

## THE MAXIMAL NORMAL $p$ -SUBGROUP OF THE AUTOMORPHISM GROUP OF AN ABELIAN $p$ -GROUP

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ABSTRACT. Let  $p$  be a prime number and let  $G$  be an abelian  $p$ -group. Let  $\Delta$  be the maximal normal  $p$ -subgroup of  $\text{Aut } G$  and  $\zeta$  the maximal  $p$ -subgroup of its centre. Let  $\mathfrak{t}$  be the torsion radical of  $\mathcal{E}(G)$ . Then  $\Delta = (1 + \mathfrak{t})\zeta$ . The result is new for  $p = 2$  and  $3$ , and the proof is new and valid for all primes  $p$ .

### §1. INTRODUCTION

Throughout,  $p$  is an arbitrary but fixed prime and  $G$  is an abelian  $p$ -group. We let  $\mathcal{E} = \mathcal{E}(G)$  denote the endomorphism ring of  $G$ ,  $\text{Aut } G$  its automorphism group, and  $\Delta = O_p(\text{Aut } G)$  the maximal normal  $p$ -subgroup of  $\text{Aut } G$ . The importance of  $\Delta$  in determining the structure of  $\text{Aut } G$ , characterising the action of  $\text{Aut } G$  on  $G$  and recovering invariants of  $G$  from  $\text{Aut } G$  has long been recognized; see for example [3, Section 114], [6] and [1].

For  $p \geq 5$ ,  $\Delta$  considered as a group of units of  $\mathcal{E}$  has been determined in a series of papers starting with Shoda [17] for finite groups, Freedman [2] for countable reduced groups, Hill [9] for totally projective groups and Hausen [5] for arbitrary  $p$ -groups: if  $\mathfrak{t}$  denotes the torsion radical of  $\mathcal{E}$  (i.e.  $\mathfrak{t}$  is the ideal consisting of all elements of finite order in the Jacobson radical of  $\mathcal{E}$ ), then  $\Delta = 1 + \mathfrak{t}$ .

Crucial for the proof is the following result, first stated in [5]. It was inspired by Freedman [2], stated by Leptin for  $k = 1$  [11] and, in fact, is easily proven by induction on  $k$  following Leptin's arguments [11, p.101]. The Pierce radical of  $\mathcal{E}$  is defined to be

$$\mathbf{P} = \{ \varepsilon \in \mathcal{E} : p^n G[p]\varepsilon \leq p^{n+1}G \text{ for all integers } n \geq 0 \}.$$

Clearly,  $\mathbf{P}$  is a two-sided ideal in  $\mathcal{E}$  containing the Jacobson radical of  $\mathcal{E}$  [13, p. 289]. Let  $1 + \mathbf{P}$  denote the coset containing 1 in the quotient ring  $\mathcal{E}/\mathbf{P}$ .

**The Freedman–Leptin Lemma.** *Let  $p \geq 5$ , let  $G$  be a reduced abelian  $p$ -group, and let  $\alpha = 1 + \eta \in \text{Aut } G \cap (1 + \mathbf{P})$ . Then, for any natural number  $k$ ,  $\alpha^{p^k} = 1$  if and only if  $p^k \eta = 0$ .*

For  $p \geq 5$ , the description of  $\Delta$  proceeds as follows: the ideal  $\mathfrak{t}$  is contained in the Jacobson radical of  $\mathcal{E}$ ; since  $\mathfrak{t}$  consists of all elements in  $\mathbf{P}$  of finite order, it follows that  $1 + \mathfrak{t}$  is a normal  $p$ -subgroup of  $\text{Aut } G$  and thus is contained in  $\Delta$  [3, Theorem 114.4]. Conversely,  $\Delta$  induces the identity mapping in all Ulm factors

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$p^n G[p]/p^{n+1} G[p]$  where  $n$  is a non-negative integer [2], so that  $\Delta \leq 1 + \mathbf{P}$ , and the Freedman–Leptin Lemma proves  $\Delta = 1 + \mathbf{t}$ .

Two new phenomena make this approach impossible for the two smallest primes. First, the maximal  $p$ -subgroup of the center  $\zeta \text{Aut } G$  of  $\text{Aut } G$  is contained in  $\Delta$ . While  $[\zeta \text{Aut } G]_p = 1$  if  $p$  is odd,  $[\zeta \text{Aut } G]_2 = \langle -1 \rangle$  if  $p = 2$ . But if  $G$  is an unbounded 2-group, multiplication by  $-2$  is an endomorphism in  $P$  of infinite order, so  $-1$  is not in  $1 + \mathbf{t}$ .

Second, while for primes  $p \geq 5$  the set of all automorphisms in  $1 + \mathbf{P}$  of finite order forms a group, in fact a  $p$ -group which must be normal in  $\text{Aut } G$  and hence equals  $1 + \mathbf{t}$ , we shall show by examples that this need not be the case when  $p = 2$  or  $p = 3$ . For these primes, there exist  $p$ -groups  $G$  having an automorphism  $\alpha$  of order  $p$  for which  $\alpha - 1 \in \mathbf{P}$  has infinite additive order. This answers in the negative a problem posed by Leptin [11, p. 102]. Moreover, for  $p = 2$ , our example will be such that  $\alpha \notin (1 + \mathbf{t}) \langle -1 \rangle$ .

Nevertheless, we are able to prove the following theorem, which is valid for all primes:

**Theorem.** *Let  $p$  be a prime, let  $G$  be an abelian  $p$ -group, and let  $\Delta$  be the maximal normal  $p$ -subgroup of the automorphism group of  $G$ . Then  $\Delta = (1 + \mathbf{t})\zeta$ , where  $\zeta$  denotes the maximal  $p$ -subgroup of the centre of  $\text{Aut } G$ . Thus,  $\Delta = 1 + \mathbf{t}$  if  $p \geq 3$  or  $G$  is bounded, and  $\Delta = (1 + \mathbf{t}) \times \langle -1 \rangle$  if  $G$  is an unbounded 2-group.*

It is interesting to note that if  $G$  has an unbounded basic subgroup, then as an abstract ring,  $\mathbf{t}$  determines  $G$  up to isomorphism; furthermore, every automorphism of  $\mathbf{t}$  is induced by an automorphism of  $G$  [8]. One may well conjecture that the same is true of  $\Delta$ . If true, this would be a far-reaching extension of the results of Leptin [10] and Liebert [12].

The strategy of the proof may be outlined as follows: it is easy to show that  $1 + \mathbf{t} \leq \Delta$  (2.6). Of course,  $\langle -1 \rangle \leq \Delta$  when  $p = 2$ . For the reverse inclusion, we first show that  $\Delta \subseteq 1 + P$  and assume the existence of some  $\alpha \in \Delta$  such that  $\alpha - 1$  (and, for  $p = 2$ ,  $-\alpha - 1$ , too) has infinite order. We then use an elaborate construction to produce mixed commutators of the form  $[\gamma, \alpha]$  (and  $[\beta, -\alpha]$  if  $p = 2$ ) which have infinite order. Since they would have to belong to  $\Delta$ , this is a contradiction.

Any unexplained notation can be found in the standard references [3] for abelian groups and [15] for general group theory. In particular,  $[x, y] = x^{-1}y^{-1}xy$ .

## §2. PRELIMINARIES

We begin with the promised examples which show that for  $p = 2$  and  $p = 3$  no substitute for the Freedman–Leptin Lemma can be hoped for. The examples also illustrate a simple case of the strategy outlined above.

**Examples 2.1.** For each prime  $p \in \{2, 3\}$ , there exist an abelian  $p$ -group  $G$  and an automorphism  $\alpha = 1 + \eta \in 1 + \mathbf{P}$  such that  $\alpha^p = 1$  but  $\eta$  has infinite additive order. When  $p = 2$ ,  $\eta + 2$  also has infinite order. In addition, there exists  $\beta \in \text{Aut } G$  such that  $[\beta, \alpha]$  has infinite multiplicative order.

*Proof.* If  $p = 2$ , let  $G = \bigoplus_{i \in \mathbb{N}} (\langle a_i \rangle \oplus \langle b_i \rangle)$ , where  $a_i$  has order  $2^{i+1}$  and  $b_i$  has order  $2^i$ . For each  $i$ , define  $a_i \eta = b_i$  and  $b_i \eta = -2b_i$ . Then  $\eta \in \mathbf{P}$ ,  $2^k \eta \neq 0$  and  $2^k(\eta + 2) \neq 0$  for all positive integers  $k$ . Furthermore,  $\eta^2 + 2\eta = 0$ .

If  $p = 3$ , let  $G = \bigoplus_{i \in \mathbf{N}} (\langle a_i \rangle \oplus \langle b_i \rangle \oplus \langle c_i \rangle)$ , where  $a_i, b_i$  and  $c_i$  have orders  $3^{3i}, 3^{3i-1}$  and  $3^{3i-2}$ , respectively. For each  $i$ , define  $a_i\eta = b_i$ ,  $b_i\eta = c_i$ , and  $c_i\eta = -3c_i - 3b_i$ . Then  $\eta \in \mathbf{P}$ ,  $3^k\eta \neq 0$  for all  $k$ , and  $\eta^3 + 3\eta^2 + 3\eta = 0$ .

In either case, let  $\alpha = 1 + \eta$ . Then  $\alpha^p = 1$ , so  $\alpha \in \text{Aut}G \cap (1 + \mathbf{P})$ , and  $\alpha \notin (1 + \mathfrak{t})\zeta$ .

We construct the automorphism  $\beta$  only for the case  $p = 2$ , the case  $p = 3$  being similar. For each  $i \geq 1$ , define

$$a_i\varepsilon = 0 \text{ and } b_i\varepsilon = \begin{cases} a_{i-1} & \text{if } i \text{ is even,} \\ 0 & \text{if } i \text{ is odd.} \end{cases}$$

Then  $\varepsilon^2 = 0$ , so  $\beta = 1 + \varepsilon \in \text{Aut}G$  with inverse  $1 - \varepsilon$ . Since  $\alpha^{-1} = \alpha$  and  $\varepsilon\eta\varepsilon = 0$ , a routine calculation shows that  $[\beta, \alpha] = 1 + \psi$ , where  $\psi = \eta\varepsilon + \varepsilon\eta + \eta\varepsilon\eta$ . But  $\psi^2 = 0$ , while  $\psi$  has infinite additive order. Hence  $[\beta, \alpha]^k = 1 + k\psi$  for all  $k \in \mathbf{N}$ , so  $[\beta, \alpha]$  has infinite multiplicative order.  $\square$

We shall need some results of elementary number theory. The first one is well known.

**Lemma 2.2.** *Let  $r, n$  and  $k$  be positive integers such that  $n$  is not divisible by  $p$  and  $p^r n \leq p^k$ . Then*

- (1) *The maximal  $p$ -power dividing  $\binom{p^k}{p^r n}$  is  $p^{k-r}$ .*
- (2) *If*

$$M = M(p, k, r, n) = \sum_{j=1}^{p^k} \binom{p^k}{j} (p^r n)^j,$$

*then  $p^{k+r}$  divides  $M$ , and if  $p^{k+r+1}$  divides  $M$ , then  $p = 2$  and  $r = 1$ .*

*Proof.* The first assertion is easily proved in the spirit of [15, p. 39]. In order to verify the second, let  $j$  be an integer such that  $1 \leq j \leq p^k$ , and let  $x_j = \binom{p^k}{j} p^{rj} n^j$ . By (1),  $x_j$  is divisible by  $p^{k+rj}$  if  $(j, p) = 1$ . Suppose  $j = p^t m$ , with  $t$  and  $m$  positive integers and  $m$  relatively prime to  $p$ . By (1),  $p^{k-t+rj}$  divides  $x_j$ , and  $k - t + rp^t m = k + r + r(p^t m - 1) - t \geq k + r$  since  $r(p^t m - 1) \geq 2^t - 1 \geq t$ . Thus, each  $x_j$  is divisible by  $p^{k+r}$ . Suppose that  $p^{k+r+1}$  divides  $M$ . Since  $p^{k+r+1}$  does not divide  $x_1$ , there must exist  $j \geq 2$  such that  $p^{k+r+1}$  does not divide  $x_j$ , and  $j$  cannot be relatively prime to  $p$ . Thus,  $j = p^t m$  with  $t$  and  $m$  as above, and  $k - t + rj = k + r$ . It follows that

$$t = r(j - 1) = r(p^t m - 1) \geq r(2^t - 1) \geq 2^t - 1.$$

Since  $t \geq 1$ , this implies  $t = 1 = r$  and  $p = 2$ .  $\square$

The maximal normal  $p$ -subgroups of the automorphism groups of elementary and of divisible groups are known:

**Lemma 2.3** ([2], [5]). *Let  $A$  be an elementary abelian and  $D$  a divisible  $p$ -group. Then  $O_p(\text{Aut}A) = 1$ , and  $O_p(\text{Aut}D) = 1$  unless  $p = 2$ , in which case  $O_2(\text{Aut}D) = \langle -1 \rangle$ .*

*Proof.* The first assertion is Lemma 4.8 of [2]. Let  $D$  be divisible. By Theorem 6.5 of [5], every normal torsion subgroup of  $\text{Aut}D$  is contained in the centre, which consists of the multiplications by  $p$ -adic units [3, 115.1]. It is well known that the

$p$ -component of the group of  $p$ -adic units is trivial except when  $p = 2$ , in which case it is cyclic of order two generated by  $-1$  [16, II.3.8].  $\square$

**Corollary 2.4.** *Let  $G = H \oplus D$ , where  $H$  is reduced and  $D$  is divisible. Let  $\delta \in \Delta$ . Then  $\delta - 1 \in \mathbf{P}$ , and  $D(\delta - 1) = 0$  unless  $p = 2$ , in which case  $\delta|_D \in \langle -1 \rangle$ .*

*Proof.* Let  $n$  be a nonnegative integer. Every automorphism of  $p^n G[p]/p^{n+1} G[p]$  is induced by an automorphism of  $G$  [3, 114.1]; clearly, every automorphism of  $D$  is induced by an automorphism of  $G$ . Thus, the result follows from Lemma 2.3.  $\square$

From now on we shall assume that  $G = H \oplus D$ , where  $H$  is reduced and  $D$  is divisible.

The following subset of  $\mathbf{P}$  will play an important rôle. It contains  $\mathbf{t}$ , and equals  $\mathbf{t}$  if  $G$  is reduced. Let

$$\Phi = \{ \varepsilon \in \mathbf{P} \mid p^m G\varepsilon \leq D \text{ for some } m \in \mathbb{N} \text{ and } D\varepsilon = 0 \}.$$

**Lemma 2.5.** *Let  $\varepsilon \in \Phi$  and let  $m$  be a natural number such that  $p^m G\varepsilon \leq D$ . Then  $\varepsilon^{2m+3} = 0$ , and  $(1 + \varepsilon)^{p^n} = 1 + p^n \varepsilon$  for all integers  $n \geq 3m + 2$ .*

*Proof.* The hypotheses imply  $G\varepsilon \leq G[p^m] + D$ . Let  $\overline{G} = G/D$  and let  $\overline{\varepsilon}$  be the endomorphism of  $\overline{G}$  induced by  $\varepsilon$ . Then  $p^m \overline{\varepsilon} = 0$ , so that

$$\overline{G}\overline{\varepsilon} \leq \overline{G}[p^m] \leq \overline{G}[p^{m+1}] = (G[p^{m+1}] + D)/D.$$

The restriction of  $\overline{\varepsilon}$  to  $\overline{G}[p^{m+1}]$  is in  $\mathbf{P}(\overline{G}[p^{m+1}])$ , which equals the Jacobson radical of  $\mathcal{E}(\overline{G}[p^{m+1}])$  since  $\overline{G}[p^{m+1}]$  is bounded [7]. By [14],  $\mathbf{J}\mathcal{E}(\overline{G}[p^{m+1}])$  is nilpotent of class at most  $2m + 1$ . Thus,  $(\overline{\varepsilon}|_{\overline{G}[p^{m+1}]})^{2m+1} = 0$ , which implies  $G[p^{m+1}]\varepsilon^{2m+1} \leq D$ . Hence,

$$G\varepsilon^{2m+3} \leq (G[p^m] + D)\varepsilon^{2m+2} \leq D\varepsilon = 0.$$

Let  $n$  be an integer such that  $n \geq 3m + 2$ . Then

$$(1 + \varepsilon)^{p^n} = 1 + p^n \varepsilon + \sum_{j=2}^{p^n} \binom{p^n}{j} \varepsilon^j = 1 + p^n \varepsilon + \sum_{j=2}^{2m+2} \binom{p^n}{j} \varepsilon^j.$$

Fix  $2 \leq j \leq 2m + 2$ . By hypothesis,  $p^m \varepsilon^2 = 0$ . Let  $j = p^r \cdot q$  for some integers  $r$  and  $q$  with  $p$  and  $q$  relatively prime. By Lemma 2.2,  $\binom{p^n}{j} \varepsilon^j = p^{n-r} \ell \varepsilon^j$  for some integer  $\ell$  not divisible by  $p$ . Suppose  $n - r < m$ . Then  $r > n - m \geq 3m + 2 - m = 2m + 2$ , which implies

$$j = p^r q \geq 2^r > r > 2m + 2.$$

Thus,  $\binom{p^n}{j} \varepsilon^j = 0$ .  $\square$

While our Examples 2.1 demonstrate that the *only if* part of the Freedman-Leptin Lemma is lost in the general case, we do have the following weak version of the *if* part.

**Proposition 2.6.** *Let  $\varepsilon \in \Phi$ . The following conditions are equivalent:*

- (1)  $(1 + \varepsilon)^{p^k} = 1$  for some positive integer  $k$ .
- (2)  $\varepsilon \in \mathbf{t}$ .

(3)  $1 + \varepsilon \in \Delta$ .

In particular,  $1 + \mathfrak{t} \leq \Delta$ .

*Proof.* Let  $\varepsilon \in \Phi$  and let  $m \in \mathbb{N}$  be such that  $p^m G\varepsilon \leq D$ . By 2.5,  $(1 + \varepsilon)^{p^n} = 1 + p^n \varepsilon$  for all integers  $n \geq 3m + 2$ . Assume (1), and choose  $n \geq \max(k, 3m + 2)$ . Then  $1 = 1 + p^n \varepsilon$ , which implies (2). Conversely, assume (2). Then  $p^\ell \varepsilon = 0$  for some natural number  $\ell$ . Choose  $n \geq \max(\ell, 3m + 2)$ . Then  $(1 + \varepsilon)^{p^n} = 1$ . Thus, (1) and (2) are equivalent. Since  $\mathfrak{t}$  is an ideal of  $\mathcal{E}$  contained in the Jacobson radical of  $\mathcal{E}$ , it follows that  $1 + \mathfrak{t}$  is a normal  $p$ -subgroup of  $\text{Aut } G$ . Hence,  $1 + \mathfrak{t} \leq \Delta$ , and (2) implies (3). Trivially, (3) implies (1).  $\square$

**Corollary 2.7.** *For every prime  $p$  and every  $p$ -group  $G$ ,  $(1 + \mathfrak{t})\zeta \leq \Delta$ .*

§3. THE REDUCED CASE

The following result will be crucial for the proof of our theorem. It shows that the construction of Examples 2.1 can be extended to deal with arbitrary  $p$ -groups.

**Proposition 3.1.** *Let  $G$  be a reduced abelian  $p$ -group and let  $\alpha = 1 + \eta \in \Delta$ . Then  $\eta \in \mathfrak{t}$ , or  $p = 2$  and  $2 + \eta \in \mathfrak{t}$ .*

The proof will be by contradiction using an elaborate construction. Throughout, we shall assume the following

**Situation and Notations:** The  $p$ -group  $G$  is reduced,  $\alpha = 1 + \eta$  is an element of  $\Delta$ , and  $k \in \mathbb{N}$  is a fixed integer such that  $\alpha^{p^k} = 1$ . Then

$$(3.2) \quad \sum_{j=1}^{p^k} \binom{p^k}{j} \eta^j = 0.$$

We let  $\sigma = \alpha^{-1} - 1$ . By Corollary 2.4

$$(3.3) \quad \eta, \sigma \in \mathbf{P}$$

and

$$(3.4) \quad \eta + \sigma + \eta\sigma = 0, \quad \eta\sigma = \sigma\eta.$$

Also, we let  $\alpha' = -\alpha$  and put  $\eta' = \alpha' - 1$ , and  $\sigma' = (\alpha')^{-1} - 1$ . Then

$$(3.5) \quad \eta' = -(2 + \eta), \quad \sigma' = -(2 + \sigma).$$

The primed elements will come into play only when  $p = 2$ . Since the entire construction would have to be repeated, we consider them in any case.

We also fix a basic subgroup  $B$  of  $G$  and a canonical set  $S$  of (nonzero) independent generators. Thus  $B = \bigoplus_{s \in S} \langle s \rangle = \bigoplus_{i \in \mathbb{N}} B_i$ , where  $B_i$  is generated by the elements in  $S$  of order  $p^i$ . For each  $n \in \mathbb{N}$ , put  $B^{(n)} = \bigoplus_{i=1}^n B_i$  and  $G_n = p^n G + \bigoplus_{i \geq n+1} B_i$ . Then, for each  $n$ ,  $G = B^{(n)} \oplus G_n$  [13, p. 280]. Choose a family  $\{\pi_s\}_{s \in S}$  of canonical projections such that  $t\pi_s = \delta_{st}s$ ,  $t \in S$ , and  $G_n \pi_s = 0$  if  $s \in B^{(n)}$ . Define  $G_0 = G$ .

It will be convenient to write  $e(x) = n$  if  $x \in G$  and  $o(x) = p^n$ .

**Lemma 3.6.** *Assume the situation and notations above and, furthermore, assume that both  $\eta$  and  $\eta'$  have infinite order. Then there exist natural numbers  $u'_i, u_i, v_i$  and elements  $a'_i, a_i, b_i$  in  $G$  belonging to the canonical set of generators of  $B$  such that, for each  $i \in \mathbb{N}$ , the following hold:*

$$(1) \quad e(a'_i) = u'_i, \quad e(a_i) = u_i, \quad e(b_i) = v_i,$$

- (2)  $e(a'_i\eta') \leq u'_i < 3u'_i < e(a_i\eta) \leq u_i < 3u_i < v_i$ ,
- (3)  $v_{i-1} < e(a'_i\eta')$  (put  $v_0 = 0$ ),
- (4) if  $\pi_i : G \rightarrow \langle b_i \rangle$  denotes the canonical projection to  $\langle b_i \rangle$  and  $r_i, m_i, r'_i, m'_i$  are integers such that  $b_i\sigma\pi_i = p^{r_i}m_ib_i$ ,  $b_i\sigma'\pi_i = p^{r'_i}m'_ib_i$ , and  $m_i, m'_i$  are not divisible by  $p$ , then  $r_i \notin [u_i - 2u_{i-1} - k, u_i - 2u_{i-1} - 1]$  and  $r'_i \notin [u'_i - 2u'_{i-1} - k, u'_i - 2u'_{i-1} - 1]$  (put  $u_0 = u'_0 = 0$ ).

*Proof.* We claim that, for each natural number  $n$ , there exist  $u'_i, u_i, v_i$  and canonical generators  $a'_i, a_i, b_i$  of  $B$ ,  $i = 1, \dots, n$ , satisfying (1) through (4). The proof is by induction on  $n$ . Since the proof for  $n = 1$  is almost identical to the inductive step from  $n$  to  $n + 1$ , it is left to the reader. Assume the claim holds for  $n$ . Since  $p^{v_n}G\eta' \neq 0$ , there exists  $i$  such that  $p^{v_n}B_i\eta' \neq 0$ , and there is a canonical generator  $x$  of  $B_i$  with  $v_n < e(x\eta')$ . Now  $p^{3i+k}G\eta' \neq 0$ ; thus there exist  $j$  and a canonical generator  $y$  of  $B_j$  such that  $3i + k < e(y\eta')$ . Proceeding this way, there exist  $\ell$  and  $m$  and canonical generators  $z$  and  $w$  of  $B_\ell$  and  $B_m$ , respectively, such that

$$\begin{aligned} v_n < e(x\eta') \leq e(x) = i < 3i + k < e(y\eta') \leq e(y) = j \\ < 3j < e(z\eta) < 3\ell + k < e(w\eta) \leq e(w) = m. \end{aligned}$$

Since  $p^{3m}G \neq 0$ , it is possible to choose  $v_{n+1} > 3m$  such that  $B_{v_{n+1}} \neq 0$ . Let  $b_{n+1}$  be a canonical generator of  $B_{v_{n+1}}$ . Then  $e(b_{n+1}) = v_{n+1}$ . Let  $\pi_{n+1}$  denote the canonical projection from  $G$  onto  $\langle b_{n+1} \rangle$ . Let  $r'_{n+1}, r_{n+1}, m'_{n+1}, m_{n+1}$  be integers such that

$$b_{n+1}\sigma'\pi_{n+1} = p^{r'_{n+1}}m'_{n+1}b_{n+1} \quad \text{and} \quad b_{n+1}\sigma\pi_{n+1} = p^{r_{n+1}}m_{n+1}b_{n+1},$$

where  $m'_{n+1}, m_{n+1}$  are not divisible by  $p$ . If  $r'_{n+1} \notin [i - 2u'_n - k, i - 2u'_n - 1]$ , let  $a'_{n+1} = x$ . By construction, if  $r'_{n+1} \in [i - 2u'_n - k, i - 2u'_n - 1]$ , then  $r'_{n+1} \notin [j - 2u'_n - k, j - 2u'_n - 1]$ . In this case, let  $a'_{n+1} = y$ . Similarly,  $r_{n+1}$  cannot belong to both the intervals  $[\ell - 2u_n - k, \ell - 2u_n - 1]$  and  $[m - 2u_n - k, m - 2u_n - 1]$ . Choose  $a_{n+1}$  to be either  $z$  or  $w$  depending on which is the case. One verifies that the augmented sets of integers and elements in  $G$  satisfy the requirements of the lemma. □

We shall define two endomorphisms  $\varepsilon$  and  $\varepsilon'$  as follows: for each  $i$ , define  $b_i\varepsilon_i = a_i$  and let  $\varepsilon_i = \pi_i\varepsilon_i$ . Since  $G_{v_i}\pi_i = 0$ , each  $\varepsilon_i$  is an endomorphism of  $G$ . Let  $\varepsilon = \sum_{i \in \mathbb{N}} p^{u_{i-1}}\varepsilon_i$ . By [13, 6.3],  $\varepsilon \in \mathcal{E}$ . Similarly, define  $b_i\varepsilon'_i = a'_i$ ,  $\varepsilon'_i = \pi_i\varepsilon'_i$ , and let  $\varepsilon' = \sum_{i \in \mathbb{N}} p^{u'_{i-1}}\varepsilon'_i \in \mathcal{E}$ .

**Lemma 3.7.** *Assume the hypotheses of 3.6. Then, for every integer  $i \geq 0$ ,  $G_{v_i}[p^{u_{i+1}}]\varepsilon = 0 = G_{v_i}[p^{u_{i+1}}]\varepsilon'$  (Reminder:  $v_0 = 0$  and  $G_0 = G$ ).*

*Proof.* Let  $i \geq 0$  be an integer and let  $x \in G_{v_i}[p^{u_{i+1}}]$ . Then  $x\pi_j = 0$  for  $j \leq i$ . Let  $j \geq i + 1$ . Then  $x\pi_j = p^{v_j - u_{i+1}}n_jb_j$  for some integer  $n_j$ . Thus,  $x\pi_j\varepsilon = p^{v_j - u_{i+1} + u_{j-1}}n_ja_j$  which is zero since  $v_j - u_{i+1} > 3u_j - u_{i+1} \geq 2u_j$ . Thus,  $x\varepsilon = \sum_{j \in \mathbb{N}} p^{u_{j-1}}x\pi_j\varepsilon_j = 0$ . A similar argument holds for  $\varepsilon'$ . □

**Corollary 3.8.** *For every  $\phi \in \mathcal{E}$ ,  $\varepsilon\phi\varepsilon = \varepsilon'\phi\varepsilon' = 0$ . In particular,  $\varepsilon^2 = 0 = (\varepsilon')^2$ .*

*Proof.* Since  $G$  is reduced, it suffices to show the maps annihilate  $B$ , which will be the case if they annihilate every  $b_i$ . Let  $\phi \in \mathcal{E}$ . Note that  $b_i\varepsilon\phi = p^{u_{i-1}}a_i\phi \in p^{u_{i-1}}B^{(v_{i-1})} \oplus G_{v_{i-1}}[p^{u_i}]$ . The definition of  $\varepsilon$  together with Lemma 3.7 implies  $b_i\varepsilon\phi\varepsilon = 0$ . The identical argument shows that  $b_i\varepsilon'\phi\varepsilon' = 0$ . □

We are ready for the

*Proof of Proposition 3.1.* Assume the situation and notations above. Also, assume by way of contradiction that the conclusion of 3.1 is false. Then  $\eta$  has infinite additive order, and when  $p = 2$ , so does  $\eta'$ . It follows that  $G$  is unbounded. Hence, no matter what prime  $p$  was given, both  $\eta$  and  $\eta'$  have infinite order, which means the previous auxiliary results are available.

Using Corollary 3.8, the maps  $\gamma = 1 + \varepsilon$  and  $\gamma' = 1 + \varepsilon'$  are automorphisms of  $G$ . Define  $\delta = \gamma^{-1}\alpha^{-1}\gamma\alpha$  and  $\delta' = (\gamma')^{-1}(\alpha')^{-1}\gamma'\alpha'$ . Then  $\delta \in \Delta$  and, if  $p = 2$ , so is  $\delta'$ . An easy calculation using (3.4) and 3.8 shows that

$$\delta = 1 + \psi \quad \text{with} \quad \psi = \varepsilon\eta + \sigma\varepsilon + \sigma\varepsilon\eta \quad \text{and} \quad \psi^2 = 0,$$

and similarly,

$$\delta' = 1 + \psi' \quad \text{with} \quad \psi' = \varepsilon'\eta' + \sigma'\varepsilon' + \sigma'\varepsilon'\eta' \quad \text{and} \quad (\psi')^2 = 0.$$

Thus, for every  $n \in \mathbb{N}$ ,  $\delta^n = 1 + n\psi$ . Since  $\delta \in \Delta$ ,  $\delta^{p^\ell} = 1$  and hence  $p^\ell\psi = 0$  for some  $\ell \in \mathbb{N}$ . Choosing  $\ell$  sufficiently large, we also have  $p^\ell\psi' = 0$  if  $p = 2$ . Fix  $i$  such that both  $u_{i-1} > \ell$  and  $u'_{i-1} > \ell$ . Then  $p^{u_{i-1}}\psi = 0$  and, provided  $p = 2$ ,  $p^{u'_{i-1}}\psi' = 0$ . Hence

$$(3.9) \quad 0 = p^{u_{i-1}}b_i\psi = p^{u_{i-1}}b_i\varepsilon\eta + p^{u_{i-1}}b_i\sigma\varepsilon + p^{u_{i-1}}b_i\sigma\varepsilon\eta.$$

Since  $b_i\sigma \in B^{(v_i)} \oplus G_{v_i}[p^{v_i}]$ , Lemma 3.7 implies that  $b_i\sigma = \sum_{j=1}^i k_j b_j + x$ , where the  $k_j$  are integers and  $x\varepsilon = 0$ . From (3.3) we conclude that  $p$  divides  $k_i$  and, by 3.6(4),

$$(3.10) \quad k_i = p^{r_i}m_i \quad \text{with} \quad r_i \geq 1, \quad (p, m_i) = 1.$$

It follows that  $p^{u_{i-1}}b_i\sigma\varepsilon = p^{2u_{i-1}}k_i a_i$  and  $p^{u_{i-1}}b_i\sigma\varepsilon\eta = p^{2u_{i-1}}k_i a_i \eta$ . From (3.9) and  $b_i\varepsilon\eta = p^{u_{i-1}}a_i\eta$  we deduce that  $(1 + k_i)p^{2u_{i-1}}a_i\eta = -k_i p^{2u_{i-1}}a_i$ . Let  $n$  be an integer such that  $n(1 + k_i) \equiv -m_i \pmod{p^{u_i}}$ . By (3.10),  $p$  does not divide  $n$  and  $(p^{2u_{i-1}}a_i)\eta = p^{r_i}n \cdot p^{2u_{i-1}}a_i$ , which by construction is nonzero. Hence,  $r_i < u_i - 2u_{i-1}$ . Also, for every positive integer  $j$ ,  $(p^{2u_{i-1}}a_i)\eta^j = (p^{r_i}n)^j \cdot p^{2u_{i-1}}a_i$ . It follows from (3.2) that

$$(3.11) \quad p^{2u_{i-1}} \left[ \sum_{j=1}^{p^k} \binom{p^k}{j} (p^{r_i}n)^j \right] a_i = p^{2u_{i-1}} M(p, k, r_i, n) a_i = 0.$$

Suppose either that  $p \neq 2$ , or that  $p = 2$  and  $r_i \geq 2$ . Then, by Lemma 2.2, the highest  $p$ -power dividing  $M(p, k, r_i, n)$  is  $p^{k+r_i}$ . Thus, 3.11 implies that  $k + r_i \geq u_i - 2u_{i-1}$ ; above we saw that  $r_i < u_i - 2u_{i-1}$ . It follows that

$$r_i \in [u_i - 2u_{i-1} - k, u_i - 2u_{i-1} - 1],$$

contrary to our delicate construction 3.6(4). Consequently, we must have that  $p = 2$  and  $r_i = 1$ . In this situation, the primed elements and maps have the identical properties. We have  $(\delta')^{2^\ell} = 1$ ,  $(1 + \psi')^{2^\ell} = 1 + 2^\ell\psi' = 1$ , and the choice of  $i$  was such that

$$0 = 2^{u'_{i-1}}b_i\psi' = 2^{u'_{i-1}}b_i\varepsilon'\eta' + 2^{u'_{i-1}}b_i\sigma'\varepsilon' + 2^{u'_{i-1}}b_i\sigma'\varepsilon'\eta'.$$

Let the  $k'_j$  be integers such that  $b_i\sigma' = \sum_{j=1}^i k'_j b_j + x'$  with  $x'\varepsilon' = 0$ . As before, letting  $n'$  be an integer such that  $n'(1 + k'_i) \equiv -m'_i \pmod{2^{u_i}}$ , we have that  $n'$  is odd and, for every positive integer  $j$ ,  $2^{2u'_{i-1}}a'_i(\eta')^j = (2^{r'_i}n')^j 2^{2u'_{i-1}}a'_i$ . By

construction,  $r'_i < u'_i - 2u'_{i-1}$ . As before, it follows that  $2^{2u'_i-1}M(2, k, r'_i, n')a'_i = 0$ . Observing (3.5) and the fact that  $r_i = 1$ , we conclude that

$$2^{r'_i}m'_i b_i = b_i \sigma' \pi_i = -b_i(2 + \sigma)\pi_i = -(2 + 2^{r'_i}m_i)b_i = -2(1 + m_i)b_i.$$

But  $m_i$  is odd, hence  $r'_i \geq 2$ . It follows from Lemma 2.2 that  $k + r'_i \geq u'_i - 2u'_{i-1}$ . Thus,  $r'_i$  belongs to the interval  $[u'_i - 2u'_{i-1} - k, u'_i - 2u'_{i-1} - 1]$ , contrary to 3.6(4). This final contradiction concludes the proof.  $\square$

Proposition 3.1 yields the most difficult part of our theorem:

**Corollary 3.12.** *If  $G$  is a reduced  $p$ -group, then  $\Delta \leq (1 + \mathfrak{t})\zeta$ .*

#### §4. PROOF OF THE THEOREM

As before, we let  $G = H \oplus D$ , where  $H$  is reduced and  $D$  is divisible. By Corollary 2.7, it suffices to show  $\Delta \leq (1 + \mathfrak{t})\zeta$ . By 3.12, this is the case when  $G$  is reduced. Thus, we may assume that  $D \neq 0$ . Let  $\delta = 1 + \eta \in \Delta$ . Since  $\Delta$  induces a normal  $p$ -subgroup of  $\text{Aut}(G/D)$ , it follows from 3.12 that there exists a natural number  $m$  such that either  $p^m G \eta \leq D$ , or  $p = 2$  and  $2^m G(2 + \eta) \leq D$ . If the latter is the case, replace  $\delta$  by  $-\delta = 1 - (2 + \eta)$ . Thus, we may assume without loss of generality that  $p^m G \eta \leq D$ . By Corollary 2.4,  $\eta \in \mathbf{P}$ . If  $D\eta = 0$ , then  $\eta \in \Phi$ , and  $\delta \in 1 + \mathfrak{t}$ , by Proposition 2.6. Suppose  $D\eta \neq 0$ . It follows from Corollary 2.4 that  $p = 2$  and  $\delta|_D = -1$ , the multiplication by  $-1$ . Choose any  $\theta \in \text{Hom}(H, D)$  and extend it to an endomorphism of  $G$  by defining  $D\theta = 0$ . Then  $\theta^2 = 0$  and  $\gamma = 1 + 2^m \theta \in \text{Aut } G$ . Let  $\alpha = \delta \gamma \delta^{-1} \gamma^{-1}$ . Then  $\alpha \in \Delta$  and  $\alpha|_D = 1$ . As before, let  $\delta^{-1} = 1 + \sigma$ . Then  $\eta + \sigma + \eta\sigma = 0$ . Let  $x \in G$ . Since  $G\eta$  and  $G\sigma$  both are contained in  $G[2^m] + D$ , on which  $\gamma$  acts as the identity, we have

$$x\alpha = x\delta\gamma\delta^{-1}\gamma^{-1} = x + 2^m x\theta\sigma + x\sigma + x\eta + x\eta\sigma,$$

which implies  $x(\alpha - 1) = -2^{m+1}x\theta$ . It follows that  $\alpha - 1 \in \Phi$ , and Proposition 2.6 implies that  $\theta \in \mathfrak{t}$ . We have shown that  $\text{Hom}(H, D)$  is a torsion group, which, since  $D \neq 0$ , implies  $2^n H = 0$  for some positive integer  $n$ . But then  $2 + \eta \in \Phi$  and  $-\delta = 1 - (2 + \eta) \in 1 + \mathfrak{t}$ , completing the proof.

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