

## ON PEIXOTO'S CONJECTURE FOR FLOWS ON NON-ORIENTABLE 2-MANIFOLDS

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ABSTRACT. Contrary to the case of vector fields on orientable compact 2-manifolds, there is a smooth vector field  $X$  on a non-orientable compact 2-manifold with a dense orbit (and therefore without closed orbits) whose phase portrait –up to topological equivalence– remains intact under a one-parameter family of twist perturbations localized in a flow box of  $X$ .

### 1. INTRODUCTION

The importance of the  $C^r$ -Closing Lemma Problem lies in the fact that a positive answer to it would lead to very deep positive conclusions in Dynamical Systems, in topics related to the Generic, Stability and Bifurcation Theories. As a consequence of its role, there are several useful  $C^r$ -Closing Lemmas. As a sample of some of the important results, we wish to mention Peixoto's  $C^r$ -Connecting Lemma [24], Pugh's  $C^1$ -Closing Lemma [26], Mañé's  $C^1$ -Ergodic Closing Lemma [19], Gutierrez's  $C^r$ -counterexample [9], Herman's  $C^r$ -Closing Lemma [17, 18], Hayashi's  $C^1$ -Connecting Lemma [14, 15, 16]. Besides the articles that will be quoted in this paper, in the same way as above, we wish to mention [12, 13, 20], [22]–[34]. As a sample of some very recent results that use  $C^1$ -Closing Lemma results, we wish to mention [3, 5].

Let  $\mathfrak{X}^r(M)$ ,  $0 \leq r \leq \infty$ , denote the space of  $C^r$ -vector fields (with the  $C^r$ -topology) on a compact, connected, boundaryless,  $C^\infty$ , 2-manifold  $M$ . Our (compact) flow boxes  $V \subset M$  of  $X$  will be either the standard ones or those such that  $X|_V$  is topologically equivalent to the constant vector field  $(1, 0)$  on the cylinder  $[0, 1] \times \mathbb{R}/\mathbb{Z}$ . Notice that in the second case, for all  $t \in (0, 1)$ ,  $\{t\} \times \mathbb{R}/\mathbb{Z}$  is a transversal circle to the vector field  $(1, 0)$ .

To state our main result we shall need the following.

**Definition 1.1** (twist perturbation of a vector field). Let  $M$  be a 2-manifold,  $X \in \mathfrak{X}^r(M)$  and  $V \subset M$  be a compact flow box of  $X$ . Given  $Y \in \mathfrak{X}^r(M)$  with support in  $V$ , we say that  $X + Y$  is a  $C^r$ -twist perturbation of  $X$ , localized in  $V$  if  $X|_{V^\circ}$  is transversal to  $Y|_{V^\circ}$ , where  $V^\circ$  denotes the interior of  $V$ .

Points of  $\mathbb{R}/\mathbb{Z}$  will be denoted as if they were points of  $\mathbb{R}$ ; in this way, we shall use expressions of the form  $x + a$  and  $x - a$  when referring to points of  $\mathbb{R}/\mathbb{Z}$ . Also, if

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$x < y$  are real numbers such that  $y - x < 1$ , the subinterval  $(x, y)$  of  $\mathbb{R}$  determines a unique subinterval of  $\mathbb{R}/\mathbb{Z}$ .

**Definition 1.2** (smooth/affine/isometric *iet*). Let  $\Gamma$  be either the the unit interval  $[0, 1)$  or the unit circle  $\mathbb{R}/\mathbb{Z}$ . Given a finite subset  $S = \{a_0, a_1, \dots, a_n\}$  of  $\Gamma$ , with  $0 = a_0 < a_1 < \dots < a_n = 1$ , a smooth interval exchange transformation, shortly smooth *iet*, will be an injective transformation  $T : \Gamma \setminus S \rightarrow \Gamma$  such that  $T|_{(a_{i-1}, a_i)}$  (i.e.  $T$  restricted to the interval  $(a_{i-1}, a_i)$ ) is a smooth diffeomorphism,  $1 \leq i \leq n$ , and the range of  $T$  is all  $\Gamma$  but  $n$  points. If, moreover,  $T|_{(a_{i-1}, a_i)}$  is an affine (isometric) transformation for all  $1 \leq i \leq n$ , we call  $T$  an affine (isometric) *iet*. As usual, the term *iet* will refer to an isometric *iet*.

**Definition 1.3** (quasi-minimal vector field). A  $C^1$  vector field on a compact 2–manifold  $M$  is quasi-minimal if its set of singularities  $S$  is at most finite and any of its orbits in  $M \setminus S$  is dense in  $M$ .

In this paper, we prove the following.

**Theorem 1.4** (smooth *iet* version). *There exist a minimal isometric *iet*  $T : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  and a family of diffeomorphisms  $\{G_\mu : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}, 0 \leq \mu < \epsilon\}$ , with  $G_0$  being the identity map, depending smoothly on  $\mu \in [0, \epsilon)$ , such that, for all  $(\mu_0, p_0) \in [0, \epsilon) \times \mathbb{R}/\mathbb{Z}$ ,*

- (1)  $\frac{d}{d\mu} \Big|_{\mu=\mu_0} G_\mu(p_0) > 0$  (in particular for all  $\mu \in (0, \epsilon)$ ,  $G_\mu$  has no fixed points);
- (2)  $G_{\mu_0} \circ T$  is a smooth *iet*  $C^\infty$ –conjugate to  $T$ .

In the theorem above, since  $T = T_0$  is minimal and every  $T_\mu$  is topologically conjugate to  $T$ , we obtain that every  $T_\mu$  is also minimal; in particular, all orbits of  $T_\mu$  are non-trivial recurrent (and so  $T_\mu$  has no closed orbits).

Given a smooth *iet*  $T$ , defined on  $\mathbb{R}/\mathbb{Z}$ , there exist a compact 2–manifold  $M$ , containing  $\mathbb{R}/\mathbb{Z}$ , and a vector field  $X \in \mathfrak{X}^\infty(M)$  transversal to  $\mathbb{R}/\mathbb{Z}$  such that the first return Poincaré map  $\mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  induced by  $X$  is precisely  $T$  (see [8]). Using this, the result above can be extended to vector fields as follows:

**Theorem 1.5** (vector field version). *Let  $M$  be a non-orientable compact 2-manifold of genus 4. Then there exists a family of quasi-minimal, Kupka-Smale vector fields  $\{X_\mu \in \mathfrak{X}^\infty(M)\}$ , depending smoothly on  $\mu \in [0, \epsilon)$ , such that, for some flow box  $V \subset M$  of  $X_0$ , which can be taken to be homeomorphic to either a rectangle or a cylinder, and for all  $\mu, \nu \in [0, \epsilon)$ ,*

- (1)  $X_\mu|_V$  is a flow box;
- (2) If  $\mu \neq \nu$ ,  $X_\mu$  is a  $C^\infty$ –twist perturbation of  $X_\nu$  localized in  $V$ ;
- (3)  $X_\mu$  and  $X_\nu$  are topologically equivalent.

In the theorem above, since  $X = X_0$  is quasi-minimal and every  $X_\mu$  is topologically equivalent to  $X$ , we obtain that every  $X_\mu$  is also quasi-minimal; in particular, all regular orbits of  $X_\mu$  are non-trivial recurrent (and so  $X_\mu$  has no closed orbits).

Now we relate our results to Peixoto’s Conjecture. Let  $\Sigma^r$  be the subset of  $\mathfrak{X}^r(M)$  formed by the Morse-Smale  $C^r$ –vector fields. M. Peixoto states in [24] the following conjecture.

**(PC)** Let  $M$  be a non-orientable 2-manifold.  $X \in \mathfrak{X}^r(M)$  is structurally stable if and only if  $X \in \Sigma^r$ . Moreover,  $\Sigma^r$  is open and dense in  $\mathfrak{X}^r(M)$ .

Peixoto [24] proved this conjecture for  $M$  orientable. As a consequence of Peixoto’s work and Pugh’s  $C^1$ –Closing Lemma [26, 30], it follows that  $\Sigma^1$  is dense

in  $\mathfrak{X}^1(M)$ . There are some partial results concerning **(PC)** in class  $C^r$ ,  $r \geq 1$ : The conjecture is true both for the projective plane  $\mathbf{P}^2$  and for the Klein bottle  $\mathbf{K}^2$  (see the proof in [21] that flows on  $\mathbf{K}^2$  do not have non-trivial recurrence). Gutierrez [6] showed that **(PC)** is true for the torus with one cross-cap.

By [24], **(PC)** is true if, and only if, it is possible to give an affirmative answer for the following  $C^r$ -Connecting Lemma question:

**(CL)** Let  $X \in \mathfrak{X}^r(M)$  have finitely many singularities, all hyperbolic (at least one singularity). Suppose that  $X$  has a non-trivial recurrent trajectory. Does there exist an arbitrarily small  $C^r$ -perturbation of  $X$  such that the resulting vector field has one more saddle connection than  $X$ ?

We recall that, when  $M$  is orientable, **(CL)** has a positive answer by arbitrarily small  $C^r$ -twist perturbations [24]. Also, when  $M$  is non-orientable, there are many cases in which **(CL)** has a positive answer, again by arbitrarily small  $C^r$ -twist perturbations [11].

Hence, it is natural to wonder whether, in the non-orientable case, we may make use of an arbitrary  $C^r$ -twist perturbation in order to give an affirmative answer for **(CL)**. Theorem 1.5 gives a negative answer to this question.

Finally, Theorems 1.4 and 1.5 above are relevant to the  $C^r$ -Closing Lemma problem, because the positive answer to the  $C^r$ -Closing Lemma, given in [7], for a large class of flows on orientable two-manifolds, was obtained by means of twist perturbations (see also [1, 2, 4]).

## 2. A FLOW ON THE TORUS WITH TWO CROSS-CAPS

Let  $\varphi : \mathbb{R} \times M \rightarrow M$  be a flow on  $M$  and  $\gamma = \gamma(t)$  a trajectory of  $\varphi$ . We denote by  $\omega(\gamma)(\alpha(\gamma))$  the  $\omega$ -limit set ( $\alpha$ -limit set) of  $\gamma$ . We say that  $\gamma$  is  $\omega$ -recurrent ( $\alpha$ -recurrent) if  $\gamma \subset \omega(\gamma)(\gamma \subset \alpha(\gamma))$ . A recurrent trajectory is a trajectory that is  $\omega$ -recurrent or  $\alpha$ -recurrent. A fixed point and a closed orbit are called trivial recurrent trajectories.

Given a  $C^1$  flow  $\varphi : \mathbb{R} \times M \rightarrow M$  with a recurrent trajectory  $\gamma$  and a point  $p \in \gamma$ , Peixoto proved that there exists a smooth circle  $\Gamma$ , transversal to  $\varphi$ , passing through the point  $p$  (see [6, 24]). We shall analyse flows in terms of their action on transverse circles. Let us recall now the example of a flow given by Gutierrez in [10].

Gutierrez constructed in [10] an *iet*  $T : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  (cf. Figures 1 and 2) and a suspension of  $T$  that is a quasi-minimal  $C^\infty$ -flow  $\varphi : \mathbb{R} \times M \rightarrow M$ , on the torus with two cross-caps  $M$ , in such a way that:

- (1)  $\varphi$  has two hyperbolic saddle points as its only singularities;
- (2)  $\mathbb{R}/\mathbb{Z}$  is a subset of  $M$ ,  $\varphi$  is transversal to  $\mathbb{R}/\mathbb{Z}$ , and the *iet*  $T : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  is precisely the Poincaré return map induced by  $\varphi$  on  $\mathbb{R}/\mathbb{Z}$ ; moreover, for some real positive numbers  $a, b, c, d, e$ ,
  - $\text{dom}(T) = \mathbb{R}/\mathbb{Z} \setminus \{a, a + b, a + b + c, 1\}$ , where  $\text{dom}(T)$  is the domain of definition of  $T$ ;
  - the numbers  $a, a + e - c, a - c - d + 2e, \dots$ , are ordered (modulo 1) according to Figures 1 and 2. In particular,
 
$$0 < a < b < e < a + b < 1 - e < a + b + c < a + b + c + d = 1, d < e,$$

$$a + b + c + e = 1 + e - d;$$

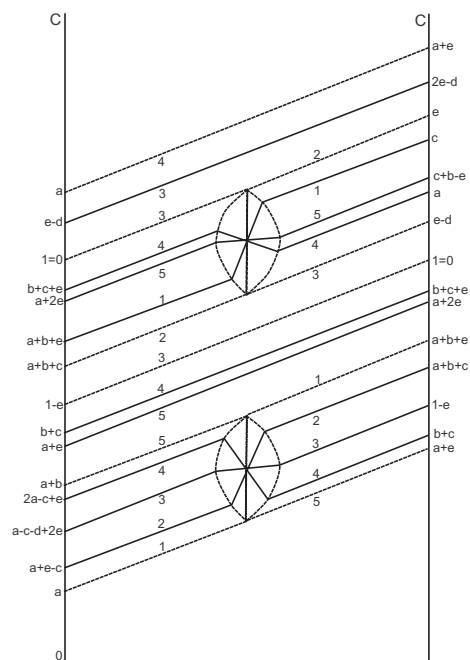


FIGURE 1. The first return map  $T$  induced by  $\varphi$  on  $\mathbf{R}/\mathbf{Z}$

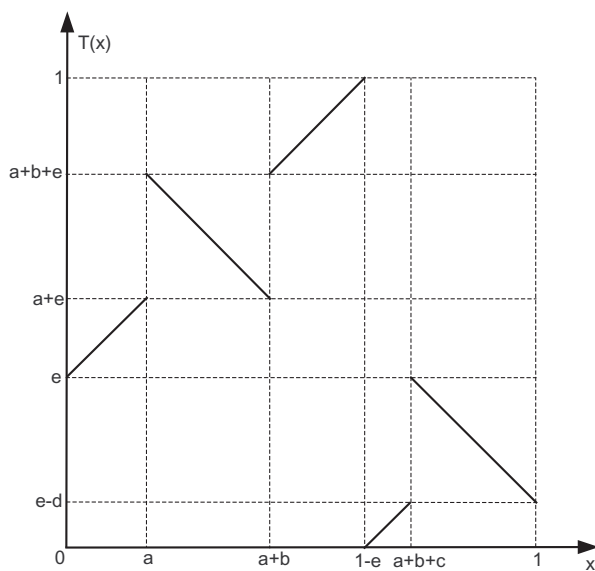


FIGURE 2. The isometric *iet*  $T$

- $T$  operates upon the intervals below, by reversing orientation, in the following way:

$$(a, a + b) \mapsto (a + e, a + b + e),$$

$$(a + b + c, 1) \mapsto (e - d, e);$$

- $T$  operates upon the intervals below, by preserving orientation, in the following way:

$$(0, a) \mapsto (e, a + e);$$

$$(a + b, a + b + c) \mapsto (a + b + e, e - d).$$

In the next section, given a small number  $\delta > 0$ , we will introduce an affine *iet*  $T_\delta$  that is topologically conjugate to  $T$  and  $2\delta$ -close to  $T$ , in the uniform  $C^0$ -topology.

### 3. TOPOLOGICAL CONJUGACY

**Definition 3.1** ( $T_\delta$ ). Let  $\delta > 0$  be small and let  $T_\delta$  (cf. Figure 3) be the affine *iet* satisfying

- $T_\delta$  operates upon the intervals below, linearly, diffeomorphically, and reversing orientation, in the following way:

$$(a, a + e - c + \delta) \mapsto [a + b + c, a + b + e + \delta],$$

$$[a + e - c + \delta, a - c - d + 2e + 2\delta] \mapsto [1 - e - \delta, a + b + c],$$

$$[a - c - d + 2e + 2\delta, 2a - c + e] \mapsto [b + c + \delta, 1 - e - \delta],$$

$$[2a - c + e, a + b) \mapsto (a + e + \delta, b + c + \delta],$$

$$(a + b + c, a + b + e + \delta) \mapsto [c, e + \delta),$$

$$[a + b + e + \delta, a + 2e + 2\delta] \mapsto [c + b - e, c],$$

$$[a + 2e + 2\delta, b + c + e + 2\delta] \mapsto [a, c + b - e],$$

$$[b + c + e + 2\delta, 1) \mapsto (e - d + \delta, a];$$

- $T_\delta$  operates upon the intervals below, linearly, diffeomorphically and preserving orientation, in the following way:

$$(a + b, a + e + \delta) \mapsto (a + b + e + \delta, a + 2e + 2\delta],$$

$$[a + e + \delta, b + c + \delta] \mapsto [a + 2e + 2\delta, b + c + e + 2\delta],$$

$$[b + c + \delta, 1 - e - \delta) \mapsto [b + c + e + 2\delta, 1),$$

$$(1 - e - \delta, a + b + c) \mapsto (0, e - d + \delta),$$

$$(0, e - d + \delta) \mapsto (e + \delta, 2e - d + 2\delta],$$

$$[e - d + \delta, a) \mapsto [2e - d + 2\delta, a + e + \delta).$$

**Proposition 3.2** (topological conjugacy). *Given  $\delta > 0$ , there exist a fixed-point-free homeomorphism*

$$H = H_\delta : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$$

*and a piecewise affine homeomorphism* (cf. Figure 4)

$$h = h_\delta : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$$

*such that*

$$(1) T_\delta = H \circ T;$$

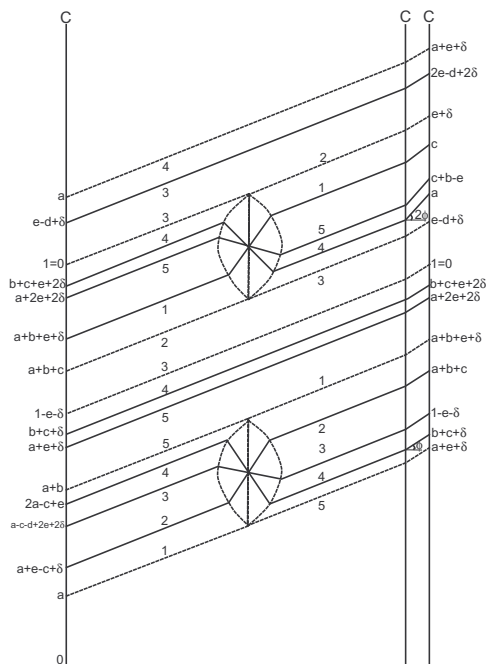


FIGURE 3. The affine  $iet T_\delta$

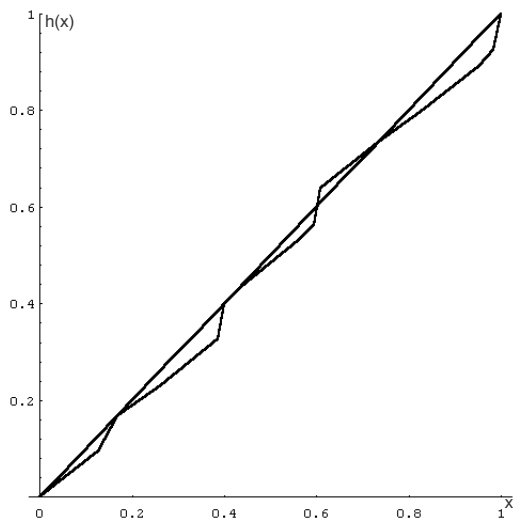


FIGURE 4. The homeomorphism  $h$  in local coordinates

- (2)  $\text{fix}(h) \supset \text{dis}(T_\delta) = \text{dis}(T) = \mathbb{R}/\mathbb{Z} \setminus \text{dom}(T_\delta) = \{a, a + b, a + b + c, 1\}$ , where  $\text{fix}(h)$  (resp.  $\text{dis}(T)$ ) denotes the set of fixed points of  $h$  (resp. the discontinuity point set of  $T$ );

- (3)  $(h^{-1} \circ T \circ h)(x) = T_\delta(x) = (H \circ T)(x), \forall x \in \text{dom}(T_\delta)$ ; in particular,  $T$  and  $T_\delta$  are topologically conjugate;
- (4)  $h = h_\delta \rightarrow I$ , in the uniform  $C^0$ -topology, as  $\delta$  goes to 0, where  $I : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  denotes the identity transformation; and
- (5) the derivative  $(h_\delta)'$  of  $h_\delta$  is a piecewise constant map that converges uniformly to the constant map 1 as  $\delta \rightarrow 0$ .

*Proof.* The existence of  $h$  follows from the definition of  $T_\delta$ . Put

$$\begin{aligned} h(a) &= a, \\ h(a + e - c + \delta) &= a + e - c, \\ h(a - c - d + 2e + 2\delta) &= a - c - d + 2e, \\ h(2a - c + e) &= 2a - c + e, \\ h(a + b) &= a + b, \\ h(a + e + \delta) &= a + e, \\ h(b + c + \delta) &= b + c, \\ h(1 - e - \delta) &= 1 - e, \\ h(a + b + c) &= a + b + c, \\ h(a + b + e + \delta) &= a + b + e, \\ h(a + 2e + 2\delta) &= a + 2e, \\ h(b + c + e + 2\delta) &= b + c + e, \\ h(1) &= 1, \\ h(e - d + \delta) &= e - d. \end{aligned}$$

We may extend  $h$  linearly to the other points so that  $h$  becomes a piecewise affine homeomorphism of the unit circle  $\mathbb{R}/\mathbb{Z}$ . It follows at once that  $h$  satisfies (2)–(5).  $\square$

#### 4. $C^\infty$ -CONJUGACY

In this section we show that the homeomorphism  $h$  conjugating  $T$  and  $T_\delta$  and the fixed-point-free homeomorphism  $H$  can be substituted by a  $C^\infty$ -diffeomorphism  $g$  and a fixed-point-free  $C^\infty$ -diffeomorphism  $G$ , respectively, in such a way that the relation  $g^{-1} \circ T \circ g = G \circ T$  remains true.

Recall  $\text{dis}(T) \subset \text{fix}(h)$  and that

$$\begin{aligned} \text{dom}(T) &= \mathbb{R}/\mathbb{Z} \setminus \{a, a + b, a + b + c, 1\}, \\ \text{dis}(T) &= \{a, a + b, a + b + c, 1\}, \\ \text{dom}(T^{-1}) &= \mathbb{R}/\mathbb{Z} \setminus \{e, a + e, a + b + e, e - d\}, \\ \text{dis}(T^{-1}) &= \{e, a + e, a + b + e, e - d\}. \end{aligned}$$

Let  $\mathcal{G}$  be the set of  $C^\infty$ -diffeomorphisms  $g : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  such that

- (P1)  $g|_U = I|_U$ , where  $U$  is a small neighborhood of  $\text{fix}(h)$ ,
- (P2)  $g'(x) \geq \frac{1}{2}, \forall x \in \mathbb{R}/\mathbb{Z}$ ;

**Lemma 4.1.** *If  $g \in \mathcal{G}$ , then*

$$(P3) \quad T(g(x)) - T(x) = T'(x)(g(x) - x), \quad \forall x \in \text{dom}(T)$$

(where  $T'(x) \in \{-1, 1\}$ ).

*Proof.* An immediate consequence of (P1) is that for any  $x \in \text{dom}(T)$ ,  $x$  and  $g(x)$  lie in the same interval of the partition associated to the *iet*  $T$ ; that is, for each  $x \in \text{dom}(T)$ , there exists  $1 \leq i \leq n$  such that  $x, g(x) \in (a_{i-1}, a_i)$ . This implies the lemma.  $\square$

We prove now the main result of this section.

**Proposition 4.2.** *Let  $g \in \mathcal{G}$ .*

(1) *The map*

$$G = g^{-1} \circ T \circ g \circ T^{-1}$$

*is well defined in  $\text{dom}(T^{-1})$  and extends to a  $C^\infty$ -diffeomorphism  $G : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  such that  $\forall x \in \text{dom}(T)$ ,  $(g^{-1} \circ T \circ g)(x) = (G \circ T)(x)$ . In particular, the isometric *iet*  $T$  and the smooth *iet*  $G \circ T$  are  $C^\infty$ -conjugate.*

(2) *Fix  $\delta > 0$  small, and let  $h = h_\delta$  be as in Proposition 3.2. If  $g \in \mathcal{G}$  is  $C^0$ -close enough to  $h$  and  $G$  is as above, then  $G : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  is a fixed-point-free  $C^\infty$ -diffeomorphism.*

*Proof.* Extend  $G$  to the whole  $\mathbb{R}/\mathbb{Z}$  by defining  $G(q) = g^{-1}(q), \forall q \in \text{dis}(T^{-1})$ . Notice that  $G$  is bijective,  $G|_{\text{dom}(T^{-1})}$  is smooth, and  $(G|_{\text{dom}(T^{-1})})^{-1}$  is smooth. If  $x$  is in a small neighborhood  $W_q$  of  $q \in \text{dis}(T^{-1})$ ,  $x \neq q$ , then  $T^{-1}(x)$  is in a neighborhood  $U_p$  of  $p$  for some  $p \in \text{dis}(T) \subset \text{fix}(h)$  and by (P1) and by definition of  $G$ , we get

$$G(x) = g^{-1}(x), \forall x \in W_q.$$

Therefore,  $G$  is smooth at any point  $q \in \text{dis}(T^{-1})$  and as, by (P2),  $G'(q) \neq 0$ , we obtain that  $G^{-1}$  is a  $C^\infty$ -diffeomorphism. By definition of  $G$ ,  $(g^{-1} \circ T \circ g)(x) = (G \circ T)(x), \forall x \in \text{dom}(T)$ . This proves (1). If  $g$  is  $C^0$ -close to  $h$ , then  $G$  will also be  $C^0$ -close to  $H$ ; therefore, since  $H$  is a fixed-point-free homeomorphism, we will obtain that  $G$  is also fixed-point-free.  $\square$

### 5. MAIN RESULTS

In this section we prove Theorems 1.4 and 1.5. We start by proving Theorem 1.4.

**Theorem 1.4** (smooth *iet* version). *There exist a minimal isometric *iet*  $T : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  and a family of diffeomorphisms  $\{G_\mu : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}, 0 \leq \mu < \epsilon\}$ , with  $G_0$  being the identity map, depending smoothly on  $\mu \in [0, \epsilon)$ , such that, for all  $(\mu_0, p_0) \in [0, \epsilon) \times \mathbb{R}/\mathbb{Z}$ ,*

- (1)  $\frac{d}{d\mu} \Big|_{\mu=\mu_0} G_\mu(p_0) > 0$ ;
- (2)  $G_{\mu_0} \circ T$  is a smooth *iet*  $C^\infty$ -conjugate to  $T$ .

*Proof.* By Proposition 4.2, there exist a diffeomorphism  $g$  with Properties (P1) to (P3) and a fixed-point-free diffeomorphism  $G$  such that  $g^{-1} \circ T \circ g = G \circ T$  at every point of  $\text{dom}(T)$ . Given  $\mu \in [0, 1]$ , let  $g_\mu : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  be defined by

$$(5.1) \quad g_\mu(x) = \mu g(x) + (1 - \mu)x.$$

Notice that  $\{g_\mu : \mu \in [0, 1]\}$  provides a smooth isotopy between the identity map  $g_0 = I$  and  $g_1 = g$ ; also by (P2),

$$\frac{dg_\mu}{dx}(x) = \mu g'(x) + 1 - \mu \geq \frac{\mu}{2} + 1 - \mu = 1 - \frac{\mu}{2} \geq \frac{1}{2}, \forall x \in \mathbb{R}/\mathbb{Z}.$$

Thus, by construction,  $g_\mu$  is a diffeomorphism of the unit circle satisfying (P1)-(P3), for each  $\mu \in [0, 1]$ , that is,  $g_\mu \in \mathcal{G}$ . Given  $\mu \in [0, 1]$ , by Proposition 4.2, there exists a diffeomorphism  $G_\mu : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  satisfying

$$(5.2) \quad (G_\mu \circ T)(x) = ((g_\mu)^{-1} \circ T \circ g_\mu)(x), \forall x \in \text{dom}(T).$$

The proof of this theorem follows at once from the following lemma.

**Lemma 5.1.** *Given  $x \in \mathbb{R}/\mathbb{Z}$ , the map  $\mu \in [0, 1] \mapsto G_\mu(x) \in \mathbb{R}/\mathbb{Z}$  is differentiable. Moreover, there exist  $\epsilon > 0$  and  $\sigma > 0$  such that  $\forall (\mu_0, x) \in [0, \epsilon) \times \mathbb{R}/\mathbb{Z}$ ,  $\frac{d}{d\mu} \Big|_{\mu=\mu_0} G_\mu(x) > \sigma$ .*

*Proof.* Let  $u \in (0, 1]$  be a real number. Then, from (5.2),

$$(5.3) \quad (T \circ g_u)(x) = (g_u \circ G_u \circ T)(x), \forall x \in \text{dom}(T).$$

From (5.1), we reach

$$(5.4) \quad g_u(y) = y + u(g(y) - y), \forall y \in \mathbb{R}/\mathbb{Z}.$$

From (5.4) and from property (P3) of  $g_u$ , we obtain

$$(5.5) \quad \frac{(T \circ g_u)(x) - (T \circ g_0)(x)}{u} = \frac{T'(x) \cdot (g_u(x) - x)}{u} = T'(x) \cdot (g(x) - x).$$

From equations (5.3)-(5.5), we get

$$\begin{aligned} T'(x) \cdot (g(x) - x) &\stackrel{(5.5)}{=} \{(T \circ g_u)(x) - (T \circ g_0)(x)\}/u \\ &\stackrel{(5.3)}{=} \{(g_u \circ G_u \circ T)(x) - (g_0 \circ G_0 \circ T)(x)\}/u \\ &\stackrel{(5.4)}{=} \frac{G_u(T(x)) - G_0(T(x))}{u} + g(G_u(T(x))) - G_u(T(x)). \end{aligned}$$

When  $u \rightarrow 0$ , we get

$$(5.6) \quad \frac{d}{d\mu} \Big|_{\mu=0} G_\mu(T(x)) = T'(x) \cdot (g(x) - x) - (g(T(x)) - T(x)),$$

and from Property (P3) of  $g$ , we reach

$$(5.7) \quad \frac{d}{d\mu} \Big|_{\mu=0} G_\mu(T(x)) = T(g(x)) - g(T(x)), \quad \forall x \in \text{dom}(T).$$

Since  $G = g^{-1} \circ T \circ g \circ T^{-1}$  has no fixed points, we have that  $T(g(x)) - g(T(x)) \neq 0, \forall x \in \text{dom}(T)$ . Therefore,

$$\frac{d}{d\mu} \Big|_{\mu=0} G_\mu(T(x)) \neq 0, \forall x \in \text{dom}(T).$$

That is,

$$(5.8) \quad \frac{d}{d\mu} \Big|_{\mu=0} G_\mu(y) \neq 0, \quad \forall y \in \text{dom}(T^{-1}).$$

If  $q \in \text{dis}(T^{-1})$ , then  $G_\mu(q) = (g_\mu)^{-1}(q), \forall \mu$ . Besides, we have by Figure 4 that  $q - g(q) > 0$  (the points  $(q, g(q))$  with  $q \in \text{dis}(T^{-1})$  lie below the diagonal). Observe that

$$\begin{aligned} (g_\mu \circ (g_\mu)^{-1})(x) &= x, \quad \forall x \in \mathbb{R}/\mathbb{Z}, \\ \mu \cdot g((g_\mu)^{-1}(x)) + (1 - \mu)(g_\mu)^{-1}(x) &= x, \quad \forall x \in \mathbb{R}/\mathbb{Z}. \end{aligned}$$

Therefore, by differentiating the previous equation with respect to  $\mu$  at  $\mu = 0$ , we get

$$(5.9) \quad \left. \frac{d}{d\mu} \right|_{\mu=0} G_\mu(q) = \left. \frac{d}{d\mu} \right|_{\mu=0} (g_\mu)^{-1}(q) = q - g(q) > 0.$$

We remark that equations (5.7) and (5.9) are compatible; that is, for any  $q \in \text{dis}(T^{-1})$ ,

$$\lim_{y \rightarrow q} \left. \frac{d}{d\mu} \right|_{\mu=0} G_\mu(y) = q - g(q).$$

Hence, the map  $(\mu, x) \in [0, 1] \times \mathbb{R}/\mathbb{Z} \mapsto \left. \frac{d}{d\mu} \right|_{\mu=0} G_\mu(x)$  is continuous. This implies the lemma.  $\square$

**Corollary 5.2.** *For all  $\mu \in (0, \epsilon)$ ,  $G_\mu$  has no fixed points.*

*Proof.* This follows immediately from Theorem 1.4.  $\square$

We now prove Theorem 1.5.

**Theorem 1.5** (Vector field version). Let  $M$  be a non-orientable compact 2-manifold of genus 4. Then there exists a family of quasi-minimal, Kupka-Smale flows  $\{X_\mu \in \mathfrak{X}^\infty(M)\}$ , depending smoothly on  $\mu \in [0, \epsilon)$ , such that, for some flow box  $V \subset M$  of  $X_0$ , which can be taken to be homeomorphic to either a rectangle or a cylinder, and for all  $\mu, \nu \in [0, \epsilon)$ ,

- (1)  $X_\mu|_V$  is a flow box;
- (2) if  $\mu \neq \nu$ ,  $X_\mu$  is a  $C^\infty$ -twist perturbation of  $X_\nu$  localized in  $V$ ;
- (3)  $X_\mu$  and  $X_\nu$  are topologically equivalent.

*Proof.* Let  $T$  be as in Theorem 1.4. From Theorem 1.4, we know that  $\{G_\mu \circ T\}$  is a family of smooth *iet*'s conjugate to  $T$ . Each *iet*  $\{G_\mu \circ T\}$  may be suspended to obtain a smooth vector field  $X_\mu$  on a non-orientable compact manifold  $M$  of genus 4 (see [8]). We may assume that  $M$  contains  $\mathbb{R}/\mathbb{Z}$  and does not depend on  $\mu$ . By definition of suspension, each  $X_\mu$  is transversal to  $\mathbb{R}/\mathbb{Z}$  and  $G_\mu \circ T : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  is the forward Poincaré map induced by  $X_\mu$ . This family  $\{X_\mu\}$  can be constructed to satisfy the condition of the theorem in the case in which  $V$  is a cylinder. The other case is similar. In both cases, the fact that  $T$  is minimal and every  $G_\mu \circ T$  is conjugate to  $T$  ensures that the family  $\{X_\mu\}$  has the required properties of quasi-minimality and topological equivalence.  $\square$

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