A NOTE ON COMPLETE INTERSECTIONS
OF HEIGHT THREE

JUNZO WATANABE

(Communicated by Wolmer V. Vasconcelos)

Abstract. Let $k$ be a field of characteristic 0. If $I \subseteq k[x, y, z]$ is a complete
intersection generated by three homogeneous elements of degrees $d_1, d_2, d_3$
with $2 \leq d_1 \leq d_2 \leq d_3$, then the reduction of $I$ by a general linear form is
minimally generated by three elements if and only if $d_3 \leq d_1 + d_2 - 2$.

1. Introduction

This paper has grown out of a desire to prove the weak Lefschetz condition for
Artinian complete intersections, homogeneous, of codimension three over a field
of characteristic 0. The Main Theorem below is the most general result so far
obtained in this direction. Although it may not be obvious, one realizes easily that
it is a weaker statement than the weak Lefschetz condition; in other words any
counter-example to the Main Theorem would have been a counter-example to the
weak Lefschetz condition (see Remark(2)).

The Main Theorem itself readily proves the weak Lefschetz condition for com-
plete intersections of small degrees (Corollary 1) as well as for those in which one
of the degrees is sufficiently greater than the others (Corollary 2).

Corollary 3 is another consequence of the Main Theorem which exhibits a set of
minimal generators of the ideal $(f_1, f_2, f_3): z$ for a general element $z$.

Throughout this paper we work with polynomial rings (in at most three variables)
over a field and ideals and elements that are homogeneous. Mostly the variables
have degree 1 but at times it is necessary to consider a variable having degree 0,
which plays an important role.

The meaning of the words “generic linear form” should be self-explanatory, but
for completeness we give a precise definition (Definition). For details we refer
to [6, Appendix]. Generic variables are a set of generic linear forms such that
their coefficients are algebraically independent over some field in consideration.
Let $A = \bigoplus A_i$ be a graded Artinian algebra over a field $k = A_0$. Then we say that
it has the weak Lefschetz condition, if there is an element $\ell$ of degree 1 such that
the multiplication $\ell : A_i \rightarrow A_{i+1}$ is either injective or surjective for all $i$ (cf. [5]). If $I$
is a Gorenstein ideal of finite colength, it is well known that $I : f$ for any element
2. The main result and its consequences

**Definition.** Let \( R = \bigoplus_{i \geq 0} R_i \) be a graded ring, where \( k = R_0 \) is a field, and \( R = k[R_1] \). Suppose that \( R_1 \) is spanned by \( x_1, x_2, \ldots, x_n \). Let \( \xi_1, \ldots, \xi_n \) be algebraically independent elements over \( R \). Let \( k^* = k(\xi_1, \ldots, \xi_n) \) be the rational function field and put \( R^* = R \otimes_k k^* \). Then we call the element \( L = \xi_1 x_1 + \cdots + \xi_n x_n \in R^* \) a generic linear form for \( R \). Unless otherwise specified we treat it as though it were an element of \( R \), since in most cases a sufficiently general linear form in \( R \) serves as “a generic linear form” (cf. [6, Appendix]). The significance of \( L \) is that it is generic for \( R/I \) for whatever ideal \( I \subset R \). We say that an element \( q \in R_1 \) is a general linear form if it plays the same role as a generic linear form, depending on a particular situation for a certain purpose.

Our main result is stated as follows.

**Main Theorem.** Let \( R = k[x, y, z] \) be the polynomial ring over a field \( k \) of characteristic 0. Let \( I \) be a complete intersection ideal of \( R \) generated by homogeneous elements \( f_1, f_2, f_3 \in R \) of degrees \( d_1, d_2, d_3 \) respectively, where we assume that \( 2 \leq d_1 \leq d_2 \leq d_3 \). Then the following conditions are equivalent.

1. \( \mu(I + \ell R/\ell R) = 3 \) for a generic linear form \( \ell \in R \).
2. \( d_3 \leq d_1 + d_2 - 2 \).

**Proof.** (i)⇒(ii). Let \( \ell \) be a generic linear form. Then \( f_1, f_2, \ell \) is a regular sequence. The socle degree of the ring \( R/(f_1, f_2, \ell) \) is \( d_1 + d_2 - 2 \). Hence if (ii) is not true, then \( f_3 \) is contained in the ideal \( (f_1, f_2)R \mod \ell R \), contradicting (i).

Before we start our proof for the other implication we prepare two easy lemmas.

**Lemma 1.** Let \( R \) be a non-negatively graded ring over a local ring \( R_0 \) and let \( M \) denote the unique homogeneous maximal ideal of \( R \). Let \( I \subset R \) be a homogeneous ideal. Suppose that \( \ell \in M \) is a non-zero-divisor of \( R/I \). Then \( \mu(I + (\ell)/I) = \mu(I) \).

**Proof.** First of all we note that Nakayama’s lemma holds. I.e., a pre-image of a basis for \( I/M \) over \( R/M \) generates \( I \) as an ideal. By “” we denote the reduction by \( \ell \). Let \( \{f_1, \ldots, f_n\} \) be a minimal generating set of \( I \). It suffices to show that \( \{f_1, \ldots, f_n\} \) is a minimal generating set of \( I \). Suppose not. Then, by Nakayama’s lemma, one of the generators, say \( f_1 \), is superfluous. I.e., \( f_1 = \sum_{i=2}^n a_i f_i \), \( \exists a_i \in R \). This means that \( \sum_{i=1}^n a_i f_i = x \ell \), for \( \exists x \in R \) with \( a_1 = -1 \). Since \( \ell \) is a non-zero-divisor of \( R/I \) it follow that \( x \in I \). Now write \( x = \sum_{i=2}^n b_i f_i \), \( \exists b_i \in R \) and we get \( (1 + b_1) f_1 = \sum_{i=2}^n (a_i - b_i \ell) f_i \). By comparing the homogeneous parts of this equation in the smallest degree one obtains \( f_1 \) as a linear combination of \( f_2, \ldots, f_n \), which is a contradiction.

**Lemma 2.** Let \( k \) be a field of characteristic 0 and \( R = k[x_1, \ldots, x_n] \) the polynomial ring over \( k \). Suppose that \( S \subset R_1 \) consists of distinct linear forms as many as \( \dim R_1 \). Then the set \( \{f^d | f \in S \} \) spans \( R_1 \). Here the word distinct means that none is a multiple of another.

**Proof.** Let \( n = 2 \). Then the assertion is proved easily using the Van der Monde determinant. The general case follows by induction on \( n \). (For a more general result see [2, Appendix III]. Also see [4, Lemma 3.1 & Cor. 3.2].)
Proof for (ii)⇒(i) of Main Theorem. We may apply a general linear transformation of the variables, so we assume that $x, y, z$ are generic variables. Let $\alpha$ be a new variable with $\deg \alpha = 0$ and put $\hat{R} = k[\alpha][x, y]$, which is the polynomial ring in $x, y$ with coefficients in $k[\alpha]$. Let $g$ be a general linear combination of $x$ and $y$ with coefficients in $k$ (so $g$ is a general element of $k[x, y]$) and consider the map $\phi : R \to \hat{R}$ defined by $x \mapsto x, y \mapsto y, z \mapsto g\alpha$. Note that $\phi$ is a graded homomorphism. Put $\hat{f}_i = \phi(f_i), \hat{I} = (\hat{f}_1, \hat{f}_2, \hat{f}_3)\hat{R}$. We will write $f_i = f_i(z) \in k[x, y][z]$ for $i = 1, 2, 3$ and regard them as polynomials in $z$ with coefficients in $k[x, y]$. Then

$$\hat{f}_i = f_i(g\alpha), \quad i = 1, 2, 3.$$ 

By way of contradiction we assume that (i) in the statement of the Main Theorem is not true. Our first objective is to derive the inclusion

$$(1) \quad f_3(g\alpha) \in (f_1(g\alpha), f_2(g\alpha))$$

in the ring $k[\alpha]_\alpha[x, y]$, which is the polynomial ring in $x, y$ over the discrete valuation ring $k[\alpha]_\alpha$. The failure of (i) means that $\mu(\hat{I}/(\ell)/(\ell)) = 2$ for all generic linear forms, and in this case the ideal $I + (\ell)/(\ell)$ is generated by $f_1$ and $f_2$ mod $(\ell)$ due to the degree reason. Notice that, for any $\alpha_0 \in k$, we have the isomorphism

$$\hat{R}/\hat{I} + (\alpha - \alpha_0)\hat{R} \cong R/(I + (\alpha g - z))R.$$ 

The element $\alpha_0 g - z$ is a generic linear form for $R/I$ for all but a finite number of values of $\alpha_0$, and particularly it is generic for $\alpha_0 = 0$ since $z$ is a generic variable. It follows that the map $k[\alpha] \to \hat{R}/I$ is locally flat at $\alpha = 0$ because the two fibers have the same dimension (which is $d_1 d_2$). Thus $\alpha$ is a non-zero-divisor for $\hat{I}\hat{R} \otimes_{k[\alpha]} k[\alpha]_\alpha$. Hence it is possible to apply Lemma 1 to conclude that $\mu(\hat{I}) = 2$. Thus we have derived the inclusion (1) from the assumption that the statement (i) of the Main Theorem is not true. Now we write:

$$(2) \quad f_3(g\alpha) = \sum_{i=1}^{2} A_i(\alpha) f_i(g\alpha),$$

where $A_i(\alpha) \in k[\alpha]_\alpha[x, y]$ are homogeneous elements. The notation $A_i(\alpha)$ is used to mean that they are treated as functions in $\alpha$. Let us write the same equation as (2) for another general element $h$ of $k[x, y]$ with $B_i(\alpha)$ in place of $A_i(\alpha)$. Namely,

$$(3) \quad f_3(h\alpha) = \sum_{i=1}^{2} B_i(\alpha) f_i(h\alpha).$$

We are going to prove the following two statements (I) and (II).

(I) For any $n \geq 0$, $A_i^{(n)}(0)$ is divisible by $g^n$ in the ring $k[x, y]$, for $i = 1, 2$.

(II) For any $n \geq 0$, $A_i^{(n)}(0)/g^n = B_i^{(n)}(0)/h^n$, $i = 1, 2$.

Here we have written $A_i^{(n)}(\alpha) = \frac{d}{d\alpha} A_i(\alpha)$. Note that $A_i^{(n)}(0) \in k[x, y]$ since $A_i(\alpha) \in k[\alpha]_\alpha[x, y]$. Once (I) is proved, it implies, among other things, that $A_i^{(n)}(0) = 0$ for all sufficiently large $n$ as the differentiation by $\alpha$ does not change the degree with respect to $x, y$. Taking the Taylor expansion of $A_i(\alpha)$ in powers of $\alpha$ and substituting $\alpha = z/g$ in the equation (2), (I) further implies that

$$f_3(z) \in (f_1(z), f_2(z))R,
which contradicts the assumption \( \text{ht}(f_1, f_2, f_3) = 3 \). Thus it suffices to prove (I) to complete the proof of the Main Theorem. We note that the statement (II) is necessary as an inductive set up for a proof of (I).

**Proof of (I) and (II).** We proceed by induction on \( n \). Let \( n = 0 \). (I) is trivial. We prove (II). Set \( \alpha = 0 \) in the equations (2) and (3) and make the difference. We get:

\[
0 = \sum_{i=1}^{2} \{ A_i(0) - B_i(0) \} f_i(0).
\]

From (2) and (3) follows \( \deg A_i(0) = \deg B_i(0) = d_3 - d_i \) for \( i = 1, 2 \). Hence the degree of the RHS of the equation (4) is \( d_3 \). Recall that we are using generic variables. Hence \( f_1(0) \) and \( f_2(0) \) are coprime and they can have only a Koszul relation whose degree is \( 1 + d_2 \). Since \( d_3 \leq d_1 + d_2 - 2 < d_1 + d_2 \) it is possible only if \( A_i(0) = B_i(0) \) for \( i = 1, 2 \). This is (II) in the case \( n = 0 \).

Now assuming the induction hypothesis we prove (I) and (II) for \( n \). We prove (I) first. Differentiate the equation (2) \( n \) times with respect to \( \alpha \). We get:

\[
f_3^{(n)}(g \alpha) g^n = \sum_{i=1}^{2} \sum_{j=0}^{n} \binom{n}{j} A_i^{(n-j)}(\alpha) f_i^{(j)}(g \alpha) g^j.
\]

(We are writing \( f_i'(z) = \frac{d}{dz} f_i(z) \), and \( A_i'(\alpha) = \frac{d}{d \alpha} A_i(\alpha) \).) In the equation (5) set \( \alpha = 0 \), and rewrite it as

\[
f_3^{(n)}(0) g^n - \sum_{i=1}^{2} \sum_{j=1}^{n} \binom{n}{j} A_i^{(n-j)}(0) f_i^{(j)}(0) g^j = \sum_{i=1}^{2} A_i^{(n)}(0) f_i^{(0)}(0).
\]

The induction hypothesis (I) implies that the LHS of (6) is divisible by \( g^n \). Hence we have that

\[
f_3^{(n)}(0) - \sum_{i=1}^{2} \sum_{j=1}^{n} \binom{n}{j} \frac{1}{g^{n-j}} A_i^{(n-j)}(0) f_i^{(j)}(0) \in (f_1(0), f_2(0)) : g^n.
\]

The induction hypothesis (II) implies that the LHS of the equation (7) is also contained in the ideal \( (f_1(0), f_2(0)) : h^n \). Choosing various \( g \)'s it follows that the LHS of (7) is in fact contained in \( (f_1(0), f_2(0)) : (x, y)^n \) by Lemma 2. By the duality of a Gorenstein algebra we have:

\[
(f_1(0), f_2(0)) : (x, y)^n = (f_1(0), f_2(0)) + (x, y)^{d_1 + d_2 - 1 - n}.
\]

But the degree of the LHS of the equation (7) is \( d_3 - n \) which is strictly smaller than \( d_1 + d_2 - 1 - n \). This implies that the LHS of (7) is in fact in the ideal \( (f_1(0), f_2(0)) \). Thus the LHS of the equation (6) is an element of \( g^n(f_1(0), f_2(0)) \). This means that the RHS of the equation (6) can be written as

\[
\sum_{i=1}^{2} A_i^{(n)}(0) f_i^{(0)}(0) = \sum_{i=1}^{2} g^n P_i f_i(0),
\]

with suitable homogeneous elements \( P_i \in k[x, y] \). Note that the degree of \( A_i^{(n)}(0) \) is \( d_3 - d_i \), which is automatically the degree of \( g^n P_i \). It follows that \( A_i^{(n)}(0) = g^n P_i \) for \( i = 1, 2 \) because there is not a syzygy of \( f_1(0), f_2(0) \) with degree less than \( d_1 + d_2 \).
Thus we have proved (I). To prove (II) we have to show that \( P_1 \) and \( P_2 \) do not depend on \( g \). From the equation (6), we have
\[
\sum_{i=1}^{2} \frac{1}{g^n} A_i^{(n)}(0)f_i(0) = f_3^{(n)}(0) - \sum_{i=1}^{2} \sum_{j=1}^{n} \frac{1}{g^{n-j}} A_i^{(n-j)}(0)f_i^{(j)}(0).
\]

The same formula can be derived from the equation (3), but the induction hypothesis (II) implies that the right-hand side of this equation is independent of \( g \). This means that
\[
\sum_{i=1}^{2} \frac{1}{g^n} A_i^{(n)}(0)f_i(0) = \sum_{i=1}^{2} \frac{1}{h^n} B_i^{(n)}(0)f_i(0).
\]

Again by the degree reason we have
\[
\frac{1}{g^n} A_i^{(n)}(0) = \frac{1}{h^n} B_i^{(n)}(0) \text{ for } i = 1, 2.
\]

This is (II). Now the proof is complete.

**Remark.** (1) In the same notation as in the Main Theorem if \( d_3 > d_1 + d_2 - 2 \) then the weak Lefschetz condition holds on the ring \( R/I \). In fact the multiplication by a linear form on \( R/I \) acts in the same way as it does on \( R/(f_1, f_2) \) up to the middle of the graded pieces. For the other half we can use the duality. Hence the multiplication by a general element is piece-wise full rank since depth \( R/(f_1, f_2) = 1 \).

(2) Any counter-example to the Main Theorem would have been be a counter-example to the weak Lefschetz condition for \( R/I \). In fact consider the exact sequence:
\[
0 \to R/I : \ell \to R/I \to R/I + (\ell) \to 0
\]

where the map on the left is the multiplication by \( \ell \). If the weak Lefschetz condition holds on the ring \( R/I \) then the Hilbert function of the ring \( R/I + (\ell) \) is the first difference of the Hilbert function of \( R/I \) (with non-positive part ignored). On the other hand if \( \mu(I + (\ell)/(\ell)) = 2 \) then the Hilbert function of \( R/I + (\ell) \) is symmetric. It is not difficult to see that this is possible if and only if \( d_3 > d_1 + d_2 - 2 \).

(3) Assume that \( d_3 \leq d_1 + d_2 - 2 \). Denote by \( \nu^n \) the reduction by a general linear form. Let
\[
0 \to \bigoplus_{i=1}^{2} \bar{R}(-e_i) \to \bigoplus_{i=1}^{3} \bar{R}(-d_i) \to \bar{I}
\]

be a minimal free resolution of \( \bar{I} \). Then the weak Lefschetz condition holds on \( R/I \) if and only if \( |e_1 - e_2| = 0 \) or 1 according as \( \sum d_i \) is even or odd, since in this case the Hilbert function of the ring \( \bar{R}/(f_1, f_2, f_3) \) is the smallest with \( d_1, d_2, d_3 \) fixed.

**Corollary 1.** Let \( I = (f_1, f_2, f_3) \subset R \) be a regular sequence with degrees \( d_1, d_2, d_3 \). If \( d_i \leq 3, \forall i \), then the weak Lefschetz condition holds on the ring \( R/I \).

**Proof.** Consider the case \( d_i = 3, \forall i \). Let \( \ell \) be any linear form satisfying \( \mu(I + (\ell)/(\ell)) = 3 \). Then we have a minimal free resolution of \( \bar{R}/\bar{I} \) as follows:
\[
0 \to \bar{R}(-4) \bigoplus \bar{R}(-5) \to \bar{R}(-3)^{\oplus 3} \to \bar{I} \to 0
\]

Note that the generator degrees \( (3, 3, 3) \) uniquely determine the relation degrees \( (4, 5) \) by the Hilbert-Burch theorem (cf. the proof of Corollary 3 (ii) below). It
follows that the Hilbert function of $R/I$ is $(1, 2, 3, 1)$, which implies that the Hilbert function of $R/(I : \ell)$ is $(1, 3, 6, 6, 3, 1)$. This shows that the multiplication by $\ell$ on the ring $R/I$ has full rank at each graded piece. The cases $(d_1, d_2, d_3) = (2, 2, 2)$ and $(2, 3, 3)$ are similar. For the case $(2, 2, 3)$ we can use Remark (1). If one of $d_i$'s is equal to 1 the strong Lefschetz condition holds by [3, Theorem 2.9].

**Corollary 2.** Let $I = (f_1, f_2, f_3) \subset R$ be a regular sequence with degrees $d_1, d_2, d_3$. Assume that $d_3 \geq \text{Max}\{d_1, d_2\}$. If $d_3 \geq d_1 + d_2 - 3$ then the Weak Lefschetz condition holds on the ring $R/I$.

**Proof.** (i) The case $d_3 > d_1 + d_2 - 2$ was explained in Remark (1).

(ii) Assume that $d_3 = d_1 + d_2 - 2$. Denote by $\overline{\ell}$ the reduction by a general element. One sees easily that $f_3$ is a generator of the socle of $R/(f_1, f_2)$. Hence we have $\overline{x_3} \in (f_1, f_2)$ and $\overline{y_3} \in (f_1, f_2)$, which gives two (independent) syzygies of the same degree. Thus by Remark (3) the weak Lefschetz condition follows.

(iii) Assume that $d_3 = d_1 + d_2 - 3$. We may assume that $f_1, f_2$ are a regular sequence. Then we have that $\overline{x_3}$ and $\overline{y_3}$ are linearly dependent modulo $(f_1, f_2)$ as they sit in the socle of $R/(f_1, f_2)$. This gives a syzygy of degree $d_3 + 1$. The degree of another basic syzygy is automatically $d_3 + 2$. Hence by Remark (3) we have the weak Lefschetz condition.

**Remark.** (4) As before let $(d_1, d_2, d_3)$ be the generator degrees. Corollaries 1 and 2 imply in particular that if $d_1 = d_2 = 3$, then for any $d_3 > 0$ the weak Lefschetz condition holds on the ring $R/(f_1, f_2, f_3)$. If $d_1 = d_2 = 4$ then for any $d_3 > 0$ except for $d_3 = 4$ the weak Lefschetz condition holds. Thus the case where the generator degrees are $(4, 4, 4)$ is the first open case for the weak Lefschetz condition.

**Corollary 3.** Let $R = k[x, y, z]$ be the polynomial ring over a field $k$ of characteristic 0. Let $I$ be a complete intersection ideal of $R$ generated by homogeneous elements $f_1, f_2, f_3 \in R$ of degrees $d_1, d_2, d_3$ respectively, where we assume that $2 \leq d_1 \leq d_2 \leq d_3$. Let $\ell$ be a general linear form. Then we have

(i) $d_3 > d_1 + d_2 - 2 \Rightarrow I : \ell$ is generated by 3 elements.

(ii) $d_3 \leq d_1 + d_2 - 2 \Rightarrow I : \ell$ is generated by 5 elements.

**Proof.** (i) Let $j$ be the smallest integer such that

$$\dim (R/I)_j > \dim (R/I)_{j+1}.$$ 

First we show that $d_3 = j + 1$. Notice that $\dim [R/I]_i = \dim [R/(f_1, f_2)]_i$ for $i = 0, \cdots, d_3 - 1$. Hence the dimensions are not decreasing up to $i = d_3 - 1$, because the ring $R/(f_1, f_2)$ is a 1-dimensional CM algebra. On the other hand $\dim [R/(f_1, f_2)]_i$ reaches the maximum at $i = d_1 + d_2 - 2$. Now the hypothesis implies that the Hilbert series of $R/I$ begins decreasing just at $i = d_3$ as claimed. Let $\ell$ be a general linear form and $f$ a non-zero element in $\text{Ker}[\ell : (R/I)_j \to (R/I)_{j+1}]$. This means that $\ell f = a_1 f_1 + a_2 f_2 + a_3 f_3$ with $a_i \in R$. By a degree reason $a_3$ is a non-zero constant. Thus we have

$$(f_1, f_2, f_3) : \ell \supset (f_1, f_2, f) \supset (f_1, f_2, f_3).$$

The socle degree of $R/(f_1, f_2, f_3) : \ell$ is one less than that of $R/(f_1, f_2, f_3)$, since $\ell$ is of degree 1. Thus $R/(f_1, f_2, f_3) : \ell$ and $R/(f_1, f_2, f)$ have the same socle degree. By [7, Lemma 4], there is an element $x \in R$ such that $(f_1, f_2, f_3) : \ell = (f_1, f_2, f) : x$. If $x$ were not a unit, the socle degree of $(f_1, f_2, f) : x$ would be strictly less than the socle
degree of \((f_1, f_2, f)\). Thus \(x\) is in fact a unit and we have \((f_1, f_2, f_3) : \ell = (f_1, f_2, f)\). This completes the proof.

(ii) We may assume that \(z\) is a general element for \(I\). We write \(f_i = f_i(z)\) and regard them as polynomials in \(z\) with coefficients in \(k[x, y]\). Further write \(f_i = f_i(0) + h_i z\) where \(h_i \in R\) are homogeneous elements of degree \(d_i - 1\). Let \(M = (a_{ij})\) be a minimal syzygy matrix of the vector \(t(f_1(0), f_2(0), f_3(0)) \subset k[x, y]^3\) over the ring \(k[x, y]\). By the Main Theorem it is a 2 by 3 matrix with homogeneous forms of positive degrees as entries. The Hilbert-Burch theorem says that \((f_1, f_2, f_3) = c(\Delta_1, -\Delta_2, \Delta_3)\), \(\exists c \in k\), where \(\Delta_i\) is the determinant of the matrix \(M\) with \(i\)th column deleted. Define the matrix \(P\) by:

\[
P = \begin{pmatrix}
  0 & -h_3 & -h_2 & -a_{11} & -a_{21} \\
  h_3 & 0 & -h_1 & -a_{12} & -a_{22} \\
  h_2 & h_1 & 0 & -a_{13} & -a_{23} \\
  a_{11} & a_{12} & a_{13} & 0 & -z \\
  a_{21} & a_{22} & a_{23} & z & 0
\end{pmatrix}
\]

Let \(J\) be the ideal generated by the Pfaffians of \(P\). As a consequence of the Hilbert-Burch theorem, the three of the Pfaffians are \((f_1, f_2, f_3)\). The other two are:

\[
f_4 = h_1 a_{11} - h_2 a_{12} + h_3 a_{13} ,
\]

\[
f_5 = h_1 a_{21} - h_2 a_{22} + h_3 a_{23} .
\]

Since \(J \supseteq I\), grade \(J = 3\). By the structure theorem of height three Gorenstein ideals (cf. \([1]\)), one sees that \(J\) is a Gorenstein ideal minimally generated by these five elements. The structure theorem also says that \(P\) is a syzygy matrix of \(t(f_1, \cdots, f_5)\). Hence we have

\[
(f_1, f_2, f_3) : z \supseteq J \supseteq (f_1, f_2, f_3).
\]

By the same argument for (i) we see that the socle degree of \(R/(f_1, f_2, f_3) : z\) is one less than that of \(R/(f_1, f_2, f_3)\) and hence no Gorenstein ideal can exist properly between \((f_1, f_2, f_3) : z\) and \((f_1, f_2, f_3)\). Thus we have \((f_1, f_2, f_3) : z = (f_1, f_2, f_3, f_4, f_5)\), which completes the proof.

**Acknowledgments**

The author would like to express his deep thanks to Professor Anthony Iarrobino, Mats Boij and David Eisenbud for many discussions of the subject. Also he would like to thank the referee for a critical reading of the original manuscript of this paper. Finally the author would like to thank the Mathematics Department of Northeastern University for its hospitality for ten months which made this research possible.

**References**


Department of Mathematical Sciences, Tokai University, Hiratsuka 259-1292, Japan
E-mail address: junzowat@ss.u-tokai.ac.jp