

## PERTURBATIONS OF THE HAAR WAVELET BY CONVOLUTION

H. A. AIMAR, A. L. BERNARDIS, AND O. P. GOROSITO

(Communicated by Christopher D. Sogge)

ABSTRACT. In this note we show that the standard convolution regularization of the Haar system generates Riesz bases of smooth functions for  $L^2(\mathbb{R})$ , providing in this way an alternative to the approach given by Govil and Zalik [Proc. Amer. Math. Soc. **125** (1997), 3363–3370].

The simplest compactly supported wavelet, the Haar function given by  $h := \chi_{[0,1/2)} - \chi_{[1/2,1)}$ , generates by integer translations and dyadic dilations an orthonormal basis for the space  $L^2(\mathbb{R})$ . In a recent paper Govil and Zalik [2] gave an *ad hoc* spline type regularization  $h^\varepsilon$ ,  $\varepsilon > 0$ , of the Haar wavelet  $h$ , in such a way that  $h^\varepsilon$  produces by integer translations and dyadic dilations a Riesz basis for  $L^2(\mathbb{R})$  with bounds approaching 1 for  $\varepsilon \rightarrow 0$ . A basis  $\{f_n, n \in \mathbb{Z}^+\}$  of  $L^2(\mathbb{R})$  is called a Riesz basis with bounds  $A$  and  $B$  if  $A \sum_{n \in \mathbb{Z}^+} |c_n|^2 \leq \|\sum_{n \in \mathbb{Z}^+} c_n f_n\|^2 \leq B \sum_{n \in \mathbb{Z}^+} |c_n|^2$  for every numerical sequence  $\{c_n, n \in \mathbb{Z}^+\} \in \ell^2$ .

The aim of this note is to show that standard approximations of the identity provide good Riesz bases as regularizations of the Haar system. We shall denote by  $f^\varepsilon$  the convolution of  $f$  with  $\phi_\varepsilon(x) = 1/\varepsilon \phi(x/\varepsilon)$ , where  $\phi$  is an appropriate integrable function. Concretely, we shall prove the following theorem.

**Theorem 1.** *Let  $m$  be a nonnegative integer and let  $\phi$  be an even function with support in  $[-1, 1]$ ,  $\phi \in W^{1,m}(\mathbb{R}) = \{\phi \in L^1(\mathbb{R}) : \text{the } m^{\text{th}} \text{ derivative } \phi^{(m)} \text{ of } \phi \text{ belongs to } L^1(\mathbb{R})\}$  and  $\int \phi = 1$ . Let  $\varepsilon > 0$  be such that*

$$M_\varepsilon := 110 \sqrt{3} (1 + \|\phi\|_1)^2 \varepsilon < 1.$$

*Then,  $h^\varepsilon := h * \phi_\varepsilon$  belongs to  $C^m(\mathbb{R})$ , has support in  $[-\varepsilon, 1 + \varepsilon]$ , and  $\{h_{j,k}^\varepsilon(x) := 2^{j/2} h^\varepsilon(2^j x - k) : j, k \in \mathbb{Z}\}$  is a Riesz basis of  $L^2(\mathbb{R})$  with bounds  $(1 - \sqrt{M_\varepsilon})^2$  and  $(1 + \sqrt{M_\varepsilon})^2$ .*

Notice that spline type regularizations can be obtained from adequate choices of  $\phi$ , for example  $h^\varepsilon$  is piecewise linear if we take  $\phi = \frac{1}{2} \chi_{[-1,1]}$ .

---

Received by the editors January 11, 2000 and, in revised form, April 20, 2000.

2000 *Mathematics Subject Classification.* Primary 42C40.

*Key words and phrases.* Riesz bases, Haar wavelets, basis perturbations.

This research was supported by CONICET and Proy. CAI+D - UNL.

Recall that a sequence  $\{f_n, n \in \mathbb{Z}^+\}$  of  $L^2(\mathbb{R})$  is called a Bessel sequence with bound  $M$  if, for every  $f \in L^2(\mathbb{R})$ ,  $\sum_{n \in \mathbb{Z}^+} |\langle f, f_n \rangle|^2 \leq M \|f\|^2$ . We need the following particular case of [2, Lemma 2], obtained by setting  $a = 2$ ,  $b = 1$  and  $|I| < 1$ .

**Lemma 2** (page 3364 in [2]). *Let  $g$  be a bounded variation function with total variation  $V(g)$  such that  $\text{supp } g \subseteq I$ , where  $I$  is an interval of length less than 1, and  $\int g(t) dt = 0$ . Then,  $\{g_{j,k}(x) := 2^{j/2}g(2^jx - k) : j, k \in \mathbb{Z}\}$  is a Bessel sequence with bound*

$$M_g := 11 \|g\|_\infty (V(g) + \|g\|_\infty) |I|.$$

The basic result used in [2] which will also be used here is the following corollary of Theorem 5 in [1]:

**Theorem 3** (page 164 in [1]). *If  $\{f_n, n \in \mathbb{Z}^+\}$  is an orthonormal basis for  $L^2(\mathbb{R})$  and  $\{f_n - g_n, n \in \mathbb{Z}^+\}$  is a Bessel sequence with bound  $M < 1$ , then  $\{g_n, n \in \mathbb{Z}^+\}$  is a Riesz basis with bounds  $(1 - \sqrt{M})^2$  and  $(1 + \sqrt{M})^2$ .*

*Proof of Theorem 1.* We start by proving that  $h^\varepsilon \in C^m(\mathbb{R})$ . Let us first notice that, since  $h^\varepsilon$  is the convolution of an  $L^\infty$  function  $h$  with an  $L^1$  function  $\phi_\varepsilon$ , from the continuity in  $L^1$  of every integrable function, we have that  $h^\varepsilon \in C^0(\mathbb{R})$ . Now, if  $m > 0$ , since  $[h^\varepsilon]^{(m)} = h * [\phi_\varepsilon]^{(m)} = \varepsilon^{-m} h * (\phi^{(m)})_\varepsilon$  and  $\phi^{(m)} \in L^1(\mathbb{R})$ , the argument given for  $m = 0$  shows that  $h^\varepsilon \in C^m(\mathbb{R})$ . On the other hand, since the support of the convolution of two functions is contained in the sum of the supports of the functions being convolved, we have that  $\text{supp } h^\varepsilon \subseteq [-\varepsilon, 1 + \varepsilon]$ . We shall now prove that  $\{h_{j,k}^\varepsilon\}$  is a Riesz basis with the desired bounds. Let us first observe that the convolution of two compactly supported functions,  $f_1$  in  $L^1(\mathbb{R})$  and  $f_2$  of bounded variation, has total variation bounded by  $V(f_2)\|f_1\|_1$ . Then  $V(h^\varepsilon) \leq 4\|\phi\|_1$ . The difference  $d^\varepsilon = h - h^\varepsilon$  can be written as the sum of three functions:  $d^{\varepsilon,1} = d^\varepsilon \chi_{[-\varepsilon,\varepsilon]}$ ,  $d^{\varepsilon,2} = d^\varepsilon \chi_{[1/2-\varepsilon,1/2+\varepsilon]}$  and  $d^{\varepsilon,3} = d^\varepsilon \chi_{[1-\varepsilon,1+\varepsilon]}$ . Each function  $d^{\varepsilon,i}$ ,  $i = 1, 2, 3$ , is of bounded variation over  $\mathbb{R}$  with  $V(d^{\varepsilon,i}) \leq V(d^\varepsilon) \leq 4(1 + \|\phi\|_1)$ . On the other hand, each  $d^{\varepsilon,i}$  has zero integral since, in fact,  $d^{\varepsilon,1}(x)$ ,  $d^{\varepsilon,2}(x+1/2)$  and  $d^{\varepsilon,3}(x+1)$  are odd functions. Let us show, for example, that  $d^{\varepsilon,1}(x) = -d^{\varepsilon,1}(-x)$ . For  $0 < \varepsilon < 1/4$  and  $x \in [-\varepsilon, \varepsilon]$ , since  $\int [h(x+y) + h(-x-y)]\phi_\varepsilon(y) dy = \int \phi_\varepsilon(y) dy = 1$  and since  $\phi$  is even, we have that

$$\begin{aligned} d^{\varepsilon,1}(x) &= h(x) - \int h(x-y)\phi_\varepsilon(y) dy \\ &= (h(x) - 1) + (1 - \int h(x+y)\phi_\varepsilon(y) dy) \\ &= -h(-x) + \int h(-x-y)\phi_\varepsilon(y) dy \\ &= -(h(-x) - h^\varepsilon(-x)) \\ &= -d^{\varepsilon,1}(-x). \end{aligned}$$

These properties allow us to apply Lemma 2 to each function  $d^{\varepsilon,i}$ ,  $i = 1, 2, 3$ , obtaining that the sequence  $\{d_{j,k}^{\varepsilon,i} : j, k \in \mathbb{Z}\}$  is a Bessel sequence with bound  $110(1 + \|\phi\|_1)^2\varepsilon$ . Hence the theorem follows from Minkowski's inequality and Theorem 3. □

## REFERENCES

1. S. J. Favier and R. A. Zalik,, *On the stability of frames and Riesz bases*, Appl. Comput. Harm. Anal. **2** (1995), 160–173. MR **96e**:42030
2. N. K. Govil and R. A. Zalik, *Perturbations of the Haar Wavelet*, Proc. Amer. Math. Soc. **125** (1997), 3363–3370. MR **97m**:42025

IMAL (CONICET), GÜEMES 3450, (3000) SANTA FE, ARGENTINA  
*E-mail address*: [haimar@ceride.gov.ar](mailto:haimar@ceride.gov.ar)

IMAL (CONICET), GÜEMES 3450, (3000) SANTA FE, ARGENTINA  
*E-mail address*: [bernard@ceride.gov.ar](mailto:bernard@ceride.gov.ar)

DEPARTAMENTO DE MATEMÁTICA, FACULTAD DE INGENIERÍA QUÍMICA, UNIVERSIDAD NACIONAL DEL LITORAL, SANTIAGO DEL ESTERO 2829, (3000) SANTA FE, ARGENTINA  
*E-mail address*: [ogoro@fiqus.unl.edu.ar](mailto:ogoro@fiqus.unl.edu.ar)