), z_2 \hat{g}(\pi(\mathcal{C}(z))))}{\cosh t_1 + z_1 \sinh t_1 + i e^{t_1} z_2^2 e^{-i\theta_2 \log \mathcal{C}_1(z) / \log \lambda} \hat{\beta}(\pi(\mathcal{C}(z))) / 2(1 - z_1)} \end{aligned}$$

and

$$\text{b) } \text{Im} (\mathcal{C}_1(z) + \mathcal{C}_2^2(z) e^{-i\theta_2 \log \mathcal{C}_1(z) / \log \lambda} \hat{\beta}(\pi(\mathcal{C}(z)))) > |\mathcal{C}_2(z) \hat{g}(\pi(\mathcal{C}(z)))|^2 \quad \forall z \in \Delta_2.$$

Vice versa, let f be as in a), where $\hat{g}, \hat{\beta} \in \text{Hol}(X, \mathbf{C})$ satisfy b). Then $f : \Delta_2 \rightarrow \Delta_2$ commutes with γ .

Now we study the possible fixed points sets of f : in sharp contrast with the one-dimensional case we prove that a map which commutes with a hyperbolic automorphism of Δ_2 can have fixed points in Δ_2 and we find an explicit form for the possible fixed points sets of f , giving also a necessary and sufficient condition for f to have fixed points.

Proposition 2.9. *Let $f : \Delta_2 \rightarrow \Delta_2$ be a holomorphic map which commutes with the hyperbolic automorphism γ given by (1.1). If f has fixed points in Δ_2 , then either $f = \text{id}_{\Delta_2}$ or $\text{fix } f = \Delta \times \{0\}$. Moreover, f has fixed points in Δ_2 iff $f_1(z_1, 0) = z_1$ for all $z_1 \in \Delta$.*

Proof. We recall that the fixed points set of a holomorphic self-map of Δ_n is given by the intersection of a complex affine space with Δ_n ; therefore, if $n = 2$, $\text{fix } f$ is always contained in a complex affine line, unless $f = \text{id}_{\Delta_2}$.

Let $a, b, c \in \mathbf{C}$ be such that the affine line defined by $az_1 + bz_2 + c = 0$ contains the fixed points set of f , and let $z^0 = (z_1^0, z_2^0)$ be a fixed point of f . Since γ and f commute, it is easy to see that $\gamma^m(z^0)$ belongs to $fixf$ for all $m \in \mathbf{Z}$. Calling in the form of γ given by (1.1) we obtain that

$$a \frac{\cosh mt_0 z_1^0 + \sinh mt_0}{\sinh mt_0 z_1^0 + \cosh mt_0} + b \frac{e^{im\theta_2} z_2^0}{\sinh mt_0 z_1^0 + \cosh mt_0} + c = 0 \quad \forall m \in \mathbf{Z}.$$

This is equivalent to

$$(az_1^0 + c) \cosh mt_0 + (cz_1^0 + a) \sinh mt_0 + be^{im\theta_2} z_2^0 = 0 \quad \forall m \in \mathbf{Z}.$$

Divide both members of the last equation by $\cosh mt_0$ and take the limit both for $m \rightarrow +\infty$ and for $m \rightarrow -\infty$. Since $\lim_{m \rightarrow \pm\infty} \cosh mt_0 = +\infty$, $\lim_{m \rightarrow \pm\infty} \tanh mt_0 = \pm 1$ and since the modulus of $be^{im\theta_2} z_2^0$ is bounded when m diverges, we obtain

$$(az_1^0 + c) + (cz_1^0 + a) = 0 \quad \text{and} \quad (az_1^0 + c) - (cz_1^0 + a) = 0.$$

Since $|z_1^0| < 1$, the last equations imply $a = c = 0$. Then the complex affine line containing $fixf$ is the complex line $z_2 = 0$, and hence $z_2^0 = 0$. Propositions 1.3 and 1.4 show that, on the complex line $z_2 = 0$, the function f is given by

$$f(z_1, 0) = \left(\frac{\cosh t_1 z_1 + \sinh t_1}{\sinh t_1 z_1 + \cosh t_1}, 0 \right).$$

Therefore, if $(z_1^0, 0)$ is a fixed point for f , we obtain that $t_1 = 0$ and hence the set $\Delta \times \{0\}$ is contained in $fixf$. The assertion follows. \square

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