

## MAPS INTO COMPLEX SPACE

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ABSTRACT. If the dimension of  $M$  is denoted by  $2k - 1$  or  $2k$ , then a generic map  $F : M \rightarrow C^k$  satisfies  $dF_1 \wedge \dots \wedge dF_k \neq 0$ , while in certain cases there is no map  $F : M \rightarrow C^{k+1}$  that satisfies  $dF_1 \wedge \dots \wedge dF_{k+1} \neq 0$ .

### 1. STATEMENT OF RESULTS

Let  $F : M \rightarrow R^2$  be any map. The components of  $F$  may be approximated by Morse functions. From this, we see that the rank of the Jacobian of a generic map of  $M \rightarrow R^2$  is everywhere greater than zero. This can be reformulated as: A generic map  $F : M^n \rightarrow C^1$  has, at all points,  $dF \neq 0$ . This suggests the question: For a given value of  $n$ , what is the largest value of  $r$  for which every manifold of dimension  $n$  has a map  $F = (F_1, \dots, F_r)$  into  $C^r$  with  $dF_1 \wedge \dots \wedge dF_r$  never zero?

Given  $n$ , set  $r = \lceil \frac{n}{2} \rceil$ , the smallest integer greater than or equal to  $\frac{n}{2}$ .

**Theorem 1.1.** *For every manifold  $M^n$  of dimension  $n$  there is a generic set of maps  $F : M^n \rightarrow C^r$  with  $dF_1 \wedge \dots \wedge dF_r$  never equal to zero.*

By a generic set of functions or maps on a compact manifold we mean a set that is open in the  $C^1$  topology and dense in the  $C^\infty$  topology; on a non-compact manifold we mean the countable intersection of such sets. Note that the theorem states that  $M^n$  can be mapped into  $R^k$ ,  $k \leq n$  for  $n$  even and  $k \leq n + 1$  for  $n$  odd, in a special way.

**Corollary 1.** *Every manifold  $M^n$  of dimension  $n$  admits an involutive sub-bundle of rank  $k$  for each  $k$ ,  $k \geq \lceil \frac{n}{2} \rceil$ .*

A bundle  $V \subset CTM$  is involutive if the Lie bracket condition  $[V, V] \subset V$  holds for all local sections. This is an interesting restriction, motivated by partial differential equations and generalizing the Frobenius condition for sub-bundles of  $TM$ . Here  $\lceil \frac{n}{2} \rceil$  is the largest integer less than or equal to  $\frac{n}{2}$  and so  $n = \lfloor \frac{n}{2} \rfloor + \lceil \frac{n}{2} \rceil$ . The corollary follows from the theorem by taking the annihilator of  $\{dF_1, \dots, dF_r\}$ .

The value for  $r$  is sharp (for  $n = 4k$ ) if we insist that the same  $r$  works for all manifolds of a fixed dimension. The basic idea is that every complex bundle of rank  $N$  over  $M^n$ ,  $N > \frac{n}{2}$ , admits  $N - \lceil \frac{n}{2} \rceil$  independent global sections. The rank of  $CT^*M^n$  is  $n$  and  $dF_1, \dots, dF_r$  provide  $r$  independent global sections. Thus for  $r > n - \lceil \frac{n}{2} \rceil = \lfloor \frac{n}{2} \rfloor$ , we have a restriction on the bundle. Here is one simple way to formulate this restriction. Note that we use only the existence of the global

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section and ignore the more refined information that each section is given by a closed one-form.

**Theorem 1.2.** *Let  $F : M^{4k} \rightarrow C^{2k+1}$  satisfy  $dF_1 \wedge \dots \wedge dF_{2k+1}$  never equal to zero. Then the top Pontryagin class of  $M$  is zero.*

Since there exist manifolds  $M^{4k}$  with  $P_k \neq 0$ ,  $r = 2k$  is the largest  $r$  that works for all manifolds of dimension  $4k$ .

**Examples.** There exists a map  $F : CP^2 \rightarrow C^2$  with  $dF_1 \wedge dF_2$  never equal to zero; any map  $F : CP^2 \rightarrow C^3$  has  $dF_1 \wedge dF_2 \wedge dF_3$  somewhere equal to zero. Whether there exists a map of  $CP^1 \rightarrow C^2$  with  $dF_1 \wedge dF_2 \neq 0$  is left open by these theorems. Note that the existence of such a map implies the (true) statement that  $CTS^2$  is trivial. There does exist such a map into  $C^1$ , and it can be obtained by projecting  $S^2$  into any plane. Also note that the case  $n = 2$  is related to symplectic structures. Namely, identify  $R^4$  with  $C^2$  by using  $z_1 = x_1 - iy_1$  and  $z_2 = x_2 + iy_2$ . Then  $dz_1 \wedge dz_2 = \omega_1 + \omega_2$  for symplectic forms  $\omega_1$  and  $\omega_2$ , positive with respect to the orientation given by  $(x_1, x_2, y_1, y_2)$ . No symplectic structure on  $R^4$  has a compact symplectic submanifold. So if  $F : S^2 \rightarrow C^2$ , then  $F^*\omega_1$  and  $F^*\omega_2$  must have zeroes, and we are asking if  $F$  can be chosen such that they have no common zeroes.

## 2. PROOF OF THEOREM 1.1

We use notation and results from [1], Chapter 2. Let  $X^{(1)}$  be the space of one jets of maps  $F : M^n \rightarrow C^r$  written locally as  $\{(p, F(p), dF_1(p), \dots, dF_r(p))\}$  and let  $S$  be the subset given by  $\{(p, c, \theta) : \theta_1 \wedge \dots \wedge \theta_r = 0\}$ .

**Lemma 2.1.**  *$S$  is a stratified subset of  $X^{(1)}$  of codimension  $2(n+1-r)$ .*

To prove this, we need to show

(1)

$$S = \bigcup_{i=0}^N S_i,$$

where each  $S_i$  is a locally closed sub-manifold of  $X^{(1)}$  satisfying

$$\bar{S}_j = \bigcup_{i=j}^N S_i,$$

and

(2)  $\text{codim} S_0 = 2(n+1-r)$ .

*Proof.* Let  $S_i$  be the subset of  $S$  defined by

$$\{(p, c, \theta) : \theta_1 \wedge \dots \wedge \theta_{r-i} = 0 \text{ and } \theta_1 \wedge \dots \wedge \theta_{r-i-1} \neq 0\}.$$

So  $N = r - 1$  and  $S_N = \{p, c, \theta : \theta_1 = 0\}$ . Then the first condition is obvious. For the second, we count the equations that define  $S_0$  near one of its points,  $(p, c, \theta^0)$ . We have that  $(p, c, \theta) \in S_0$  provided  $\theta_r \in \text{span}\{\theta_1, \dots, \theta_{r-1}\}$ . Expressing  $\theta_r$  as a linear combination with complex coefficients of basis elements  $\{\theta_1, \dots, \theta_{r-1}, e_1, \dots, e_{n-r+1}\}$ , we see that there are  $n-r+1$  independent equations. This establishes the second condition.

We now require  $2(n + 1 - r) > n$ . Thus the codimension of  $S$  in  $X^{(1)}$  is greater than the dimension of  $M$ . It follows from a basic result of differential topology that any map of  $M$  into  $X^{(1)}$  may be perturbed so as to not intersect  $S$ . The (simplest case of) the Thom Transversality Theorem is more precise. Any map of  $M \rightarrow C^r$  may be perturbed to obtain a map of  $M \rightarrow C^r$  whose one jet does not intersect  $S$ . Further, maps of this latter kind are generic. Finally, note that  $2(n + 1 - r) > n$  is equivalent to  $r \leq \lfloor \frac{n}{2} \rfloor$ .

3. PROOF OF THEOREM 1.2

Consider a map  $F : M^n \rightarrow C^r$  with  $dF_1 \wedge \dots \wedge dF_r$  never equal to zero. Let  $V \subset CTM$  be the bundle whose fiber at  $p$  is given by

$$V = \{v : dF_j(v) = 0 \text{ at } p, \quad j = 1, \dots, r\}$$

and let  $\Omega \subset CT^*M$  be the span of  $\{dF_1, \dots, dF_r\}$ . Use any hermitian metric to get a decomposition

$$CTM = V \oplus Q.$$

**Lemma 3.1.**  $\Omega \simeq Q^*$ .

*Proof.* Recall that  $Q^*$  is the set of linear functionals on the fibers of  $Q$ . Restricting an element  $\theta \in \Omega$  to act on  $Q$  produces an element  $l_\theta$  of  $Q^*$ . The map  $\theta \rightarrow l_\theta$  is injective and, since  $\Omega$  and  $Q^*$  have the same dimension, it is also surjective.

Each  $dF_j$  is a global, non-zero section of  $\Omega$ . Thus  $\Omega$ ,  $Q^*$ , and  $Q$  are all trivial bundles. Thus, for the Chern class of  $CTM$  we have, as in [2], page 164,

$$c(CTM) = c(V \oplus Q) = c(V) \wedge c(Q) = c(V).$$

Now let  $P_k(TM) \in H^{4k}(M, Z)$  be the top Pontryagin class of  $M$ . We have from [2], page 174,

$$P_k(TM) = (-1)^k c_{2k}(CTM) = (-1)^k c_{2k}(V).$$

In the case of Theorem 1.2,  $rank V = 4k - (2k + 1) = 2k - 1 < 2k$  and so  $P_k(TM) = 0$ .

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