QUASINORMAL SUBRELATIONS OF ERGODIC EQUIVALENCE RELATIONS

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Abstract. We introduce a notion of quasinormality for a nested pair $S \subset R$ of ergodic discrete hyperfinite equivalence relations of type $II_1$. (This is a natural extension of the normality concept due to Feldman-Sutherland-Zimmer.) Such pairs are characterized by an irreducible pair $F \subset Q$ of countable amenable groups or rather (some special) their Polish closure $\overline{F} \subset Q$. We show that “most” of the ergodic subrelations of $R$ are quasinormal and classify them. An example of a nonquasinormal subrelation is given. We prove as an auxiliary statement that two cocycles of $R$ with dense ranges in a Polish group are weakly equivalent.

0. Introduction

It is well known that two ergodic finite measure-preserving actions of countable amenable groups are orbit equivalent [Dy], [CFW]. This can be rephrased in equivalent terms of measured equivalence relations [FM]: there exists a unique (up to isomorphism) hyperfinite discrete ergodic equivalence relation, say $R$, of type $II_1$. A natural subsequent problem that arises here is to study subrelations of $R$ and this is the main concern of the present paper.

It was shown in [FSZ] how to associate a countable index set $J$ and a cocycle $\sigma : R \to \Sigma(J)$ to any pair $S \subset R$ of discrete ergodic type $II_1$ equivalence relations, where $\Sigma(J)$ is the full permutation group of $J$. The cardinality of $J$ is called the index of $S \subset R$ and is related closely to the Jones index in the study of sub-von-Neumann-algebras [Jo]. The cocycle $\sigma$ is called the index cocycle of $S \subset R$. The weak equivalence class of $\sigma$ depends only on the isomorphism class of the pair $S \subset R$.

J. Feldman, C. E. Sutherland and R. J. Zimmer provided an elegant classification of ergodic hyperfinite pairs $S \subset R$ in the following two cases: (a) $S$ is normal, (b) $S$ is of finite index in $R$ [FSZ]. Remark that the case (b) was considered earlier by M. Gerber in a different context—she classified the finite extensions of ergodic probability-preserving transformations up to the “factor orbit equivalence” [Ge]. The purpose of this paper is to extend the above results to a wider class of subrelations, namely quasinormal ones.

We call $S$ quasinormal if $\sigma$ (or its restriction to $S$) is regular, i.e. $\sigma$ is cohomologous to a cocycle with dense range in a closed subgroup of $\Sigma(J)$. The concept of
quasinormality was introduced in a different way in a previous paper of the author [Da, §4], where the problem of genericity for extensions of \( S \)-cocycles to \( R \)-cocycles with values in amenable locally compact groups was discussed (see also [GLS]). We show that the above definition is equivalent to [Da, Definition 4.1].

Before proceeding with the statements of our main results, we recall some standard orbit theory notation. Let \( P \) be a discrete measured equivalence relation on a standard probability space \((X, \mathcal{B}, \mu)\). By the full group \([P]\) we mean the group of automorphisms of \( X \) whose orbits are contained in \( P \)-classes. The normalizer \( N[R] \) of \([P]\) is the group of automorphisms of \( X \) which preserve \( P \) (see §2 for rigorous definitions). Two \( R \)-subrelations \( S_1 \) and \( S_2 \) are \( R \)-conjugate if \( S_1 = (T \times T)S_2 \) for a transformation \( T \in N[R] \).

We say that a pair \( F \subset Q \) of Polish groups is irreducible if \( F \) contains no nontrivial closed normal subgroups of \( Q \).

**Theorem 0.1 (Canonical Form for Quasinormal Subrelations).** Let \( S \) be an ergodic quasinormal subrelation of \( R \). There exist an ergodic subrelation \( P \subset S \), a countable amenable group \( Q \subset N[P] \), and a subgroup \( F \subset Q \) such that \( Q \cap [P] = \{\text{Id}\} \), \( F \subset Q \) is irreducible, \( R \) is generated by \( P \) and \( Q \) and \( S \) is generated by \( P \) and \( F \). Moreover, the index cocycle may be realized as \( \sigma = \rho \circ \theta : R \to \Sigma(F \setminus G) \), where \( \theta : R \to Q \) is given by \( \theta(x, qy) = q \) for all \((x, y) \in P \) and \( q \in Q \), and \( \rho \) is the Cayley representation of \( Q \) in \( \Sigma(F \setminus Q) \) as right translations.

Notice that the pair \( F \subset Q \) is not determined uniquely (up to isomorphism) by \( S \). That is why we need to introduce some special equivalence relation for these objects as follows. Denote by \( \overline{Q} \) (resp. \( \overline{F} \)) the closure of \( \rho(Q) \) (resp. the closure of \( \rho(F) \)) in \( \Sigma(F \setminus Q) \) endowed with the usual Polish topology. It is easy to see that \( \overline{F} = \{q \in \overline{Q} \mid q(F) = F\} \). Hence \( \overline{F} \) is an open subgroup of \( \overline{Q} \) and \( \overline{F} \subset \overline{Q} \) is an irreducible pair of Polish groups.

**Definition 0.2.** We say that two irreducible pairs of countable groups \( F_1 \subset Q_1 \) and \( F_2 \subset Q_2 \) are weakly isomorphic if there exists a continuous isomorphism of \( \overline{Q}_1 \) onto \( \overline{Q}_2 \) which takes \( \overline{F}_1 \) onto \( \overline{F}_2 \).

**Theorem 0.3 (Classification of Quasinormal Subrelations).** There is a bijective correspondence between the ergodic quasinormal subrelations \( S \) of \( R \) (up to \( R \)-conjugacy) and the weak isomorphism classes of irreducible pairs of countable amenable groups \( F \subset Q \). Furthermore, \( F \subset Q \) is related to \( S \) as described in Theorem 0.1.

Notice that the normal subrelations are quasinormal—they correspond exactly to the case where \( F \) is trivial. Clearly, the subrelations of finite index are also quasinormal, since the index cocycle as well as every cocycle with values in a finite group is regular. In both cases \( \overline{Q} = Q \), \( \overline{F} = F \) and Theorem 0.3 gives [FSZ, Theorems 3.1, 3.2].

The outline of the paper is as follows. §1 is of a preliminary nature. We study cocycles of \( R \) with values in Polish groups and extend some results from [GS], where the groups were assumed to be locally compact. In particular, we prove that two cocycles with dense ranges in a Polish group are weakly equivalent. The second section introduces the idea of a quasinormal pair \( S \subset R \) (cf. [Da, §4]). The proofs of Theorems 0.1 and 0.3 and related problems are contained here. In the final §3, we show that a “typical” (in the Baire category sense) ergodic subrelation of \( R \)
is quasinormal but nonnormal. We also provide an example of a nonquasinormal subrelation.

Remark that throughout this paper equivalence relations are of type \( II_1 \). However, all the results are also valid for type \( II_\infty \) equivalence relations with minor modifications of the arguments. We hope to treat the type \( III \) case in a later paper.

1. **Cocycles of measured equivalence relations with values in Polish groups**

We begin this section with some background on orbit theory. Let \( (X, \mathcal{B}, \mu) \) be a standard probability space. Denote by \( \text{Aut}(X, \mathcal{B}, \mu) \) the group of its automorphisms, i.e. Borel, one-to-one, onto, \( \mu \)-preserving transformations; we do not distinguish between two of them which agree on a \( \mu \)-conull subset. Let \( \mathcal{R} \subset X \times X \) be a Borel discrete (i.e. each equivalence class is countable) equivalence relation. We shall assume that \( \mathcal{R} \) is \( \mu \)-preserving, i.e. there exists a countable subgroup \( \Gamma \subset \text{Aut}(X, \mu) \) such that \( \mathcal{R} \) is the \( \Gamma \)-orbital equivalence relation. We endow \( \mathcal{R} \) with the induced Borel structure and the \( \sigma \)-finite measure \( \mu_\mathcal{R} \), \( d\mu_\mathcal{R}(x,y) = d\mu(x), (x,y) \in \mathcal{R} \).

Write also
\[
[\mathcal{R}] = \{ q \in \text{Aut}(X,\mu) \mid (qx,x) \in \mathcal{R} \text{ for } \mu\text{-a.a. } x \in X \},
\]
\[
N[\mathcal{R}] = \{ q \in \text{Aut}(X,\mu) \mid (qx,qy) \in \mathcal{R} \text{ for } \mu_\mathcal{R}\text{-a.a. } (x,y) \in \mathcal{R} \}
\]
for the full group of \( \mathcal{R} \) and the normalizer of \( [\mathcal{R}] \) respectively. \( \mathcal{R} \) is called hyperfinite if it can be generated by a single automorphism.

Let \( G \) be a Polish group with \( 1_G \) the identity of \( G \). A Borel map \( \alpha : \mathcal{R} \to G \) is a \((1-)\)cocycle of \( \mathcal{R} \) if
\[
\alpha(x,y)\alpha(y,z) = \alpha(x,z) \quad \text{for a.a. } (x,y), (y,z) \in \mathcal{R}.
\]
We do not distinguish between two cocycles if they agree \( \mu_\mathcal{R}\)-a.e. Two cocycles, \( \alpha, \beta : \mathcal{R} \to G \), are cohomologous \( (\alpha \approx \beta) \), if
\[
\alpha(x,y) = \phi(x)^{-1}\beta(x,y)\phi(y) \quad \text{for } \mu_\mathcal{R}\text{-a.a. } (x,y),
\]
where \( \phi : X \to G \) is a Borel function (we call it a transfer function from \( \alpha \) to \( \beta \)). A cocycle is a coboundary if it is cohomologous to the trivial one.

Two cocycles \( \alpha, \beta : \mathcal{R} \to G \) are weakly equivalent if there is a transformation \( T \in N[\mathcal{R}] \) such that \( \alpha \approx \beta \circ T \), where the cocycle \( \beta : \mathcal{R} \to G \) is defined by \( \beta \circ T(x,z) = \beta(Tx,Tz) \).

We assume from now on that \( \mathcal{R} \) is ergodic, i.e. every \( \mathcal{R} \)-saturated Borel subset is \( \mu \)-null or \( \mu \)-conull.

We say that \( \alpha \) has dense range in \( G \) if for every \( A \in \mathcal{B} \), \( \mu(A) > 0 \), and an open subset \( O \subset G \) there exists \( B \in \mathcal{B} \) and a transformation \( q \in [\mathcal{R}] \) with \( \mu(B) > 0 \), \( B \cup qB \subset A \), and \( \alpha(x,qx) \in O \) for all \( x \in B \).

**Proposition 1.1.** Let \( F, H \) be closed subgroups of \( G \), and let two cocycles \( \alpha, \beta : \mathcal{R} \to G \) take values and have dense ranges in \( F \) and \( H \) respectively. If \( \alpha \approx \beta \), then \( F \) and \( H \) are conjugate in \( G \).

**Proof.** Let \( \alpha(x,y) = \phi(x)^{-1}\beta(x,y)\phi(y) \) at \( \mu_\mathcal{R}\)-a.e. \( (x,y) \in \mathcal{R} \) for a Borel function \( \phi : X \to G \). Take any proper value \( g_0 \in G \) of \( \phi \), which means that \( \mu(\phi^{-1}(O)) > 0 \) for every neighborhood \( O \) of \( g_0 \). We shall prove that \( F = g_0^{-1}Hg_0 \). Given any \( g \in H \) and a neighborhood \( V \) of \( g_0^{-1}g_0 \), we choose neighborhoods \( U \) of \( g_0 \) and...
W of g with $U^{-1}WU \subset V$. Since $\beta$ has dense range in $H$, there exists a Borel subset $A \subset X$ and a transformation $q \in [R]$ such that $\mu(A) > 0$, $A \cup qA \subset \phi^{-1}(U)$ and $\beta(x, qr) \in W$ for all $x \in A$. Recall that $\alpha(R) \subset F$ and hence $V \cap F \neq \emptyset$.

Since $V$ is an arbitrary neighborhood of $g_0^{-1}gg_0$, we deduce that $g_0^{-1}gg_0 \in F$. Thus $g_0^{-1}Hg_0 \subset F$. The converse inclusion is established in a similar way.

\[ \square \]

**Remark 1.2.** It is easy to deduce from the above proof that the transfer function $\phi$ is of the form $\phi(x) = \psi(x)g'$ a.e. for some $g' \in G$ and a Borel function $\phi : X \to N_G(H)$, where $N_G(H) := \{ g \in G \mid gHg^{-1} = H \}$ is the normalizer of $H$ in $G$.

**Definition 1.3.** A cocycle $\alpha : R \to G$ is called regular if it is cohomologous to a cocycle which takes values and has dense range in a closed subgroup $H$ of $G$.

We denote by $\langle \alpha \rangle$ the conjugacy class of $H$, i.e. $\langle \alpha \rangle = \{ gHg^{-1} \mid g \in G \}$. It is well defined by Proposition 1.1. It is obvious that given a cocycle $\alpha$ with dense range in $G$, then $\alpha \circ T$ also has dense range in $G$ for every transformation $T \in N[R]$.

We deduce from this fact and Proposition 1.1

**Corollary 1.4.** Let $\alpha$ and $\beta$ be weakly equivalent cocycles. If $\alpha$ is regular, then so is $\beta$ and $\langle \alpha \rangle = \langle \beta \rangle$.

Recall that an equivalence relation $\mathcal{P}$ is of type $I$ if there is a Borel subset $A \subset X$, $\mu(A) > 0$, such that for a.e. $x \in X$ there is a unique $y \in A$ with $(x, y) \in \mathcal{R}$. We call such $A$ a $\mathcal{P}$-fundamental domain. It is well known that every cocycle of an equivalence relation of type $I$ is a coboundary [FM].

**Lemma 1.5 (cf. [GS, Proposition 1.1]).** Let $\mathcal{R} = \bigcup_{n=1}^{\infty} \mathcal{R}_n$ for an increasing sequence of type $I$ equivalence relations $\mathcal{R}_1 \subset \mathcal{R}_2 \subset \ldots$. Given two cocycles $\alpha, \beta : \mathcal{R} \to G$, consider two sequences of Borel maps $a_n, b_n : X \to G$ such that $\alpha(x, y) = a_n(x)\alpha_n(y)^{-1}$, $\beta(x, y) = b_n(x)b_n(y)^{-1}$ for a.e. $(x, y) \in \mathcal{R}_n$. Define a sequence of maps $f_n : X \to G$ by setting $f_n(x) = a_n(x)b_n(x)^{-1}$. If $f_n$ converges a.e. to a map $\phi : X \to G$ as $n \to \infty$, then $\alpha(x, y) = \phi(x)\beta(x, y)\phi(y)^{-1}$ for a.e. $(x, y) \in \mathcal{R}$.

**Proof.** For a.e. $(x, y) \in \mathcal{R}_n$ and every $m > n$ we have

$$f_m(x)\beta(x, y)f_m(y)^{-1} = a_m(x)b_m(x)^{-1}b_m(x)b_m(y)^{-1}b_m(y)a_m(y)^{-1} = a_m(x)a_m(y)^{-1} = \alpha(x, y),$$

since $\mathcal{R}_n \subset \mathcal{R}_m$. Pass to the limit to obtain $\phi(x)\beta(x, y)\phi(y)^{-1} = \alpha(x, y)$ for a.e. $(x, y) \in \mathcal{R}_n$, $n \in \mathbb{N}$. \[ \square \]

**Proposition 1.6.** Let $\mathcal{R}$ be hyperfinite and $G'$ a countable dense subgroup of $G$. Given a cocycle $\alpha : \mathcal{R} \to G$, there exists a cocycle $\beta \approx \alpha$ with $\beta(\mathcal{R}) \subset G'$.

**Proof.** Since $\mathcal{R}$ is hyperfinite, there exists an increasing sequence of type $I$ equivalence relations $\mathcal{R}_1 \subset \mathcal{R}_2 \subset \ldots$ with $\mathcal{R} = \bigcup_{n=1}^{\infty} \mathcal{R}_n$. Let $F_n$ stand for an $\mathcal{R}_n$-fundamental domain. We also put $F_0 = X$. Define a Borel map $T_n : X \to F_n$ by setting $T_n x = y$ if $(x, y) \in \mathcal{R}_n$. Notice that $T_n$ is $\mathcal{R}_n$-invariant—i.e. $T_n x = T_n y$ for a.e. $(x, y) \in \mathcal{R}_n$—and

$$\alpha(x, y) = \alpha(x, T_n x)\alpha(T_n x, T_n y)\alpha(T_n y, y) = \alpha(x, T_n x)\alpha(y, T_n y)^{-1}$$

(1)
for a.e. \((x, y) \in R_n\). Consider the family of Borel maps \(a_n : F_{n-1} \to G\) given by 
\[a_n(x) = \alpha(x, T_n x)\]. Then

\[
\alpha(x, T_n x) = \alpha(x, T_1 x)\alpha(T_1 x, T_2 x) \cdots \alpha(T_{n-1} x, T_n x) = a_1(x)a_2(T_1 x) \cdots a_n(T_{n-1} x)
\]

for a.e. \(x \in X\). Conversely, it is easy to see that an arbitrary family of Borel functions \(a_n : F_{n-1} \to G\), \(n \in \mathbb{N}\), determines a cocycle \(\alpha : R \to G\) by (1-1) and (1-2).

Let \(\{W_n\}_{n=1}^{\infty}\) be a fundamental system of neighborhoods of \(1_G\) with the following properties: \(W_n^{-1} = W_n\) and \(W_{n+1}W_{n+1}W_n \subset W_n\), \(n \in \mathbb{N}\). Enumerate the elements of \(G' : G' = \{g_i\}_{i=1}^{\infty}\). For each \(n \in \mathbb{N}\), we have \(G = \bigcup_{i=1}^{\infty} W_ng_i\). Hence there is an \(m_n \in \mathbb{N}\) such that \(\mu(A_n) > 1 - 2^{-n}\), where

\[A_n := \{x \in X \mid \alpha(x, T_n x) \in \bigcup_{i=1}^{m_n} W_{n+2}g_i\}\]

Let \(V_0\) be a neighborhood of \(1_G\) with \(g_iV_0g_i^{-1} \subset W_n\) for all \(i = 1, \ldots, m_{n-2}, n > 2\). Take a family of Borel maps \(b_n : F_{n-1} \to G'\) such that \(a_n(x)b_n(x)^{-1} \in V_{n+1}\) for all \(x \in F_{n-1}\). This family determines a cocycle \(\beta : R \to G\). We have for \(k \in \mathbb{N}\) and \(x \in \bigcap_{i=n}^{\infty} A_i\)

\[f_{n+k} := \alpha(x, T_{n+k} x)\beta(x, T_{n+k} x)^{-1}\]

\[= \alpha(x, T_{n+k-1} x)\alpha(T_{n+k-1} x)^{-1}\beta(x, T_{n+k-1} x)^{-1} \beta(x, T_{n+k-1} x)^{-1} \cdots \beta(x, T_{n+k-1} x)^{-1} \cdots \beta(x, T_{n+k-1} x)^{-1} \cdots \beta(x, T_{n+k-1} x)^{-1} \beta(x, T_{n+k-1} x)^{-1} \beta(x, T_{n+k-1} x)^{-1} \cdots \beta(x, T_{n+k-1} x)^{-1}
\]

Since \(\mu(\bigcap_{i=n}^{\infty} A_i) \geq 1 - 2^{-n} - 2^{-n-1} - \cdots - 2^{-n-k+1} \geq 1 - 2^{-n+1} \to 1\), the sequence \(f_n\) converges in measure as \(n \to \infty\). Hence a subsequence of \(f_n\) converges a.e. and \(\alpha \approx \beta\) by Lemma 1.5.

**Remark 1.7.** If \(G'\) is normal in \(G\), then the conclusion of Proposition 1.6 follows from the Connes-Krieger cohomology lemma (see [Su], [JT]). For \(G\) locally compact (and any \(G'\)) the conclusion of the proposition was proved in [GS, Proposition 1.2]. We modified the argument of V. Ya. Golodets and S. D. Sinelshchikov in such a way to avoid the use of the local compactness.

**Proposition 1.8.** Let \(R\) be hyperfinite. Given a cocycle \(\alpha : R \to G\) with dense range in \(G\), there exists a cocycle \(\beta \approx \alpha\) such that \(\{(x, y) \in R \mid \beta(x, y) = 1_G\}\) is an ergodic subrelation of \(R\).

**Proof.** By virtue of Dye’s theorem [Dy] we may assume that \((X, \mathcal{B}, \mu)\) and \(R\) are of the following special form:

(a) \((X, \mu) = ((0, 1), \lambda)^\mathbb{N}\), where \(\lambda\) is the equidistribution on \((0, 1)\), i.e. \(\lambda(0) = \lambda(1) = 0.5\),

(b) \(R = \bigcup_{n=1}^{\infty} R_n\), where \(R_n = \{(x, y) \in X \times X \mid x_i = y_i\text{ for all } i \geq n\}\).
Let \( \{W_n\}_{n=1}^\infty \) be a fundamental system of neighborhoods of 1_G with the properties as above. We construct inductively an increasing sequence \( S_1 \subset S_2 \subset \ldots \) of type I subrelations of \( \mathcal{R} \). Describe in general the \( n \)-th step.

Let \( F_n := \{ x \mid x_i = 0 \text{ for all } i < n \} \). Clearly, \( \mu(F_{n-1} \setminus F_n) = \mu(F_n) \). Since \( \alpha \) has dense range in \( G \), we apply the standard exhaustion argument to construct a Borel isomorphism \( t_n : F_{n-1} \setminus F_n \to F_n \) such that \( (x, t_n x) \in \mathcal{R} \) and \( \alpha(x, t_n x) \in W_n \).

Define a Borel map \( T_n : X \to F_n \) by setting

\[
T_n x = \begin{cases} x, & \text{for } x \in F_n, \\ t_n T_{n-1} T_{n-2} \ldots T_1, & \text{otherwise.} \end{cases}
\]

Now we put \( S_n = \{ (x, y) \mid T_n x = T_n y \} \). Since the \( S_n \)-class of a.e. \( x \in X \) is finite, \( S_n \) is of type I. Moreover, \( F_n \) is an \( S_n \)-fundamental domain. Clearly, \( S_1 \subset \cdots \subset S_n \subset \mathcal{R} \).

Now we put \( \mathcal{S} = \bigcup_{n=1}^\infty S_n \). Then \( \mathcal{S} \) is an ergodic subrelation of \( \mathcal{R} \). Actually, if a Borel function \( f : X \to \mathbb{R} \) is \( S_n \)-invariant, then it does not depend on the first \( n \)-coordinates of \( x \). Since \( n \) is arbitrary, \( f \) is equal a.e. to a constant, as desired.

We claim that \( \alpha \upharpoonright \mathcal{S} \) is a coboundary. Notice that \( \alpha(x, y) = \alpha(x, T_n x) \alpha(y, T_n y)^{-1} \) for a.e. \( (x, y) \in S_n \) and

\[
f_{n+k} := \alpha(x, T_{n+k}) = \alpha(x, T_{n+k-1} x) \alpha(T_{n+k-1} x, t_{n+k} T_{n+k-1} x) \\
\in \alpha(x, T_{n+k-1} x) W_{n+k} \subset \cdots \subset \alpha(x, T_n x) W_n + 1 W_{n+2} \ldots W_{n+k} \subset f_n(x) W_n
\]

for a.e. \( x \in X \). Hence \( f_n \) converges a.e. to a map \( \phi : X \to G \). By Lemma 1.5 \( \alpha(x, y) = \phi(x) \phi(y)^{-1} \) for a.e. \( (x, y) \in \mathcal{S} \). This implies that the cocycle \( \beta(x, y) := \phi(x)^{-1} \alpha(x, y) \phi(y) \), \( (x, y) \in \mathcal{R} \), satisfies the conclusion of the proposition.

We conclude this section with an extension of the remarkable Uniqueness Theorem for Cocycles (due to V. Ya. Golodets and S. D. Sinelshchikov) to cocycles with dense ranges in Polish groups.

**Theorem 1.9.** Let \( \alpha, \beta : \mathcal{R} \to G \) be two cocycles with dense ranges in \( G \). If \( \mathcal{R} \) is hyperfinite then \( \alpha \) and \( \beta \) are weakly conjugate.

**Proof.** This is almost the same as that of [GS, Lemma 1.12], where \( G \) was assumed to be locally compact, but one should use Proposition 1.6 instead of [GS, Proposition 1.2].

**2. Quasinormal Subrelations**

We begin this section with a brief exposition of the basic notions of measurable index theory [FSZ].

Let \( \mathcal{R} \) be an ergodic \( \mu \)-preserving equivalence relation on \((X, \mathcal{B}, \mu)\) and \( \mathcal{S} \) an ergodic subrelation of \( \mathcal{R} \). Then there exist \( N \in \mathbb{N} \cup \{ \infty \} \) and Borel functions \( \phi_j : X \to X \) so that \( \{ S[\phi_j(x)] \mid 0 \leq j < N \} \) is a partition of \( \mathcal{R}[x] \), where \( \mathcal{R}[x] \) (resp. \( \mathcal{S}[x] \)) stands for the \( \mathcal{R} \)- (resp. \( \mathcal{S} \)-) class of \( x \). \( N \) is called the index of \( \mathcal{S} \) in \( \mathcal{R} \) and \( \{ \phi_j \}_j \) are called choice functions for the pair \( \mathcal{S} \subset \mathcal{R} \). We may assume without loss of generality that \( \phi_j \in \text{Aut}(X, \mu) \), \( j \in J \), and \( \phi_0(x) = x \) for all \( x \in X \). Denote by \( \Sigma(J) \) the full permutation group of the set \( J \overset{\text{def}}{=} \{ 0, 1, \ldots, N - 1 \} \) for \( N < \infty \) or \( J \overset{\text{def}}{=} \{ 0, 1, 2, \ldots \} \) for \( N = \infty \). We define the index cocycle \( \sigma : \mathcal{R} \to \Sigma(J) \) by setting \( \sigma(x, y)(i) = j \) if \( S[\phi_i(y)] = S[\phi_j(x)] \). Notice that although choice functions are nonunique, the cohomological class of \( \sigma \) is independent of their particular choice.
and is an invariant of \( S \subset \mathcal{R} \). Moreover, any cocycle cohomologous to an index cocycle arises from a suitable selection of choice functions.

Two subrelations \( S_1, S_2 \) of \( \mathcal{R} \) are said to be \( \mathcal{R} \)-conjugate if there is a transformation \( T \in \mathcal{N}[\mathcal{R}] \) such that \( (T \times T)S_1 = S_2 \). In view of [FSZ, Theorem 1.6] \( S_1 \) is isomorphic to \( S_2 \) if and only if their indices are equal and their index cocycles are weakly equivalent.

Let \( \sigma \) stand for the index cocycle of \( S \subset \mathcal{R} \). Then \( S \) is said to be normal in \( \mathcal{R} \) if the restriction \( \sigma \mid S \) of \( \sigma \) to \( S \) is a coboundary. Equivalently, there are choice functions \( \{ \phi_j \}_{j \in J} \) with \( \phi_j \in \mathcal{N}[S] \), \( j \in J \). If, in addition, \( \mathcal{R} \) is hyperfinite, then by [FSZ, §2] there is a countable amenable group \( Q \subset \mathcal{N}[S] \) with \( Q \cap [S] = 1_Q \) and such that \( \mathcal{R} \) is generated by \( Q \) and \( S \).

**Definition 2.1.** \( S \) is called quasinormal if \( \sigma \) is regular.

From now on \( \mathcal{R} \) is an ergodic hyperfinite equivalence relation on \((X, \mathcal{B}, \mu)\).

**Proof of Theorem 0.1.** By Proposition 1.8 there exists an index cocycle \( \sigma : \mathcal{R} \rightarrow \Sigma(J) \) such that the subrelation \( \mathcal{P} := \{(x, y) \in \mathcal{R} | \sigma(x, y) = 1 \} \) is ergodic. Replacing, if necessary, \( \mathcal{S} \) by a \( \mathcal{R} \)-conjugated subrelation we may assume that \( \sigma \) is determined by a family of choice functions \( \{ \phi_j \}_{j \in J} \) with the properties: \( \sigma(x, \phi_j(x))(0) = j \) for all \( x \in X \), \( j \in J \) and \( S = \{(x, y) \in \mathcal{R} | \sigma(x, y)(0) = 0 \} \) (see [FSZ, Theorem 1.6]). Clearly, \( \mathcal{P} \subset \mathcal{S} \) and \( \phi_j \in \mathcal{N}[\mathcal{P}] \), \( j \in J \). Let \( \{ \psi_i \}_{i \in I} \) be choice functions for the pair \( \mathcal{P} \subset \mathcal{S} \). We claim that \( \psi_i \in \mathcal{N}[\mathcal{P}] \). Actually, given \((x, y) \in \mathcal{P} \), we have

\[
(\psi_i(x), \psi_i(y)) \in \mathcal{P} \iff \sigma(\psi_i(x), \psi_i(y))(j) = j \text{ for all } j \in J.
\]

Since \( \sigma(\psi_i(x), \psi_i(y)) = \sigma(\psi_i(x), \phi_j \circ \psi_i(x))\sigma(\phi_j \circ \psi_i(x), \phi_j \circ \psi_i(y))\sigma(\phi_j \circ \psi_i(y), \psi_i(y)) \) and \( \sigma(\phi_j \circ \psi_i(x), \phi_j \circ \psi_i(y))(0) = 0 \), we deduce that \( \sigma(\psi_i(x), \psi_i(y)) = 0 \) for all \( j \in J \) and hence \( \psi_i \in \mathcal{N}[\mathcal{P}] \), as claimed. Notice that \( \{ \psi_i \circ \phi_j \}_{i \in I, j \in J} \) are choice functions for \( \mathcal{P} \subset \mathcal{S} \). As in [FSZ], we define a multiplication law on \( I \times J \) by setting

\[
(i_1, j_1) \ast (i_2, j_2) = (i_3, j_3) \iff (\psi_{i_1} \circ \phi_{j_1} \circ \psi_{i_2} \circ \phi_{j_2}(x), \psi_{i_3} \circ \phi_{j_3}(x)) \in \mathcal{P} \text{ a.e.}
\]

Then \((I \times J, \ast)\) is a countable amenable group, say \( Q \), and \((I \times \{0\}, \ast)\) is a subgroup of \( Q \), say \( F \) [FSZ]. Moreover, the map \( v : Q \ni q = (i, j) \mapsto \psi_i \circ \phi_j \in \mathcal{N}[\mathcal{P}] \) is an outer near homomorphism, i.e. (a) \( v(q) \in [\mathcal{P}] \) if and only if \( q = 1_Q \), (b) \( v(q_1 \ast q_2) \in v(q_1)v(q_2)[\mathcal{P}] \). Since \( Q \) is amenable, there exists a map \( w : Q \rightarrow [\mathcal{P}] \) such that the map \( Q \ni q \mapsto v(q)w(q) \in N[\mathcal{P}] \) is an outer homomorphism [FSZ]. Thus \( Q \) can be viewed as a subgroup of \( \mathcal{N}[\mathcal{P}] \). Clearly, \( \{ \phi_jw((i, j)) \}_{j \in J} \) are choice functions for \( \mathcal{R} \subset \mathcal{S} \) (they determine the very same index cocycle \( \sigma \)) and \( \{ \psi_iw((i, 0)) \}_{i \in I} \) are choice functions for \( \mathcal{S} \subset \mathcal{S} \). Hence the following properties are satisfied: (a) \( Q \cap [\mathcal{P}] = 1 \), (b) \( \mathcal{R} \) is generated by \( \mathcal{P} \) and \( Q \), (c) \( \mathcal{S} \) is generated by \( \mathcal{P} \) and \( F \). For \((i, j) \in Q \) and a.e. \((x, y) \in \mathcal{P} \) we have

\[
\sigma(x, \psi_i \circ \phi_j(y))(j_1) = j_2 \iff (\psi_{i_1} \circ \phi_{j_1} \circ \psi_i \circ \phi_j(x), \phi_{j_2}(y)) \in \mathcal{S} \iff \exists i_1 \in I \text{ with } (\psi_{i_1} \circ \phi_{j_1} \circ \psi_i \circ \phi_j(x), \phi_{j_3}(y)) \in \iff (i_1, j_1) \ast (i, j) = (0, j_2).
\]

It is clear that the map \( \pi : Q \ni (i, j) \mapsto j \in J = F \setminus G \) is the \( F \)-quotient map taking \( F \) to \( \{0\} \). Hence \( \rho(i, j)(j_1) = \pi((i_1, j_1) \ast (i, j)) = \pi((0, j_2)) = j_2 \). To put it another way, \( \sigma(x, qy)(j_1) = \rho(q)(j_1) \) for a.e. \((x, y) \in \mathcal{P}, q \in Q, j_1 \in F \setminus G, i.e. \sigma = \rho \circ \theta \), as desired. To complete the proof, we observe that the kernel of \( \rho \) is trivial, since \( \Sigma(J) \) acts freely on \( J \). This implies that \( F \subset Q \) is irreducible. \( \square \)
Remark 2.2. We observe that \( \sigma \) takes values in \( \rho(Q) \) and \( \overline{\rho(Q)} \in \langle \sigma \rangle \). In a similar way, the restriction of \( \sigma \) to \( S \) takes values in \( \rho(F) \) and \( \overline{\rho(F)} \in \langle \sigma \mid S \rangle \).

The proof of Theorem 0.3 is divided into several lemmas.

Lemma 2.3. Let \( S_1, S_2 \) be two ergodic quasinormal subrelations of \( \mathcal{R} \). They are \( \mathcal{R} \)-conjugate if and only if their indices are equal and \( (\sigma_1) = (\sigma_2) \), where \( \sigma_1 \) and \( \sigma_2 \) denote the index cocycles of \( S_1 \) and \( S_2 \) respectively.

Proof. The proof follows from Theorem 1.9 and [FSZ, Theorem 1.6]. \( \square \)

Lemma 2.4. Let \( F_1 \subset Q_1 \) and \( F_2 \subset Q_2 \) be two irreducible pairs of countable amenable groups corresponding to a quasinormal subrelation \( S \) of \( \mathcal{R} \) as in Theorem 0.1. Then they are weakly isomorphic.

Proof. Without loss of generality we may assume that \( Q_1 \) is a transitive subgroup of \( \Sigma(J) \), \( F_i = \{ q \in Q_i \mid q(0) = 0 \} \), the index cocycle \( \sigma_i \) takes values in \( Q_i \subset \Sigma(J) \) and has dense range in \( \overline{Q_i} \), and the restriction \( \sigma_i \mid S \) takes values in \( F_i \) and has dense range in \( \overline{F_i} \), \( i = 1, 2 \). Since \( \sigma_1 \approx \sigma_2 \), there is a Borel function \( \phi : X \to \Sigma(J) \) with \( \sigma_1(x, y) = \phi(x)^{-1}\sigma_2(x, y)\phi(y) \) for a.e. \( (x, y) \in \mathcal{R} \). Let \( \tau \in \Sigma(J) \) be a proper value of \( \phi \). By Proposition 1.1 \( \overline{Q_1} = \tau^{-1}\overline{Q_2}\tau, \overline{F_1} = \tau^{-1}\overline{F_2}\tau \) and hence the pairs \( F_1 \subset Q_1 \) and \( F_2 \subset Q_2 \) are weakly isomorphic. \( \square \)

Lemma 2.5. Let \( F \subset Q \) correspond to \( S \) as in Theorem 0.1 and \( T \) be any automorphism from \( N[\mathcal{R}] \). Then \( F \subset Q \) corresponds also to the \( \mathcal{R} \)-subrelation \( (T \times T)S \).

Lemma 2.6. For each irreducible pair of countable amenable groups \( F \subset Q \) there exists a quasinormal ergodic subrelation \( S \subset \mathcal{R} \) such that \( F \subset Q \) corresponds to \( S \).

Proof. It is well known that \( Q \) can be embedded into \( N[\mathcal{R}] \) in such a way that \( Q \cap [\mathcal{R}] = \mathrm{Id} \). Denote by \( \mathcal{R}' \) (resp. \( \mathcal{S}' \)) the equivalence relation generated by \( \mathcal{R} \) and \( Q \) (resp. by \( \mathcal{P} \) and \( F \)). Since \( \mathcal{R}' \) is hyperfinite, there is a transformation \( T \in \text{Aut}(X, \mu) \) with \( (T \times T)\mathcal{R}' = \mathcal{R} \). Clearly, the subrelation \( S := (T \times T)\mathcal{S}' \) is as desired. \( \square \)

Proof of Theorem 0.3. In view of Theorem 0.1 and Lemmas 2.4–2.6 the map \( \{ \mathcal{R}-conjugacy class of \langle \mathcal{S} \rangle \} \mapsto \{ \text{the weak isomorphism class of } F \subset Q \text{ as in Theorem 0.1} \} \) is well defined and onto. It remains to verify the injectivity. Let \( S_1 \) and \( S_2 \) be two quasinormal subrelations of \( \mathcal{R} \) such that the corresponding pairs \( F_1 \subset Q_1 \) and \( F_2 \subset Q_2 \) are weakly isomorphic. Since \( \text{Card}(F_1 \setminus Q_1) = \text{Card}(F_2 \setminus Q_2) \), the \( \mathcal{R} \)-indices of \( S_1 \) and \( S_2 \) are equal. Let \( \sigma_1, \sigma_2 : \mathcal{R} \to \Sigma(J) \) stand for the index cocycles of \( S_1 \) and \( S_2 \) respectively. It is clear that \( \overline{Q_1} \) and \( \overline{Q_2} \) viewed as closed subgroups of \( \Sigma(J) \) are conjugate. Since \( \overline{Q_1} \in \langle \sigma_1 \rangle \) and \( \overline{Q_2} \in \langle \sigma_2 \rangle \), it follows from Lemma 2.3 that \( S_1 \) and \( S_2 \) are \( \mathcal{R} \)-conjugate. \( \square \)

Recall that \( S \) is normal if \( \sigma \mid S \) is a coboundary. Hence it is natural to state

Proposition 2.7. \( S \) is quasinormal if and only if \( \sigma \mid S \) is regular.

Proof. (\( \Rightarrow \)) Without loss of generality we may assume that \( \sigma \) takes values and has dense range in a closed transitive subgroup \( G \subset \Sigma(J) \) and \( S = \{ (x, y) \in \mathcal{R} \mid \sigma(x, y)(0) = 0 \} \). Since \( H := \{ \tau \in G \mid \tau(0) = 0 \} \) is an open subgroup of \( G \) and \( S = \sigma^{-1}(H) \), it follows that \( \sigma \mid S \) has dense range in \( H \).

(\( \Leftarrow \)) Let \( \sigma \mid S \) take values and have dense range in a closed subgroup \( H \subset \Sigma(J) \). By Proposition 1.8 we may assume that \( \mathcal{P} := \{ (x, y) \in S \mid \sigma(x, y) = \mathrm{Id} \} \) is an
ergodic subrelation. It remains to repeat the argument of Theorem 0.1 almost literally to deduce that $S \subset \mathcal{R}$ has the structure described in Theorem 0.1.

3. Generic properties of subrelations

Denote by $Z^1$ the set of $\mathcal{R}$-cocycles with values in $\Sigma(J)$. Let $\lambda$ be a $\mu_\mathcal{R}$-equivalent probability measure on $\mathcal{R}$. It is well known that $Z^1$ endowed with the topology of convergence in $\lambda$ is a Polish space [FM]. This topology is unaffected if we replace $\lambda$ with an equivalent probability measure. Let $\delta$ stand for Haar ($\sigma$-finite) measure on $J$. The group $\text{Aut}(X \times J, \mu \times \delta)$ of $\mu \times \delta$-preserving automorphisms of $X \times J$ is Polish when endowed with the weak topology. Recall that $R_n \to R$ weakly in $\text{Aut}(X \times J, \mu \times \delta)$ if $(\mu \times \delta)(R_n A \Delta R) + (\mu \times \delta)(\frac{1}{\lambda} R^{-1} A \Delta R^{-1} A) \to 0$ as $n \to \infty$ for each Borel subset $A \subset X \times J$ with $(\mu \times \delta)(A) < \infty$. By [CK] the ergodics, say $E$, form a dense $G_\delta$ in $\text{Aut}(X \times J, \mu \times \delta)$. Since $\mathcal{R}$ is hyperfinite, there exists an ergodic transformation $T \in \text{Aut}(X, \mu)$ such that $\mathcal{R}$ is the $T$-orbital equivalence relation. Consider the map $\Phi : Z^1 \ni \alpha \mapsto T_\alpha \in \text{Aut}(X \times J, \mu \times \delta)$, where $T_\alpha$ is given by $T_\alpha(x, j) = (Tx, \alpha(x)(j))$. It is routine to verify that $\Phi$ is continuous. Let $Z^1_{\text{ind}}$ stand for the set of index cocycles, i.e.

$$Z^1_{\text{ind}} = \{ \alpha \in Z^1 \mid \alpha \text{ is the index cocycle of some ergodic subrelation } S \subset \mathcal{R} \}.$$

Clearly, $Z^1_{\text{ind}} \neq \emptyset$. Since by [FSZ, Proposition 1.5 and Theorem 1.6(a)] $Z^1_{\text{ind}} = \Phi^{-1}(E)$, it follows that $Z^1_{\text{ind}}$ is a $G_\delta$ in $Z^1$ and hence a Polish space when endowed with the induced topology. We set

$$Z^1_{\text{max}} := \{ \alpha \in Z^1 \mid \alpha \text{ is quasinormal and } \langle \alpha \rangle = \{ \Sigma(J) \} \}.$$

Let $Q$ be the group of finite permutations of $J$, $F := \{ \tau \in Q \mid \tau(0) = 0 \}$, and $S$ the quasinormal subrelation of $\mathcal{R}$ corresponding to $F \subset Q$ by Theorem 0.3. Since $Q$ is dense in $\Sigma(J)$, the index cocycle of $S$ belongs to $Z^1_{\text{max}}$ and hence $Z^1_{\text{max}} \neq \emptyset$. Only a slight modification of the routine argument from [PS] or [CHP, Theorem 3] is needed to prove that $Z^1_{\text{max}}$ is a dense $G_\delta$ in $Z^1$. Since $Z^1_{\text{max}} \subset Z^1_{\text{ind}}$, we obtain

**Proposition 3.1.** $Z^1_{\text{max}}$ is a dense $G_\delta$ in $Z^1_{\text{ind}}$.

In view of this statement it is of interest to give an example of a nonquasinormal ergodic subrelation.

**Example 3.2.** Let $(X, \mu) = ((0, 1], \lambda)^0$, where $\lambda$ is the equidistribution on $\{0, 1\}$. Let $H := \mathbb{Q} \times Z$ with multiplication as follows:

$$(q, n)(p, m) = (q + 2^np, n + m).$$

We define an action of $H$ on $X$ by setting $(hx)_p = x_{2^np \lambda - q}$ for all $p \in \mathbb{Q}$, where $h = (q, n)$ and $x = (x_p)_{p \in \mathbb{Q}}$. Clearly, $(X, \mu)$ is an ergodic $H$-space. Hence the Cartesian square $(Z, \nu) = (X, \mu) \times (X, \mu)$ is an ergodic $H^2$-space. Denote by $\mathcal{R}$ the $H^2$-orbit equivalence relation. Since $H$ is amenable, $\mathcal{R}$ is hyperfinite. Consider the homomorphism $\pi : H^2 \to \Sigma(H)$ given by $\pi(h_1, h_2)(h) = h_1 hh_2^{-1}$. It is easy to verify that the kernel of $\pi$ is isomorphic to the center of $H$. Since the center is trivial, $\pi$ is one-to-one. We put

$$W := \{ \tau \in \Sigma(H) \mid \tau(1_H) = 1_H, \tau(h') = h', \text{ and } \tau(h'') = h'' \},$$

where $h' = (1, 0)$ and $h'' = (0, 1)$. Clearly, $W$ is an open neighborhood of the identity in $\Sigma(H)$. It is routine to verify that $\pi(s)W \cap W = \emptyset$ for every nontrivial $s \in H^2$. Now we define a cocycle $\sigma : \mathcal{R} \to \Sigma(H)$ by setting $\sigma(z, sz) = \pi(s)^{-1}, z \in Z$. 

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and $s \in H^2$. Let $S := \{(z, y) \in R \mid \sigma(z, y)(1_H) = 1_H\}$. Notice that $S$ is ergodic, since it contains an ergodic subrelation generated by the diagonal (Bernoulli) $Q$-action on $Z = X \times X$. For each $h \in H$, we define a map $\phi_h : Z \to Z$ by setting $\phi_h(z) = sz$, where $s = (1_H, h) \in H^2$. Then $\sigma(z, \phi_h(z))(0) = h$, i.e. $\{\phi_h\}_{h \in H}$ are choice functions for $S \subset R$ and $\sigma$ is the corresponding cocycle. We claim that $S$ is not quasinormal in $R$. Suppose the contrary: there exists a closed subgroup $G \subset \Sigma(H)$ and a Borel map $\phi : Z \to \Sigma(H)$ such that the cocycle $\beta : R \ni (z, y) \mapsto \phi(z)^{-1} \sigma(z, y) \phi(y) \in \Sigma(H)$ takes values and has dense range in $G$. Choose an open set $U \subset \Sigma(H)$ and a neighborhood $O \subset \Sigma(H)$ of $1_H$ such that $UOU^{-1} \subset W$ and $\nu(\phi^{-1}(U)) > 0$. By assumption, there are a subset $A \subset Z$ and a nontrivial $s \in H^2$ with $\nu(A) > 0$, $A \cap sA \subset \phi^{-1}(U)$, and $\beta(sz, z) \in O$ for all $z \in A$. Then $W \not\ni \pi(s) = \sigma(sz, z) \in UOU^{-1} \subset W$ for all $z \in A$, a contradiction.

References


