

## SIMPLE CURVES IN $\mathbb{R}^n$ AND AHLFORS' SCHWARZIAN DERIVATIVE

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(Communicated by Juha M. Heinonen)

ABSTRACT. We derive sharp injectivity criteria for mappings  $f : (-1, 1) \rightarrow \mathbb{R}^n$  in terms of Ahlfors' definition of the Schwarzian derivative for such mappings.

### 1. INTRODUCTION

Because the Schwarzian derivative  $Sf = (f''/f')' - \frac{1}{2}(f''/f')^2$  measures the extent to which an analytic function deviates from being a Möbius transformation, it carries information about both the local and global behavior of conformal mappings. Although in regard to the former  $Sf$  says something about how  $f$  alters cross-ratios and curvature, the importance it has acquired in geometric function theory and related areas over the last 50 years or so stems primarily from Nehari's fundamental papers [Ne 1], [Ne 2] on univalence criteria of the form

$$(1.1) \quad |Sf(z)| \leq 2P(|z|)$$

for analytic functions  $f$  in the unit disk. In his most general version of this criterion [Ne 2],  $P$  can be any even function for which (i)  $(1 - x^2)^2 P(x)$  is nonincreasing on  $[0, 1)$ , and (ii) the even solution of  $U'' + PU = 0$  has no zeros. It is a straightforward consequence of condition (i) that (1.1) will imply univalence for any  $P$  for which

$$(1.2) \quad \varphi : (-1, 1) \rightarrow \mathbb{C} \text{ and } |S\varphi(x)| \leq 2P(|x|) \Rightarrow \varphi \text{ is injective,}$$

so that the matter reduces in essence to showing that (1.2) holds under assumption (ii).

In this paper we shall give a very short proof that a stronger form of (1.2) actually holds under a weaker assumption on  $P$ , and more importantly, that such injectivity criteria hold for  $f : (-1, 1) \rightarrow \mathbb{R}^n$ . In this wider context of curves in space we use a corresponding version of the Schwarzian due to Ahlfors [Ah], for which we offer a geometrically appealing definition, rather different in tenor from his, and which makes manifest that in this extended context,  $Sf$  continues to be a complex number invariant under Möbius transformations. Our analysis of the injectivity of  $f$  and of the related issues of continuous extendibility to  $[-1, 1]$  and extremal behavior is based largely on an observation implicit in [Ne 2] to the effect that it is only the real part of  $Sf$  that is of significance in such questions.

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Received by the editors January 3, 2002 and, in revised form, September 5, 2002.

2000 *Mathematics Subject Classification.* Primary 53A04, 53A55; Secondary 30C55.

*Key words and phrases.* Schwarzian derivative, Nehari criteria, injectivity, extremal function.

Both authors were partially supported by Fondecyt Grants # 1000627 and # 7000627.

## 2. HIGHER-DIMENSIONAL CURVES

In [Ah] Ahlfors generalized the Schwarzian to cover  $f : (a, b) \rightarrow \mathbb{R}^n$  by separately defining analogues of the 2-dimensional  $\Re\{Sf\}$  and  $\Im\{Sf\}$  as

$$(2.1) \quad S_1 f = \frac{\langle f', f''' \rangle}{|f'|^2} - 3 \frac{\langle f', f'' \rangle^2}{|f'|^4} + \frac{3|f''|^2}{2|f'|^2}$$

and

$$(2.2) \quad S_2 f = \frac{f' \wedge f'''}{|f'|^2} - 3 \frac{\langle f', f'' \rangle}{|f'|^4} f' \wedge f'',$$

respectively. Here, for  $\vec{a}, \vec{b} \in \mathbb{R}^n$ ,  $\vec{a} \wedge \vec{b}$  is the antisymmetric bivector with components  $(\vec{a} \wedge \vec{b})_{ij} = a_i b_j - a_j b_i$  and norm  $(\sum_{i < j} (a_i b_j - a_j b_i)^2)^{1/2}$ . Ahlfors indicated that he was led to these seemingly esoteric definitions by a direct identification of  $\Re\{z\bar{\zeta}\}$  with the inner product  $\langle z, \zeta \rangle$  of the 2-dimensional vectors  $z, \zeta$  and the far from obvious identification of  $\Im\{z\bar{\zeta}\}$  with the corresponding  $\zeta \wedge z$  based on the fact that  $(\Im\{z\bar{\zeta}\})^2 = |\zeta \wedge z|^2$ . In this section we give an equivalent but geometrically convincing derivation of what amounts to Ahlfors' Schwarzian, very much in the spirit of his definition of the complex cross-ratio of four points in  $\mathbb{R}^n$ .

Let  $C$  be a curve in  $\mathbb{R}^n$ ,  $n \geq 3$ , parametrized by the  $C^3$  function  $f$  on  $(a, b)$  with nonvanishing  $f'$ . It is well-known that for each  $t_0 \in (a, b)$  on  $C$ , there is a  $C^\infty$  function  $g : (a, b) \rightarrow \mathbb{R}^n$  and a 2-sphere  $K(t_0)$  (the osculating 2-sphere, which can degenerate into a plane; see, e.g., [L]) such that

$$g((a, b)) \subset K(t_0)$$

and

$$(2.3) \quad f(t) = g(t) + o(|t - t_0|^3), \quad t \rightarrow t_0.$$

By regarding  $K(t_0)$  as  $\mathbb{C}$  via a stereographic projection, one can identify  $g$  with a  $\phi : (a, b) \rightarrow \mathbb{C}$ , for which the expression  $S\phi = (\phi''/\phi')' - (1/2)(\phi''/\phi')^2$  of Section 2 is meaningful. In the case of a nondegenerate osculating sphere, one can take the vector from the point of contact to the center as  $(0, 0, R)$ ,  $R > 0$ , and give to the tangent plane, our  $\mathbb{C}$ , its usual (to be referred to as "canonical" below) orientation as  $\mathbb{C} = \mathbb{R}^2 \subset \mathbb{R}^3$ . At points at which the osculating sphere degenerates to a plane, however, there is no canonical orientation for this plane, nor is there any canonical copy of  $\mathbb{R}^3$  containing this plane. To circumvent this inherent ambiguity, we shall define  $Sf(t_0)$  to be  $S\phi(t_0)$  or  $\overline{S\phi(t_0)}$ , whichever one has a nonnegative imaginary part. Indeed, this is consistent with the cross-ratio  $(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  of  $\vec{a}, \vec{b}, \vec{c}, \vec{d} \in \mathbb{R}^n$  as defined by Ahlfors in [Ah]: any given four points are always contained in a (possibly degenerate) 2-sphere  $K$ . One regards  $K$  as  $\mathbb{C}$ , calculates the usual cross-ratio  $k$ , and gives to  $(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  the value  $k$  or  $\bar{k}$ , whichever has a nonnegative imaginary part.

We show that  $Sf(t_0) = S_1 f(t_0) + i|S_2 f(t_0)|$ , thereby justifying the contention that the single complex number  $Sf(t_0)$  embodies all of the information carried by Ahlfors' 2-part Schwarzian. We first consider the case of a nondegenerate osculating sphere. First of all, it is clear that both  $S_1 f(t_0)$  and  $|S_2 f(t_0)|$  remain unchanged when  $f$  is replaced by  $\rho U f + \vec{c}$ , where  $\rho \in \mathbb{R} \setminus \{0\}$ ,  $U$  is a proper orthogonal transformation of  $\mathbb{R}^n$ , and  $\vec{c} \in \mathbb{R}^n$  is a constant. Thus we may limit ourselves to the case in

which  $K(t_0)$  is the 2-sphere contained in  $\mathbb{R}^3 = \{(x_1, x_2, x_3, 0, \dots, 0) : x_1, x_2, x_3 \in \mathbb{R}\}$  with center at  $(0, 0, 1)$ . We denote by

$$P(x + iy) = \left( \frac{2x}{1 + x^2 + y^2}, \frac{2y}{1 + x^2 + y^2}, 1 - \frac{2}{1 + x^2 + y^2} \right)$$

the usual stereographic projection of  $\mathbb{C}$  onto the sphere in  $\mathbb{R}^3$ . In  $\mathbb{R}^3$  the components of  $\vec{a} \wedge \vec{b}$  are effectively those of  $\vec{a} \times \vec{b}$ . Let  $h(t) = x(t) + iy(t)$ , with  $h(0) = 0$ . A straightforward, somewhat tedious calculation shows that

$$(2.4) \quad S_1(P \circ h)(0) = \Re\{\mathcal{S}h(0)\}$$

and

$$(2.5) \quad S_2(P \circ h)(0) = (0, 0, \Im\{\mathcal{S}h(0)\}).$$

In fact, these relations can be easily verified with any symbolic manipulation program, such as Maple or Mathematica, or even on a TI-92 calculator, since one can limit consideration to the case that  $x$  and  $y$  are cubic polynomials in  $t$ . From this the desired relation,  $\mathcal{S}f(t_0) = S_1f(t_0) + i|S_2f(t_0)|$ , follows immediately. In the case that the osculating sphere degenerates to a plane, by appropriate choices of  $\rho, U$  and  $\vec{c}$ , we can arrange for this plane to be  $\mathbb{R}^2 = \{(x_1, x_2, 0, \dots, 0) : x_1, x_2 \in \mathbb{R}\}$ . Relations (2.4) and (2.5) again follow either by a limit argument or by direct calculation. We stress that in both cases the exact choice of  $g$  is irrelevant since, in light of (2.3), only derivatives of order up to 3 enter into the calculations.

**Theorem A.** Let  $f : (a, b) \rightarrow \mathbb{R}^n$  be a  $C^3$  curve with nowhere vanishing  $f'$ .

(a) For any Möbius transformation  $T$  of  $\mathbb{R}^n$ ,  $\mathcal{S}(T \circ f) = \mathcal{S}f$ .

$$(b) \quad (f(t_0 + t\alpha), f(t_0 + t\beta), f(t_0 + t\gamma), f(t_0 + t\delta)) \\ = (\alpha, \beta, \gamma, \delta) \left[ 1 + \frac{1}{6}(\alpha - \beta)(\gamma - \delta)\mathcal{S}^*f(t_0)t^2 \right] + o(t^2), \text{ as } t \rightarrow 0,$$

where  $\mathcal{S}^*f$  is  $\mathcal{S}f$  or its conjugate according to whether  $(\alpha, \beta, \gamma, \delta)(\alpha - \beta)(\gamma - \delta)$  is nonnegative or not.

**Comment.** Conclusion (i) implies that  $\mathcal{S}f$  has meaning for  $C^3$  mappings  $f : (a, b) \rightarrow \mathbb{R}^n \cup \{\infty\} = \mathbb{S}^n \subset \mathbb{R}^{n+1}$ . Conclusion (ii) extends a similar relation involving Ahlfors'  $S_1f = \Re\{\mathcal{S}f\}$ .

*Proof.* (a) For  $t_0 \in (a, b)$  let  $K(t_0)$  be the corresponding osculating sphere and let  $g = g(t)$  be as in (2.3). The Möbius transformation  $T$  will carry  $K(t_0)$  onto the osculating 2-sphere of  $T \circ f$  at  $T \circ f(t_0)$ , at which point this curve has contact of order 3 with  $T \circ g$ . According to our definition,  $\mathcal{S}f(t_0)$  and  $\mathcal{S}(T \circ f)(t_0)$  are interpreted as complex numbers after stereographically projecting the respective curves  $g$  and  $T \circ g$  onto the complex plane. Because  $T$  is Möbius, it is clear that the two stereographic projections are related by a planar Möbius mapping, which will preserve the Schwarzian as defined.

(b) To show this, observe that the relevant terms in the expansion considered will remain unchanged if we replace  $f$  by  $g$ . After a suitable stereographic projection of the curve given by  $g$ , we can assume that we are working in  $\mathbb{C}$ . This formula is valid with  $f$  replaced by  $g$  and  $\mathcal{S}^*f$  by  $\mathcal{S}g$ . The desired conclusion now follows by replacing the imaginary parts on both sides by their absolute values.

Going back to relations (2.1) and (2.2),  $S_1f$  and  $S_2f$  can be written in terms of the geometry of the trace of  $f$ . We write

$$f' = v\hat{t} \quad \text{and} \quad f'' = v'\hat{t} + v^2k\hat{n},$$

where  $v > 0$  and  $\hat{t}, \hat{n}$  are the unit tangent and normal vectors. A third differentiation gives

$$f''' = v''\hat{t} + vv'k\hat{n} + 2vv'k\hat{n} + v^2k'\hat{n} + v^2k\hat{n}'.$$

Since  $\hat{n}$  is a unit vector,  $\langle \hat{n}', \hat{n} \rangle = 0$ , and upon differentiating  $\langle \hat{t}, \hat{n} \rangle = 0$  we see that the component of  $\hat{n}'$  in the direction of  $\hat{t}$  must equal  $-vk$ . Thus the equation

$$\hat{n}' = -vk\hat{t} + v\tau\hat{b}$$

defines both the binormal vector  $\hat{b}$  and the torsion  $\tau$ . From this we obtain

$$f''' = (v'' - v^3k^2)\hat{t} + (3vv'k + v^2k')\hat{n} + v^3k\tau\hat{b},$$

so that

$$S_1f = \frac{v'' - v^3k^2}{v} - 3\frac{(v')^2}{v^2} + \frac{3}{2}\frac{(v')^2 + v^4k^2}{v^2} = \left(\frac{v'}{v}\right)' - \frac{1}{2}\left(\frac{v'}{v}\right)^2 + \frac{1}{2}v^2k^2.$$

Thus, if  $s(x)$  denotes arc length, then

$$(2.6) \quad S_1f = Ss(x) + \frac{1}{2}v^2k^2.$$

Although it will not be used in the sequel, we derive a corresponding formula for  $S_2f$ . It follows from the expressions given above for  $f', f''$  and  $f'''$  that

$$f' \wedge f'' = v^3k(\hat{t} \wedge \hat{n}) \quad \text{and} \quad f' \wedge f''' = v^2(3v'k + vk')(\hat{t} \wedge \hat{n}) + v^4k\tau(\hat{t} \wedge \hat{b}).$$

A computation gives that

$$\langle \vec{a} \wedge \vec{b}, \vec{a} \wedge \vec{c} \rangle = |\vec{a}|^2 \langle \vec{b}, \vec{c} \rangle - \langle \vec{a}, \vec{b} \rangle \langle \vec{a}, \vec{c} \rangle,$$

which implies that in the  $(n(n - 1)/2)$ -dimensional space,  $\hat{t} \wedge \hat{n}$  and  $\hat{t} \wedge \hat{b}$  are orthonormal. With this we now write

$$S_2f = (3v'k + vk')(\hat{t} \wedge \hat{n}) + v^2k\tau(\hat{t} \wedge \hat{b}) - 3v'k(\hat{t} \wedge \hat{n}) = vk'(\hat{t} \wedge \hat{n}) + v^2k\tau(\hat{t} \wedge \hat{b}).$$

### 3. INJECTIVITY CRITERIA AND EXTENDIBILITY

In several places in the proofs to follow, we make use of the classical Sturm comparison theorem, which we state here for reference.

**Theorem.** *Let  $u, v$  be positive functions on  $(a, b)$  which satisfy  $u'' + pu = 0$ ,  $v'' + qv = 0$ , where  $p \leq q$ , and  $u(x_0) = v(x_0)$ ,  $u'(x_0) = v'(x_0)$  for some  $x_0 \in (a, b)$ . Then  $u \geq v$  on  $(a, b)$ .*

For convenience, we use Ahlfors' original notation  $S_1f$  for  $\Re\{Sf\}$ .

**Theorem B.** *Let  $P = P(x)$  be a continuous function defined on  $(-1, 1)$  with the property that no nontrivial solution  $u$  of  $u'' + Pu = 0$  has more than one zero. Let  $f : (-1, 1) \rightarrow \mathbb{R}^n \cup \{\infty\}$  be a curve of class  $C^3$  with nowhere vanishing  $f'$ . If  $S_1f(x) \leq 2P(x)$  on  $(-1, 1)$ , then  $f$  is one-to-one.*

*Proof.* If not, then  $f(x_1) = f(x_2)$  for  $x_1 < x_2$  in  $(-1, 1)$ , where  $f$  is one-to-one on  $[x_1, x_2]$ . Let  $g = T \circ f$  be a Möbius transformation of  $f$  that takes  $f(x_1)$  to the point at infinity, and let  $v = |g'|^{-1/2}$ . Then  $v$  is regular in the open interval  $(x_1, x_2)$ , and a simple calculation shows that  $v'' + qv = 0$ , where

$$(3.1) \quad 2q = \frac{\langle g', g''' \rangle}{|g'|^2} + \frac{|g''|^2}{|g'|^2} - \frac{5 \langle g', g'' \rangle^2}{2 |g'|^4} = S_1 g - \frac{1}{2} \left( \frac{|g''|^2}{|g'|^2} - \frac{\langle g', g'' \rangle^2}{|g'|^4} \right) \leq S_1 f,$$

hence  $q \leq P$ . A suitable solution  $U_1$  of  $U'' + PU = 0$  coincides with  $v$  to first order at some point  $x_0 \in (x_1, x_2)$ , so that by the Sturm comparison theorem,  $v(x) \geq U_1(x)$  on the interval containing  $x_0$  where  $U_1(x) \geq 0$ . Since by hypothesis  $U_1$  has at most one zero in the interval  $(-1, 1)$ , we conclude that  $v$  has a positive lower bound in a neighborhood of either  $x_1$  or  $x_2$ . But then  $|g'|$  will be bounded above in that neighborhood, making it impossible for  $g$  to become infinite there.

In light of (2.6) we have

**Corollary C.** *Let  $P$  be as in the previous theorem and let  $f : (-1, 1) \rightarrow \mathbb{R}^n$  be an arclength parametrized curve with geodesic curvature  $k$ . If  $k^2(s) \leq 4P(s)$  on  $(-1, 1)$ , then  $f$  is one-to-one.*

Interesting examples such as

$$P(x) = \frac{\pi^2}{4}, \frac{1}{(1-x^2)^2}, \frac{2}{1-x^2},$$

can be obtained from conditions for univalence of analytic functions in the disk  $\mathbb{D} = \{|z| < 1\}$ . For these choices the criteria  $|Sf(z)| \leq 2P(|z|)$  in  $\mathbb{D}$  admit extremal functions that are unique up to Möbius transformations and which map the interval  $[-1, 1]$  onto a closed curve. We shall show that no new extremal functions appear for these criteria in the context of curves in  $\mathbb{R}^n$ . Although not necessary, to make the discussion of this point as simple as possible, we will assume that  $P(x)$  is an even function. This implies that the solution  $U_0$  of  $U'' + PU = 0$  with initial conditions  $U_0(0) = 1, U_0'(0) = 0$  is also even, and hence can have no zeros on  $(-1, 1)$  since otherwise it would have at least two. We define

$$F(x) = \int_0^x U_0^{-2}(t) dt,$$

so that  $F$  is odd and satisfies  $SF = 2P, F(0) = 0, F'(0) = 1, F''(0) = 0$ . When we regard  $F$  as a mapping of  $(-1, 1)$  into  $\mathbb{R} \subset \mathbb{R}^n \cup \{\infty\}$ , the mappings  $T \circ F$  with  $T$  Möbius are precisely those that manifest extremal behavior. More precisely, we have

**Theorem D.** *Let  $f : (-1, 1) \rightarrow \mathbb{R}^n \cup \{\infty\}$  satisfy  $f(0) = 0, |f'(0)| = 1, f''(0) = 0$  and suppose that  $S_1 f(x) \leq 2P(x)$ . Let  $P$  be as in Theorem B, and in addition be even. Then*

- (a)  $|f'(x)| \leq F'(|x|)$  on  $(-1, 1)$  and  $f$  admits a (spherically) continuous extension to  $[-1, 1]$ .
- (b) If  $F(1) < \infty$ , then  $f$  is one-to-one on  $[-1, 1]$  and  $f([-1, 1])$  has finite length.
- (c) If  $F(1) = \infty$ , then either  $f$  is one-to-one on  $[-1, 1]$  or, up to rotation,  $f = F$ .

*Proof.* It is not difficult to see that the normalization assumed in the statement can always be achieved by composing  $f$  with a suitable Möbius transformation. Indeed, if we map the osculating sphere of  $f$  at  $f(0)$  onto a 2-dimensional subspace

$\mathbb{R}^2$  (regarded as  $\mathbb{C}$ ) with a Möbius transformation  $T$ , then, after replacing  $f$  by  $T \circ f$ , we can regard  $f(0), f'(0)$ , and  $f''(0)$  as complex numbers. After suitable translation, rotation and dilation, we can then obtain  $f(0) = 0, f'(0) = 1$ , and  $f''(0) = 2\alpha$ . Composition of the extension to  $\mathbb{R}^n$  of the Möbius map  $z/(1 + \alpha z)$  of the plane with this  $f$  results in one with the desired properties. Again let  $v = |f'|^{-1/2}$ . As pointed out in the proof of Theorem B,  $v'' + qv = 0$  for some  $q \leq P$ , and because of the normalization of  $f$ ,  $v(0) = 1, v'(0) = 0$ . Thus the Sturm comparison theorem implies that  $v(x) \geq U_0(x)$ , so that  $|f'(x)| \leq F'(|x|)$ .

If  $F(1) < \infty$ , then both integrals

$$\int_0^1 |f'(x)| dx \quad , \quad \int_{-1}^0 |f'(x)| dx$$

are finite, which implies that  $f$  admits a continuous extension to  $[-1, 1]$  and that  $f([-1, 1])$  has finite length.

Suppose that  $F(1) = \infty$ , and let  $G(y) = F^{-1}(y)$ ,  $-\infty < y < \infty$ . We consider the function

$$(3.2) \quad w(y) = \left(\frac{v}{U_0}\right)(G(y)).$$

Since  $G'(y) = U_0^2(G(y))$ , it follows easily that

$$w'' = (P - q)U_0^4 w,$$

where  $P, q, U_0$  are evaluated at  $G(y)$ . Also,  $w(0) = 1, w'(0) = 0$ . Because  $2q \leq S_1 f \leq 2P$ ,  $w$  is convex. We claim that on each of the half-intervals  $(-1, 0]$  and  $[0, 1)$ , either  $f = F$  (up to rotation), or else  $f$  can be extended to the endpoint so that the image of that half has finite length. The analysis being the same for each half, we consider  $[0, 1)$ . If  $q < P$  at a single point, then  $w(y) \geq ay + b, a > 0$  for all large  $y$ . Hence for  $x$  close to 1

$$(3.3) \quad |f'(x)| = v^{-2}(x) \leq \frac{U_0^{-2}(x)}{(aF(x) + b)^2} = \frac{F'(x)}{(aF(x) + b)^2} = -\frac{1}{a} \frac{d}{dx} \left( \frac{1}{aF(x) + b} \right),$$

which implies that  $\int_0^1 |f'| dx < \infty$ , so that  $f([0, 1))$  once again has finite length, and  $f$  admits a continuous extension to  $[0, 1]$ . On the other hand, it follows from (3.1) that  $q \equiv P$  on  $[0, 1)$  only if  $S_1 f = 2P$  and  $f', f''$  are linearly dependent. But then  $f$  maps that half onto a line, and because of the normalization at the origin and the fact that  $S_1 f = P$  it follows that, up to a rotation,  $f = F$ , and again we have a spherically continuous extension. This completes the proof of (a).

It remains only to show that this continuous extension to  $[-1, 1]$  is injective except in the case of (c) when  $f$  coincides with the extremal  $F$  on the entire interval. If  $f$  is not one-to-one, then either  $f(1) = f(-1)$  or there exists  $x_0 \in (-1, 1)$  such that  $f(x_0)$  equals, say  $f(1)$  (the case  $f(x_0) = f(-1)$  being the same except for notational details). Thus, in either case there exists  $x_0 \in [-1, 1)$  such that  $f(x_0) = f(1)$  and  $f$  is one-to-one on  $[x_0, 1)$ . Let  $T$  once again be a Möbius transformation such that  $g = T \circ f$  satisfies  $g(1) = \infty$ . Then  $v = |g'|^{-1/2}$  is regular on  $(x_0, 1)$  and satisfies  $v'' + qv = 0$ , where  $2q \leq S_1 f \leq 2P$  as in (3.1). It is easily verified that the general solution of  $U'' + PU = 0$  is  $\alpha U_0 + \beta U_0 F = (\alpha + \beta F)U_0$ . Let  $c = (1 + x_0)/2$ .

If we choose  $a, b$  such that  $v(c), v'(c)$  coincide with the corresponding values for  $(a + bF)U_0$ , then by Sturm comparison,  $v \geq (a + bF)U_0$  on any subinterval of  $(x_0, 1)$  containing  $c$  on which  $(a + bF)U_0$  is positive. Since  $F$  is increasing and  $a + bF(c) = v(c)/U_0(c) > 0$ ,  $a + bF$  will have to be positive on at least one of  $(x_0, c)$  or  $(c, 1)$ . Then on this interval

$$|g'| \leq \frac{1}{(a + bF)^2 U_0^2} = \frac{F'}{(a + bF)^2},$$

so that we will have  $\int_c^1 |g'| dx < \infty$  or  $\int_{x_0}^c |g'| dx < \infty$  (contradicting of the fact that  $g(x_0) = g(1) = \infty$ ), unless  $b = 0, x_0 = -1$  and  $F(1) = F(-1) = \infty$ . Since in this case  $g(-1) = g(1) = \infty$ , we can replace  $g$  by a multiple of it so that  $v(0) = 1$  (and  $v'(0) = 0$ ). We again consider the convex function  $w$  defined in (3.2), and recall that the analysis leading to (3.3) shows that  $g$  cannot be infinite at both 1 and  $-1$  unless  $S_1 g = 2P$  and  $g((-1, 1))$  is a straight line. Because  $g = T \circ f$  and  $f(0) = 0, |f'(0)| = F'(0)$ , and  $f''(0) = F''(0)$ , it is clear that  $f$  is a rotation of  $F$ .

#### 4. FINAL COMMENTS

1. The situation considered in part (b) of Theorem D is essentially the case of a nonsharp univalence criterion. More precisely, it can be shown in this case that when  $(1 - x^2)^2 P(x)$  is nonincreasing there exists  $\lambda > 1$  such that  $S_1 f \leq 2\lambda P$  still implies injectivity [Ch]. We also point out that Theorem D is a curve analogue of a theorem of Gehring and Pommerenke [Ge-Po].

2. The Schwarzian for curves as presented in Section 2 makes sense for  $C^3$  curves in a Hilbert space of arbitrary dimension, since the osculating sphere remains meaningful in that context. Indeed, the normalizing procedures, as well as the inversion operation taking a point to infinity, used in the proofs are well-defined and continue to leave the Schwarzian unaltered. For this reason, Theorems A, B, C, and D carry over verbatim.

3. Since, as indicated in the Introduction, injectivity for curves based on bounds on  $Sf$  translates into injectivity for conformal mappings, Theorem B should have a counterpart for  $F : D \rightarrow \mathbb{R}^n$ , for appropriate domains  $D \subset \mathbb{R}^n$ , and indeed it does, if one is content with bounds on  $SF$  calculated in all directions. It is easy to see, for example, how this would work for convex  $D$ , in which case an optimal bound would be  $2\pi^2/(\text{diam } D)^2$ . It would be nice, however, to find a more elegant statement to this effect, based perhaps on a bound for a single expression involving partial derivatives of order up to 3 of  $F$ .

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