

ON NON-MEASURABILITY OF ℓ_∞/c_0 IN ITS SECOND DUAL

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ABSTRACT. We show that $\ell_\infty/c_0 = C(\mathbb{N}^*)$ with the weak topology is not an intersection of \aleph_1 Borel sets in its Čech-Stone extension (and hence in any compactification). Assuming (CH), this implies that $(C(\mathbb{N}^*), \text{weak})$ has no continuous injection onto a Borel set in a compact space, or onto a Lindelöf space. Under (CH), this answers a question of Arhangel'skiĭ.

1. INTRODUCTION

All of our spaces are completely regular. We shall identify ℓ_∞ with $C(\beta\mathbb{N})$, the space of continuous functions on the Čech-Stone compactification of the natural numbers \mathbb{N} , and ℓ_∞/c_0 with the function space $C(\mathbb{N}^*)$. Talagrand [Ta] demonstrated that ℓ_∞ and ℓ_∞/c_0 , canonically embedded in their second duals, are not Borel with respect to the weak* topology; cf. Edgar [Ed]. One checks that ℓ_∞ is an intersection of 2^{\aleph_0} Borel sets in $(\ell_\infty^{**}, \text{weak}^*)$; cf. Remark 3.2. However, assuming the Continuum Hypothesis (CH), the analogous fact is not true for ℓ_∞/c_0 . This follows readily from the next result.

Theorem 1.1. *The space $(\ell_\infty/c_0, \text{weak})$ is not an intersection of \aleph_1 Borel sets in its Čech-Stone extension.*

Indeed, the universal properties of the Čech-Stone extension imply that $(\ell_\infty/c_0, \text{weak})$ is not an intersection of \aleph_1 Borel sets in any of its compactifications, and hence also in its σ -compact extension $(\ell_\infty^{**}, \text{weak}^*)$.

The following corollary answers under (CH) (in a rather strong form) a question posed by A.V. Arhangel'skiĭ [Ar1, Problem 34], [Ar2, Problem 5] (cf. Remark 3.3). This question was also mentioned in [C-S] where, as a partial answer, it was shown that $C_p(\mathbb{N}^*)$ had no one-to-one continuous map onto a compact space, a result obtained independently in [AP].

Corollary 1.2. *Assuming (CH), no continuous image of $(C(\mathbb{N}^*), \text{pointwise})$ under a map with σ -compact fibers is an intersection of 2^{\aleph_0} Borel sets in its Čech-Stone compactification.*

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2. PROOF OF THEOREM 1.1

We shall prove the assertion in a more general setting. Let us recall that a compact space K is an F -space if each continuous $c : U \rightarrow [0, 1]$, defined on an open σ -compact set U in K , extends continuously over K . A compact set $L \subseteq K$ is a P -set if any countable intersection of neighborhoods of L is a neighborhood of L . The space $\beta\mathbb{N}$, and all of its compact subspaces, are F -spaces, and \mathbb{N}^* contains compact non-open P -sets. From results of Jayne, Namioka and Rogers [JNR] it follows that for any infinite compact F -space K , $(C(K), \text{weak})$ is not Borel in its Čech-Stone extension.

Proposition 2.1. *Let K be a compact F -space containing a compact non-open P -set. Then the function space $(C(K), \text{weak})$ is not an intersection of \aleph_1 Borel sets in its Čech-Stone compactification.*

Proof. By inductively choosing complements of neighborhoods of a compact non-open P -set in K , one can define a strictly increasing sequence

$$(1) \quad W_1 \subseteq W_2 \subseteq \dots \subseteq W_\xi \subseteq \dots, \quad \xi < \omega_1, \quad \overline{W_\xi} \subseteq W_{\xi+1}, \quad W_\xi \neq W_{\xi+1}$$

of open sets in K . Let

$$(2) \quad H = \{f \in C(K) : 0 \leq f \leq 1, \quad f|(K \setminus \bigcup_{\xi < \omega_1} W_\xi) \equiv 0\}.$$

We let H_w denote the set H equipped with the topology inherited from $(C(K), \text{weak})$. We also consider a topology τ on H generated by basic neighborhoods

$$(3) \quad N(f, \xi) = \{g \in H : f|W_\xi = g|W_\xi\}.$$

We shall write H_τ for (H, τ) . The identity map

$$(4) \quad i : H_\tau \rightarrow H_w$$

is continuous; cf. [DJP], [Ha], [JNR]. Since K is an F -space, one easily checks that for any sequence $N(f_1, \xi_1) \supseteq N(f_2, \xi_2) \supseteq \dots$, $\xi_1 < \xi_2 < \dots$, there is $f \in H$ such that, for $\eta = \sup_i \xi_i$,

$$(5) \quad \bigcap_i N(f_i, \xi_i) \supseteq N(f, \eta).$$

This implies that H_τ is a Baire space and provides the essential property for the proof of the next lemma. \square

Lemma 2.2. *The Čech-Stone compactification βH_τ cannot be covered by \aleph_1 meager sets.*

Proof of Lemma 2.2. Assume $\beta H_\tau = \bigcup_{\alpha < \omega_1} A_\alpha$, where every A_α is closed and nowhere dense. By (5) one can inductively define $g_\alpha \in H$, $\phi(\alpha) < \omega_1$, with $\phi(\alpha) < \phi(\beta)$, for $\alpha < \beta$, such that $N(g_\alpha, \phi(\alpha)) \supseteq N(g_\beta, \phi(\beta))$ and $\overline{N(g_\alpha, \phi(\alpha))}^\beta \cap A_\alpha = \emptyset$ (the closure taken in βH_τ). But then, $\bigcap_{\alpha < \omega_1} \overline{N(g_\alpha, \phi(\alpha))}^\beta$ is simultaneously non-empty, and disjoint from $\bigcup_{\alpha < \omega_1} A_\alpha$, a contradiction. That concludes the proof of the lemma.

Following an idea of [De] we shall show that H_w is not an intersection of \aleph_1 Borel sets in βH_w . Since H_w is closed in $(C(K), \text{weak})$, this will also show that the assertion of the proposition is true.

Aiming for a contradiction to Lemma 2.2, assume that

$$(6) \quad H_w = \bigcap_{\alpha < \omega_1} E_\alpha, \quad \text{where every } E_\alpha \subseteq \beta H_w, \quad \alpha < \omega_1, \text{ is Borel,}$$

and let

$$(7) \quad i^\beta : \beta H_\tau \rightarrow \beta H_w$$

be the continuous extension of the identity map given in (4).

Let

$$(8) \quad S_\alpha = (i^\beta)^{-1}(E_\alpha).$$

Then S_α is a Borel set in βH_τ containing the Baire space H_τ , and therefore, S_α being open modulo meager sets in βH_τ ,

$$(9) \quad \beta H_\tau \setminus M_\alpha \subseteq S_\alpha \text{ for } M_\alpha \text{ meager in } \beta H_\tau.$$

From (6), (8) and (9) we obtain

$$(10) \quad \beta H_\tau \setminus \bigcup_{\alpha < \omega_1} M_\alpha \subseteq (i^\beta)^{-1}(H_w).$$

Now let C_α be the closure in βH_w of the set $\{f \in H : f|(K \setminus W_\alpha) \equiv 0\}$. If there exists $h \in H_w \setminus \bigcup_{\alpha < \omega_1} C_\alpha$, then there is $a > 0$ such that $h^{-1}([a, 1]) \cap (K \setminus W_\alpha) \neq \emptyset$, for all $\alpha < \omega_1$. But, K compact gives $h^{-1}([a, 1]) \setminus \bigcup_{\alpha < \omega_1} W_\alpha \neq \emptyset$, a contradiction.

Hence,

$$(11) \quad H_w \subseteq \bigcup_{\alpha < \omega_1} C_\alpha \text{ and } C_\alpha \text{ contains no } N(f, \xi).$$

Let

$$(12) \quad L_\alpha = (i^\beta)^{-1}(C_\alpha).$$

The second part of (11) shows that $C_\alpha \cap H$ has empty interior in H_τ , and therefore

$$(13) \quad L_\alpha \text{ is nowhere dense in } \beta H_\tau.$$

Then (10), (11) and (12) yield

$$(14) \quad \beta H_\tau = \bigcup_{\alpha < \omega_1} (M_\alpha \cup L_\alpha),$$

with M_α, L_α meager in βH_τ . This, however, is impossible by Lemma 2.2. \square

3. PROOF OF COROLLARY 1.2 AND REMARKS

Corollary 1.2 is an immediate consequence of the following observation.

Lemma 3.1. *Let $u : X \rightarrow Y$ be a continuous surjection with σ -compact fibers. If $|Y| = 2^{\aleph_0}$ and Y is an intersection of 2^{\aleph_0} Borel sets in βY , then X is an intersection of 2^{\aleph_0} Borel sets in βX .*

Proof. Let $u^\beta : \beta X \rightarrow \beta Y$ be the continuous extension and let

$$(1) \quad B(Y) = (u^\beta)^{-1}(Y),$$

$$(2) \quad B(y) = \beta X \setminus ((u^\beta)^{-1}(y) \setminus X).$$

Then $B(Y)$ is an intersection of 2^{\aleph_0} Borel sets in βX . Since every $u^{-1}(y) = (u^\beta)^{-1}(y) \cap X$ is σ -compact and hence F_σ , the sets $B(y) = \beta X \setminus ((u^\beta)^{-1}(y) \setminus u^{-1}(y))$ are also Borel sets in βX . Now, one readily checks that

$$X = B(Y) \cap \bigcap \{B(y) : y \in Y\};$$

hence X is an intersection of 2^{\aleph_0} Borel sets in βX . \square

Remark 3.2. Similar arguments show that $\ell_\infty = C(\beta\mathbb{N})$ is an intersection of 2^{\aleph_0} Borel sets in $(C(\beta\mathbb{N})^{**}, \text{weak}^*)$. Indeed, let Λ be the σ -compact space of all bounded sequences of reals with the pointwise topology. If $\delta_n \in C(\beta\mathbb{N})^*$ is the functional identified with the probability measure supported by $\{n\}$, the map $u : C(\beta\mathbb{N})^{**} \rightarrow \Lambda$, defined by $u(\phi)(n) = \langle \delta_n, \phi \rangle$, is a surjection which is continuous with respect to the weak*-topology. (Here, $\langle \cdot, \cdot \rangle$ represents the duality map on $C(\beta\mathbb{N})^* \times C(\beta\mathbb{N})^{**}$.) For $y \in \Lambda$, let y^β be the continuous extension over $\beta\mathbb{N}$, and let

$$B(y) = C(\beta\mathbb{N})^{**} \setminus (u^{-1}(y) \setminus \{y^\beta\}).$$

Then, $B(y)$ is Borel in $(C(\beta\mathbb{N})^{**}, \text{weak}^*)$ and $C(\beta\mathbb{N}) = \bigcap \{B(y) : y \in \Lambda\}$.

Remark 3.3. Corollary 1.2 also shows that, assuming (CH), $(C(\mathbb{N}^*), \text{pointwise})$ cannot be mapped onto a Lindelöf space by any continuous function with σ -compact fibers (this answers the second part of a question of Arhangel'skiĭ in [Ar1, Problem 34]). Assume on the contrary that a Lindelöf space X is an image of $C(\mathbb{N}^*)$ under such a map. Since $(C(\mathbb{N}^*), \text{pointwise})$ is of cardinality 2^{\aleph_0} , so is X . Therefore, X has a continuous injection into the Tychonoff cube K of weight 2^{\aleph_0} and in effect, one can assume that the Lindelöf space X is a subspace of K . But then X is an intersection of 2^{\aleph_0} σ -compact subsets of K . Indeed, X being Lindelöf, each point in $K \setminus X$ is contained in a compact G_δ -set in $K \setminus X$, and there are 2^{\aleph_0} compact G_δ -sets in K . We arrive at a contradiction with Corollary 1.2.

Remark 3.4. Let κ be a regular uncountable cardinal, let κ_d be the set κ with the discrete topology, and let K_κ be obtained from $\beta\kappa_d$ by identifying to a point the set of uniform ultrafilters $\beta\kappa_d \setminus \bigcup \{\bar{A} : A \subseteq \kappa, |A| < \kappa\}$. Then, K_κ is an F -space with a non-isolated P -point. The reasoning in the proof of Proposition 2.1 shows that $(C(K_\kappa), \text{weak})$ is not an intersection of κ Borel sets in its Čech-Stone compactification. Assuming that κ is strongly inaccessible, one shows also, as in the proof of Corollary 1.2, that any continuous image of $(C(K_\kappa), \text{pointwise})$ under a map with σ -compact fibers, has Lindelöf number κ .

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