

## FIXED POINT FORMULA FOR HOLOMORPHIC FUNCTIONS

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ABSTRACT. We show a Lefschetz fixed point formula for holomorphic functions in a bounded domain  $\mathcal{D}$  with smooth boundary in the complex plane. To introduce the Lefschetz number for a holomorphic map of  $\mathcal{D}$ , we make use of the Bergman kernel of this domain. The Lefschetz number is proved to be the sum of the usual contributions of fixed points of the map in  $\mathcal{D}$  and contributions of boundary fixed points, these latter being different for attracting and repulsing fixed points.

### 1. INTRODUCTION

Let  $\mathcal{D}$  be a bounded domain with smooth boundary in the complex plane  $\mathbb{C}$ , and let  $f$  be a holomorphic map of  $\mathcal{D}$  that is  $C^\infty$  up to the boundary of  $\mathcal{D}$ . The pull-back operator  $f^*$  on differential forms preserves the bidegree and commutes with the Cauchy-Riemann operator  $\bar{\partial}$ . Hence it induces a homomorphism  $Hf^*$  of the cohomology of the complex

$$(1.1) \quad 0 \longrightarrow \mathcal{E}^0(\overline{\mathcal{D}}) \xrightarrow{\bar{\partial}} \mathcal{E}^1(\overline{\mathcal{D}}) \longrightarrow 0,$$

where  $\mathcal{E}^q(\overline{\mathcal{D}})$  stands for the space of all  $(0, q)$ -forms in  $\mathcal{D}$  with coefficients smooth up to the boundary,  $q = 0, 1$ .

The cohomology of (1.1) at step  $q = 0$  just amounts to the space  $\mathcal{A}(\overline{\mathcal{D}})$  of holomorphic functions in  $\mathcal{D}$  that are  $C^\infty$  up to the boundary. This space is infinite-dimensional. On the other hand, the cohomology of (1.1) at step  $q = 1$  vanishes. It follows that the usual definition of the holomorphic Lefschetz number leads to

$$L(f) = \text{Tr } f^*|_{\mathcal{A}(\overline{\mathcal{D}})},$$

the trace of  $f^*$  on  $\mathcal{A}(\overline{\mathcal{D}})$ . Since the space  $\mathcal{A}(\overline{\mathcal{D}})$  is of infinite dimension, this trace fails to be defined for all maps  $f$ . The problem arises of defining a regularised trace of  $f^*$  on the space  $\mathcal{A}(\overline{\mathcal{D}})$ .

To this end one might invoke any right fundamental solution  $\Phi$  of the operator  $\bar{\partial}$  in  $\overline{\mathcal{D}}$ . Then the operator  $\Pi = 1 - \Phi\bar{\partial}$  is a projection in the space  $\mathcal{E}^0(\overline{\mathcal{D}}) = \mathcal{E}(\overline{\mathcal{D}})$  whose range is  $\mathcal{A}(\overline{\mathcal{D}})$ . The kernel  $K_\Pi(\zeta, z)$  of  $\Pi$  is a representation of the Dirac

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functional  $\delta_z(\zeta)$  on the space of holomorphic functions. The regularised trace of  $f^*$  on  $\mathcal{A}(\overline{\mathcal{D}})$  is then defined by

$$(1.2) \quad \text{Tr } f^*|_{\mathcal{A}(\overline{\mathcal{D}})} = \text{p.v.} \int K_{\Pi}(z, f(z)),$$

the principal value referring to fixed points of  $f$  on the boundary of  $\mathcal{D}$ , if there are any.

This agrees on the one hand with the trace of the pull-back operator  $f^*$  on  $\mathcal{A}(\overline{\mathcal{D}})$  for constant maps  $f$  of  $\mathcal{D}$ , i.e.,  $f(z) = w_0 \in \mathcal{D}$ . Indeed, in the latter case both sides of (1.2) are equal to 1. On the other hand, equality (1.2) readily gives the most elementary result of Lefschetz theory. Namely, if  $f$  has no fixed points in  $\overline{\mathcal{D}}$ , then the holomorphic Lefschetz number of  $f$  vanishes, for the integral localises to the set of fixed points.

In this paper we take as  $\Phi$  the right fundamental solution of the Cauchy-Riemann operator  $\bar{\partial}$  given by the Neumann problem for the complex (1.1). At step 1 the latter problem actually reduces to the Dirichlet problem for the Laplace operator in  $\mathcal{D}$ . The kernel  $K_{\Pi}(\zeta, z)$  obtained this way is nothing but the Bergman kernel of the domain  $\mathcal{D}$ . We obtain

**Theorem 1.1.** *Suppose  $f$  is a holomorphic map of  $\mathcal{D}$  that extends smoothly to the closure of  $\mathcal{D}$ . If  $f$  has only isolated fixed points in  $\overline{\mathcal{D}}$ , then the holomorphic Lefschetz number of  $f$  is*

$$L(f) = \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p).$$

The local indices  $\mu(p)$  are infinitesimal invariants of  $f$  at  $p$ . If  $p \in \text{Fix}(f, \mathcal{D})$ , then  $\mu(p)$  coincides with that appearing in the case of compact Riemannian surfaces. Namely,  $\mu(p)$  is the trace of the meromorphic function  $1/(1 - f'(z))$  near  $z = p$  with respect to the map  $z - f(z)$  at 0, cf. §6 in Tsikh [14]. The local indices of boundary fixed points are more artful, cf. §5.

A Lefschetz fixed point formula for closed holomorphic curves was first proved by Eichler in [4]. Atiyah and Bott [1] generalised it to the Dolbeault complex on a compact closed complex manifold. For direct constructions along more classical lines, we refer the reader to Patodi [11], Toledo and Tong [13], et al.

For strictly pseudoconvex domains  $\mathcal{D}$  in  $\mathbb{C}^n$ , a holomorphic Lefschetz formula was proved by Donnelly and Fefferman in [3], working within the framework of  $L^2$ -cohomology of the Bergman metric. Recall that the Bergman metric, whose Kähler potential is given by the Bergman kernel, is a complete Kähler metric on  $\mathcal{D}$ . This actually corresponds to the case of a non-compact closed manifold, and so  $f$  was assumed to have no fixed points on  $\partial\mathcal{D}$ . Our results extend to the higher-dimensional situation, too.

Brenner and Shubin [2] showed a fixed point formula for elliptic boundary value problems in Boutet de Monvel's algebra. Their results do not apply to the Cauchy-Riemann system, for the latter admits no boundary value problem satisfying the Lopatinskii condition.

2. THE NEUMANN PROBLEM

The Neumann problem for complex (1.1) at extreme step 1 consists of finding, for a given  $F \in \mathcal{E}^1(\overline{\mathcal{D}})$ , a differential form  $u \in \mathcal{E}^1(\overline{\mathcal{D}})$  such that

$$(2.1) \quad \begin{aligned} \bar{\partial}\bar{\partial}^*u &= F \quad \text{in } \mathcal{D}, \\ n(u) &= 0 \quad \text{on } \partial\mathcal{D}, \end{aligned}$$

where  $\bar{\partial}^*$  is the formal adjoint for  $\bar{\partial}$ , and  $n(u)$  the complex normal part of  $u$  on the boundary. Write

$$\begin{aligned} F &= F_1(z) d\bar{z}, \\ u &= u_1(z) d\bar{z}; \end{aligned}$$

then problem (2.1) becomes in fact the Dirichlet problem for the function  $u_1(z)$ , namely

$$\begin{aligned} -(1/2)\Delta u_1 &= F_1 \quad \text{in } \mathcal{D}, \\ u_1 &= 0 \quad \text{on } \partial\mathcal{D}. \end{aligned}$$

The latter problem has a unique solution given by

$$u_1(z) = 2i \int_{\mathcal{D}} F_1(\zeta) G(\zeta, z) d\bar{\zeta} \wedge d\zeta$$

for  $z \in \mathcal{D}$ , where  $G(\zeta, z)$  is the Green function of the Dirichlet problem. It has the form

$$(2.2) \quad G(\zeta, z) = \frac{1}{2\pi} \log |\zeta - z| - h(\zeta, z)$$

where  $h(\zeta, z)$  is a smooth function defined away from the boundary diagonal in the product  $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$ . For a fixed  $z \in \mathcal{D}$ , this function is harmonic in  $\zeta \in \mathcal{D}$ , continuous in  $\zeta \in \overline{\mathcal{D}}$ , and satisfies  $h(\zeta, z) = \frac{1}{2\pi} \log |\zeta - z|$  in  $\zeta \in \partial\mathcal{D}$ . This forces it to be symmetric in  $\zeta$  and  $z$ , whence  $G(\zeta, z) = G(z, \zeta)$ . It follows that the Neumann operator at step 1 is

$$(2.3) \quad NF(z) = \int_{\mathcal{D}} F(\zeta) \wedge \left( 2i G(\zeta, z) d\zeta d\bar{z} \right),$$

the integral being over  $\zeta \in \mathcal{D}$ .

The composition  $\Phi = \bar{\partial}^*N$  gives a right fundamental solution of the Cauchy-Riemann operator in  $\overline{\mathcal{D}}$ . Indeed, (2.1) implies at once that  $\bar{\partial}\Phi = 1$  on  $\mathcal{E}^1(\overline{\mathcal{D}})$ , as desired.

**Lemma 2.1.** *When regarded as a map in  $\mathcal{L}(\mathcal{E}^1(\overline{\mathcal{D}}), \mathcal{E}(\overline{\mathcal{D}}))$ , the operator  $\Phi$  has the Schwartz kernel*

$$K_{\Phi}(\zeta, z) = \left( -\frac{1}{2\pi i} \frac{1}{\zeta - z} + 2i \frac{\partial}{\partial z} h(\zeta, z) \right) d\zeta.$$

*Proof.* Since

$$\bar{\partial}^* (u_1(z)d\bar{z}) = -\frac{\partial}{\partial z} u_1(z),$$

it follows from (2.3) that

$$\begin{aligned} \Phi F(z) &= \int_{\mathcal{D}} F(\zeta) \wedge -\frac{\partial}{\partial z} \left( 2i G(\zeta, z) d\zeta \right) \\ &= \int_{\mathcal{D}} F(\zeta) \wedge K_{\Phi}(\zeta, z) \end{aligned}$$

for all  $z \in \mathcal{D}$ . □

Note that the second term in  $K_\Phi(\zeta, z)$  is holomorphic in  $z \in \mathcal{D}$  for any fixed  $\zeta \in \overline{\mathcal{D}}$ .

An exact calculation of the kernel of the  $\bar{\partial}$ -Neumann operator for a strictly pseudoconvex manifold  $\mathcal{D}$  was carried out in the 1980s; cf. [6] and [10].

### 3. AUGMENTED COMPLEX

The operator  $\Pi = 1 - \Phi\bar{\partial}$  belongs to  $\mathcal{L}(\mathcal{E}(\overline{\mathcal{D}}))$  and extends to an orthogonal projection of  $L^2(\mathcal{D})$  onto the subspace of  $L^2(\mathcal{D})$  consisting of holomorphic functions of class  $L^2(\mathcal{D})$ . The Schwartz kernel of  $\Pi$  is just the Bergman kernel of the domain  $\mathcal{D}$ . We next compute it.

**Lemma 3.1.** *When regarded as a map in  $\mathcal{L}(\mathcal{E}(\overline{\mathcal{D}}))$ , the operator  $\Pi$  has the Schwartz kernel*

$$K_\Pi(\zeta, z) = \left( 2i \frac{\partial^2}{\partial \bar{\zeta} \partial z} h(\zeta, z) \right) d\bar{\zeta} \wedge d\zeta.$$

*Proof.* Let  $u \in \mathcal{E}(\overline{\mathcal{D}})$ . Combining Lemma 2.1, the Cauchy-Pompey theorem and Stokes' integral formula, we obtain

$$\Pi u(z) = - \int_{\partial \mathcal{D}} u(\zeta) K_\Phi(\zeta, z) + \int_{\mathcal{D}} u(\zeta) \left( 2i \frac{\partial^2}{\partial \bar{\zeta} \partial z} h(\zeta, z) \right) d\bar{\zeta} \wedge d\zeta$$

for all  $z \in \mathcal{D}$ . The proof of Lemma 2.1 actually shows that

$$K_\Phi(\zeta, z) = - \frac{\partial}{\partial z} \left( 2i G(\zeta, z) d\zeta \right)$$

for  $(\zeta, z) \in \overline{\mathcal{D}} \times \mathcal{D}$ . Since  $G(\zeta, z)$  vanishes whenever  $(\zeta, z) \in \partial \mathcal{D} \times \mathcal{D}$ , so does  $K_\Phi(\zeta, z)$ . Hence the boundary integral in the formula for  $\Pi u$  vanishes, which completes the proof.  $\square$

The operators  $\{\Pi, \Phi\}$  fit together to give a parametrix of the so-called augmented complex

$$(3.1) \quad 0 \longrightarrow \mathcal{A}(\overline{\mathcal{D}}) \xrightarrow{i} \mathcal{E}(\overline{\mathcal{D}}) \xrightarrow{\bar{\partial}} \mathcal{E}^1(\overline{\mathcal{D}}) \longrightarrow 0,$$

where  $i$  stands for the embedding operator. This means that they satisfy the fundamental equation

$$(3.2) \quad \begin{aligned} \Pi i &= 1 - S_{-1} && \text{on } \mathcal{A}(\overline{\mathcal{D}}), \\ i\Pi + \Phi\bar{\partial} &= 1 - S_0 && \text{on } \mathcal{E}(\overline{\mathcal{D}}), \\ \bar{\partial}\Phi &= 1 - S_1 && \text{on } \mathcal{E}^1(\overline{\mathcal{D}}) \end{aligned}$$

up to operators  $S_{-1}$ ,  $S_0$  and  $S_1$  with smooth kernels on  $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$ . In fact, the operators  $S_0$  and  $S_{-1}$  vanish by the very construction, while  $S_1$  vanishes on the range of  $\bar{\partial}$ , i.e.,  $\bar{\partial}\mathcal{E}(\overline{\mathcal{D}})$ .

The pull-back operator  $f^*$  defines an endomorphism of (3.1), too, inducing a homomorphism of the cohomology of (3.1). Since this cohomology is finite-dimensional, the Lefschetz number of the latter homomorphism is well defined. We denote it by  $L_p(f)$ , the sub “ $p$ ” indicating “partial”, for the cohomology of (3.1) at steps  $-1$  and  $0$  is zero.

4. LEFSCHETZ NUMBER

By the above, the total holomorphic Lefschetz number is

$$(4.1) \quad L(f) = \text{Tr } f^*|_{\mathcal{A}(\overline{\mathcal{D}})} + L_p(f),$$

the first term on the right-hand side being the regularised trace (1.2). We first evaluate the partial Lefschetz number  $L_p(f)$ .

**Lemma 4.1.** *Suppose  $f$  is a holomorphic map of  $\mathcal{D}$ , smooth up to the boundary and having only isolated fixed points in  $\overline{\mathcal{D}}$ . Then*

$$L_p(f) = -\text{p.v.} \int_{\mathcal{D}} \Delta^*(1 \times f)^* K_{\Pi} - \text{p.v.} \int_{\mathcal{D}} d\varphi(\Phi),$$

where  $\Delta$  is the diagonal map  $\overline{\mathcal{D}} \rightarrow \overline{\mathcal{D}} \times \overline{\mathcal{D}}$ , and  $\varphi(\Phi) = -\Delta^*(1 \times f)^* K_{\Phi}$  is a smooth  $(1, 0)$ -form away from the set of fixed points of  $f$  in the closure of  $\mathcal{D}$ .

*Proof.* Applying  $f^*$  to both sides of (3.2), we conclude that the endomorphisms  $f^*$  and  $f^*S$  of (3.1) are homotopic. Hence it follows that  $L_p(f) = L_p(f^*S)$ . Since the endomorphism  $f^*S$  is smoothing, the alternating sum formula readily yields  $L_p(f) = -\text{Tr } f^*S_1$ , for  $S_{-1} = S_0 = 0$ . We now use the fundamental equation (3.2) once again, taking into account that the kernel of the identity operator is supported on the diagonal of  $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$ . This gives

$$\begin{aligned} L_p(f) &= \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \setminus U_\varepsilon} \Delta^*(1 \times f)^* K_{-S_1} \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \setminus U_\varepsilon} \Delta^*(1 \times f)^* K_{\overline{\partial}\Phi}, \end{aligned}$$

where  $U_\varepsilon$  is an  $\varepsilon$ -neighbourhood of the set of fixed points of  $f$  in  $\overline{\mathcal{D}}$ . This establishes the formula, because  $\Delta^*(1 \times f)^* K_{\overline{\partial}\Phi}$  coincides with  $-\Delta^*(1 \times f)^* K_{\Pi} - d\varphi(\Phi)$  away from the set  $\text{Fix}(f, \overline{\mathcal{D}})$ . □

Lemma 4.1 gives some suggestive evidence for defining the regularised trace of  $f^*$  on holomorphic functions by (1.2). Indeed, from (4.1) and the lemma it follows that

$$(4.2) \quad L(f) = -\text{p.v.} \int_{\mathcal{D}} d\varphi(\Phi),$$

the formula looking like that for the case of compact closed manifolds; cf. Theorem 6.2.15 in [12].

5. LOCAL INDICES

Given a point  $p \in \text{Fix}(f, \overline{\mathcal{D}})$ , we write  $U(p, \varepsilon)$  for the disk with centre  $p$  and radius  $\varepsilon > 0$  in  $\mathbb{C}$ . By (4.2) and Stokes' formula, we get

$$(5.1) \quad L(f) = -\text{p.v.} \int_{\partial\mathcal{D}} \varphi(\Phi) + \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p),$$

where

$$(5.2) \quad \mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \cap \partial U(p, \varepsilon)} \varphi(\Phi)$$

is an infinitesimal invariant of  $f$  at  $p$ . Note that in general  $\mu(p)$  is a complex number, and not an integer.

**Lemma 5.1.** *Assume that  $p \in \mathcal{D}$  is an isolated fixed point of  $f$ . If  $\varepsilon > 0$  is small enough, then*

$$\mu(p) = \int_{\partial U(p,\varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)}.$$

*Proof.* Combining formula (5.2) and Lemma 2.1, we obtain

$$\mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{\partial U(p,\varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} - \lim_{\varepsilon \rightarrow 0} \int_{\partial U(p,\varepsilon)} 2i h'_z(z, f(z)) dz,$$

$h'_z(\zeta, z)$  meaning the derivative of  $h(\zeta, z)$  in  $z$ . The differential form in the first integral on the right-hand side is closed in a small punctured neighbourhood of the fixed point  $p$ . Hence the integral does not depend on  $\varepsilon$ , provided that  $\varepsilon > 0$  is sufficiently small. On the other hand, the differential form in the second integral on the right-hand side is smooth in a neighbourhood of  $p$ , for  $h(\zeta, z)$  is smooth in the product  $\mathcal{D} \times \mathcal{D}$ . It follows that the second limit is equal to zero, which establishes the formula.  $\square$

In particular, if  $p \in \mathcal{D}$  is a simple fixed point of  $f$ , i.e.,  $f'(p) \neq 1$ , then

$$(5.3) \quad \mu(p) = \frac{1}{1 - f'(p)},$$

as is easy to check by the Cauchy formula. In the general case the integral is evaluated by residue theory.

For boundary fixed points of  $f$  the computation of the local index  $\mu(p)$  is much more subtle. We will touch only the case of simple fixed points of  $f$  on the boundary.

Brenner and Shubin [2] specified attracting and repulsing simple fixed points of  $f$  on the boundary. Each simple point  $p \in \text{Fix}(f, \partial\mathcal{D})$  is either attracting or repulsing. The contribution of an attracting point  $p \in \text{Fix}(f, \partial\mathcal{D})$  to the Lefschetz number  $L(f)$  amounts to that of any interior simple fixed point, cf. (5.3), while the repulsing points do not contribute to the Lefschetz number at all. In the non-elliptic case the specification is more tricky. A boundary fixed point  $p$  of  $f$  is said to be attracting if  $|f'(p)| < 1$ , and repulsing if  $|f'(p)| > 1$ . Once again each simple fixed point  $p$  of  $f$  on the boundary of  $\mathcal{D}$  is either attracting or repulsing. Indeed, if  $|f'(p)| = 1$ , then close to  $p$  the map  $f$  reduces to a rotation around  $p$ , and so it cannot keep  $\mathcal{D}$  invariant.

**Lemma 5.2.** *Assume that  $p \in \partial\mathcal{D}$  is a simple fixed point of  $f$ . Then*

$$\mu(p) = \begin{cases} \frac{1}{2} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p)} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is repulsing.} \end{cases}$$

It is worth pointing out that the contribution of a repulsing fixed point is still smaller in absolute value than the contribution of an attracting fixed point.

*Proof.* The proof follows from calculations of §7 and a familiar construction of the Green function for planar domains  $\mathcal{D}$ . Namely, given a point  $z \in \mathcal{D}$ , let  $C(\zeta, z)$  be a conformal map of  $\mathcal{D}$  onto the unit disk with centre at 0 in  $\mathbb{C}$ , such that  $C(z, z) = 0$ . Then

$$G(\zeta, z) = \frac{1}{2\pi} \log |C(\zeta, z)|$$

is the Green function of  $\mathcal{D}$ .  $\square$

6. HOLOMORPHIC LEFSCHETZ FORMULA

We are now in a position to formulate our fixed point theorem, which makes Theorem 1.1 of the Introduction more precise.

**Theorem 6.1.** *Suppose  $f$  is a holomorphic map of  $\mathcal{D}$  that extends smoothly to the closure of  $\mathcal{D}$ . If  $f$  has only isolated fixed points in  $\overline{\mathcal{D}}$ , then the holomorphic Lefschetz number of  $f$  is*

$$L(f) = \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p),$$

the local indices  $\mu(p)$  being infinitesimal invariants of  $f$  at  $p$  given by formula (5.2).

*Proof.* By (5.1) it suffices to show that the integral

$$-\text{p.v.} \int_{\partial \mathcal{D}} \varphi(\Phi) = \lim_{\varepsilon \rightarrow 0} \int_{\partial \mathcal{D} \setminus \cup_{p \in \text{Fix}(f, \partial \mathcal{D})} U(p, \varepsilon)} K_{\Phi}(z, f(z))$$

is equal to zero. As has been mentioned in the proof of Lemma 3.1, the kernel  $K_{\Phi}(\zeta, z)$  vanishes for all  $(\zeta, z) \in \partial \mathcal{D} \times \mathcal{D}$ . Since this kernel is actually  $C^{\infty}$  away from the diagonal in the product  $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$ , we deduce at once that  $K_{\Phi}(z, f(z))$  vanishes for all  $z$  away from the set of fixed points of  $f$  on the boundary. This finishes the proof.  $\square$

Theorem 6.1 extends obviously to the Dolbeault complex on a strictly pseudoconvex domain in  $\mathbb{C}^n$ , thus implying the fixed point formula of [3] as a highly special case. But we will not develop this point here.

7. AUTOMORPHISMS OF THE UNIT DISK

Let  $\mathcal{D} = U$  be the unit disk centered at the origin in the complex plane. Then the Green function is

$$G(\zeta, z) = \frac{1}{2\pi} \log |\zeta - z| - \frac{1}{2\pi} \log |1 - \bar{\zeta}z|;$$

cf. (2.2). An easy computation shows that

$$-K_{\Phi}(\zeta, z) = \left( \frac{1}{2\pi i} \frac{1}{\zeta - z} - \frac{1}{2\pi i} \frac{\bar{\zeta}}{1 - \zeta z} \right) d\zeta$$

for  $(\zeta, z)$  away from the diagonal in  $\overline{U} \times \overline{U}$ .

By (5.2), we get

$$(7.1) \quad \mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} - \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z}f(z)}$$

if  $p \in \overline{U}$  is an isolated fixed point of  $f$ . We restrict our discussion to the case  $p \in \partial U$ , for the local index of interior fixed points  $p \in U$  is computed in Lemma 5.1.

The first limit on the right-hand side of (7.1) is standard in the theory of the Cauchy integral, unless  $p$  fails to be simple. This is

$$(7.2) \quad \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} = \frac{1}{2} \frac{1}{1 - f'(p)}.$$

To evaluate the second limit on the right-hand side of (7.1), we use the Taylor formula to write

$$f(z) = p + f'(p)(z - p) + o(|z - p|)$$

in a small neighbourhood of  $p$ . After changing the variables  $z - p = \varepsilon p \zeta$  with  $|\zeta| = 1$ , we get

$$\begin{aligned} -\lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z} f(z)} &= \lim_{\varepsilon \rightarrow 0} \int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{(1 + \varepsilon \bar{\zeta}) d\zeta}{f'(p)\zeta + \bar{\zeta} + \varepsilon f'(p) + o(\varepsilon)} \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{(\zeta + \varepsilon) d\zeta}{f'(p)\zeta^2 + 1 + \varepsilon f'(p)\zeta + o(\varepsilon)}, \end{aligned}$$

for the boundary of  $U$  is smooth at  $p$ . If  $|f'(p)| \neq 1$ , then we can pass to the limit under the integral sign, thus obtaining

$$\int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{\zeta d\zeta}{f'(p)\zeta^2 + 1} = \frac{1}{2} \int_{\zeta \in \partial U} \frac{1}{2\pi i} \frac{\zeta d\zeta}{f'(p)\zeta^2 + 1},$$

the last equality being a consequence of the invariance of the differential form under the transformation  $\zeta \mapsto -\zeta$ .

In the case  $|f'(p)| < 1$  the polynomial  $f'(p)\zeta^2 + 1$  is different from zero in the closure of  $U$ . Hence the latter integral vanishes by the Cauchy theorem.

For  $|f'(p)| > 1$  the integral in question is easily evaluated by the residue theorem. It is equal to  $1/(2f'(p))$ . Summarising, we have

$$(7.3) \quad -\lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z} f(z)} = \begin{cases} 0, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p)}, & \text{if } p \text{ is repulsing.} \end{cases}$$

Combining the equalities (7.1), (7.2), and (7.3), we arrive at the formula of Lemma 5.2, namely

$$\mu(p) = \begin{cases} \frac{1}{2} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p)} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is repulsing.} \end{cases}$$

**Example 7.1.** Consider the family of linear-fractional automorphisms of the unit disk  $U$ , given by

$$f(z) = \frac{z - a}{1 - \bar{a}z},$$

where  $a \in U$  is different from zero. The map  $f$  has two fixed points  $p = \pm a/|a|$ , both points belonging to the boundary. Since

$$f' \left( \pm \frac{a}{|a|} \right) = \frac{1 \pm |a|}{1 \mp |a|},$$

the point  $+a/|a|$  is repulsing and the point  $-a/|a|$  is attracting. Hence it follows that

$$\begin{aligned} \mu \left( + \frac{a}{|a|} \right) &= -\frac{1}{4} \frac{1 - |a|}{1 + |a|} \frac{1 - |a|}{|a|}, \\ \mu \left( - \frac{a}{|a|} \right) &= \frac{1}{4} \frac{1 + |a|}{|a|}, \end{aligned}$$

and so

$$L(f) = \frac{1}{1 + |a|}.$$

Note that  $L(f)$  tends to 1 when  $a \rightarrow 0$ , while single local indices  $\mu(\pm a/|a|)$  have no limit values for  $a \rightarrow 0$ .

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