

## ON ABSORBING EXTENSIONS

KLAUS THOMSEN

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ABSTRACT. Building on the work of Kasparov we show that there always exists a trivial absorbing extension of  $A$  by  $B \otimes \mathcal{K}$ , provided only that  $A$  and  $B$  are separable. If  $A$  is unital there is a unital trivial extension which is unitaly absorbing.

### 1. INTRODUCTION

Absorbing trivial extensions play an important role in the theory of extensions of  $C^*$ -algebras; cf. 15.12 in [Bl]. Recently the interest in such extensions has been renewed because of the way  $KK$ -theory comes into the classification program. In this connection, as well as in the proper theory of  $C^*$ -extensions, it is slightly disturbing that the existence of an absorbing trivial extension has only been established in the case where at least one of the  $C^*$ -algebras involved is nuclear; cf. Theorem 5 of [K]. The purpose of the present note is to show that such extensions always exist when both  $C^*$ -algebras are separable. The argument for this is a modification of Kasparov's approach from [K]. The absorbing trivial extensions were constructed, in [K] as well as before Kasparov's work, by taking the infinite direct sum of the same copy of a faithful unital representation of the separable  $C^*$ -algebra  $A$  (for the moment assumed to be unital) which plays the role of the quotient in the extensions. The resulting representation  $\pi : A \rightarrow \mathcal{B}(\mathcal{H})$  was then composed with the natural imbedding  $\mathcal{B}(\mathcal{H}) \subseteq \mathcal{M}(B \otimes \mathcal{K})$ , where  $B \otimes \mathcal{K}$  is the stable  $C^*$ -algebra which features as the ideal in the extensions. So in practice this means that the absorbing extension was constructed by taking a weak\* dense sequence of states of  $A$ , repeating all states in the sequence infinitely often, and then adding the corresponding GNS-representations. This procedure has nothing to do with the  $C^*$ -algebra  $B$ , and it is a highly non-trivial task to show that it often results in an absorbing extension when prolonged to a map  $A \rightarrow \mathcal{M}(B \otimes \mathcal{K})$ ; cf. [K]. The observation we offer here is that if one instead takes a sequence  $s_n : A \rightarrow B \otimes \mathcal{K}$  of completely positive contractions which is dense for the topology of pointwise norm-convergence among all completely positive contractions (such a sequence exists when both  $A$  and  $B$  are separable), repeats each  $s_n$  infinitely often and add up the unital representations

$$\pi_n : A \rightarrow \mathcal{M}(B \otimes \mathcal{K}), \quad n \in \mathbb{N},$$

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coming from the Kasparov-Stinespring decompositions

$$s_n(\cdot) = W_n^* \pi_n(\cdot) W_n ,$$

the resulting representation  $A \rightarrow \mathcal{M}(B \otimes \mathcal{K})$  will be a unitaly absorbing trivial extension. The general trivial absorbing extensions can then be obtained (for a not necessarily unital  $C^*$ -algebra  $A$ ) by taking a unitaly absorbing representation  $\pi : A^+ \rightarrow \mathcal{M}(B \otimes \mathcal{K})$  and restricting it to  $A$ .

In order to illustrate how the absorbing  $*$ -homomorphisms constructed here can be used to extend known results we prove a general version of the Paschke-Valette-Skandalis duality which realizes the group  $KK(A, B)$  as the  $K_1$ -group of a  $C^*$ -algebra  $D_\pi$  built out of  $A$  and  $B$  by using an absorbing  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$ ; cf. [P], [V], [S], [H].

### 2. ABSORBING $*$ -HOMOMORPHISMS

Given Hilbert  $B$ -modules  $E$  and  $F$ , we let  $\mathcal{L}_B(E, F)$  denote the Banach space of adjointable operators from  $E$  to  $F$ . The ideal of ‘compact’ operators from  $E$  to  $F$  is denoted by  $\mathcal{K}_B(E, F)$ . When  $E = F$  we write  $\mathcal{L}_B(E)$  and  $\mathcal{K}_B(E)$  instead of  $\mathcal{L}_B(E, E)$  and  $\mathcal{K}_B(E, E)$ , respectively. In the special case where  $E = B$  there are well-known identifications  $\mathcal{L}_B(B) = \mathcal{M}(B) =$  the multiplier algebra of  $B$  and  $\mathcal{K}_B(B) = B$ , which we shall use freely.

**Theorem 2.1.** *Let  $A$  and  $B$  be separable  $C^*$ -algebras with  $A$  unital and  $B$  stable. Let  $\pi : A \rightarrow \mathcal{M}(B)$  be a unital  $*$ -homomorphism. Then the following conditions are equivalent:*

- 1) *For any completely positive contraction  $\varphi : A \rightarrow B$  there is a sequence  $\{W_n\} \subseteq \mathcal{M}(B)$  such that*
  - 1a)  $\lim_{n \rightarrow \infty} \|\varphi(a) - W_n^* \pi(a) W_n\| = 0$  for all  $a \in A$ ,
  - 1b)  $\lim_{n \rightarrow \infty} \|W_n^* b\| = 0$  for all  $b \in B$ .
- 2) *For any completely positive unital map  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{V_n\}$  of isometries in  $\mathcal{M}(B)$  such that*
  - 2a)  $V_n^* \pi(a) V_n - \varphi(a) \in B$ ,  $n \in \mathbb{N}$ ,  $a \in A$ ,
  - 2b)  $\lim_{n \rightarrow \infty} \|V_n^* \pi(a) V_n - \varphi(a)\| = 0$ ,  $a \in A$ .
- 3) *For any unital  $*$ -homomorphism  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that*
  - 3a)  $U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a) \in B$ ,  $n \in \mathbb{N}$ ,  $a \in A$ ,
  - 3b)  $\lim_{n \rightarrow \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0$ ,  $a \in A$ .
- 4) *For any unital  $*$ -homomorphism  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that*

$$\lim_{n \rightarrow \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0, a \in A .$$

*Proof.* 1)  $\Rightarrow$  2): Let  $F \subseteq A$  be a finite set containing 1 and  $\epsilon > 0$ . Let  $\varphi : A \rightarrow \mathcal{M}(B)$  be a completely positive unital map. It suffices to find an element  $V \in \mathcal{M}(B)$  such that

$$(2.1) \quad V^* \pi(a) V - \varphi(a) \in B$$

for all  $a \in A$  and

$$(2.2) \quad \|V^* \pi(x)V - \varphi(x)\| < 3\epsilon$$

for all  $x \in F$ . If namely  $\epsilon$  is small enough this will imply that  $W = V[V^*V]^{-\frac{1}{2}}$  is an isometry close to  $V$  such that  $V - W \in B$ , and we can then work with  $W$  instead of  $V$ . We repeat Kasparov’s arguments: Let  $X$  be a compact subset of  $A$  containing  $F$  and with dense span in  $A$ . By Lemma 10 of [K] there is a sequence  $\psi_k : A \rightarrow B$ ,  $k \in \mathbb{N}$ , of completely positive contractions such that  $\psi(a) = \sum_{k=1}^{\infty} \psi_k(a)$  converges in the strict topology,  $\varphi(a) - \psi(a) \in B$  for all  $a \in A$ , and  $\|\varphi(x) - \psi(x)\| < \epsilon$  for all  $x \in X$ . Let  $\{b_i\}$  be a countable approximate unit for  $B$ . It follows from 1) that we can find a sequence  $\{m_i\} \subseteq B$  such that

- 1)  $\|\psi_i(x) - m_i^* \pi(x) m_i\| \leq \epsilon 2^{-i}$ ,  $x \in X$ ,  $i \in \mathbb{N}$ ,
- 2)  $\|m_i^* \pi(x) m_j\| \leq \epsilon 2^{-i-j}$ ,  $x \in X$ ,  $i, j \in \mathbb{N}$ ,  $i \neq j$ ,
- 3)  $\sum_{i=1}^{\infty} \|m_i^* b_k\| < \infty$  for all  $k \in \mathbb{N}$ .

The argument from the proof of Theorem 5 in [K] shows that  $\sum_{i=1}^{\infty} m_i$  converges in the strict topology to an element  $V \in \mathcal{M}(B)$  satisfying (2.1) and (2.2).

2)  $\Rightarrow$  3): This follows from the arguments of Arveson given on pp. 338-339 of [A] by substituting Hilbert spaces with Hilbert  $B$ -modules. We leave this to the reader.

3)  $\Rightarrow$  4) is trivial.

4)  $\Rightarrow$  1): Let  $\varphi : A \rightarrow B$  be a completely positive contraction. Let  $F \subseteq A$  and  $G \subseteq B$  be finite sets and  $\epsilon > 0$ . Since  $A$  and  $B$  are separable it suffices to find an element  $L \in \mathcal{M}(B)$  such that  $\|\varphi(a) - L^* \pi(a)L\| < \epsilon$ ,  $a \in F$ , and  $\|Lb\| < \epsilon$  for all  $b \in B$ . By Kasparov’s Stinespring theorem (Theorem 3 of [K]), there is a unital  $*$ -homomorphism  $\chi : A \rightarrow \mathcal{M}(B)$  and an element  $W \in \mathcal{M}(B)$  such that  $\varphi(\cdot) = W^* \chi(\cdot) W$ . Let  $S_i$ ,  $i = 1, 2, 3, \dots$ , be a sequence of isometries in  $\mathcal{M}(B)$  such that  $S_i^* S_j = 0$ ,  $i \neq j$ , and  $\sum_{i=1}^{\infty} S_i S_i^* = 1$  in the strict topology, and set  $\chi^\infty(a) = \sum_{i=1}^{\infty} S_i \chi(a) S_i^*$ . It follows from 4) that there is a sequence  $\{U_n\}$  of unitaries in  $\mathcal{L}_B(B \oplus B, B)$  such that

$$\lim_{n \rightarrow \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^\infty(a) \end{pmatrix} U_n^* - \pi(a)\| = 0, \quad a \in A.$$

Define  $T_i : B \rightarrow B \oplus B$  by  $T_i b = (0, S_i b)$ . Then  $\chi(a) = T_i^* \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^\infty(a) \end{pmatrix} T_i$  and

$\varphi(a) = W^* T_i^* \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^\infty(a) \end{pmatrix} T_i W$  for all  $a$  and  $i$ . Choose  $n$  so large that

$$\left\| \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^\infty(a) \end{pmatrix} - U_n^* \pi(a) U_n \right\| < \frac{\epsilon}{1 + \|W\|^2}, \quad a \in F.$$

Then

$$\|\varphi(a) - W^* T_i^* U_n^* \pi(a) U_n T_i W\| < \epsilon, \quad a \in F,$$

for all  $i$ . Since  $\lim_{i \rightarrow \infty} \|T_i^* x\| = 0$  for all  $x \in B \oplus B$ , we can choose  $i$  so large that  $\|W^* T_i^* U_n^* b\| < \epsilon$  for all  $b \in G$ . Set  $L = U_n T_i W$ . □

**Definition 2.2.** Let  $A$  and  $B$  be separable  $C^*$ -algebras with  $A$  unital and  $B$  stable. A unital  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  which satisfies the four equivalent conditions in Theorem 2.1 is called *unittally absorbing* (for  $(A, B)$ ).

The following lemma is surely known, but it is so crucial for us here that we include a proof.

**Lemma 2.3.** *Let  $A$  and  $B$  be separable  $C^*$ -algebras. There is then a countable set  $X$  of completely positive contractions  $A \rightarrow B$  such that for any completely positive contraction  $\mu : A \rightarrow B$ , any finite set  $F \subseteq A$  and any  $\epsilon > 0$  there is an element  $l \in X$  such that*

$$\|\mu(f) - l(f)\| \leq \epsilon, f \in F.$$

*Proof.* Let  $\{a_1, a_2, a_3, \dots\}$  be a dense sequence in the unit ball of  $A$  and set  $F_n = \text{span}\{a_1, a_2, \dots, a_n\}$ . Let  $\omega$  be a faithful state of  $A$  and let  $(\pi_\omega, H_\omega)$  be the GNS-representation coming from  $\omega$ . We can then consider  $A$  as a subspace of  $H_\omega$ . The orthogonal projection  $P_n : H_\omega \rightarrow F_n$  gives us then by restriction a continuous idempotent map  $P_n : A \rightarrow F_n$ . Let  $1 < m_1 < m_2 < m_3 < \dots$  be a sequence of numbers such that  $\|P_n\| \leq m_n$  for all  $n$ . We can then define a metric  $d$  on the space  $\mathcal{B}(A, B)$  of continuous linear maps  $L : A \rightarrow B$  by

$$d(L_1, L_2) = \sum_{i=1}^{\infty} \frac{2^{-i}}{m_i} \|L_1(a_i) - L_2(a_i)\|.$$

Choose a linear basis  $\{x_1, x_2, \dots, x_{n_0}\}$  for  $F_n$ . For each  $n_0$ -tuple  $\underline{b} = (b_1, b_2, \dots, b_{n_0}) \in B^{n_0}$  there is a linear map  $L_{\underline{b}} : F_n \rightarrow B$  such that  $L_{\underline{b}}(x_i) = b_i, i = 1, 2, \dots, n$ . By using that  $B^{n_0}$  is separable this construction gives us a countable set  $\mathcal{M}$  of linear maps  $F_n \rightarrow B$  which is dense in the strong topology of  $\mathcal{B}(F_n, B)$ . Now let  $0 < \epsilon < 1$  and let a finite set  $D \subseteq F_n$  be given. Let  $\mu \in \mathcal{B}(F_n, B)$  be a contraction. There is a finite subset  $G$  of  $F_n$  such that every  $x \in F_n$  with  $\|x\| \leq 1 - \epsilon$  is a convex combination of elements from  $G$ . Choose  $l \in \mathcal{M}$  such that

$$(2.3) \quad \|\mu(z) - l(z)\| < \epsilon, z \in D \cup G.$$

Then  $\|\mu(x) - l(x)\| \leq \epsilon$  for all  $x \in F_n$  with  $\|x\| \leq 1 - \epsilon$ , and hence  $\|l\| \leq \frac{1+\epsilon}{1-\epsilon}$ . Let  $q$  be a positive rational number in  $] \frac{1-2\epsilon}{1+\epsilon}, \frac{1-\epsilon}{1+\epsilon} [$ . Then  $ql \in \mathbb{Q}_+ \mathcal{M}$  is a contraction and we find that

$$\begin{aligned} \|\mu(z) - ql(z)\| &\leq \|\mu(z) - l(z)\| + \|l(z) - ql(z)\| \\ &\leq \epsilon + |1 - q| \|l\| \sup\{\|z\| : z \in D\} \\ &< \frac{2\epsilon + 2\epsilon^2}{1 - \epsilon^2} \sup\{\|z\| : z \in D\} + \epsilon \end{aligned}$$

for all  $z \in D$ . It follows that we can find a countable set  $\mathcal{Y}_n \subseteq \mathbb{Q}_+ \mathcal{M}$  of linear contractions which is strongly dense among all contractions in  $\mathcal{B}(F_n, B)$ . Set

$$\mathcal{Y} = \bigcup_{n=1}^{\infty} \{l \circ P_n : l \in \mathcal{Y}_n\}.$$

Let  $\mu : A \rightarrow B$  be a linear contraction and let  $\epsilon > 0$ . Choose  $n$  so large that  $2 \sum_{i \geq n+1} 2^{-i} < \frac{\epsilon}{2}$ . From what we have just proved there is an element  $l \in \mathcal{Y}_n$  such that

$$\|\mu(a_i) - l(a_i)\| < \frac{\epsilon}{2}, i = 1, 2, \dots, n.$$

Then  $l \circ P_n \in \mathcal{Y}$  and

$$\begin{aligned} d(\mu, l \circ P_n) &\leq \sum_{i=1}^n \frac{2^{-i} \epsilon}{m_i} \frac{1}{2} + \sum_{i \geq n+1} \frac{2^{-i}}{m_i} (1 + \|P_n\|) \\ &\leq \frac{\epsilon}{2} + \sum_{i \geq n+1} \frac{2^{-i}}{m_i} (1 + m_i) \leq \epsilon. \end{aligned}$$

It follows that  $\mathcal{Y}$  is a countable set in  $\mathcal{B}(A, B)$  with the property that for any linear contraction  $\mu : A \rightarrow B$  and any  $\epsilon > 0$  there is an element  $l \in \mathcal{Y}$  such that  $d(\mu, l) < \epsilon$ . For each  $l \in \mathcal{Y}$  choose a completely positive contraction  $l' : A \rightarrow B$  such that

$$d(l, l') \leq 2 \inf\{d(l, L) : L \in \mathcal{B}(A, B) \text{ is a completely positive contraction}\}.$$

Then  $\mathcal{Y}' = \{l' : l \in \mathcal{Y}\}$  is a countable set of completely positive contractions in  $\mathcal{B}(A, B)$  with the property that for any completely positive linear contraction  $\mu : A \rightarrow B$  and any  $\epsilon > 0$  there is an element  $l \in \mathcal{Y}'$  such that  $d(\mu, l) < \epsilon$ .  $\square$

**Theorem 2.4.** *Let  $A$  and  $B$  be separable  $C^*$ -algebras. Assume that  $B$  is stable and  $A$  unital. Then there exists a unitaly absorbing  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  for  $(A, B)$ .*

*Proof.* By Lemma 2.3 there is a dense sequence  $\{s_n\}$  in the set of completely positive contractions from  $A$  to  $B$ . We may assume that each  $s_n$  is repeated infinitely often in this sequence. By Kasparov’s Stinespring Theorem (Theorem 3 of [K]), there are elements  $V_n \in \mathcal{M}(B)$  and unital  $*$ -homomorphisms  $\pi_n : A \rightarrow \mathcal{M}(B)$  such that

$$s_n(\cdot) = V_n^* \pi_n(\cdot) V_n$$

for all  $n$ . Note that  $\|V_n\|^2 = \|V_n^* V_n\| = \|s_n(1)\| \leq 1$  for all  $n$ . Define a unital  $*$ -homomorphism  $\pi_\infty : A \rightarrow \mathcal{L}_B(l_2(B))$  by

$$\pi_\infty(a)(b_1, b_2, b_3, \dots) = (\pi_1(a)b_1, \pi_2(a)b_2, \pi_3(a)b_3, \dots).$$

Define  $L_n \in \mathcal{L}_B(B, l_2(B))$  by

$$L_n b = (0, 0, \dots, 0, V_n b, 0, 0, \dots),$$

where the non-trivial entry occurs at the  $n$ ’th coordinate. Since we repeated the  $s_n$ ’s infinitely often there is, for each  $n$ , a sequence  $k_1 < k_2 < k_3 < \dots$  in  $\mathbb{N}$  such that

$$(2.4) \quad s_n(a) = L_{k_i}^* \pi_\infty(a) L_{k_i}$$

for all  $a \in A$ ,  $i \in \mathbb{N}$ , and

$$(2.5) \quad \lim_{i \rightarrow \infty} \|L_{k_i}^* \psi\| = 0, \quad \psi \in l_2(B).$$

By Lemma 1.3.2 of [KJT] there is an isomorphism  $S : l_2(B) \rightarrow B$  of Hilbert  $B$ -modules. Set  $T_n = S L_n \in \mathcal{M}(B)$  and  $\pi(\cdot) = S \pi_\infty(\cdot) S^*$ . We assert that  $\pi$  satisfies condition 1) of Theorem 2.1, and to prove it we let  $\varphi : A \rightarrow B$  be a completely positive contraction. In order to construct a sequence  $\{W_n\}$  in  $\mathcal{M}(B)$  such that 1a) and 1b) of Theorem 2.1 hold it suffices, because  $A$  and  $B$  are separable, to pick  $\epsilon > 0$  and finite subsets  $F_1 \subseteq A$  and  $F_2 \subseteq B$  and find an element  $W \in \mathcal{M}(B)$  such that  $\|\varphi(a) - W^* \pi(a) W\| < \epsilon$ ,  $a \in F_1$ , and  $\|W^* b\| < \epsilon$ ,  $b \in F_2$ . Choose first  $n \in \mathbb{N}$  such that  $\|\varphi(a) - s_n(a)\| < \epsilon$ ,  $a \in F_1$ . If we then choose  $k_1 < k_2 < k_3 < \dots$

such that (2.4) and (2.5) hold we have that  $T_{k_i}^* \pi(a) T_{k_i} = s_n(a)$  for all  $a \in F_1$  and  $\|T_{k_i}^* b\| < \epsilon$  for all  $b \in F_2$ , provided only that  $i$  is large enough. We can then set  $W = T_{k_i}$  for such an  $i$ . □

We now turn to the case of a not necessarily unital  $C^*$ -algebra  $A$  and the general notion of absorbing  $*$ -homomorphisms. Given a  $C^*$ -algebra  $A$  we denote in the following by  $A^+$  the  $C^*$ -algebra obtained by adding a unit to  $A$ . Let  $B$  be another  $C^*$ -algebra. Any linear completely positive contraction  $\varphi : A \rightarrow \mathcal{M}(B)$  admits a unique linear extension  $\varphi^+ : A^+ \rightarrow \mathcal{M}(B)$  such that  $\varphi^+(1) = 1$ .  $\varphi^+$  is automatically a completely positive contraction (cf. e.g. Lemma 3.2.8 of [KJT]), and is automatically a  $*$ -homomorphism when  $\varphi$  is. The following theorem is therefore an immediate consequence of Theorem 2.1.

**Theorem 2.5.** *Let  $A$  and  $B$  be separable  $C^*$ -algebras with  $B$  stable. Let  $\pi : A \rightarrow \mathcal{M}(B)$  be a  $*$ -homomorphism. Then the following conditions are equivalent:*

- 1)  $\pi^+ : A^+ \rightarrow \mathcal{M}(B)$  is unittally absorbing for  $(A^+, B)$ .
- 2) For any completely positive contraction  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{V_n\}$  of isometries in  $\mathcal{M}(B)$  such that
  - 2a)  $V_n^* \pi(a) V_n - \varphi(a) \in B, n \in \mathbb{N}, a \in A,$
  - 2b)  $\lim_{n \rightarrow \infty} \|V_n^* \pi(a) V_n - \varphi(a)\| = 0, a \in A.$
- 3) For any  $*$ -homomorphism  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that
  - 3a)  $U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a) \in B, n \in \mathbb{N}, a \in A,$
  - 3b)  $\lim_{n \rightarrow \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0, a \in A.$
- 4) For any  $*$ -homomorphism  $\varphi : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that

$$\lim_{n \rightarrow \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0, a \in A.$$

**Definition 2.6.** Let  $A$  and  $B$  be separable  $C^*$ -algebras with  $B$  stable. A  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  is *absorbing* (for  $(A, B)$ ) when it satisfies the four equivalent conditions of Theorem 2.5.

**Theorem 2.7.** *Let  $A$  and  $B$  be separable  $C^*$ -algebras with  $B$  stable. There exists an absorbing  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  for  $(A, B)$ .*

*Proof.* Combine Theorem 2.5 and Theorem 2.4. □

An absorbing  $*$ -homomorphism is clearly unique in the following sense: Given two absorbing  $*$ -homomorphisms  $\pi_1, \pi_2 : A \rightarrow \mathcal{M}(B)$  there is a sequence  $\{U_n\} \subseteq \mathcal{M}(B)$  of unitaries such that  $U_n \pi_1(a) U_n^* - \pi_2(a) \in B, a \in A, n \in \mathbb{N}$ , and  $\lim_{n \rightarrow \infty} U_n \pi_1(a) U_n^* - \pi_2(a) = 0, a \in A$ .

### 3. DUALITY IN $KK$ -THEORY

Throughout this section  $A$  and  $B$  will be separable  $C^*$ -algebras and  $B$  will be stable. A  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  is of *infinite multiplicity* when  $\pi$  is unitarily equivalent to  $\pi^\infty$ , where  $\pi^\infty : A \rightarrow \mathcal{M}(B)$  is the  $*$ -homomorphism given by  $\pi^\infty(a) = \sum_{i=1}^\infty S_i \pi(a) S_i^*$ , for some sequence  $S_i, i \in \mathbb{N}$ , of isometries in  $\mathcal{M}(B)$  such that  $S_i^* S_j = 0, i \neq j$ , and  $\sum_{i=1}^\infty S_i S_i^* = 1$  in the strict topology.

**Lemma 3.1.** *Let  $\pi : A \rightarrow \mathcal{M}(B)$  be a  $*$ -homomorphism of infinite multiplicity and set*

$$E = \{m \in \mathcal{M}(B) : m\pi(a) = \pi(a)m \ \forall a \in A\} .$$

Then  $K_*(E) = \{0\}$ .

*Proof.* Since  $\pi$  has infinite multiplicity,

$$E \simeq \{m \in \mathcal{L}_B(l_2(B)) : m\mu(a) = \mu(a)m \ \forall a \in A\}$$

where  $\mu : A \rightarrow \mathcal{L}_B(l_2(B))$  is given by

$$\mu(a)(b_1, b_2, b_3, \dots) = (\pi(a)b_1, \pi(a)b_2, \pi(a)b_3, \dots).$$

The usual proof that  $K_*(\mathcal{L}_B(l_2(B))) = 0$  works to show that  $K_*(E) = 0$ ; cf. e.g. Proposition 12.2.1 of [Bl]. □

Given an absorbing  $*$ -homomorphism  $\pi : A \rightarrow \mathcal{M}(B)$  we set

$$C_\pi = \{x \in \mathcal{M}(B) : x\pi(a) - \pi(a)x \in B, \ a \in A\}$$

and

$$A_\pi = \{x \in C_\pi : x\pi(A) \subseteq B\} .$$

Then  $A_\pi$  is a closed two-sided ideal in  $C_\pi$  and we set  $D_\pi = C_\pi/A_\pi$ . The quotient map  $C_\pi \rightarrow D_\pi$  will be denoted by  $q$ . If  $\tau : A \rightarrow \mathcal{M}(B)$  is another absorbing  $*$ -homomorphism there is a unitary  $w \in \mathcal{M}(B)$  such that  $\text{Ad } w \circ \pi(a) - \tau(a) \in B$  for all  $a \in A$  and then  $x \mapsto wxw^*$  defines a  $*$ -isomorphism of  $C_\pi$  onto  $C_\tau$  which takes  $A_\pi$  onto  $A_\tau$ . In particular,  $D_\pi \simeq D_\tau$ .

Let  $u$  be a unitary in  $M_n(D_\pi)$ . Choose  $v \in M_n(C_\pi)$  such that  $\text{id}_{M_n} \otimes q(v) = u$ . Define  $\pi^n : A \rightarrow \mathcal{L}_B(B^n)$  by  $\pi^n(a)(b_1, b_2, \dots, b_n) = (\pi(a)b_1, \pi(a)b_2, \dots, \pi(a)b_n)$ . Let  $B^n \oplus B^n$  be graded by  $(x, y) \mapsto (x, -y)$ . Then

$$(B^n \oplus B^n, \begin{pmatrix} \pi^n & \\ & \pi^n \end{pmatrix}, (v^* \ v))$$

is a Kasparov  $A - B$ -module. We leave it to the reader to check that the class of this module in  $KK(A, B)$  only depends on the class of  $u$  in  $K_1(D_\pi)$ , and that the construction gives rise to a group homomorphism  $\Theta : K_1(D_\pi) \rightarrow KK(A, B)$ .

**Theorem 3.2.** *Assume that  $\pi : A \rightarrow \mathcal{M}(B)$  is an absorbing  $*$ -homomorphism. Then  $\Theta : K_1(D_\pi) \rightarrow KK(A, B)$  is an isomorphism.*

*Proof.* When  $\tau$  is another absorbing  $*$ -homomorphism there is a commuting diagram

$$(3.1) \quad \begin{array}{ccc} K_1(D_\pi) & \xrightarrow{\Theta} & KK(A, B) \\ \downarrow & \nearrow & \\ K_1(D_\tau) & & \end{array}$$

where  $K_1(D_\pi) \rightarrow K_1(D_\tau)$  is induced by the isomorphism  $D_\pi \rightarrow D_\tau$  described above, and  $K_1(D_\tau) \rightarrow KK(A, B)$  is the map obtained by using  $\tau$  instead of  $\pi$  in the definition of  $\Theta$ . Indeed if one considers a specific unitary in  $M_n(D_\pi)$ , the Kasparov  $A - B$ -module which results by going down and up in the diagram differs from the one which arises by going across by an isomorphism and a compact perturbation. Thus if we prove that  $\Theta : K_1(A_\pi) \rightarrow KK(A, B)$  is an isomorphism for one absorbing

\*-homomorphism  $\pi$  it will follow that it is an isomorphism for any other. Hence by working with  $\pi^\infty$  instead of  $\pi$  we may assume that  $\pi$  is of infinite multiplicity.

$\Theta$  is injective: Let  $u \in M_n(D_\pi)$  be a unitary and choose  $v \in M_n(C_\pi)$  such that  $\text{id}_{M_n} \otimes q(v) = u$ . Assume that  $[B^n \oplus B^n, (\pi^n \ \pi^n), (v^* \ v)] = 0$  in  $KK(A, B)$ . This means that there are degenerate Kasparov  $A - B$ -modules  $\mathcal{D}_1$  and  $\mathcal{D}_2$  such that  $(B^n \oplus B^n, (\pi^n \ \pi^n), (v^* \ v)) \oplus \mathcal{D}_1$  is operator homotopic to  $(B^n \oplus B^n, (\pi^n \ \pi^n), (1 \ 1)) \oplus \mathcal{D}_2$ . Since  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are degenerate we can define a new degenerate Kasparov  $A - B$ -module  $\mathcal{D}$  by  $\mathcal{D} = \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \dots$ . Then  $\mathcal{D}_1 \oplus \mathcal{D}$  and  $\mathcal{D}_2 \oplus \mathcal{D}$  are both isomorphic to  $\mathcal{D}$  and hence  $(B^n \oplus B^n, (\pi^n \ \pi^n), (v^* \ v)) \oplus \mathcal{D}$  is operator homotopic to  $(B^n \oplus B^n, (\pi^n \ \pi^n), (1 \ 1)) \oplus \mathcal{D}$ . By combining Kasparov's stabilization theorem (Theorem 2.12 of [KJT]) with Lemma 1.3.2 of [KJT] we may assume that  $a = w$  and  $b = w^*$  for some unitary  $w \in \mathcal{M}(B)$ . Finally, by applying the unitary of the Hilbert  $B$ -module  $B \oplus B$  given by  $(x, y) \mapsto (x, wy)$ , we see that we can assume that  $w = 1$ . So all in all we have that

$$(B^n \oplus B^n, (\pi^n \ \pi^n), (v^* \ v)) \oplus (B \oplus B, \begin{pmatrix} \lambda_+ & \\ & \lambda_- \end{pmatrix}, (1 \ 1))$$

is operator homotopic to

$$(B^n \oplus B^n, (\pi^n \ \pi^n), (1 \ 1)) \oplus (B \oplus B, \begin{pmatrix} \lambda_+ & \\ & \lambda_- \end{pmatrix}, (1 \ 1)).$$

Note that  $\lambda_+ = \lambda_-$  since  $(B \oplus B, \begin{pmatrix} \lambda_+ & \\ & \lambda_- \end{pmatrix}, (1 \ 1))$  is degenerate. Finally, by adding on an infinite number of copies of  $(B \oplus B, \begin{pmatrix} \lambda_+ & \\ & \lambda_- \end{pmatrix}, (1 \ 1))$  we find that there is a \*-homomorphism of infinite multiplicity  $\lambda : A \rightarrow \mathcal{M}(B)$  such that

$$(B^n \oplus B^n, (\pi^n \ \pi^n), (v^* \ v)) \oplus (B \oplus B, (\lambda \ \lambda), (1 \ 1))$$

is operator homotopic to

$$(B^n \oplus B^n, (\pi^n \ \pi^n), (1 \ 1)) \oplus (B \oplus B, (\lambda \ \lambda), (1 \ 1)).$$

Furthermore, by adding on  $(B \oplus B, (\pi \ \pi), (1 \ 1))$  we may assume that there is a unitary  $w \in \mathcal{M}(B)$  such that  $w\lambda(a)w^* - \pi(a) \in B$ ,  $a \in A$ . The operator homotopy consists of an isomorphism of Kasparov  $A - B$  modules and a norm-continuous path of operators. The isomorphism gives us a unitary  $S \in M_{n+1}(\mathcal{M}(B))$  such that  $S \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix} = \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix} S$  for all  $a \in A$ , and in addition we have a norm-continuous path  $F_t$ ,  $t \in [0, 1]$ , in  $M_{n+1}(\mathcal{M}(B))$  such that  $F_0 = S$ ,  $F_1 = \begin{pmatrix} v & \\ & 1 \end{pmatrix}$ , and  $(F_t F_t^* - 1_{n+1}) \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix}$ ,  $(F_t^* F_t - 1_{n+1}) \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix}$ ,  $F_t \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix} - \begin{pmatrix} \pi^n(a) & \\ & \lambda(a) \end{pmatrix} F_t$  are in  $M_{n+1}(B)$  for all  $t$  and  $a$ . Here and in the following we let  $1_k$  denote the unit of  $M_k(\mathcal{M}(B))$ . Note that  $\nu = \begin{pmatrix} \pi^n & \\ & \lambda \end{pmatrix}$  is of infinity multiplicity, as a \*-homomorphism  $A \rightarrow \mathcal{M}(M_{n+1}(B))$ , since  $\pi$  and  $\lambda$  both are of infinite multiplicity. By Lemma 3.1 we can therefore find an  $m \in \mathbb{N}$  and a norm-continuous path of unitaries in  $\{x \in M_{m(n+1)}(\mathcal{M}(B)) : x\nu^m(a) = \nu^m(a)x, a \in A\}$  connecting  $\begin{pmatrix} S & \\ & 1_{(m-1)(n+1)} \end{pmatrix}$  to  $1_{m(n+1)}$ . In combination with  $F$  this gives us a norm-continuous path  $H_t$ ,  $t \in [0, 1]$ , in  $M_{m(n+1)}(\mathcal{M}(B))$  such that  $H_0 = 1_{m(n+1)}$ ,  $H_1 = \begin{pmatrix} v & \\ & 1_{m(n+1)-n} \end{pmatrix}$ ,  $(H_t H_t^* - 1_{m(n+1)})\nu^m(a)$ ,  $(H_t^* H_t - 1_{m(n+1)})\nu^m(a)$ ,  $H_t \nu^m(a) - \nu^m(a)H_t$  are in  $M_{m(n+1)}(B)$  for all  $t$  and  $a$ . Set

$$W = \text{diag}(\underbrace{1_n, w, 1_n, w, \dots, 1_n, w}_{m \text{ times}}) \in M_{m(n+1)}(\mathcal{M}(B))$$

and  $G_t = WH_tW^*$ . Then  $G_t$  is a norm-continuous path in  $M_{m(n+1)}(\mathcal{M}(B))$  such that  $G_0 = 1_{m(n+1)}$ ,  $G_1 = \begin{pmatrix} v & \\ & 1_{m(n+1)-n} \end{pmatrix}$  and  $(G_tG_t^* - 1_{m(n+1)})\pi^{m(n+1)}(a)$ ,  $(G_t^*G_t - 1_{m(n+1)})\pi^{m(n+1)}(a)$ ,  $G_t\pi^{m(n+1)}(a) - \pi^{m(n+1)}(a)G_t$  are in  $M_{m(n+1)}(B)$  for all  $t$  and  $a$ . Thus  $(\text{id}_{M_{m(n+1)}} \otimes q)(G_t)$  is a path of unitaries in  $M_{m(n+1)}(D\pi)$  connecting  $\begin{pmatrix} u & \\ & 1_{m(n+1)-n} \end{pmatrix}$  to  $1_{m(n+1)}$ .

$\Theta$  is surjective: Let  $(E, \psi, F)$  be a Kasparov  $A - B$ -module. The constructions on pages 125-126 of [KJT] show that  $[E, \psi, F] \in KK(A, B)$  is also represented by a Kasparov  $A - B$ -module of the form  $(B \oplus B, \begin{pmatrix} \varphi_+ & \\ & \varphi_- \end{pmatrix}, \begin{pmatrix} v^* & v \end{pmatrix})$  for some  $*$ -homomorphisms  $\varphi_{\pm} : A \rightarrow \mathcal{M}(B)$  and some unitary  $v \in \mathcal{M}(B)$ . Using the trick from p. 354 of [H] we may assume that  $\varphi_- = \varphi_+ = \varphi$ . By adding on  $(B \oplus B, \begin{pmatrix} \pi & \\ & \pi \end{pmatrix}, \begin{pmatrix} 1 & \\ & 1 \end{pmatrix})$  and using that  $\pi$  is absorbing we may assume that there is a unitary  $u \in \mathcal{M}(B)$  such that  $u\varphi(a)u^* - \pi(a) \in B$  for all  $a \in A$ . Then  $(B \oplus B, \begin{pmatrix} \varphi & \\ & \varphi \end{pmatrix}, \begin{pmatrix} v^* & v \end{pmatrix})$  is isomorphic to

$$\left( B \oplus B, \begin{pmatrix} \text{Ad } u \circ \varphi & \\ & \text{Ad } u \circ \varphi \end{pmatrix}, \begin{pmatrix} uv^*u^* & uvu^* \end{pmatrix} \right)$$

which in turn is a compact perturbation of  $(B \oplus B, \begin{pmatrix} \pi & \\ & \pi \end{pmatrix}, \begin{pmatrix} uv^*u^* & uvu^* \end{pmatrix})$ . Then  $uvu^*$  is a unitary  $C_{\pi}$  such that  $\Theta([q(uvu^*)]) = [E, \psi, F]$  in  $KK(A, B)$ .  $\square$

Of course there is also an isomorphism

$$K_0(D\pi) \simeq \text{Ext}^{-1}(A, B)$$

which can be proved in basically the same way.

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INSTITUT FOR MATEMATISKE FAG, NY MUNKEGADE, 8000 AARHUS C, DENMARK  
E-mail address: `matkt@imf.au.dk`