

A FAMILY OF SCHOTTKY GROUPS ARISING FROM THE HYPERGEOMETRIC EQUATION

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ABSTRACT. We study a complex 3-dimensional family of classical Schottky groups of genus 2 as monodromy groups of the hypergeometric equation. We find non-trivial loops in the deformation space; these correspond to continuous integer-shifts of the parameters of the equation.

1. INTRODUCTION

When the three exponents of the hypergeometric differential equation are purely imaginary, its monodromy group is a classical Schottky group of genus 2; such groups form a real 3-dimensional family ([IY]). Since, under a small deformation, a Schottky group remains to be a Schottky group, by deforming the parameters of the hypergeometric equation, we have a complex 3-dimensional family of Schottky groups. We introduce (in §4) a complex 3-dimensional family S of classical Schottky groups, containing the above ones coming from pure-imaginary-exponents cases, equipped with some additional structure. We study a structure of S , and construct loops (real 1-dimensional families of classical Schottky groups) in S generating the fundamental group of S . These loops correspond to *continuous* interger-shifts of the parameters of the hypergeometric equation.

Here we give a few examples of general background references. The hypergeometric function: [IKSY], Schottky groups: Chapter 4 of [MSW] and Chapter 5 of [BBEIM], history: [Gray].

2. THE HYPERGEOMETRIC EQUATION

We consider the hypergeometric differential equation

$$E(a, b, c) : x(1-x) \frac{d^2 u}{dx^2} + \{c - (a+b+1)x\} \frac{du}{dx} - abu = 0.$$

For (any) two linearly independent solutions u_1 and u_2 , the (multi-valued) map

$$s : X := \mathbb{C} - \{0, 1\} \ni x \longmapsto z = u_1(x) : u_2(x) \in \mathbb{P}^1 := \mathbb{C} \cup \{\infty\}$$

is called a Schwarz map (or Schwarz's s -map). If we choose as solutions u_1 and u_2 , near $x = 0$, a holomorphic one and x^{1-c} times a holomorphic one, respectively,

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then the circuit matrices around $x = 0$ and 1 are given by

$$\gamma_1 = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i(1-c)} \end{pmatrix} \quad \text{and} \quad \gamma_2 = P^{-1} \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i(c-a-b)} \end{pmatrix} P,$$

respectively, where P is a connection matrix given by

$$P = \begin{pmatrix} \frac{\Gamma(c)\Gamma(c-a-b)}{\Gamma(c-a)\Gamma(c-b)} & \frac{\Gamma(2-c)\Gamma(c-a-b)}{\Gamma(1-a)\Gamma(1-b)} \\ \frac{\Gamma(c)\Gamma(a+b-c)}{\Gamma(a)\Gamma(b)} & \frac{\Gamma(2-c)\Gamma(a+b-c)}{\Gamma(a-c+1)\Gamma(b-c+1)} \end{pmatrix};$$

here Γ denotes the Gamma function. Note that the matrices act on the row vector (u_1, u_2) from the right. These generate the monodromy group $M(a, b, c)$ of the equation $E(a, b, c)$.

3. HYPERGEOMETRIC EQUATIONS WITH PURELY IMAGINARY EXPONENT-DIFFERENCES ([SY], [Y])

If the exponent-differences

$$\lambda = 1 - c, \quad \mu = c - a - b, \quad \nu = b - a$$

(at the singular points $x = 0, 1$ and ∞ , respectively) are purely imaginary, the image of the upper-half part

$$X^+ := \{x \in X \mid \Im x \geq 0\}$$

is bounded by the three circles (in the s -plane) which are images of the three intervals $(-\infty, 0)$, $(0, 1)$ and $(1, +\infty)$; put

$$C_1 = s((-\infty, 0)), \quad C_3 = s((0, 1)), \quad C_2 = s((0, +\infty)).$$

Note that if we continue s analytically through the interval, say $(0, 1)$, to the lower-half part $X^- := \{x \in X \mid \Im x \leq 0\}$, then the image of X^- is the mirror image of that of X^+ under the reflection with center C_3 .

The reflection with center (mirror) C_j will be denoted by ρ_j ($j = 1, 2, 3$). The monodromy group $M(a, b, c)$ is the group consisting of even words of ρ_j ($j = 1, 2, 3$). This group is a Schottky group of genus 2. The domain of discontinuity modulo $M(a, b, c)$ is a curve of genus 2 defined over the reals.

Choosing the solutions u_1 and u_2 suitably, we can assume that the centers of the three circles are on the real axis, and that the circles C_2 and C_3 are inside the circle C_1 (see Figure 1). Let C'_1 and C'_2 be the mirror images with respect to C_3 of the circles C_1 and C_2 , respectively. Then $\gamma_1 := \rho_3\rho_1$ maps the inside of C_1 onto the inside of C'_1 ; and $\gamma_2 := \rho_3\rho_2$ maps the inside of C_2 onto the outside of C'_2 . Note that one of the two fixed points of γ_1 is inside C'_1 , and the other one is outside C_1 ; one of the two fixed points of γ_2 is inside C'_2 , and the other one is inside C_2 . The transformations γ_1 and γ_2 are conjugate to those given in §2.

We thus have four disjoint disks D_1, D'_1, D_2 , and D'_2 , whose centers are on the real axis, and two loxodromic transformations γ_1 and γ_2 taking D_1 and D_2 to the complementary disks of D'_1 and D'_2 , respectively. Note that one of the two fixed points of γ_1 is in D'_1 and the other one in D_1 ; one of the two fixed points of γ_2 is in D'_2 and the other one in D_2 .

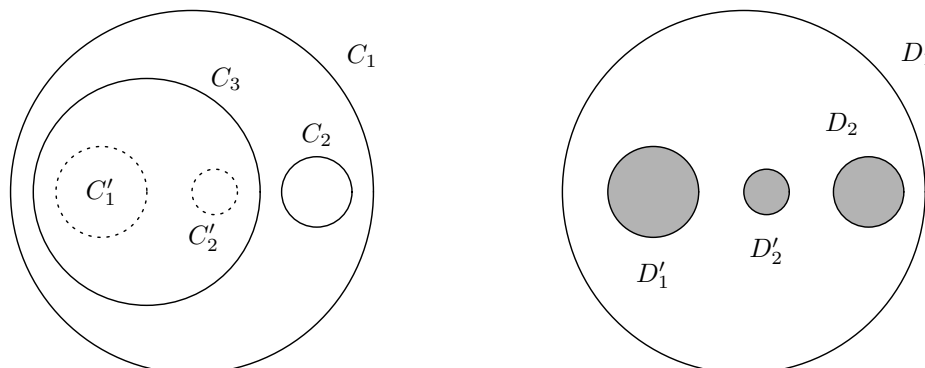


FIGURE 1. The circles and the disks

4. THE MODULI SPACE S

Two loxodromic transformations γ_1 and γ_2 generate a (classical) Schottky group if and only if there are four disjoint closed disks $D_1, D'_1, D_2,$ and D'_2 , such that γ_1 and γ_2 take D_1 and D_2 to the complementary disks of D'_1 and D'_2 , respectively. Throughout the paper, disks are always assumed to be *closed*; we simply call them *disks*. The closure of the complement of a disk in \mathbb{P}^1 will simply be called *the complementary disk of the disk*.

Definition. Let S be the space of two loxodromic transformations γ_1 and γ_2 equipped with four disjoint disks $D_1, D'_1, D_2,$ and D'_2 , such that γ_1 and γ_2 take D_1 and D_2 to the complementary disks of D'_1 and D'_2 , respectively.

The space has a natural structure of a complex 3-dimensional manifold. We are interested in its homotopic property.

Note that if a loxodromic transformation γ takes a disk D onto the complementary disk of a disk D' ($D \cap D' = \emptyset$), then γ has a fixed point in D and the other fixed point in D' .

A loxodromic transformation is determined by the two fixed points and the multiplier.

Lemma 1. *For two given disjoint disks D and D' , there is a unique point $F \in D$ (resp. $F' \in D'$) such that by a(ny) linear fractional transformation taking F (resp. F') to ∞ , the two circles ∂D and $\partial D'$ are transformed into concentric circles.*

Proof. Let the two disks be given as

$$D : |z - a| \leq r, \quad D' : |z - a'| \leq r'.$$

By the transformation $z \rightarrow w$ defined by

$$z = \frac{1}{w} + \zeta$$

taking ζ to ∞ , the circles $C = \partial D$ and $C' = \partial D'$ are transformed into circles with centers

$$c := \frac{\bar{\zeta} - \bar{a}}{r^2 - |\zeta - a|^2}, \quad c' := \frac{\bar{\zeta} - \bar{a}'}{r'^2 - |\zeta - a'|^2},$$

respectively. Equating c and c' , we have the quadric equation

$$\zeta^2 + \left(-a - a' + \frac{r^2 - r'^2}{\bar{a} - \bar{a}'}\right) \zeta + aa' + \frac{ar'^2 - a'r^2}{\bar{a} - \bar{a}'} = 0.$$

Put $\zeta = (a - a')\eta + a'$. Note that $\zeta = a'$ and a correspond to $\eta = 0$ and 1 , respectively. Then the equation above for ζ reduces to

$$\eta^2 + \left(-1 + \frac{r^2 - r'^2}{|a - a'|^2}\right) \eta + \frac{r'^2}{|a - a'|^2} = 0.$$

It is a high school mathematics problem to see that each of the two roots of this equation is in each of the two intervals

$$\left(0, \frac{r'}{|a - a'|}\right) \quad \text{and} \quad \left(1 - \frac{r}{|a - a'|}, 1\right).$$

Since concentric circles are mapped to concentric circles under linear transformations, this completes the proof. □

Remark 1. The circles ∂D and $\partial D'$ are Apollonius circles with respect to the two centers F and F' .

Lemma 2. *For two given disjoint disks D and D' and a point f' in the interior of D' , there is a 1-parameter family (parametrized by a circle) of loxodromic transformations γ which take D onto the complementary disk of D' , and fix f' . The absolute value $|m|$ of the multiplier m of γ is determined by the given data.*

(1) *If $f' \neq F'$, then the other fixed point $f \in D$ of γ must be on the Apollonius circle $A = A(f')$ in D determined by the two centers of the circles ∂D and $\partial D'$, and proportion $|m|$. $\arg m \in \mathbb{R}/2\pi\mathbb{Z}$ determines f , and vice versa.*

(2) *If $f' = F'$, then the other fixed point is $F \in D$. $\arg m \in \mathbb{R}/2\pi\mathbb{Z}$ remains free.*

Proof. We can assume that $f = 0$ and $f' = \infty$, so that the transformation in question can be presented by $z \mapsto mz$. Let c and r be the center and the radius of the disk D , and c' and r' those of the complementary disk of D' . Then we have $c' = mc$ and $r' = |m|r$.

(1) If $c \neq c'$, $f (= 0)$ is on the Apollonius circle

$$A : |f - c'| = |m||f - c|$$

with centers c and c' , and proportion $|m|$. It is easy to see that this circle is in D . (See Figure 2.)

(2) If $c = c' = 0$, then the Apollonius circle reduces to a point. □

In case (2), we blow up the point F to be the circle $\mathbb{R}/2\pi\mathbb{Z}$, and call this circle also the Apollonius circle A . Under this convention, two disjoint disks D and D' , an interior point $f' \in D'$, and a point f on the Apollonius circle A uniquely determine a loxodromic transformation.

Thus an element of S can be determined by four disjoint disks D_1, D'_1, D_2, D'_2 , two interior points $f'_1 \in D'_1, f'_2 \in D'_2$, and two points f_1 on the Apollonius circle A_1 (determined by D_1, D'_1, f'_1) in D_1 , and f_2 on the Apollonius circle A_2 (determined by D_2, D'_2, f'_2) in D_2 . Since disks are contractible, we have

Proposition 1. *The fundamental group of S can be generated by the moves of the four disjoint disks D_1, D'_1, D_2, D'_2 , and the moves of f_1 in A_1 , and f_2 in A_2 .*

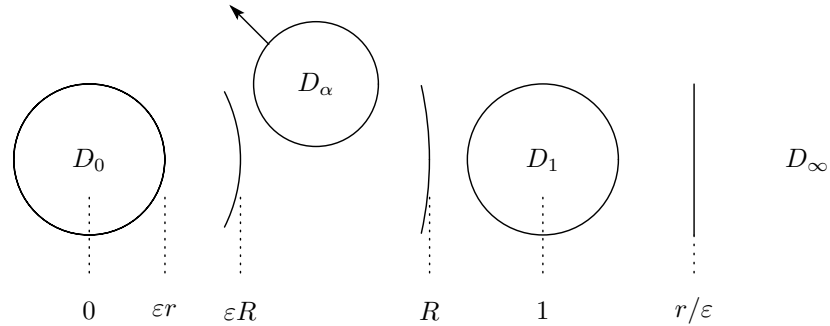


FIGURE 3. The disk D_α travels around the disk D_0

5.1. **The disk D_α travels around the disk D_0 .** We construct a loop in S (with base in S_0), which is represented by a travel of the disk D_α around the disk D_0 with a change of argument (by 2π) of the multiplier of γ_2 . We fix c and the real part of a (as $1/2$), and let the real part of b move from $1/2$ to $3/2$; the imaginary parts of a and b are so chosen that the monodromy group $M(a, b, c)$ remains to be a Schottky group along the move. Putting $c = 1 - i\theta_0$, we have

$$g(x) = \frac{\varepsilon^{-1} - \varepsilon e^{2\pi i x}}{1 - e^{2\pi i x}} = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 - e^{2\pi i x}}, \quad \text{where } \varepsilon = e^{-\pi\theta_0} < 1.$$

Set

$$r = g\left(\frac{1}{2} - \frac{i}{2}(\theta_0 + 2)\right), \quad R = g\left(\frac{1}{2} - \frac{i}{2}(\theta_0 + 1)\right).$$

Then we have

$$0 < \varepsilon < r = \varepsilon \frac{e^{2\pi} + \varepsilon^{-1}}{e^{2\pi} + \varepsilon} < R = \varepsilon \frac{e^\pi + \varepsilon^{-1}}{e^\pi + \varepsilon} < 1.$$

Let D_0 be the disk with center at 0 and with radius εr , and D_∞ the complementary disk of the disk with center at 0 and with radius $\varepsilon^{-1}r$. Note that we have

$$0 < \varepsilon r < \varepsilon R < R < 1 < \varepsilon^{-1}r,$$

and that γ_1 maps D_0 onto the outside disk of D_∞ .

Define real continuous functions $\phi(t)$ and $\psi(t)$ for $0 \leq t \leq 1$ by

$$Re^{2\pi i t} = g\left(\frac{1}{2} + \phi(t) - \frac{i}{2}(\theta_0 + \psi(t))\right).$$

Since

$$2\pi i \left(\frac{1}{2} + \phi(t) - \frac{i}{2}(\theta_0 + \psi(t))\right) = \log(Re^{2\pi i t} - \varepsilon^{-1}) - \log(Re^{2\pi i t} - \varepsilon),$$

the function $\phi(t)$ is monotone increasing with $\phi(0) = 0, \phi(1) = 1$, and $\psi(t)$ satisfies $\psi(0) = \psi(1) = 1$. Choose θ_1 so large that

- $\theta_1 > \max\{\psi(t) \mid 0 \leq t \leq 1\}$, and that
- for any z in the ring $\{\varepsilon R \leq |z| \leq R\}$, there are two disjoint disks $D_1 (\ni 1)$ and $D_z (\ni z)$, and a fractional linear transformation with multiplier $e^{-2\pi\theta_1}$ mapping D_1 onto the complementary disk of D_z .

Now set $\theta_2(t) = \theta_1 - \psi(t) > 0$ and deform the parameters a and b as

$$\begin{aligned} a(t) &= \frac{1}{2} - \frac{i}{2}(\theta_0 + \theta_1 + \theta_2(t)), \\ b(t) &= \frac{1}{2} + \phi(t) - \frac{i}{2}(\theta_0 + \theta_1 - \theta_2(t)). \end{aligned}$$

Then we have $\varepsilon < g(a(t)) < 1, |g(b(t))| = R$, and so $\alpha(t) = g(a(t))g(b(t))$ satisfies

$$\varepsilon R < |\alpha(t)| = g(a(t))|g(b(t))| < R.$$

Thus $\alpha(t)$ travels around the disk D_0 in the ring $\{\varepsilon R \leq |z| \leq R\}$, and there are two disjoint disks $D_1 (\ni 1)$ and $D_{\alpha(t)} (\ni \alpha)$ in the ring $\{\varepsilon r < |z| < \varepsilon^{-1}r\}$, and a transformation $\gamma_2(t)$ with multiplier $e^{2\pi i\mu} = e^{-2\pi\theta_1}$ which maps D_1 onto the complementary disk of $D_{\alpha(t)}$.

5.2. The disk D_α travels around the disk D_1 . We construct a loop in S (with base in S_0), which is represented by a travel of the disk D_α around the disk D_1 with a change of argument (by 2π) of the multiplier of γ_2 . We fix c, a and the imaginary part of b , and let the real part of b move from $1/2$ to $3/2$. Take $\theta_0 > 0$ and $\theta' < 0$ satisfying

$$(1) \quad \frac{e^{\pi\theta'}(1 + e^{\pi\theta'})}{1 - e^{\pi\theta'}} < \varepsilon^{-2}, \quad \varepsilon = e^{-\pi\theta_0}.$$

Set

$$r = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 + e^{\pi\theta'}}, \quad R = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 - e^{\pi\theta'}}.$$

Since we have

$$\frac{1 - \varepsilon r}{r} = \frac{1 - \varepsilon^2}{r} \left(1 - \frac{1}{1 + e^{\pi\theta'}}\right) > 0$$

and

$$\varepsilon^{-1}r - \varepsilon R = (1 - \varepsilon^2) \left(1 + \frac{\varepsilon^{-2}}{1 + e^{\pi\theta'}} - \frac{1}{1 - e^{\pi\theta'}}\right) > 0,$$

where the last inequality holds thanks to (1), there is a positive number s satisfying

$$0 < s < \min \left\{ r, \frac{1 - \varepsilon r}{r}, \frac{\varepsilon^{-1}r - \varepsilon R}{\varepsilon^{-1} + R} \right\},$$

which implies

$$(2) \quad (\varepsilon + s)r < 1, \quad (\varepsilon + s)R < \varepsilon^{-1}(r - s).$$

Take θ_1 so large that

$$(3) \quad \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 + e^{\pi(\theta_0 + \theta_1)}} < \varepsilon + s.$$

Now set $\theta_2 := \theta_0 + \theta_1 - \theta'$ and

$$a = \frac{1}{2} - \frac{i}{2}(\theta_0 + \theta_1 + \theta_2),$$

and deform (the real part of) b as

$$b(t) = \frac{1}{2} + t - \frac{i}{2}(\theta_0 + \theta_1 - \theta_2) = \frac{1}{2} + t - \frac{i}{2}\theta'.$$

Note that

$$\varepsilon < g(a) = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 + e^{\pi(\theta_0 + \theta_1 + \theta_2)}} < \varepsilon + s \quad (\text{here we used (3)}),$$

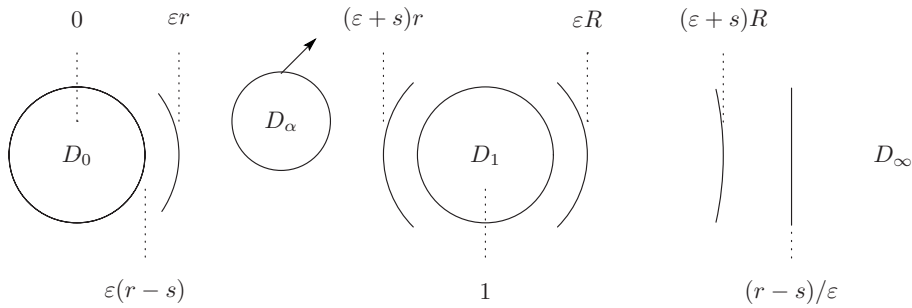


FIGURE 4. The disk D_α travels around the disk D_1

and that

$$g(b(t)) = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 + e^{\pi\theta'} e^{2\pi it}} \quad \text{satisfies} \quad r \leq |g(b(t))| \leq R.$$

These inequalities together with (2) imply that $\alpha(t) = g(a)g(b(t))$ is in the ring $\{\varepsilon r \leq |z| \leq (\varepsilon + s)R\}$, and that

$$\alpha(0) = \alpha(1) \leq (\varepsilon + s)r < 1 < \varepsilon R \leq \alpha(1/2).$$

This shows that $\alpha(t)$ travels around 1. Let D_0 be the disk with center at 0 and with radius $\varepsilon(r - s)$, D_∞ the complementary disk of the disk with center at 0 and with radius $\varepsilon^{-1}(r - s)$. Then γ_1 maps D_0 onto the complementary disk of D_∞ , and by the right side of (2), $D_0 \cup D_\infty$ is disjoint from the ring

$$\{\varepsilon r \leq |z| \leq (\varepsilon + s)R\} \ni 1, \alpha(t).$$

Thus by taking $\theta_1 > 0$ sufficiently large, for any $0 \leq t \leq 1$, there are two disjoint disks $D_1 (\ni 1)$, $D_{\alpha(t)} (\ni \alpha(t))$ in the complement of $D_0 \cup D_\infty$ and a transformation $\gamma_2(t)$ with multiplier $e^{2\pi i\mu} = e^{-2\pi\theta_1} e^{-2\pi it}$ which maps D_1 onto the complementary disk of the $D_{\alpha(t)}$ (see Figure 4).

5.3. The multiplier of γ_2 travels around 0. We construct a loop in S (with base in S_0), which is represented by the change of argument (by 2π) of the multiplier of γ_2 . We fix b and c , and the imaginary part of a , and let the real part of a move from $1/2$ to $3/2$. Set

$$\theta := \theta_0 + \theta_1 + \theta_2, \quad \varepsilon := e^{-\pi\theta_0}, \quad r := \frac{\varepsilon^{-1} - \varepsilon}{e^{\pi\theta} - 1}.$$

Choose and fix θ_0, θ_1 and θ_2 so that $\theta_1 = \theta_2$ and

$$r < \min \left\{ 1 - \varepsilon, \frac{1}{1 + \varepsilon^{-1}} \right\}.$$

Then since

$$b = \frac{1}{2} - \frac{i}{2}(\theta_0 + \theta_1 - \theta_2) = \frac{1}{2} - \frac{i}{2}\theta_0,$$

we have $e^{2\pi ib} = -\varepsilon^{-1}$, and so $g(b) = 1$.

Now we deform the parameter a as

$$a(t) = \frac{1}{2} + t - \frac{i}{2}\theta, \quad 0 \leq t \leq 1.$$

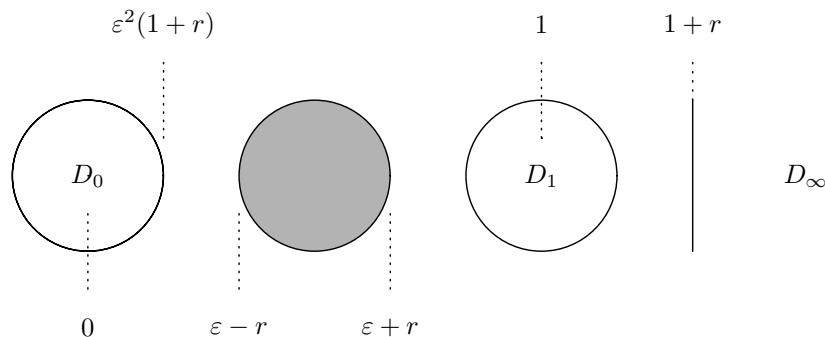


FIGURE 5. The fixed point α of γ_2 travels along the Apollonius circle

Since we have

$$\alpha(t) = g(a(t))g(b) = g(a(t)) = \varepsilon + \frac{\varepsilon^{-1} - \varepsilon}{1 + e^{\pi\theta} e^{2\pi it}},$$

the point α is in the disk with center at ε and with radius r . We thus have

$$\varepsilon^2(1+r) < \varepsilon - r \leq |\alpha(t)| \leq \varepsilon + r < 1 < 1+r.$$

Let D_0 be the disk with center at 0 and with radius $\varepsilon^2(1+r)$, D_∞ the complementary disk of the disk with center at 0 and with radius $1+r$, $D_{\alpha(t)}$ ($\ni \alpha(t)$) the disk with center at ε and with radius r . Then γ_1 maps D_0 onto the complementary disk of D_∞ , and $\alpha(t)$ belongs to the disk $\{|z - \varepsilon| \leq r\}$ which is disjoint from $D_0 \cup D_\infty \cup \{1\}$. Thus by taking $\theta_1 = \theta_2 > 0$ sufficiently large, for any $0 \leq t \leq 1$, there are two disjoint disks D_1 ($\ni 1$), $D_{\alpha(t)}$ ($\ni \alpha(t)$) in the complement of $D_0 \cup D_\infty$ and a transformation $\gamma_2(t)$ with multiplier $e^{2\pi i\mu} = e^{-2\pi\theta_1} e^{-2\pi it}$ which maps D_1 onto the complementary disk of $D_{\alpha(t)}$ (see Figure 5).

5.4. The multiplier of γ_1 travels around 0. We construct a loop in S (with base in S_0), which is represented by the change of argument (by 2π) of the multiplier of γ_1 . Note that by the change of variable $x \rightarrow 1 - x$, the equation $E(a, b, c)$ changes into $E(a, b, a + b + 1 - c)$. So we have only to literally follow §5.3 exchanging c and $a + b + 1 - c$, and θ_0 and θ_1 . We thus fix b and the imaginary parts of a and c , and let the real part of c move from 1 to 2, and let the real part of a move from 1/2 to 3/2 keeping $a + b + 1 - c$ constant.

6. MISCELLANEA

For a Schottky group Γ of genus 2, the quotient of the domain of discontinuity modulo Γ is a curve of genus 2. A curve of genus 2 is a double cover of \mathbb{P}^1 branching at six points, which are uniquely determined modulo automorphisms of \mathbb{P}^1 by the curve. Thus a Schottky group determines a point of the configuration space $X\{6\}$ of six-point sets on \mathbb{P}^1 . (The fundamental group of $X\{6\}$ is the Braid group with five strings.) When all the exponent-differences of the hypergeometric equation are purely imaginary, its monodromy group is a Schottky group, which determines six points on a line. Thus they determine a point of the configuration space $X(6)$ of six colored points on \mathbb{P}^1 . (The fundamental group of $X(6)$ is the colored Braid group with five strings.) The space $X(6)$ is well studied.

Remark 2. When all the exponent-differences are purely imaginary, there is a Schottky automorphic function defined by an absolutely convergent infinite product, which induces a holomorphic map of the genus 2 curve onto \mathbb{P}^1 ([IY]). This infinite product remains to be convergent for groups represented by loops above if we take the multipliers of γ_1 and γ_2 sufficiently small. This is because there is a circle separating two disks among the four ([BBEIM, Chapter 5]).

Problems. The four loops constructed in §5 induce those in $X\{6\}$. Do they generate the fundamental group of $X\{6\}$? Same problem for $X(6)$.

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