

## UNIVERSAL UNIFORM EBERLEIN COMPACT SPACES

M. BELL

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**ABSTRACT.** A universal space is one that continuously maps onto all others of its own kind and weight. We investigate when a universal Uniform Eberlein compact space exists for weight  $\kappa$ . If  $\kappa = 2^{<\kappa}$ , then they exist whereas otherwise, in many cases including  $\kappa = \omega_1$ , it is consistent that they do not exist. This answers (for many  $\kappa$  and consistently for all  $\kappa$ ) a question of Benyamini, Rudin and Wage of 1977.

### 1. INTRODUCTION

Let  $\mathcal{C}$  be a class of compact Hausdorff spaces. The **weight** of a space  $X$  is the least cardinality of a base for  $X$ . A **universal element** of  $\mathcal{C}$  for weight  $\kappa$  is a space  $X \in \mathcal{C}$  with weight  $\kappa$  such that every  $Y \in \mathcal{C}$  of weight  $\leq \kappa$  is a continuous image of  $X$ . In topology, the two most famous examples of universal elements are: the Cantor space  $2^\omega$  is a universal compact space for weight  $\omega$  and, under the Continuum Hypothesis,  $\beta\omega \setminus \omega$  is a universal compact space for weight  $\omega_1$ . Regarding the second example, we mention that Shelah [Sh84], [Sh90] has shown that it is consistent that there is no universal boolean algebra (one that contains copies of all others as subalgebras) of size  $\omega_1$ ; hence by duality, it follows that it is consistent that there is no universal compact space of weight  $\omega_1$ .

An Eberlein compact space (abbreviated EC) is one that is homeomorphic to a weakly compact subspace of a Banach space. A Uniform Eberlein compact space (abbreviated UEC) is one that is homeomorphic to a weakly compact subspace of a Hilbert space. EC spaces have been extensively studied by analysts and topologists; for a good introduction see the survey article of Negrepontis [Ng84]. Benyamini, Rudin and Wage [BRW77] raised the questions: for which  $\kappa$  do there exist universal EC and universal UEC spaces for weight  $\kappa$ ? Argyros and Benyamini [AB87] have shown that if  $\kappa^\omega = \kappa$  or  $\kappa = \omega_1$ , then a universal EC for weight  $\kappa$  does not exist, whereas if  $\kappa$  is a strong limit of countable cofinality, then it does exist. Hence under GCH, they exist iff  $\text{cf}(\kappa) = \omega$ . In section 3 we prove that if  $\kappa = 2^{<\kappa}$ , then a universal UEC space exists for weight  $\kappa$  (hence under GCH, universal UEC spaces exist for all weights  $\kappa$ ). In section 4 we note that it follows from results of Shelah [Sh84], [Sh90] on universal graphs that it is consistent that universal UEC spaces

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(in fact, more classes of spaces) for weight  $\kappa$  do not exist for various cardinals  $\kappa$  including  $\kappa = \omega_1$ .

We denote the clopen algebra of all clopen subsets of  $X$  by  $CO(X)$ . A **boolean space** is a compact Hausdorff space such that  $CO(X)$  is a basis. If  $B$  is a boolean algebra, then  $\text{st}(B)$  is the space of all ultrafilters of  $B$ . The weight of  $\text{st}(B)$  is the cardinality or size of  $B$ . When we say “duality”, we refer to the well-known equivalences of boolean spaces and continuous maps and boolean algebras and homomorphisms.

## 2. UNIFORM EBERLEINS AND C-ALGEBRAS

Let  $\alpha\kappa = \kappa \cup \{\infty\}$  be the one-point compactification of the discrete space  $\kappa$  and let  $\alpha\kappa^\omega$  be the  $\omega$ th power of  $\alpha\kappa$ . The following theorem is a key to our investigation.

**Theorem 2.1** (Benyamini, Rudin and Wage [BRW77]).  *$X$  is a UEC space of weight  $\leq \kappa$  iff  $X$  is a Hausdorff continuous image of a closed subspace of  $\alpha\kappa^\omega$ .*

So, if there is a universal UEC space for weight  $\kappa$ , then there is one that is a closed subspace of  $\alpha\kappa^\omega$ . Moreover, to construct a universal UEC for weight  $\kappa$ , it suffices to find a closed subspace of  $\alpha\kappa^\omega$  that continuously maps onto all other closed subspaces. We decide to work in the realm of boolean algebras.

A **c-algebra** is a boolean algebra  $B$  which has a generating set  $\bigcup_{n < \omega} B_n$  satisfying

a) each  $B_n$  consists of elements pairwise disjoint and  $B_n \cap B_m = \emptyset$  for  $n \neq m$  and

b) the *nice* property that  $\bigvee F \neq 1$  for each finite  $F \subset \bigcup_{n < \omega} B_n$ . When we mention a c-algebra  $B$ , we always have in mind a fixed generating set which we denote by  $\text{gen}(B) = \bigcup_{n < \omega} B_n$  and which satisfies a) and b) and we let  $\pi : \text{gen}(B) \rightarrow \omega$  be defined by  $\pi(x) = n$  where  $x \in B_n$ .

The next theorem was, in essence, communicated to the author by Petr Simon. We say that a family  $\mathcal{B}$  of subsets of a space  $X$  is  **$T_0$ -separating** if whenever  $x \neq y$  are points of  $X$ , then there exists  $B \in \mathcal{B}$  such that  $B$  contains exactly one of  $x, y$ . By duality, a generating set  $G$  of a boolean algebra  $B$  corresponds to a  $T_0$ -separating family of clopen subsets of  $X = \text{st}(B)$ .

**Theorem 2.2.**  *$X$  is homeomorphic to a closed subspace of  $\alpha\kappa^\omega$  iff  $X$  is a boolean space and  $CO(X)$  is a c-algebra of size at most  $\kappa$ .*

*Proof.* Assume that  $X$  is a closed subspace of  $\alpha\kappa^\omega$ . For each  $n$  and for each  $\alpha < \kappa$ , put  $B_n^\alpha = \{f \in X : f(n) = \alpha\}$ . The family  $\mathcal{B} = \{B_n^\alpha : \text{all } n \text{ and all } \alpha\}$  generates  $CO(X)$ . Fix a point  $x \in X$ . For each  $n$  such that  $x(n) = \infty$  put  $B_{3n} = \{B_n^\alpha : \alpha < \kappa\}$  and for each  $n$  such that  $x(n) \neq \infty$  put  $B_{3n+1} = \{X \setminus B_n^\alpha : x(n) = \alpha\}$  and  $B_{3n+2} = \{B_n^\beta : \beta \neq \alpha\}$ . Then  $\bigcup_{n < \omega} B_n$  generates  $CO(X)$ , has the nice property, each  $B_n$  consists of elements pairwise disjoint, and we can thin each  $B_n$  to get  $\{B_n : n < \omega\}$  a pairwise disjoint family; so,  $CO(X)$  is a c-algebra of size at most  $\kappa$ .

Assume  $X$  is a boolean space and that  $CO(X)$  is a c-algebra of size at most  $\kappa$  and let  $\bigcup_{n < \omega} B_n$  be a generating set of  $CO(X)$  witnessing this. For each  $n$ , list  $B_n$  as  $\{B_n^\alpha : \alpha \in S_n \subset \kappa\}$ . Define  $\phi : X \rightarrow \alpha\kappa^\omega$  by  $\phi(x)(n) = \infty$  if  $x \notin \bigcup_{\alpha \in S_n} B_n^\alpha$

and  $\phi(x)(n) = \alpha$  if  $x \in B_n^\alpha$ .  $\phi$  is an embedding because  $\bigcup_{n < \omega} B_n$  is a  $T_0$ -separating family. □

We require some c-algebra notions. A c-algebra  $A$  is a **c-subalgebra** of  $B$ , denoted by  $\mathbf{A} < \mathbf{B}$ , if  $A$  is a boolean subalgebra of  $B$  and  $A_n \subset B_n$  for each  $n$ . A function  $i : A \rightarrow B$  between two c-algebras is a **c-embedding** if  $i$  is an embedding of boolean algebras and  $i[A_n] \subset B_n$  for each  $n$ ; if, in addition,  $i[A_n] = B_n$  for each  $n$ , then  $i$  is a **c-isomorphism**.  $U$  is a **universal** c-algebra of size  $\kappa$  if every c-algebra of size  $\leq \kappa$  can be c-embedded into  $U$ .

A **nut**  $N$  of a c-algebra  $B$  is an element of  $B$  that is given by a description denoted by  $(N^+, N^-)$  so that  $N = \bigwedge N^+ - \bigvee N^-$ , where  $N^+$  and  $N^-$  are disjoint finite subsets of  $\text{gen}(B)$ . Since  $\text{gen}(B)$  generates  $B$ , knowledge of precisely which nuts are 0 completely determines (up to c-isomorphism) the c-algebra  $B$ . A fact that we will use in section 3 is that since a c-algebra  $B$  has the nice property, if  $N$  is a nut of  $B$  and  $N = 0$ , then  $N^+ \neq \emptyset$ .

We say that  $A$  is a **closed** c-subalgebra of a c-algebra  $B$ , denoted by  $\mathbf{A} <_c \mathbf{B}$ , if  $A < B$  and

1. whenever  $N$  is a nut of  $A$  and  $F$  is a finite subset of  $\text{gen}(B)$  and  $N \leq \bigvee F$ , then there exists a finite subset  $G$  of  $\text{gen}(A)$  such that  $N \leq \bigvee G$  and  $\pi[G] = \pi[F]$ , and
2. whenever  $N$  is a nut of  $A$  and  $F$  is a finite subset of  $\text{gen}(B)$  and  $N \wedge \bigwedge F \neq 0$ , then there exists a finite subset  $G$  of  $\text{gen}(A)$  such that  $N \wedge \bigwedge G \neq 0$  and  $\pi[G] = \pi[F]$ .

To use closed c-subalgebras (i.e. elementarity) in our proof of Lemma 3.1 was suggested to the author by Alan Dow. Three important properties of  $A <_c B$  are:

- $A < B$  imply that there exists  $C <_c B$  such that  $A < C$  and  $|C| = |A|$ .
- $A <_c B$  and  $A < C < B$  imply that  $A <_c C$ .
- if  $A_\alpha < A_\beta$  for  $\alpha < \beta < \kappa$  and for each  $\alpha < \kappa$ ,  $A_\alpha <_c B$ , then  $\bigcup_{\alpha < \kappa} A_\alpha <_c B$ .

To get a universal UEC space for certain weights  $\kappa$ , we will construct a universal c-algebra  $U$  of size  $\kappa$ , then, by Theorem 2.2 and Theorem 2.1,  $\text{st}(U)$  will be our universal UEC. Whether this is necessary for the existence of universal UEC spaces of weight  $\kappa$  is not known. Recent research of Dzamonja [Dz98] gives sufficient conditions for the non-existence of universal c-algebras of weight  $\kappa$  (in the absence of GCH).

### 3. EXISTENCE OF UNIVERSAL UNIFORM EBERLEINS

It is convenient and economical to decree that  $\emptyset$  is a c-algebra and that for any c-algebra  $B$ ,  $\emptyset <_c B$  and  $\emptyset : \emptyset \rightarrow B$  is a c-embedding.

**Lemma 3.1** (Amalgamation Lemma). *Let  $B$  and  $C$  be c-algebras. If  $A <_c C$  and  $i : A \rightarrow B$  is a c-embedding, then there exists a c-algebra  $E$  such that  $B < E$ ,  $|E| \leq |B| + |C|$ , and there exists a c-embedding  $j : C \rightarrow E$  such that  $j \upharpoonright A = i$ .*

*Proof.* Without loss of generality we assume that  $i$  is an inclusion, so  $A < B$  (therefore,  $A_n \subset B_n$  for each  $n$ ) and we assume that  $(\text{gen}(C) \setminus \text{gen}(A)) \cap B = \emptyset$ . Define, for each  $n$ ,  $E_n = (C_n \setminus A_n) \cup B_n$ . Our goal is to define a c-algebra structure  $E$  with  $\text{gen}(E) = \bigcup_{n < \omega} E_n$  which simultaneously extends the c-algebra structure of

$B$  and  $C$ . Formally, we will use a quotient algebra to do this. Let  $D$  be the  $c$ -subalgebra of  $C$  generated by  $\bigcup_{n < \omega} (C_n \setminus A_n)$ . Let  $B * D$  be the free product of the boolean algebras  $B$  and  $D$ , i.e.,  $B * D$  is isomorphic to  $CO(\text{st}(B) \times \text{st}(D))$ . Let  $I$  be the ideal in  $B * D$  generated by  $I_1 \cup I_2$  where  $I_1 = \{(x, y) : x \in B_n \setminus A_n \text{ and } y \in C_n \setminus A_n, \text{ for some } n\}$  and  $I_2 = \{(N, M) : N \text{ is a nut of } A \text{ and } M \text{ is a nut of } D \text{ and } N \wedge M = 0 \text{ in } C\}$ . Put  $E = B * D / I$  and let  $\phi : B * D \rightarrow B * D / I$  be the quotient homomorphism.  $E$  is generated by  $\bigcup_{n < \omega} E_n$  where  $E_n = \{\phi(x, 1) : x \in B_n\} \cup \{\phi(1, y) : y \in C_n \setminus A_n\}$ .  $I_1$  ensures that, for each  $n$ ,  $E_n$  consists of elements pairwise disjoint.

*Claim 1.*  $E$  is a  $c$ -algebra.

*Proof of Claim.* We must check that  $E$  has the nice property. Striving for a contradiction, assume that  $\bigvee_{i < n} \phi(x_i, 1) \vee \bigvee_{j < m} \phi(1, y_j) = 1$  where  $x_i \in \text{gen}(B)$  and  $y_j \in \text{gen}(D)$ . Choose  $p, q < \omega$  such that

$$(*) \quad \bigvee_{i < n} (x_i, 1) \vee \bigvee_{j < m} (1, y_j) \vee \bigvee_{k < p} (N_k, M_k) \vee \bigvee_{l < q} (z_l, w_l) = 1$$

where each  $(N_k, M_k) \in I_2$  and  $(z_l, w_l) \in I_1$ . Since  $N_k \wedge M_k = 0$  in  $C$  and  $C$  has the nice property, we can choose  $r_k \in (N_k \wedge M_k)^+ = N_k^+ \cup M_k^+$ . So  $(N_k, M_k) \leq (r_k, 1)$  if  $r_k \in N_k^+$  or  $(N_k, M_k) \leq (1, r_k)$  if  $r_k \in M_k^+$ . As  $C$  has the nice property,  $c = \bigvee_{j < m} y_j \vee \bigvee_{k < p} \{r_k : k < p \text{ and } r_k \in M_k^+\} \vee \bigvee_{l < q} w_l < 1$ . As  $B$  has the nice property,  $b = \bigvee_{i < n} x_i \vee \bigvee_{k < p} \{r_k : k < p \text{ and } r_k \in N_k^+\} \vee \bigvee_{l < q} z_l < 1$ . Thus,  $(1 - b, 1 - c) \neq 0$  but is disjoint from the left-hand side of equation (\*). □

*Claim 2.*  $B < E$  in the form  $\{\phi(x, 1) : x \in B\}$ .

*Proof of Claim.* By virtue of the free product, if  $N$  is a nut of  $B$  and  $N = 0$ , then  $\phi(N, 1) = 0$ . We must show that if  $N$  is a nut of  $B$  and  $N \neq 0$ , then  $\phi(N, 1) \neq 0$ . Assume not, and let  $N \neq 0$  be a nut of  $B$  such that

$$(**) \quad (N, 1) \leq \bigvee_{i < n} (N_i, M_i) \vee \bigvee_{j < m} (x_j, y_j)$$

where each  $(N_i, M_i) \in I_2$  and  $(x_j, y_j) \in I_1$  and  $n + m$  is the least  $k$  such that there exist  $k$  elements of  $I_1 \cup I_2$  whose join is  $\geq (P, 1)$  for some nut  $P$  of  $B$  with  $P \neq 0$ . By minimality of  $n + m$ , we get that  $N \leq \bigwedge_{i < n} N_i \wedge \bigwedge_{j < m} x_j$  in  $B$  (otherwise, there exists  $i$  such that  $N - N_i \neq 0$  or  $N - x_i \neq 0$  and either possibility yields a non-0 nut  $P$  of  $B$  such that  $(P, 1)$  is  $\leq$  the join of 1 less element on the right-hand side of (\*\*)). Also,  $y = \bigvee_{i < n} M_i \vee \bigvee_{j < m} y_j = 1$  in  $D$  or else  $(N, 1 - y) \neq 0$  but is disjoint from the right-hand side of (\*\*). Since for each  $i < n$ ,  $N_i \leq M_i'$  (we use  $'$  for complement) in  $C$ , we get that  $\bigwedge_{i < n} N_i \leq \bigwedge_{i < n} M_i' \leq \bigvee_{j < m} y_j$  in  $C$ . As  $A <_c C$  and  $\bigwedge_{i < n} N_i$  is a nut of  $A$ , we can choose a finite  $F \subset \text{gen}(A)$  such that  $\pi[F] = \pi[\{y_j : j < m\}]$  and  $\bigwedge_{i < n} N_i \leq \bigvee F$  in  $A$ . But, then  $0 \neq N \leq \bigvee F \wedge \bigwedge_{j < m} x_j$  in  $B$ , which is a contradiction since for every  $z \in F$  there exists  $j < m$  such that  $z$  and  $y_j$  (and therefore  $x_j$ ) are

in the same column  $B_n$  of  $B$  with  $z \in A_n$  and  $x_j \in B_n \setminus A_n$  and therefore  $z \cap x_j = 0$  in  $B$ ; hence  $\bigvee F \wedge \bigwedge_{j < m} x_j = 0$  in  $B$ .  $\square$

*Claim 3.*  $C < E$  in the form  $\{\phi(x, 1) : x \in A\} \cup \{\phi(1, y) : y \in D\}$ .

*Proof of Claim.* By virtue of the free product and  $I_2$ , if  $N$  is a nut of  $A$  and  $M$  is a nut of  $D$  and  $N \wedge M = 0$ , then  $\phi(N, M) = 0$ . We must show that if  $N$  is a nut of  $A$  and  $M$  is a nut of  $D$  and  $N \wedge M \neq 0$ , then  $\phi(N, M) \neq 0$ . Assume not and let  $N$  be a nut of  $A$  and let  $M$  be a nut of  $D$  such that  $N \wedge M \neq 0$  in  $C$  and  $(N, M) \leq \bigvee_{i < n} (N_i, M_i) \vee \bigvee_{j < m} (x_j, y_j)$  where each  $(N_i, M_i) \in I_2$  and  $(x_j, y_j) \in I_1$ . Since  $N \wedge M \neq 0$  in  $C$  and for each  $i < n$ ,  $N_i \wedge M_i = 0$  in  $C$ , we get that  $(N, M) - \bigvee_{i < n} (N_i, M_i) \neq 0$ . As

$$(N, M) - \bigvee_{i < n} (N_i, M_i) = \bigvee_{k < p} (P_k, Q_k) \leq \bigvee_{j < m} (x_j, y_j)$$

where each  $P_k$  is a nut of  $A$  and  $Q_k$  is a nut of  $D$ , we get that there exists  $k < p$  with  $(P_k, Q_k) \neq 0$  and  $(P_k, Q_k) \leq \bigvee_{j < m} (x_j, y_j)$ . So, without loss of generality we can assume that  $(N, M) \leq \bigvee_{j < m} (x_j, y_j)$ . As before, let  $m$  be the least  $k$  such that there exist  $k$  elements of  $I_1$  whose join is  $\geq (P, Q)$  for some nut  $P$  of  $A$  and for some nut  $Q$  of  $D$  with  $P \wedge Q \neq 0$  in  $C$ . By minimality of  $m$ ,  $0 \neq N \wedge M \leq \bigwedge_{j < m} y_j$ . Therefore,  $N \wedge \bigwedge_{j < m} y_j \neq 0$ . As  $A <_c C$  and  $N$  is a nut of  $A$ , we can choose a finite  $F \subset \text{gen}(A)$  such that  $\pi[F] = \pi[\{y_j : j < m\}]$  and  $N \wedge \bigwedge F \neq 0$ . But,  $N \leq \bigvee_{j < m} x_j$  and  $\bigvee_{j < m} x_j \wedge \bigwedge F = 0$  which is a contradiction.  $\square$

This completes the proof of our lemma.  $\square$

The proofs of the following two results are standard procedures in model theory, but due to the asymmetry of our assumptions (we mix  $A < B$  and  $A <_c B$ ) we were unable to find a theorem to quote, and so we present the proofs.

**Proposition 3.2.** *Let  $\lambda = \lambda^{<\kappa}$  and let  $B$  be a  $c$ -algebra of size  $< \kappa$ . Then there exists a  $c$ -algebra  $D$  of size  $\lambda$  such that  $B < D$  and such that every  $c$ -embedding of any  $c$ -algebra  $A$  of size  $< \kappa$  into  $D$  can be extended to a  $c$ -embedding of  $C$ , if  $C$  is any  $c$ -algebra of size  $< \kappa$  with  $A <_c C$ .*

*Proof.* List all triples  $(X, Y, i)$  where  $Y$  is a  $c$ -algebra with  $Y_n \subset \kappa \times \{n\}$  for each  $n$ ,  $X <_c Y$  and  $i$  is an injection of  $X$  into  $\lambda \times \omega$  as  $(X_\alpha, Y_\alpha, i_\alpha)_{0 < \alpha < \lambda}$  so that for each  $\alpha$ ,  $i_\alpha[X_\alpha] \subset \alpha \times \omega$ . In our listing we allow the degenerate cases where  $X = i = \emptyset$  in order to start our embeddings. We can do this listing because  $\lambda = \lambda^{<\kappa}$ .

We will build a  $c$ -algebra structure  $D$  with  $\text{gen}(D) = \lambda \times \omega$  by recursively constructing  $D_\alpha$  with  $\text{gen}(D_\alpha) = \lambda_\alpha \times \omega$  such that for  $\alpha < \beta < \lambda$ ,  $\alpha \leq \lambda_\alpha \leq \lambda_\beta$  and  $D_\alpha < D_\beta$ . To begin, let  $\lambda_0 = |B|$  and make  $D_0$   $c$ -isomorphic to  $B$ . If  $\alpha$  is a limit, put  $\lambda_\alpha = \sup\{\lambda_\beta : \beta < \alpha\}$  and  $D_\alpha = \bigcup_{\beta < \alpha} D_\beta$ . If  $\alpha = \beta + 1$  is a successor, then we have  $D_\beta$  with  $\text{gen}(D_\beta) = \lambda_\beta \times \omega$ . If  $i_\beta$  is not a  $c$ -embedding of  $X_\beta$  into  $D_\beta$ , then put  $\lambda_\alpha = \lambda_\beta$  and  $D_\alpha = D_\beta$ . If  $i_\beta$  is a  $c$ -embedding of  $X_\beta$  into  $D_\beta$ , then apply the Amalgamation Lemma 3.1 with  $A = X_\beta$ ,  $B = D_\beta$ ,  $C = Y_\beta$  and  $i = i_\beta$  to yield

a c-algebra  $E$  such that  $D_\beta < E$ ,  $|E| \leq |D_\beta| + |Y_\beta|$  and  $i_\beta$  extends to  $Y_\beta$ . Put  $D_\alpha = E$  and  $\lambda_\alpha =$  the first ordinal  $> \lambda_\beta$  that can accomodate the extra elements of  $\text{gen}(E)$ .

Now, let  $D = \bigcup_{\alpha < \lambda} D_\alpha$ . If  $A <_c C$ ,  $|C| < \kappa$  and  $i : A \rightarrow D$  is a c-embedding, then we can identify  $(A, C, i)$  with a  $(X_\beta, Y_\beta, i_\beta)$  for some  $\beta < \lambda$  and at stage  $\alpha = \beta + 1$ , we extended  $i$  to  $Y_\beta = C$ . □

**Theorem 3.3.** *If  $\kappa = 2^{<\kappa}$ , then there exists a universal c-algebra  $U$  of size  $\kappa$ .*

*Proof.* If  $\kappa = \kappa^{<\kappa}$ , then let  $U$  be the  $D$  of the previous proposition with  $\lambda = \kappa$  and  $B = \emptyset$ .

Otherwise,  $\kappa$  is a singular strong limit cardinal, so let  $\kappa = \sup\{\kappa_\alpha : \alpha < \text{cf}(\kappa)\}$  where  $\alpha < \beta$  implies  $\kappa_\alpha < \kappa_\beta$ ,  $\alpha$  a limit implies  $\kappa_\alpha = \sup\{\kappa_\beta : \beta < \alpha\}$ , and  $\kappa_{\alpha+1} = 2^{\kappa_\alpha}$ . By induction on  $\alpha < \text{cf}(\kappa)$ , we construct c-algebras  $U_\alpha$  with, for each  $n$ ,  $U_\alpha^n \subset \kappa_\alpha \times \{n\}$  such that  $\alpha < \beta$  implies  $U_\alpha < U_\beta$  and  $\alpha$  a limit implies  $U_\alpha = \bigcup_{\beta < \alpha} U_\beta$ . For  $\alpha = \beta + 1$ , we apply the preceding proposition with  $B = U_\beta$  and put  $U_\alpha =$  the  $D$  of that proposition. Now, let  $U = \bigcup_{\alpha < \text{cf}(\kappa)} U_\alpha$ .

In either case, to show that our  $U$  is universal, let  $A$  be a c-algebra of size  $\leq \kappa$ . Write  $A$  as  $\bigcup_{\alpha < \text{cf}(\kappa)} A_\alpha$  where for each  $\alpha$ ,  $A_\alpha <_c A$ ,  $|A_\alpha| < \kappa$ ,  $\alpha < \beta$  implies  $A_\alpha < A_\beta$  and  $\alpha$  a limit implies  $A_\alpha = \bigcup_{\beta < \alpha} A_\beta$ . We then have that for  $\beta < \alpha$ ,  $A_\beta <_c A_\alpha$ . Finally, an induction up to  $\text{cf}(\kappa)$ , using the preceding proposition, will embed  $A$  into  $U$ . □

4. NON-EXISTENCE OF UNIVERSAL UNIFORM EBERLEINS

$G \subset [X]^2$  (the doubletons of  $X$ ) is called a **graph** on  $X$ . A graph  $H$  on a subset  $Y$  of  $X$  is called a **subgraph** of  $G$  if  $H = G \cap [Y]^2$ . A graph  $H$  on a set  $Y$  **embeds** into a graph  $G$  on a set  $X$  if there exists a function  $i : Y \rightarrow X$  such that for all  $x, y \in Y$ ,  $\{x, y\} \in H \iff \{i(x), i(y)\} \in G$ . A **universal graph** of size  $\kappa$  is a graph  $G$  on a set  $X$  of size  $\kappa$  such that every graph on any set of size  $\leq \kappa$  embeds into  $G$ .

**Theorem 4.1.** *Let  $\mathcal{C}$  be a class of compact Hausdorff spaces containing all closed subspaces of  $\alpha\kappa \times \alpha\kappa$ . Then, if there exists a universal element in  $\mathcal{C}$  for weight  $\kappa$ , there exists a universal graph of size  $\kappa$ .*

*Proof.* Let  $X$  be a universal element in  $\mathcal{C}$  for weight  $\kappa$ . Let  $G$  be the intersection graph on  $CO(X)$ , i.e., for  $x \neq y$  in  $CO(X)$ ,  $\{x, y\} \in G$  iff  $x \cap y \neq \emptyset$ . We claim that  $G$  is a universal graph of size  $\kappa$ . To prove this, let  $H$  be any graph on an  $S \subset \kappa$ . Consider the following closed subspace of  $\alpha\kappa \times \alpha\kappa$ ,  $Y = \alpha\kappa \times \{\infty\} \cup \{\infty\} \times \alpha\kappa \cup \{(\alpha, \beta), (\beta, \alpha) : \{\alpha, \beta\} \in H\}$ . Let  $\phi : X \rightarrow Y$  be a continuous surjection. For each  $\alpha < \kappa$ , put  $B_\alpha = [\alpha\kappa \times \{\alpha\} \cup \{\alpha\} \times \alpha\kappa] \cap Y$ . For each  $\alpha < \kappa$ ,  $B_\alpha \in CO(Y)$  and  $B_\alpha \cap B_\beta \neq \emptyset$  iff  $\{\alpha, \beta\} \in H$ . So, the mapping  $i : S \rightarrow CO(X)$  defined by  $i(\alpha) = \phi^{-1}[B_\alpha]$  embeds  $H$  into  $G$ . □

**Corollary 4.2.** *If  $V$  is a model with  $\lambda^{<\lambda} = \lambda < \kappa < \mu$  and  $P$  is a Cohen forcing that adds  $\mu$  Cohen subsets of  $\lambda$ , then in the model  $V^P$  there is no universal UEC in the weight  $\kappa$  for any  $\kappa$  with  $\lambda < \kappa < \mu$ . In particular, if we add  $\omega_2$  Cohen reals to  $V$ , then there is no universal UEC in the weight  $\omega_1$ .*

*Proof.* Shelah [Sh90] has shown that there is no universal graph of size  $\kappa$  in this model.  $\square$

An additional result, just following from cardinal arithmetic, is the result of Kojman and Shelah [KS92], that if  $\text{cf}(2^\lambda) \leq \kappa < 2^\lambda$ , then there does not exist a universal graph of size  $\kappa$ ; hence, there would be no universal UEC for this weight  $\kappa$ .

In conclusion, we mention one open problem: Does a universal UEC for weight  $\kappa$  not exist if  $\kappa$  is a singular cardinal which is not a strong limit?

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MANITOBA, WINNIPEG, MANITOBA, CANADA R3T 2N2

*E-mail address:* mbell@cc.umanitoba.ca

*URL:* <http://home.cc.umanitoba.ca/~mbell/>