

## ON MODULES OF FINITE PROJECTIVE DIMENSION OVER COMPLETE INTERSECTIONS

S. P. DUTTA

(Communicated by Wolmer V. Vasconcelos)

**ABSTRACT.** Recently Avramov and Miller proved that over a local complete intersection ring  $(R, m, k)$  in characteristic  $p > 0$ , a finitely generated module  $M$  has finite projective dimension if for some  $i > 0$  and for some  $n > 0$ ,  $\text{Tor}_i^R(M, f_R^n) = 0$  —  $f^n$  being the Frobenius map repeated  $n$  times. They used the notion of “complexity” and several related theorems. Here we offer a very simple proof of the above theorem without using “complexity” at all.

Recently Avramov and Miller [A–M] gave an important characterization for modules of finite projective dimension over complete intersections in positive characteristic. To describe their result we need the following set-up:

Let  $(R, m)$  be a local ring of characteristic  $p > 0$  with residue field  $k = R/m$ . Let  $f : R \rightarrow R$ ,  $f(x) = x^p$ , denote the Frobenius map and let  $f^n$  denote the map  $f$  repeated  $n$ -times. We denote by  ${}^fR$  the bi- $R$ -algebra  $R$ , having the structure of an  $R$ -algebra from the left by  $f^n$  and from the right by identity. For any  $R$ -module  $M$ ,  $F_R^n(M)$  will stand for  $M \otimes_R {}^fR$  and  ${}^fN$  will denote the module  $N$  viewed as an  $R$ -module via  $f^n[P - S]$ . Avramov and Miller proved the following:

**Theorem** ([A–M]). *Let  $M$  be a finitely generated module over a local complete intersection ring  $(R, m, k)$ . If for some  $i > 0$  and for some  $n > 0$ ,  $\text{Tor}_i^R(M, {}^fR) = 0$ , then  $M$  is of finite projective dimension.*

Their proof used the notion of “complexity” and several related theorems. Here, we intend to provide a much simpler proof which does not use “complexity” at all. The only well-known theorems we exploit are the following: the theorem on flatness of the Frobenius due to Kunz [K, 3.3] and Herzog’s theorem on characterization of finite projective dimension [H, 3.1].

Historically, work on such problems started in 1969 with Kunz’s theorem [K, 3.3] on equivalence of flatness of  $f^n$  for all  $n \geq 1$  with the regularity of the local ring  $R$ . Next, Peskine and Szpiro established the following [P–S, 1.7]: If a finitely generated  $R$ -module  $M$  has finite projective dimension over  $R$ ,  $\text{Tor}_i^R(M, f_R^n) = 0$  for all  $i$ ,  $n \geq 1$ . Then Herzog [H, 3.1] proved the converse: If  $M$  is finitely generated and  $\text{Tor}_i^R(M, f_R^n) = 0$  for all  $i > 1$  and infinitely many  $n$ ,  $M$  has finite projective dimension over  $R$ . The theorem of Avramov and Miller stated above

---

Received by the editors June 18, 2001 and, in revised form, September 3, 2001.

2000 *Mathematics Subject Classification.* Primary 13C14, 13C40, 13D05, 13D40, 13H10.

*Key words and phrases.* Complete intersection, finite projective dimension, flatness, Frobenius, Tor.

This research was partially supported by an NSF grant.

is a much desired extension of Herzog’s theorem to complete intersections. For modules  $M$  of finite length over a complete intersection this author showed [D, 1.9] that  $\ell(F_R^n(M)) \geq p^{nd}\ell(M)$  where  $d$  denotes dimension of  $R$  and sign of equality holds when  $M$  is of finite projective dimension; in [M, 1.1] Miller proved the converse and deduced the following: If for some  $i > 0$ ,  $\lim_{n \rightarrow \infty} \ell(\text{Tor}_i^R(M, f_R^n))/p^{nd} = 0$ , then  $M$  has finite projective dimension.

We accomplish our proof in the following steps.

**Step 0.** Without any loss of generality, we can assume that  $R$  is complete and  $R = S/\underline{x}$  where  $S$  is a complete regular local ring of characteristic  $p > 0$  and  $\underline{x} = (x_1, \dots, x_r)$  is the ideal generated by an  $S$ -sequence  $x_1, \dots, x_r$ . Let  $d$  be dimension of  $R$  (henceforth  $\dim$ ); then  $r + d = \dim S$ . We know by Kunz’s theorem that  $f^n : S \rightarrow S : f^n(x) = x^{p^n}$  is a flat map  $\forall n > 0$ .

**Step 1.** Since  $S \xrightarrow{f^n_S} S$  is flat,  $S/\underline{x} \xrightarrow{\tilde{f}^n} S/\underline{x}^{p^n}$  is flat (base change). Hence  $R \xrightarrow{f^n_R} R$  can be factored as

$$R = S/\underline{x} \xrightarrow{\tilde{f}^n} S/\underline{x}^{p^n} \xrightarrow{\eta_n} R = S/\underline{x}$$

where  $\eta_n$  is the natural surjection.

Thus  $f^n R = \eta_n \cdot \tilde{f}^n$ .

Let  $M$  be a finitely generated  $R$ -module. Consider an exact sequence (a presentation of  $M$ )

$$(1) \quad R^{t_1} \xrightarrow{\phi} R^{t_0} \rightarrow M \rightarrow 0.$$

Apply  $\otimes^{f^n} S$  and obtain an exact sequence

$$(2) \quad (S/\underline{x}^{p^n})^{t_1} \xrightarrow{\phi^{[p^n]}} (S/\underline{x}^{p^n})^{t_0} \rightarrow F_S^n(M) \rightarrow 0.$$

(1) and (2) imply that

$$(3) \quad M \otimes_R \tilde{f}^n(S/\underline{x}^{p^n}) \simeq F_S^n(M).$$

Since  $\tilde{f}^n$  is flat, we have

$$(4) \quad \text{Tor}_i^R(M, f^n R) = \text{Tor}_i^{R_n}(F_S^n(M), S/\underline{x}),$$

where  $R_n = S/\underline{x}^{p^n}$

**Step 2.** We want to show that  $\text{Tor}_1^R(M, f^n R) = 0$  implies that  $\text{Tor}_i^R(M, f^n R) = 0$  for  $i \geq 1$ . It is enough to show that  $\text{Tor}_2^R(M, f^n R) = 0$ . By flatness of  $\tilde{f}^n$  we will be done by showing that

$$\text{Tor}_1^{R_n}(F_S^n(M), S/\underline{x}) = 0 \quad \text{implies} \quad \text{Tor}_2^{R_n}(F_S^n(M), S/\underline{x}) = 0.$$

We know  $S/\underline{x}^{p^n}$  has a filtration such that successive quotients are isomorphic to  $S/\underline{x}$ . We have the following exact sequences:

$$0 \rightarrow K_1 \rightarrow S/\underline{x}^{p^n} \rightarrow S/\underline{x} \rightarrow 0,$$

$$0 \rightarrow K_2 \rightarrow K_1 \rightarrow S/\underline{x} \rightarrow 0,$$

$$0 \rightarrow K_{t_n} \rightarrow K_{t_n-1} \rightarrow S/\underline{x} \rightarrow 0$$

where  $K_{t_n} = S/\underline{x}$ . Since  $\text{Tor}_1^{R_n}(F_S^n(M), S/\underline{x}) = 0$ , we obtain by going up along the above exact sequences successively that  $\text{Tor}_1^{R_n}(F_S^n(M), K_1) = 0$ . This implies that  $\text{Tor}_2^{R_n}(F_S^n(M), S/\underline{x}) = 0$ . Hence  $\text{Tor}_2^R(M, {}^fR) = 0$ .

**Step 3.** We want to show that  $\text{Tor}_1^R(M, {}^fR) = 0$  implies that  $\text{Tor}_1^R(M, {}^fR^{n+1}) = 0$ . Hence, we need to show that  $\text{Tor}_1^{R_{n+1}}(F_S^{n+1}(M), S/\underline{x}) = 0$ , provided that  $\text{Tor}_1^{R_n}(F_S^n(M), S/\underline{x}) = 0$ . Recall from Step 2 that since  $\text{Tor}_1^{R_n}(F_S^n(M), S/\underline{x}) = 0$ ,  $\text{Tor}_i^{R_n}(F_S^n(M), S/\underline{x}) = 0$  for  $i \geq 1$  and from Step 1 that  $R_n \xrightarrow{f} R_{n+1}$  is flat. Hence (tensoring the above equation by  ${}^fR_{n+1}$ ),

$$(5) \quad \text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/\underline{x}^p) = 0 \quad \text{for } i \geq 1.$$

Consider the short exact sequences

$$(6) \quad 0 \rightarrow S/(x_1, x_2, \dots, x_r^p) \rightarrow S/(x_1^{p+1}, x_2^p, \dots, x_r^p) \rightarrow S/\underline{x}^p \rightarrow 0$$

and

$$(7) \quad 0 \rightarrow S/\underline{x}^p \rightarrow S/(x_1^{p+1}, x_2^p, \dots, x_r^p) \rightarrow S/(x_1, x_2^p, \dots, x_r^p) \rightarrow 0.$$

Applying (5), from (6) we obtain

$$(8) \quad \begin{aligned} &\text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1, x_2^p, \dots, x_r^p)) \\ &\simeq \text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1^{p+1}, x_2^p, \dots, x_r^p)) \quad \text{for } i \geq 1, \end{aligned}$$

and applying (5), from (7) we obtain

$$(9) \quad \begin{aligned} &\text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1^{p+1}, x_2^p, \dots, x_r^p)) \\ &\hookrightarrow \text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1, x_2^p, \dots, x_r^p)) \quad \text{for } i \geq 1 \end{aligned}$$

which is an isomorphism for  $i \geq 2$  and an injection for  $i = 1$ .

Note that the composition of the two maps (8) and (9) is the one induced by multiplication by  $x_1^p$ .

Combining (6), (7), (8) and (9) we observe that

$$S/(x_1, x_2^p, \dots, x_r^p) \xrightarrow{x_1^p} S/(x_1, x_2^p, \dots, x_r^p)$$

is the 0-map and it induces an injection between

$$\text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1, x_2^p, \dots, x_r^p)) \hookrightarrow \text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1, x_2^p, \dots, x_r^p))$$

for  $i \geq 1$ .

Thus  $\text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/(x_1, x_2^p, \dots, x_r^p)) = 0$ . Repeating this process  $(r - 1)$  times we obtain

$$\text{Tor}_i^{R_{n+1}}(F_S^{n+1}(M), S/\underline{x}) = 0 \quad \text{for } i \geq 1.$$

**Step 4.** Steps 1, 2, 3 and the well-known theorem due to Herzog [H, 3.1] prove the assertion stated in the theorem at the beginning.

*Remark.* With notation as above, over a complete intersection  $R = S/\underline{x}$ , for a finitely generated module  $M$ , we have  $\text{Pd}_R M < \infty$  if and only if  $\text{Pd}_{R_n} F_S^n(M) < \infty$ .

The proof follows easily from Step 1.

## REFERENCES

- [A–M] L. Avramov and C. Miller, *Frobenius powers of complete intersections*, Math. Research Letters **8**, nos. 1 and 2, 2001, 225–232. MR **2002b**:13022
- [D] S. P. Dutta, *Frobenius and Multiplicities*, J. Algebra **85** (1983), 424–448. MR **85f**:13022
- [H] J. Herzog, *Ringe de Charakteristik  $p$  und Frobeniusfunktoren*, Math. Z. **140** (1974), 67–78. MR **50**:4569
- [K] E. Kunz, *Characterization of regular local rings for characteristic  $p$* , Amer. J. Math. **91** (1969), 772–784. MR **40**:5609
- [M] C. Miller, *A Frobenius characterization of finite projective dimension over complete intersections*, Math. Z. **233** (2000), 127–136. MR **2001a**:13037
- [P–S] C. Peskine and L. Szpiro, *Dimension projective finie et cohomologie locale*, I.H.E.S. Publ. Math. **42** (1973), 47–119. MR **51**:10330

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF ILLINOIS, 1409 WEST GREEN STREET, URBANA, ILLINOIS 61801

*E-mail address:* `dutta@math.uiuc.edu`