

## ENDOMORPHISMS OF FINITE FULL TRANSFORMATION SEMIGROUPS

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ABSTRACT. We describe all endomorphisms of finite full transformation semigroups and count their number.

A *full transformation semigroup*  $\mathcal{T}_X$  on a set  $X$  is the set  $X^X$  of all transformations (i.e., self-maps)  $X \rightarrow X$  of  $X$  with composition of transformations as multiplication. This is an important object in semigroup theory, combinatorics, many-valued logic, etc. Various properties of  $\mathcal{T}_X$  are known. In particular, Schreier [4] proved in 1936 that automorphisms of  $\mathcal{T}_X$  are inner: for every automorphism  $\alpha$  there exists a uniquely determined element  $g \in \mathcal{G}_X \subset \mathcal{T}_X$  of the symmetric group  $\mathcal{G}_X$  on  $X$  such that  $\alpha(t) = gtg^{-1}$  for all  $t \in \mathcal{T}_X$ . Here the juxtaposition  $gt$  stands for the composition  $g \circ t$  and the composition acts from the right to the left:  $g \circ t(x) = g(t(x))$  for every  $x \in X$ . Thus the automorphism group of  $\mathcal{T}_X$  is naturally isomorphic to  $\mathcal{G}_X$ .

Surprisingly, no one has considered endomorphisms of  $\mathcal{T}_X$ . Our paper seems to be the first attempt at filling that gap. We consider the finite case only, that is,  $X$  is a finite set of cardinality  $n$  for  $n \geq 0$ .

We introduce a few notations and terms. Endomorphisms that are not automorphisms are called *proper*. The kernel congruence  $\ker(\varepsilon)$  of an endomorphism  $\varepsilon$  is defined by  $(s, t) \in \ker(\varepsilon) \Leftrightarrow \varepsilon(s) = \varepsilon(t)$  for any  $s, t \in \mathcal{T}_X$ .  $\Delta_A$  is the identity relation on a set  $A$ . If  $\varepsilon' = \varepsilon|_{\mathcal{G}_X}$  is the restriction of  $\varepsilon$  to  $\mathcal{G}_X$ , then  $\ker(\varepsilon')$  also stands for the corresponding normal subgroup of  $\mathcal{G}_X$ . The *second projection* (also called the *range*) of  $t \in \mathcal{T}_X$  is the set  $\text{pr}_2 t = t(X)$ . In particular,  $\text{pr}_2(st) \subset \text{pr}_2 s$ . The *rank* of  $t$  is the cardinality  $|\text{pr}_2(t)|$  of  $\text{pr}_2(t)$ .

We can assume that  $X = \{1, 2, \dots, n\}$  and write  $\mathcal{T}_n$  instead of  $\mathcal{T}_X$ . Analogously,  $\mathcal{G}_n$  stands for  $\mathcal{G}_X$ . We consider  $\mathcal{G}_n$  as a subgroup of  $\mathcal{G}_{n+1}$  consisting of all permutations that fix the point  $n+1$ . Also,  $\mathcal{A}_X$  denotes the alternating group on  $X$ . For  $n = 3$  or  $n \geq 5$ ,  $\mathcal{A}_n$  is the only nontrivial normal subgroup of  $\mathcal{G}_n$ , while  $\mathcal{G}_4$  contains another nontrivial normal subgroup  $\mathcal{K}$ , Klein's four-group. For every  $x \in X$ ,  $c_x$  denotes the constant transformation in  $\mathcal{T}_X$  that maps all elements of  $X$  onto  $x$ . For example,  $c_4 = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 4 & 4 & 4 & 4 \end{pmatrix}$  in  $\mathcal{T}_4$ .

Our main results are the following Theorem and Corollary. Their proof is followed by a Proposition that is another corollary to our main theorem.

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**Theorem.** (A) Choose a permutation  $g$  of  $X$  and define  $\alpha^g(t) = gtg^{-1}$  for all  $t \in \mathcal{T}_X$ . Then  $\alpha^g$  is an automorphism of  $\mathcal{T}_X$ .

(E) Choose  $\beta, \gamma \in \mathcal{T}_X$  such that  $\beta^3 = \beta$  and  $\beta\gamma = \gamma\beta = \gamma^2 = \gamma$ . If

$$\varepsilon_{\beta,\gamma}(t) = \begin{cases} \beta, & \text{for } t \in \mathcal{G}_X \setminus \mathcal{A}_X, \\ \beta^2, & \text{for } t \in \mathcal{A}_X, \\ \gamma, & \text{for } t \in \mathcal{T}_X \setminus \mathcal{G}_X, \end{cases}$$

then  $\varepsilon_{\beta,\gamma}$  is an endomorphism of  $\mathcal{T}_X$ .

If  $\beta^2 = \beta = \gamma$ , then  $\varepsilon_{\beta,\gamma}$  is a constant endomorphism that maps  $\mathcal{T}_X$  onto a trivial semigroup  $\{\gamma\}$ . If  $\beta^2 = \beta \neq \gamma$ , then  $\varepsilon_{\beta,\gamma}$  is an endomorphism of rank 2 that maps  $\mathcal{T}_X$  onto a two-element semilattice  $\{\beta, \gamma\}$  with  $\gamma < \beta$ . If  $\beta \neq \beta^2 \neq \gamma$ , then  $\varepsilon_{\beta,\gamma}$  is an endomorphism of rank 3 that maps  $\mathcal{T}_X$  onto a three-element semigroup  $\{\beta, \beta^2, \gamma\}$ , where  $\gamma$  is a zero element and  $\{\beta, \beta^2\}$  a two-element subgroup.

Conversely, every automorphism of  $\mathcal{T}_X$  has the form (A) and every proper endomorphism the form (E), except that  $\mathcal{T}_4$  has 24 additional endomorphisms  $\sigma^g$ ,  $g \in \mathcal{G}_4$ , defined as follows:

Each of the six cosets of  $\mathcal{K}$  in  $\mathcal{G}_4$  contains exactly one element of  $\mathcal{G}_3$ . If  $t \in \mathcal{G}_4$ , let  $\sigma(t)$  be that (only) element of  $\mathcal{K}t \cap \mathcal{G}_3$ , and if  $t \in \mathcal{T}_4 \setminus \mathcal{G}_4$ , let  $\sigma(t) = c_4$ . Then  $\sigma^g = \alpha^g \sigma$ , that is,  $\sigma^g(t) = g\sigma(t)g^{-1}$ . In particular,  $\sigma = \sigma^e$ , where  $e$  is the identity element of  $\mathcal{G}_4$ .

**Corollary.** Every proper endomorphism of  $\mathcal{T}_n$  has rank 1, 2, or 3, except that  $\mathcal{T}_4$  also has additional endomorphisms of rank 7. There are

$$n! \sum_{m=1}^n \frac{m^{n-m}}{(n-m)!m!}$$

endomorphisms of rank 1,

$$n! \sum_{m=2}^n \sum_{r=1}^{m-1} \frac{m^{n-m} r^{m-r}}{(n-m)!(m-r)!r!}$$

endomorphisms of rank 2, and

$$n! \sum_{m=3}^n \sum_{k=1}^{\lfloor \frac{m-1}{2} \rfloor} \sum_{r=1}^{m-2k} \frac{m^{n-m} r^{m-k-r}}{2^k (n-m)!(m-2k-r)!k!r!}$$

endomorphisms of rank 3.

For  $n = 4$ , there are 24 endomorphisms of rank 7.

Thus  $\mathcal{T}_n$  has

$$n! \left[ 1 + \sum_{m=1}^n \sum_{k=0}^{\lfloor \frac{m-1}{2} \rfloor} \sum_{r=1}^{m-2k} \frac{m^{n-m} r^{m-k-r}}{2^k (n-m)!(m-2k-r)!k!r!} \right]$$

endomorphisms for  $n > 1, n \neq 4$  and

$$n! \left[ 2 + \sum_{m=1}^n \sum_{k=0}^{\lfloor \frac{m-1}{2} \rfloor} \sum_{r=1}^{m-2k} \frac{m^{n-m} r^{m-k-r}}{2^k (n-m)!(m-2k-r)!k!r!} \right] = 345$$

endomorphisms for  $n = 4$ .

In particular, the orders of  $\mathcal{T}_n$  and  $\text{End}(\mathcal{T}_n)$  for  $1 \leq n \leq 9$  are:

$n =$	1	2	3	4	5	6	7	8	9
$ \mathcal{T}_n  = n^n =$	1	4	27	256	3, 125	46, 656	823, 543	16, 777, 216	387, 420, 489
$ \text{End}(\mathcal{T}_n)  =$	1	7	40	345	3, 226	38, 503	529, 614	8, 219, 025	141, 633, 028

**Problem.** Find an asymptotic estimate for  $|\text{End}(\mathcal{T}_n)|_{n \rightarrow \infty}$ , where  $\text{End}(\mathcal{T}_n)$  is the semigroup of all endomorphisms of  $\mathcal{T}_n$ . It seems that the estimate can be found by the Laplace method and arguments from [2]. Is it true that  $\frac{|\text{End}(\mathcal{T}_n)|}{|\mathcal{T}_n|}$  converges monotonically to 0? It appears to be so.

Constant (that is, of rank 1) endomorphisms of  $\mathcal{T}_n$  are in one-to-one correspondence with idempotents of  $\mathcal{T}_n$ . Harris and Schoenfeld (see [3]) found an asymptotic estimate for the number of idempotents in  $\mathcal{T}_n$ .

The proofs of the Theorem and Corollary follow from Lemmas 1–5.

**Lemma 1.** Let  $G \subset \mathcal{T}_X$  be a subgroup of  $\mathcal{T}_X$  for a finite or infinite  $X$ . All elements  $g \in G$  have the same range  $Y \subset X$ , and the mapping  $g \mapsto \bar{g} = g|_Y$ , where  $g|_Y$  is the restriction of  $g$  to  $Y$ , is an isomorphism of  $G$  onto a group of permutations of  $Y$ .

*Proof.* Here “permutation” means a bijection of  $Y$  onto itself. Lemma 1 is folklore, and we give its brief proof for completeness’ sake.

For every  $g, h \in G$  there exists  $x \in G$  such that  $gx = h$ , and hence  $\text{pr}_2 h = \text{pr}_2 gx \subset \text{pr}_2 g$ . Analogously,  $\text{pr}_2 g \subset \text{pr}_2 h$ , so that  $\text{pr}_2 h = \text{pr}_2 g$ .

Let  $e$  be the identity element of  $G$ . Then  $\text{pr}_2 \bar{g} \subset Y = \text{pr}_2 ge = g(\text{pr}_2 e) = g(Y) = \text{pr}_2 \bar{g}$ , whence  $\text{pr}_2 \bar{g} = Y$ . Thus  $\bar{g}$  is a permutation of  $Y$ , and so  $g\Delta_Y = \bar{g} = \Delta_Y \bar{g}$ . It follows that  $\bar{h}\bar{g} = h\Delta_Y \bar{g} = hg\Delta_Y = \overline{hg}$  and  $g \mapsto \bar{g}$  is a homomorphism of  $G$  onto a group of permutations of  $Y$ . This homomorphism is an isomorphism because  $\bar{g} = \bar{e} \Rightarrow g^2 = \bar{g}g = \bar{e}g = eg = g \Rightarrow g = e$ . □

**Lemma 2.** Every endomorphism of  $\mathcal{T}_n$  injective on  $\mathcal{G}_n$  is an automorphism.

*Proof.* If  $\varepsilon$  is an endomorphism injective on  $\mathcal{G}_n$ , then  $\mathcal{G}_n$  is isomorphic to its image  $G = \varepsilon(\mathcal{G}_n)$  under  $\varepsilon$ , and so  $|G| = n!$ . By Lemma 1,  $G$  is isomorphic to a group of permutations of a subset  $Y \subset X$ , where  $Y = \text{pr}_2 e$  for  $e$  the identity element of  $G$ . Thus  $n! = |G| \leq |Y|!$ . It follows that  $Y = X$ , and hence  $G = \mathcal{G}_n$ .

Since  $c_x g = c_x$  for all  $g \in \mathcal{G}_n$ , we obtain  $\varepsilon(c_x)\varepsilon(g) = \varepsilon(c_x g) = \varepsilon(c_x)$ . Here  $\varepsilon(g)$  may be an arbitrary element of  $\mathcal{G}_n$ , and hence  $\varepsilon(c_x)h = \varepsilon(c_x)$  for all  $h \in \mathcal{G}_n$ . This is possible only if  $\varepsilon(c_x)$  is a constant endomorphism, say,  $\varepsilon(c_x) = c_{f(x)}$ , where  $f(x)$  is a suitable element of  $X$ .

Obviously,  $tc_x = c_{t(x)}$  for all  $t \in \mathcal{T}_n$ . Thus  $c_{f(t(x))} = \varepsilon(c_{t(x)}) = \varepsilon(tc_x) = \varepsilon(t)\varepsilon(c_x) = \varepsilon(t)c_{f(x)} = c_{\varepsilon(t)(f(x))}$ , so that  $f(t(x)) = \varepsilon(t)(f(x))$  for all  $x \in X$ . Therefore,  $ft = \varepsilon(t)f$  and, for  $x, y \in X$  and  $g \in \mathcal{G}_X$ ,  $f(x) = f(y) \Rightarrow f(g(x)) = \varepsilon(g)(f(x)) = \varepsilon(g)(f(y)) = f(g(y))$ , and hence  $f(x) = f(y) \Rightarrow f(g(x)) = f(g(y))$  for every  $g \in \mathcal{G}_n$ . It follows that either  $x = y$ , and hence  $f$  is injective, or  $f(x) = f(y)$  for all  $x, y \in X$ , and hence  $f$  is a constant transformation. In the latter case  $f = c_a$  for some  $a \in X$ , and our formula  $f(t(x)) = \varepsilon(t)(f(x))$  becomes  $a = \varepsilon(t)(a)$  for every  $t \in \mathcal{T}_n$ . Thus  $a$  is a fixed point of  $\varepsilon(t)$  for every  $t$ , and hence for every  $g \in \mathcal{G}_n$ , which is possible only if  $X = \{a\}$ . Therefore,  $f$  is injective for every finite  $X$ , and so  $f, f^{-1} \in \mathcal{G}_n$ . Now the formula  $ft = \varepsilon(t)f$  can be rewritten as  $\varepsilon(t) = ft f^{-1}$ , which shows that  $\varepsilon$  is an automorphism of  $\mathcal{T}_n$ . □

Recall that every ideal of  $\mathcal{T}_n$  is of the form  $I_k = \{t \in \mathcal{T}_n : \text{rank}(t) < k\}$  with  $2 \leq k \leq n+1$  (see [1]). Thus  $\mathcal{T}_n$  is a disjoint union of its group of units  $\mathcal{G}_n$  and the maximal ideal  $I_n$ .

**Lemma 3.** *Every proper endomorphism  $\varepsilon$  of  $\mathcal{T}_n, n \neq 4$ , maps  $\mathcal{G}_n$  into elements  $\alpha, \beta \in \mathcal{T}_n$  with  $\alpha = \beta^2$  and  $\beta^3 = \beta$ . Also,  $\varepsilon$  maps  $I_n = \mathcal{T}_n \setminus \mathcal{G}_n$  into a single idempotent  $\gamma \in \mathcal{T}_X$  such that  $\beta\gamma = \gamma\beta = \gamma$ . Thus  $\text{pr}_2 \varepsilon$  has cardinality 1, 2, or 3. Respectively,  $\text{pr}_2 \varepsilon$  is a trivial semigroup  $\{\gamma\}$ , a semilattice  $\{\alpha, \beta\}$  of order 2, or a two-element group  $\{\alpha, \beta\}$  with zero  $\gamma$  adjoined.*

*Proof.* By Lemma 2,  $\varepsilon$  is not injective on  $\mathcal{G}_n$ , and so  $\ker(\varepsilon)$  does not induce an identity congruence on  $\mathcal{G}_n$ . It follows from the description of congruence relations on  $\mathcal{T}_n$  (see [1]) that one of the equivalence classes of  $\ker(\varepsilon)$  is either  $I_n$  or  $I_{n+1}$ . In the latter case  $I_{n+1} = \mathcal{T}_n$ , and hence  $\varepsilon$  maps  $\mathcal{T}_n$  onto the trivial semigroup  $\{\gamma\}$  for some idempotent  $\gamma \in \mathcal{T}_n$ .

If  $\ker(\varepsilon) = I_n$ , then  $\varepsilon$  maps  $I_n = \mathcal{T}_n \setminus \mathcal{G}_n$  into an idempotent  $\gamma$ . Also,  $\ker(\varepsilon)$  decomposes  $\mathcal{G}_n$  into cosets modulo a nontrivial normal subgroup  $N$  of  $\mathcal{G}_n$ . If  $n \neq 4$ , then  $N = \mathcal{G}_n$  or  $N = \mathcal{A}_n$ , the alternating group. In the former case  $\varepsilon$  maps  $\mathcal{G}_n$  into an idempotent  $\alpha \in \mathcal{T}_n$ , and  $\varepsilon(\mathcal{T}_n)$  is a two-element semilattice  $\{\alpha, \gamma\}$  with  $\gamma$  as the zero element. In the latter case  $\mathcal{G}_n/N$  is a two-element group, and hence  $\varepsilon$  maps  $N$  into an idempotent  $\alpha$  and  $\mathcal{G}_n \setminus N$  into  $\beta$  such that  $\beta^2 = \alpha$  and  $\beta^3 = \alpha\beta = \beta$ .  $\square$

It remains to describe proper endomorphisms of  $\mathcal{T}_4$ .

**Lemma 4.** *All proper endomorphisms of  $\mathcal{T}_4$  are either endomorphisms of ranks 1, 2 or 3 described in Lemma 3 or endomorphisms  $\varepsilon$  of rank 7, where  $\text{pr}_2 \varepsilon$  is isomorphic to the symmetric group  $\mathcal{G}_3$  with zero adjoined.*

*There are 24 endomorphisms  $\sigma^g$  of rank 7; they correspond to 24 permutations  $g \in \mathcal{G}_4$  of the symmetric group  $\mathcal{G}_4$  and have the structure described in the Theorem.*

*Proof.* The only difference with our proof of Lemma 3 is that  $\mathcal{G}_4$  contains another nontrivial normal subgroup  $N = \mathcal{K}$ , which is Klein's four-group. It is easy to see that the factor group  $\mathcal{G}_n/\mathcal{K}$  is isomorphic to  $\mathcal{G}_3$ , the symmetric group of degree 3. Obviously,  $\mathcal{K}$  has index 6 in  $\mathcal{G}_4$  and the complement  $I_4$  of  $\mathcal{G}_4$  in  $\mathcal{T}_4$  forms a congruence class modulo  $\ker(\varepsilon)$ , where  $\varepsilon$  is our endomorphism. Thus the rank of  $\varepsilon$  is 7 and  $\text{pr}_2 \varepsilon$  is isomorphic to  $\mathcal{G}_3^0$  (the group  $\mathcal{G}_3$  with zero adjoined).

The rest of the proof is based on two facts: (1)  $\mathcal{T}_4$  contains exactly four subsemigroups  $S$  isomorphic to  $\mathcal{G}_3^0$ , and (2) each of these four semigroups has exactly six automorphisms.

(1) We know that  $S$  is a group  $G$  with zero adjoined. Let  $t$  be that zero. Then  $t$  is an idempotent of  $\mathcal{T}$  of rank  $r$ , where  $1 \leq r \leq 4$ . Also,  $gt = t$  for every  $g \in G$ , that is, each of the  $r$  elements of  $\text{pr}_2 t$  is a fixed point of each  $g$ . Applying Lemma 1 we see that  $G$  is isomorphic to a group  $\bar{G}$  of permutations of a subset  $Y \subset \{1, 2, 3, 4\}$ , and  $r$  elements of  $\text{pr}_2 t$  are fixed points of all elements  $\bar{g} \in \bar{G}$ . Thus the elements of  $\bar{G}$  can actually permute only  $|Y| - r$  points, and so  $3! = |G| \leq (|Y| - r)! \leq (4 - 1)!$ . It follows that  $|Y| - r = 3$ , and hence  $r = 1$  and  $|Y| = 4$ , which implies  $Y = X$ . Thus  $t = c_x$  for some  $x \in X$ . Since  $|G| = 6$ ,  $G$  is the group of all permutations of  $X$  with a fixed point  $x$ . We have four choices for  $x$ , which give us four choices for  $S$ .

(2) Assume that  $x = 4$ . Then elements of  $G$  actually permute only the three elements of  $\{1, 2, 3\}$ , and, since we identified  $\mathcal{G}_3$  with an appropriate subgroup of

$\mathcal{G}_4$ , we see that  $S = \mathcal{G}_3 \cup \{c_4\}$ . Every automorphism of  $S$  leaves  $c_4$  fixed and induces an automorphism of  $\mathcal{G}_3$ . Since  $\mathcal{G}_3$  has precisely six (inner) automorphisms,  $S$  has six automorphisms too.

Now it is easy to see that all endomorphisms of rank 7 have the form  $\varepsilon^g = \alpha^g \varepsilon$  for  $g \in \mathcal{G}_4$ , so that  $\varepsilon^g(t) = \varepsilon(t)^g = g\varepsilon(t)g^{-1}$  for every  $t \in \mathcal{T}_4$ . Clearly, we obtain different endomorphisms for different  $g$ . If we choose  $\varepsilon = \sigma^\varepsilon$  as described in the Theorem, all other endomorphisms of rank 7 are  $(\sigma^\varepsilon)^g = \sigma^g$ . □

Our Theorem follows from Lemmas 3 and 4. □

Now we are ready to count the number of endomorphisms of  $\mathcal{T}_n$ . Since each automorphism of  $\mathcal{T}_n$  is inner, their total number is  $n!$  and it remains to find the number of proper endomorphisms.

By Lemma 3, each proper endomorphism  $\varepsilon$ ,  $n \neq 4$ , is determined by its range. The range is determined by two elements  $\beta$  and  $\gamma$  such that  $\beta^3 = \beta$  and  $\beta\gamma = \gamma\beta = \gamma^2 = \gamma$ . These relations between  $\beta$  and  $\gamma$  are characterized in the following lemma.

**Lemma 5.** *Three (not necessarily distinct) transformations  $\beta$ ,  $\alpha = \beta^2$  and  $\gamma$  are the range of an endomorphism of  $\mathcal{T}_n$  if and only if*

- (i) *the restriction  $\bar{\beta} = \beta|_Y$  of  $\beta$  to  $Y = \text{pr}_2 \beta$  is an involution, that is,  $\bar{\beta}$  is a permutation of  $Y$  such that  $\bar{\beta}^2 = \text{id}$ ;*
- (ii) *every element  $x$  of  $\text{pr}_2 \gamma$  is a fixed point of both  $\gamma$  and  $\beta$ ;*
- (iii) *if  $x \notin Y$ , then  $\gamma(x) = \gamma(\beta(x))$ ;*
- (iv) *if  $(x, y)$  is a transposition in  $\bar{\beta}$ , that is,  $\beta(x) = y$  and  $\beta(y) = x$  for  $x \neq y$ , then  $\gamma(x) = \gamma(y)$ .*

*Proof. Necessity.* (i) It follows from  $\beta^3 = \beta$  that  $\{\beta, \beta^2\}$  is a two-element subgroup of  $\mathcal{T}_n$ . By Lemma 1,  $\bar{\beta} = \beta|_Y$  is a permutation of  $Y$  and  $\bar{\beta}^2 = \Delta_Y$ , that is,  $\bar{\beta}$  is an involution of  $Y$ . We do not exclude the case when  $\bar{\beta} = \Delta_Y$ .

(ii) Let  $x \in \text{pr}_2 \gamma$ . If  $x = \gamma(y)$  for some  $y \in X$  and  $\delta\gamma = \gamma$  for  $\delta \in \mathcal{T}_n$ , then  $\delta(x) = \delta\gamma(y) = \gamma(y) = x$ . Thus  $\beta\gamma = \gamma\gamma = \gamma$  implies  $\gamma(x) = \beta(x) = x$ .

(iii) This condition follows from  $\gamma = \gamma\beta$ .

(iv) If  $(x, y)$  is a transposition of  $\bar{\beta}$ , then  $\gamma(x) = \gamma(\beta(y)) = \gamma(y)$ .

*Sufficiency.* Suppose that (i)–(iv) hold. Then (i) implies  $\beta^3 = \bar{\beta}^2\beta = \Delta_Y\beta = \beta$ . Since  $\gamma(x) \in \text{pr}_2 \gamma$ , (ii) implies  $\gamma^2(x) = \gamma(\gamma(x)) = \gamma(x)$  for all  $x \in X$ , that is,  $\gamma^2 = \gamma$ . Also by (ii),  $\beta(\gamma(x)) = \gamma(x)$  for all  $x \in X$ , and hence  $\beta\gamma = \gamma$ .

It remains to prove that  $\gamma\beta = \gamma$  or, equivalently,  $\gamma(\beta(x)) = \gamma(x)$  for all  $x \in X$ . By (iv) this is so for  $x \notin Y$ . If  $x \in Y$ , then, by (i),  $x$  is either a fixed point of  $\bar{\beta}$  (and hence of  $\beta$ ) or a part of a transposition  $(x, y)$  of  $\bar{\beta}$ . In the former case  $\gamma(\beta(x)) = \gamma(x)$ . In the latter case, by (iv),  $\gamma(\beta(x)) = \gamma(y) = \gamma(x)$ . Thus  $\gamma\beta = \gamma$ . □

*Proof of the Corollary.* Proper endomorphisms of  $\mathcal{T}_n$  are in one-to-one correspondence with the pairs  $\{\beta, \gamma\}$  that satisfy the conditions of Lemma 5. We count the number of these pairs in the following way.

First we classify these pairs for a given  $Y = \text{pr}_2 \beta$ . If  $Y$  is an  $m$ -element subset of  $X$ , then  $1 \leq m \leq n$ . We will choose  $\bar{\beta}$  with  $\text{pr}_2 \bar{\beta} = Y$ , then extend  $\bar{\beta}$  to  $\beta$ , and then choose an appropriate  $\gamma$ . Then we calculate the number of choices and add these numbers for all possible choices of  $Y$ .

By Lemma 5.(i),  $\bar{\beta}$  is a permutation of  $Y$  whose cycles are either fixed points or transpositions. Thus  $\bar{\beta}$  is completely determined by its transpositions. If there are  $k$  transpositions, they move  $2k$  elements of  $Y$ . Let  $Z$  be the set of these  $2k$

elements. There are  $\binom{m}{2k}$  choices for  $Z$ . Obviously,  $k \geq 0$ . By Lemma 5.(ii) and by  $\text{pr}_2 \gamma \subset Y$ , we see that  $2k < m$ . Thus  $0 \leq k \leq \lfloor \frac{m-1}{2} \rfloor$ , where  $\lfloor r \rfloor$  denotes the integral part of  $r$ .

To calculate the number of choices for  $k$  transpositions in  $Z$ , split  $Z$  into  $k$  disjoint *ordered* pairs of distinct elements. Each ordered pair has two components, and there are  $\binom{2k}{k}$  ways of choosing the set of  $k$  first components for these pairs. To form ordered pairs, bijectively map  $k$  first components onto  $k$  remaining elements of  $Z$ . This can be done in  $k!$  ways. Therefore, there are  $\binom{2k}{k}k!$  different ways of choosing these  $k$  ordered pairs.

Each two-element subset can be turned into an ordered pair in two ways, and hence  $k$  disjoint transpositions can be turned into  $2^k$  different sets of ordered pairs. Thus there are

$$\binom{m}{2k} \frac{(2k)!}{2^k k!} = \frac{m!}{2^k (m-2k)! k!}$$

ways to choose  $\bar{\beta}$  of rank  $m$ .

To extend  $\bar{\beta}$  to  $\beta$  we have to define  $\beta(x) \in Y$  for all  $x \in X \setminus Y$ . Since  $X \setminus Y$  contains  $n - m$  elements, there are  $m^{n-m}$  ways of extending each  $\bar{\beta}$ . Thus, given  $Y$ , there are  $\frac{m^{n-m} m!}{2^k (m-2k)! k!}$  choices for  $\beta$ . We can choose  $Y$  in  $\binom{n}{m}$  different ways. It follows that there are

$$\binom{n}{m} \frac{m^{n-m} m!}{2^k (m-2k)! k!} = \frac{m^{n-m} n!}{2^k (n-m)! (m-2k)! k!}$$

choices for  $\beta$  of rank  $m$ .

Given  $\beta$  of rank  $m$  with  $k$  transpositions, we now choose  $\gamma$ . Let  $\text{pr}_2 \gamma = W \subset Y$ , with  $W$  containing  $r$  elements. By Lemma 4.(ii),  $W$  consists of fixed points of  $\beta$ . There are  $m - 2k$  fixed points, so that  $1 \leq r \leq m - 2k$ , and there are  $\binom{m-2k}{r}$  choices for  $W$ .

By Lemma 5.(ii),  $\gamma(x) = x$  for every  $x \in W$ . It remains to define  $\gamma(x) \in W$  for  $x \notin W$ . By Lemma 5.(iii), we need to define  $\gamma(x)$  for  $x \in Y \setminus W$  only. The set  $Y \setminus W$  contains  $m - r$  elements and includes our  $2k$  transposed elements. To define  $\gamma$  for these  $2k$  elements, by Lemma 5.(iv), we have to define  $\gamma$  only for  $k$  of these elements. It follows that we can define  $\gamma(x)$  arbitrarily only for  $m - r - k$  values of  $x$ . Thus, given  $W$ , there are  $r^{m-k-r}$  choices for  $\gamma$ .

It follows that there are  $\binom{m-2k}{r} r^{m-k-r}$  choices for  $\gamma$  of rank  $r$ . Varying  $r$  between 1 and  $m - 2k$ , we obtain  $\sum_{r=1}^{m-2k} \binom{m-2k}{r} r^{m-k-r}$  choices for  $\gamma$  and

$$\begin{aligned} & \frac{m^{n-m} n!}{2^k (n-m)! (m-2k)! k!} \sum_{r=1}^{m-2k} \binom{m-2k}{r} r^{m-k-r} \\ &= \sum_{r=1}^{m-2k} \frac{m^{n-m} r^{m-k-r} n!}{2^k (n-m)! (m-2k-r)! k! r!} \end{aligned}$$

choices for  $\{\beta, \gamma\}$  with  $\beta$  of rank  $m$ .

It follows that the total number of proper endomorphisms of  $\mathcal{T}_n$  is

$$\sum_{m=1}^n \sum_{k=0}^{\lfloor \frac{m-1}{2} \rfloor} \sum_{r=1}^{m-2k} \frac{m^{n-m} r^{m-k-r} n!}{2^k (n-m)! (m-2k-r)! k! r!}.$$

This and part (A) prove the last two claims of the Corollary.

Endomorphisms of rank 1 are characterized by the condition  $\beta = \gamma$ , that is,  $k = 0$  and  $r = m$ , which yields the first claim of the Corollary. The number of endomorphisms of rank 1 coincides with the number of idempotents of  $\mathcal{T}_n$ , and thus we recover a result of Tainiter (see [5]).

Endomorphisms of rank 2 are characterized by the condition  $\beta^2 = \beta \neq \gamma$ , that is,  $k = 0$  and  $r < m$ . Thus  $m \geq 2$ , which produces the second claim of the Corollary.

Endomorphisms of rank 3 are characterized by the condition  $k \neq 0$ , which gives us the third claim of the Corollary and completes its proof.  $\square$

*Remark.* The Theorem and Corollary make it possible to classify and count various special endomorphisms. For example, an endomorphism  $\varepsilon$  is called a *retraction* if it is idempotent (that is,  $\varepsilon^2 = \varepsilon$ ). The image of a retraction is called a *retract*. We can easily describe retractions and retracts of  $\mathcal{T}_n$ .

**Proposition.** *An endomorphism  $\varepsilon$  of  $\mathcal{T}_n$  is a retraction if and only if it is one of the following:*

- (i)  $\varepsilon$  is an endomorphism of rank 1;
- (ii)  $\varepsilon$  maps elements of  $\mathcal{G}_n$  into the identity element  $e$  of  $\mathcal{G}_n$ , and elements of  $\mathcal{T}_n \setminus \mathcal{G}_n$  into any idempotent  $\gamma$  different from  $e$ ;
- (iii)  $\varepsilon$  maps elements of the alternating group  $\mathcal{A}_n$  into  $e$ , the remaining permutations of  $\mathcal{G}_n \setminus \mathcal{A}_n$  into an odd permutation  $\beta \in \mathcal{G}_n$ , which is an involution (that is,  $\beta^2 = e$ ) with fixed points, and  $\varepsilon$  maps elements of  $\mathcal{T}_n \setminus \mathcal{G}_n$  into  $\gamma \in \mathcal{T}_n$  such that  $\gamma^2 = \beta\gamma = \gamma\beta = \gamma$ .

Also,  $\mathcal{T}_4$  has four additional retractions. They are endomorphisms  $\sigma^g$  of rank 7 with  $g \in \mathcal{K}$ .

The number of retractions of rank 1 is  $n! \sum_{m=1}^n \frac{m^{n-m}}{(n-m)!m!}$ . The number of retractions of rank 2 is  $n! \sum_{m=1}^{n-1} \frac{m^{n-m}}{(n-m)!m!}$ . The number of retractions of rank 3 is

$$n! \sum_{k=1}^{\lfloor \frac{n+1}{4} \rfloor} \sum_{r=1}^{n-4k-2} \frac{r^{n-k-r}}{2^{2k+1}(n-4k-r-2)!(2k+1)!r!}.$$

The number of retractions of rank 7 for  $n = 4$  is 4.

*Proof. Necessity.* It is clear that endomorphisms described in (i) and (ii) are retractions. If  $\varepsilon$  is an endomorphism of type (iii), then  $\beta \neq \beta^2 = e$  because  $\beta$  is odd and  $e$  even. Also,  $\gamma \neq e$  because  $\gamma\beta = \gamma$  and  $e\beta = \beta \neq \gamma$ . Thus  $\varepsilon$  has rank 3. Since  $\beta$  is an odd permutation, it does not belong to  $\mathcal{A}_n$ , and hence  $\varepsilon(\beta) = \beta$ . Also,  $\varepsilon(e) = e$ , and  $\varepsilon(\gamma) = \gamma$  because  $\gamma \notin \mathcal{G}_n$ . Thus  $\varepsilon^2 = \varepsilon$  and  $\varepsilon$  is a retraction.

It remains to check that, if  $n = 4$ ,  $\sigma^g$  are retractions for  $g \in \mathcal{K}$ . For every  $t \in I_4$ ,  $\sigma^g(t) = g\sigma(t)g^{-1} = gc_4g^{-1} = c_{g(4)} \in I_4$ , and hence  $\sigma^g(\sigma^g(t)) = \sigma^g(c_{g(4)}) = \sigma^g(t)$ . If  $t \in \mathcal{G}_4$  and  $g \in \mathcal{K}$ , then  $t(tg^{-1})^{-1} = tgt^{-1} \in \mathcal{K}$ , whence  $\mathcal{K}t = \mathcal{K}tg^{-1}$ . By our definition of  $\sigma$ ,  $\sigma(t) \in \mathcal{K}t$ , and hence  $\sigma^g(t) = g\sigma(t)g^{-1} \in g\mathcal{K}tg^{-1} = g\mathcal{K}t = \mathcal{K}t$ , because  $g\mathcal{K} = \mathcal{K}$ . It follows that  $\mathcal{K}\sigma^g(t) = \mathcal{K}t$  for every  $t \in \mathcal{G}_4$ , and so  $\mathcal{K}\sigma^g(\sigma^g(t)) = \mathcal{K}\sigma^g(t)$ , which means that  $\sigma^g(\sigma^g(t)) = \sigma^g(t)$ , because  $\mathcal{K}$  is the kernel of the group homomorphism  $\sigma_{|\mathcal{G}_4}^g : \mathcal{G}_4 \rightarrow \mathcal{G}_4$ . Thus  $(\sigma^g)^2 = \sigma^g$  and  $\sigma^g$  is a retraction of  $\mathcal{T}_4$ .

*Sufficiency.* Let  $\varepsilon$  be a retraction of  $\mathcal{T}_n$ . If  $\text{rank}(\varepsilon) = 1$ , then  $\varepsilon$  belongs to class (i).

Let  $\text{rank}(\varepsilon) = 2$ . By the Theorem the image of  $\varepsilon$  is  $\{\beta, \gamma\}$ , where  $\beta$  and  $\gamma$  are distinct idempotents. If  $\beta \in I_n$ , then  $\gamma = \beta\gamma \in I_n$ , and hence  $\beta = \varepsilon(e) = \varepsilon^2(e) = \varepsilon(\varepsilon(e)) = \varepsilon(\beta) = \gamma$ , which is a contradiction. Thus  $\beta \in \mathcal{G}_n$ . The only idempotent of  $\mathcal{G}_n$  is  $e$ , and so  $\beta = e$  and  $\varepsilon$  belongs to class (ii).

Let  $\text{rank}(\varepsilon) = 3$ . By the Theorem the image of  $\varepsilon$  is  $\{\beta, \beta^2, \gamma\}$ . If  $\beta \in I_n$ , then  $\beta^2 \in I_n$  and we obtain a contradiction as in the case of  $\varepsilon$  of rank 2. Thus  $\beta \in \mathcal{G}_n$ , and hence  $\alpha = \beta^2 = e$  because  $\alpha$  is an idempotent element of  $\mathcal{G}_n$ . It follows that  $\beta$  is an involution in  $\mathcal{G}_n$ . By Lemma 5.(ii),  $\beta$  has fixed points. If it is an even permutation, then  $\beta \in \mathcal{A}_n$ , and hence  $\varepsilon(\beta) = \beta$ . Thus, for every  $t \in \mathcal{G}_n \setminus \mathcal{A}_n$ ,  $\beta = \varepsilon(t) = \varepsilon^2(t) = \varepsilon(\beta) = \alpha$ , which is a contradiction. Therefore,  $\beta$  is odd, and so  $\varepsilon$  belongs to class (iii).

Let  $\text{rank}(\varepsilon) = 7$  (and hence  $n = 4$  and  $\varepsilon = \sigma^g$  for some  $g \in \mathcal{G}_4$ ). For every  $t \in \mathcal{G}_4$ ,  $\sigma^g(\sigma^g(t)) = \sigma^g(t)$ , which means  $g\sigma(\sigma^g(t))g^{-1} = g\sigma(t)g^{-1}$ , and this implies  $\sigma(\sigma^g(t)) = \sigma(t)$ . In the proof of necessity we saw that  $\sigma^2 = \sigma$ , and so  $\sigma(t) = \sigma(\sigma^g(t)) = \sigma(g\sigma(t)g^{-1}) = \sigma(g)\sigma^2(t)\sigma(g^{-1}) = \sigma(g)\sigma(t)\sigma(g^{-1}) = \sigma(gtg^{-1})$ . Thus  $\sigma(gtg^{-1}t^{-1}) = \sigma(gtg^{-1})\sigma(t)^{-1} = e$ . Therefore,  $gtg^{-1}t^{-1} \in \ker(\sigma|_{\mathcal{G}_4}) = \mathcal{K}$  for all  $t \in \mathcal{G}_4$ .

Suppose that  $g$  is a transposition  $(i j)$ . If  $t$  is a 3-cycle  $(i j k)$ , then

$$(i j k) = (i j)(i j k)(j i)(i k j) = gtg^{-1}t^{-1} \in \mathcal{K}$$

(recall that we apply the factors in the product  $gtg^{-1}t^{-1}$  from the right to the left). If  $g$  is a 3-cycle  $(i j k)$ , choose  $t = (i j)$ . We obtain

$$(i k j) = (i j k)(i j)(i k j)(j i) = gtg^{-1}t^{-1} \in \mathcal{K}.$$

If  $g$  is a 4-cycle  $(i j k l)$  (where  $\{i, j, k, l\} = \{1, 2, 3, 4\}$ ), choose  $t = (i j)$ . We obtain

$$(i k j) = (i j k l)(i j)(i l k j)(j i) = gtg^{-1}t^{-1} \in \mathcal{K}.$$

It follows that if  $g$  is a 2-, 3-, or 4-cycle, then  $\mathcal{K}$  contains a 3-cycle, which is not true. Thus either  $g = e$  or  $g$  is a product of two disjoint transpositions, i.e.,  $g \in \mathcal{K}$ .

It is clear that the number of retractions of rank 1 is the number of idempotents of  $\mathcal{T}_n$ , and the number of retractions of rank 2 is the number of idempotents less 1 (because retractions of rank 2 are in one-to-one correspondence with idempotents  $\gamma \in I_n$ ). We skip a proof that the number of retractions of rank 3 is indeed given by the formula in the Proposition. The number of retractions of rank 7 is the order of Klein's four-group  $\mathcal{K}$ , that is, 4.  $\square$

A description of all retracts of  $\mathcal{T}_n$  easily follows from the Proposition.

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