

## ON THE BOUNDARIES OF SELF-SIMILAR TILES IN $\mathbb{R}^1$

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ABSTRACT. The aim of this note is to study the construction of the boundary of a self-similar tile, which is generated by an iterated function system  $\{\phi_i(x) = \frac{1}{N}(x + d_i)\}_{i=1}^N$ . We will show that the boundary has complicated structure (no simple points) in general; however, it is a regular fractal set.

### 1. INTRODUCTION

Let  $N$  be a positive integer and let  $\mathcal{D} = \{d_1, d_2, \dots, d_q\} \subset \mathbb{R}$  be a set of real numbers. In this note we consider an *iterated function system* (IFS)  $\{\phi_i(x)\}_{i=1}^q$  defined as

$$\phi_i(x) = \frac{1}{N}(x + d_i), \quad i = 1, 2, \dots, q.$$

It is well known that there exists a unique nonempty compact set  $T$  satisfying

$$T = \bigcup_{i=1}^q \phi_i(T)$$

(see, e.g., [F]). We call  $T$  a *self-similar set*. If  $T$ , written as  $T(N, \mathcal{D})$ , has nonempty interior and  $q = N$ ,  $T$  is termed a *self-similar tile*. It was proved by Kenyon [K] and Lagarias and Wang [LW] that, if  $T$  is a self-similar tile, the set  $\mathcal{D}$  can be rationalized, that is, there exist real numbers  $a$  and  $c$  such that  $\mathcal{D} = c\mathcal{D}' + a$  and  $\mathcal{D}' \subset \mathbb{Z}$ . We will mainly study the geometric properties of self-similar tiles, so we can assume that  $\mathcal{D}$  lies in  $\mathbb{Z}$ . Note that  $T(N, \mathcal{D} - k) = T(N, \mathcal{D}) - k/(N - 1)$ . Without loss of generality we can assume that  $d_1 = 0 < d_2 < \dots < d_q$  throughout this paper.

Now we introduce the concept *product form* defined by Odlyzko [O] and Lagarias and Wang [LW2]. Denote  $E + F := \{x + y : x \in E, y \in F\}$  for any two sets  $E$  and  $F$ . For the given  $N$ ,  $\mathcal{D}$  is said to have the product form if there is a residue system  $\mathcal{E} \pmod{N}$  with  $0 \in \mathcal{E}$  so that

$$\mathcal{E} = \mathcal{E}_1 + \mathcal{E}_2 + \dots + \mathcal{E}_k$$

with all sums distinct and

$$\mathcal{D} = N^{l_1} \mathcal{E}_1 + N^{l_2} \mathcal{E}_2 + \dots + N^{l_k} \mathcal{E}_k,$$

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where  $0 \in \mathcal{E}_i$  for  $1 \leq i \leq k$ ,  $\#\mathcal{E}_i$ , the cardinality of  $\mathcal{E}_i$ , is larger than one and all  $l_i$  are integers with  $0 \leq l_1 \leq l_2 \leq l_3 \leq \cdots \leq l_k$ .  $\mathcal{D}$  is said to have the *strict product form* if  $\mathcal{E} = \{0, 1, \dots, N-1\}$ . For example, for  $N = 4$ ,  $\mathcal{D}_1 = \{0, 1\} + 4\{0, 2\} = \{0, 1, 8, 9\}$  is a strict product form and  $\mathcal{D}_2 = \{0, 5\} + 4\{0, 2\} = \{0, 5, 8, 13\}$  is a (not strict) product form.

**Theorem 1.1** ([O], [LW2]). *If  $\mathcal{D}$  has the product form, then the self-similar set  $T(N, \mathcal{D})$  is a self-similar tile. Moreover,  $T(N, \mathcal{D})$  is a union of finite closed intervals if and only if  $\mathcal{D}$  has the strict product form.*

The sufficient condition ( $\mathcal{D}$  is a product form) in Theorem 1.1 is far from being necessary. In general it is difficult to characterize the set  $\mathcal{D}$  for an integer  $N$  so that  $T(N, \mathcal{D})$  is a tile; only the case  $N = p^n$  and  $N = pr$ , where  $p$  and  $r$  are prime, was solved by Lagarias and Wang [LW2], Lau and Rao [LR] respectively. Theorem 1.1 implies that the interior of a tile  $T(N, \mathcal{D})$  contains an infinite number of disjoint open intervals if  $\mathcal{D}$  is not a strict product form. What can we say about the construction of the tile in this case?

Some notions and initial ideas come from Xu [X]. Let  $x \in \partial T$  be a point on the boundary of  $T$ ;  $x$  is called a *simple point* if there exists  $\epsilon > 0$  such that either  $(x - \epsilon, x) \cap T = \emptyset$  and  $(x, x + \epsilon) \cap T = (x, x + \epsilon)$  or  $(x - \epsilon, x) \cap T = (x - \epsilon, x)$  and  $(x, x + \epsilon) \cap T = \emptyset$ .

**Theorem 1.2.** *If  $T = T(N, \mathcal{D})$  is a self-similar tile but  $\mathcal{D} \subset \mathbb{Z}$  is not a strict product form, then the boundary  $\partial T$  of  $T$  contains no simple points.*

Theorem 1.2 implies that  $\partial T$  is a nonempty compact set which has no isolated points. Then it contains infinite members. Moreover we have the following result:

**Theorem 1.3.** *If  $T = T(N, \mathcal{D})$  is a self-similar tile but  $\mathcal{D} \subset \mathbb{Z}$  is not a strict product form, then the boundary  $\partial T$  of  $T$  is a fractal, that is,  $0 < \dim_H \partial T < 1$ .*

We remark that  $\dim_H \partial T < 1$  was already established in Strichartz and Wang [SW]. Also the above two theorems no longer hold in the nonuniform dilations setting. For example, let  $f_1(x) = \frac{2}{3}x$ ,  $f_2(x) = \frac{2}{9}x + \frac{4}{9}$  and  $f_3(x) = \frac{1}{9}x + \frac{8}{9}$ . Then it can be checked that the self-similar set is

$$T = \bigcup_{k=0}^{\infty} [1 - \frac{1}{3^{2k}}, 1 - \frac{1}{3^{2k+1}}] \cup \{1\}.$$

Then  $\partial T$  has simple points and  $\dim_H \partial T = 0$ . For the definitions of Hausdorff and box dimensions we refer to [F]. In general we have

**Theorem 1.4.** *Let  $T = T(N, \mathcal{D})$  be a self-similar set with  $\mathcal{D} \subset \mathbb{Z}$  and  $\#\mathcal{D} = q \geq N$ . Then  $\partial T$  is a regular set, i.e.,  $\dim_H \partial T = \dim_B \partial T$ .*

## 2. PROOF OF THEOREM 1.2

Throughout this section we shall assume that the digit set  $\mathcal{D}$  for the self-affine tile  $T(N, \mathcal{D})$  has the form  $\mathcal{D} = \{0, d_2, \dots, d_N\}$  with  $d_j \in \mathbb{Z}$  and  $0 < d_2 < \cdots < d_N$ .

Let  $\Sigma_q = \{1, 2, \dots, q\}$ ,  $\Sigma_q^n = \{(i_1, i_2, \dots, i_n) : \text{all } i_s \in \Sigma_q\}$  and  $\Sigma_q^* = \bigcup_{n=1}^{\infty} \Sigma_q^n$ . For the IFS  $\phi_i = \frac{1}{N}(x + d_i)$ ,  $i = 1, 2, \dots, q$ , and  $\sigma = (i_1, i_2, \dots, i_n) \in \Sigma_q^*$ , as usual we define  $\phi_\sigma(x) = \phi_{i_1} \circ \phi_{i_2} \circ \cdots \circ \phi_{i_n}(x)$ , the composition of  $\phi_{i_s}$  ( $1 \leq s \leq n$ ). We

say that an IFS  $\{\phi_i(x)\}_{i=1}^q$  satisfies the *open set condition* (OSC) if there exists a nonempty open set  $O$  (bounded) such that

$$\bigcup_{i=1}^q \phi_i(O) \subseteq O \quad \text{and} \quad \phi_i(O) \cap \phi_j(O) = \emptyset \quad \forall i \neq j.$$

We remark that an IFS which generates a self-similar tile satisfies the OSC. This property will be used in the following. We say that a sequence of open intervals  $\{(a_n, b_n)\}_{n=1}^\infty$  is *monotonically decreasing to a* if  $b_{n+1} < a_n$  for all  $n \geq 1$  and  $\lim_{n \rightarrow \infty} a_n = a$ ; Similarly a sequence of open intervals  $\{(a_n, b_n)\}_{n=1}^\infty$  is *monotonically increasing to b* if  $b_n < a_{n+1}$  for all  $n \geq 1$  and  $\lim_{n \rightarrow \infty} b_n = b$ . Since  $T$  can be expressed explicitly as

$$T = \left\{ \sum_{k=1}^\infty N^{-k} d_k : d_k \in \mathcal{D} \right\},$$

clearly  $T \subset [0, d_q/(N - 1)]$ .

**Lemma 2.1.** *Let  $T(N, \mathcal{D})$  be a self-similar tile and let  $a_1 = 0$ . Suppose that  $T \cap [0, b_k] = \bigcup_{i=1}^k [a_i, b_i]$  with  $b_i < a_{i+1}$ ,  $i = 1, 2, \dots, k - 1$ , and  $b_k \in \partial T$ . Then  $b_k$  is a simple point.*

*Proof.* Suppose that  $b_k$  is not a simple point. Then there exists a sequence of open intervals  $\{(\alpha_n, \beta_n)\}_{n=1}^\infty$  monotonically decreasing to  $b_k$  satisfying  $(\alpha_n, \beta_n) \subseteq T$  and for each  $n \geq 1$  there is an open interval  $(\alpha'_n, \beta'_n) \subseteq (\beta_{n+1}, \alpha_n)$  such that  $(\alpha'_n, \beta'_n) \cap T = \emptyset$ . Note that  $T = \bigcup_{i=1}^N \phi_i(T)$  and  $\phi_1(b_k) = b_k/N < b_k$ . Then there exists  $i$ ,  $1 \leq i \leq k$ , such that  $\phi_1(b_k) \in [a_i, b_i]$ . We claim that  $\phi_1(b_k) \neq b_i$ . In fact, if  $\phi_1(b_k) = b_i$ , since the sequence  $\{(\alpha_n, \beta_n)\}_{n=1}^\infty$  is monotonically decreasing to  $b_k$ , then  $\phi_1((\alpha_n, \beta_n)) \subseteq (b_i, a_{i+1})$  for  $n$  large enough, which contradicts  $\phi_1(T) \subseteq T$ . Hence  $\phi_1(b_k) \in [a_i, b_i)$ .

Now we show that  $\phi_1(b_k) < \phi_2(a_k)$ . Suppose otherwise, that is,  $\phi_1(b_k) \in \phi_2([a_k, b_k])$ . Then for  $n$  large enough, we have  $\phi_1((\alpha_n, \beta_n)) \subseteq \phi_2([a_k, b_k])$ , which contradicts the OSC. Hence  $\phi_1(b_k) < \phi_2(a_k)$ . In this case there is an integer  $m$  such that  $\phi_1((\alpha'_n, \beta'_n)) \subseteq [a_i, b_i)$  and  $\phi_1(\beta'_n) < \phi_2(a_k)$  for all  $n \geq m$ . This implies that  $\bigcup_{n=m}^\infty \phi_1((\alpha'_n, \beta'_n))$  can be covered by  $\bigcup_{i=2}^N \bigcup_{j=1}^k \phi_i([a_j, b_j])$ . Thus one of the intervals of the latter contains two adjacent intervals of the former, which contradicts the OSC again by the definitions of the sequences. So the result follows.  $\square$

**Lemma 2.2.** *Let  $T(N, \mathcal{D})$  be a self-similar tile and let  $a_1 = 0$ . Suppose that  $T \cap [0, a_{k+1}] = a_{k+1} \cup \bigcup_{i=1}^k [a_i, b_i]$  with  $b_i < a_{i+1}$ ,  $i = 1, 2, \dots, k$ . Then  $a_{k+1}$  is a simple point.*

*Proof.* Let  $\eta = a_{k+1} - b_k > 0$  and choose  $n$  such that, for any  $\sigma \in \Sigma_N^n$ , the diameter of  $\phi_\sigma(T)$  is less than  $\eta$ . Since  $T = \bigcup_{\sigma \in \Sigma_N^n} \phi_\sigma(T)$ , there exists  $\sigma \in \Sigma_N^n$  such that  $a_{k+1} \in \phi_\sigma(T)$ . Observing  $(b_k, a_{k+1}) \cap T = \emptyset$  and the choice of  $n$ , we have  $a_{k+1} = \phi_\sigma(0)$ , and thus  $a_{k+1}$  is the left end point of the closed interval  $\phi_\sigma([0, b_1])$  which is contained in  $T$ . This implies that  $a_{k+1}$  is a simple point.  $\square$

**Lemma 2.3.** *Suppose that  $T = T(N, \mathcal{D})$  is a self-similar tile but  $\mathcal{D}$  is not of the strict product form. Then both  $0$  and  $d_N/(N - 1)$  are not simple points.*

*Proof.* Suppose that  $0$  is a simple point of  $\partial T$ . Since  $\mathcal{D}$  is not of the strict product form, the interior  $T^\circ$  of  $T$  consists of countable disjoint open intervals without

common end points. Let  $b_1 = \max\{x : [0, x] \subseteq T\}$ ; then by Lemma 2.1  $b_1$  is a simple point. Denote by  $a_2$  the smallest point in  $T$  which is larger than  $b_1$ . By Lemma 2.2 the point  $a_2$  is simple too. With the same idea it is easy to see that there exists a point  $a \in T$  and a monotonically increasing sequence  $\{(a_n, b_n)\}_{n=1}^\infty$  which converges to  $a$  such that  $a_1 = 0$  and

$$T \cap [0, a] = \bigcup_{n=1}^\infty [a_n, b_n] \cup \{a\}.$$

It is obvious that there exists an  $i$  such that  $\phi_1(a) \in (a_i, b_i]$  and  $m_1$  such that  $\phi_1([a_n, b_n]) \subset (a_i, \phi_1(a))$  for  $n \geq m_1$ . Since  $\phi_j(a) > \phi_1(a)$  for  $j \geq 2$ , there exists  $m_2$  such that  $\phi_j(a_n) > \phi_1(a)$  for  $n \geq m_2$  and  $2 \leq j \leq N$ . Let  $m = \max\{m_1, m_2\}$ . Hence  $\bigcup_{s=m}^\infty \phi_1((b_s, a_{s+1})) \subset (a_i, \phi_1(a))$  can be covered by  $\bigcup_{j=2}^N \bigcup_{n=1}^m \phi_j([a_n, b_n])$ . This is impossible by the proof of Lemma 2.1. Hence 0 is not a simple point. The proof of the result about the point  $d_N/(N - 1)$  is similar (symmetric).  $\square$

*Proof of Theorem 1.2.* Suppose that  $a \in \partial T$  is a simple point. By the definition of a simple point, without loss of generality we assume that  $(a - \epsilon, a) \cap T = \emptyset$  and  $(a, a + \epsilon) \cap T = (a, a + \epsilon)$  for some  $\epsilon > 0$ . Similar to the proof of Lemma 2.2, we choose  $n$  so that the diameter of  $\phi_\sigma(T)$  is less than  $\epsilon$  for all  $\sigma \in \Sigma_N^n$ . Then there exists a  $\sigma \in \Sigma_N^n$  satisfying  $a = \phi_\sigma(0)$ . Note that, for different  $\sigma' \in \Sigma_N^n$ , either  $\max_{x \in T} \phi_{\sigma'}(x) \leq a - \epsilon$  or  $\phi_{\sigma'}(0) \geq \phi_\sigma(0) + N^{-n}$  by OSC. This implies that  $[a, a + N^{-n}) \cap T = [a, a + N^{-n}) \cap \phi_\sigma(T)$ , which leads to  $a = \phi_\sigma(0)$  is not a simple point by Lemma 2.3. The result follows from this contradiction.  $\square$

### 3. PROOFS OF THEOREMS 1.3 AND 1.4

In this section we prove Theorems 1.3 and 1.4. Note that the IFS  $\{\phi_i(x) = \frac{1}{N}(x + d_i)\}_{i=1}^q$  does not satisfy the OSC in general, which causes some difficulties in studying the dimensions. To overcome these difficulties several methods have been used. Here we follow the approach of [HLR] to obtain a graph-directed system with OSC such that one of the graph-directed sets is  $\partial T$ . A different method was used in [SW]. Since  $T(N, \mathcal{D}) \subseteq [0, d_q/(N - 1)]$ , let  $b$  be the minimal integer which is larger than or equal to  $d_q/(N - 1)$ . We construct an auxiliary tile  $\Gamma := T(N, \mathcal{C}) = [0, b] \supseteq T[N, \mathcal{D}]$  where  $\mathcal{C} = \{0, b, 2b, \dots, (N - 1)b\}$ , and define  $\psi_j(x) = \frac{1}{N}(x + (j - 1)b)$ ,  $j = 1, 2, \dots, N$ . Let  $\Gamma_J = \psi_J(\Gamma)$  for all  $J \in \Sigma_N^*$ . The sequence  $\{\Gamma_J : J \in \Sigma_N^k\}_{k \geq 1}$  forms a nested family of partitions of  $[0, b]$ . We can select a graph-directed system from these partitions: for  $J \in \Sigma_N^k$ , we give a label to  $\Gamma_J$  as

$$\Delta(J) = \{d_I - c_J : I \in \Sigma_q^k, \phi_I(\Gamma) \cap \psi_J(\Gamma) \neq \emptyset\},$$

where  $c_J = c_{j_k} + Nc_{j_{k-1}} + \dots + N^{k-1}c_{j_1}$  if  $J = (j_1, j_2, \dots, j_k) \in \Sigma_N^k$  and the definition of  $d_I$  is similar. Let  $\mathcal{S}_k = \{J \in \Sigma_N^k : \Delta(J) \neq \emptyset\}$ ,  $\mathcal{S}_k^o = \{J \in \Sigma_N^k : \Delta(JJ') \neq \emptyset, \forall J' \in \Sigma_N^*\}$  and  $\mathcal{S}'_k = \mathcal{S}_k - \mathcal{S}_k^o$ . It is easy to see that the interval  $\psi_J(\Gamma) \subseteq T$  if and only if  $J \in \mathcal{S}_k$  and by the construction

$$(3.1) \quad T = \bigcap_{k=1}^\infty \left( \bigcup_{J \in \mathcal{S}_k} \psi_J(\Gamma) \right) \quad \text{and} \quad \partial T = \bigcap_{k=1}^\infty \left( \bigcup_{J \in \mathcal{S}'_k} \psi_J(\Gamma) \right).$$

(We remark that it may be necessary to add one or two end points  $\{0, b\}$  to the right side of the second identity above according to the simplicities of 0 and  $b$ , which causes trivial changes in the following proofs and no influence at all on the results.)

The crux of this construction is that  $\{\Delta(J) : J \in \Sigma_N^*\}$  is a finite set. This allows us to construct a graph-directed system to reproduce  $T$  and  $\partial T$  in view of (3.1). Here we consider  $\partial T$  only. Let  $\{\Delta(J_i)\}_{i=1}^m$  be all different words in  $\mathcal{S}' = \bigcup_{k=1}^{\infty} \mathcal{S}'_k$ . Then we define the vertices  $V$  as:

$$V = \{\Delta(0), \Delta(J_1), \dots, \Delta(J_m)\},$$

where  $\Delta(0) = \{0\}$  is the “root” (define  $\Delta(0J) = \Delta(J)$ ). The corresponding directed edges  $E = \{E_{ij}\}_{i,j=0}^m$  on  $V$  are

$$E_{ij} = \{c_s \in \mathcal{C} : \Delta(J_i s) = \Delta(J_j), 1 \leq s \leq N\},$$

which come from the partition relationship

$$\Delta(J_i) \rightarrow \Delta(J_i 1) \Delta(J_i 2) \cdots \Delta(J_i N), \quad i = 0, 1, \dots, m.$$

It is clear that, for any vertex  $\Delta(J_j)$ , there is a path from the root  $\Delta(0)$  to it. If we let

$$\phi_{i,j}^e = N^{-1}(x + e), \quad e \in E_{i,j}, \quad i, j = 0, 1, \dots, m,$$

then according to [HLR, Proposition 3.3], there are nonempty compact subsets  $\{F_0 = \partial T, F_1, \dots, F_m\}$  satisfying the following graph-directed relationship for  $\partial T$ :

$$(3.2) \quad F_i = \bigcup_{j=0}^m \bigcup_{e \in E_{i,j}} \phi_{i,j}^e(F_j) = \bigcup_{j=0}^m N^{-1}(F_j + E_{i,j}), \quad i = 0, 1, \dots, m.$$

From (3.2) we can define an  $(m+1) \times (m+1)$  matrix  $B$  with the  $(i, j)$ th entry given by

$$b_{ij} = \#E_{i,j}, \quad i, j = 0, 1, \dots, m$$

[F, p. 48], where  $B$  is called the *adjacency* matrix of  $\partial T$ . The adjacency matrix is used to count the number of paths of the graph-directed sets in the iteration. Let  $e$  be the  $(m+1)$ -vector with all entries equal to 1 and let  $e_i$  be an  $(m+1)$ -vector with the  $i$ th entry 1 and zero otherwise. It is not difficult to prove that  $\#\mathcal{S}'_n = e_0^t B^n e$  where  $\mathcal{S}'_n$  is used in (3.1) [HLR, Proposition 4.1], which satisfies

$$\lim_{n \rightarrow \infty} (\#\mathcal{S}'_n)^{1/n} = \lambda_B,$$

where  $\lambda_B$  is the spectral radius of  $B$ . The Hausdorff dimension of  $\partial T$  can be calculated by the following theorem [HLR, Theorem 4.3].

**Theorem 3.1.** *Suppose the self-similar set  $T(N, \mathcal{D})$  has nonempty interior and let  $B$  be the adjacency matrix of  $\partial T$ . Then*

$$\dim_H(\partial T) = \frac{\log \lambda_B}{\log N},$$

where  $\lambda_B$  is the spectral radius of  $B$ .

To prove Theorem 1.3 we need the following lemmas.

**Lemma 3.2.** *Suppose that the adjacency matrix  $B$  of  $\partial T$  is irreducible with spectral radius  $\lambda_B = 1$ . Then the cardinalities of all graph-directed sets are equal to one.*

*Proof.* By the Perron-Frobenius Theorem there exists a positive eigenvector  $v$  such that  $v = Bv$ . Since  $B$  is irreducible, for each  $j$  there exists an integer  $k$  such that

the  $(j, j)$ -entry  $b_{jj}^{(k)}$  of  $B^k$  is positive. Moreover, using  $v = B^k v$ , it is easy to get  $b_{ji}^{(k)} = 0$  for  $i \neq j$  and  $b_{jj}^{(k)} = 1$ . Hence we have, by iterating (3.2)  $k$  times,

$$N^k F_j = F_j + c_J$$

for some  $J \in \Sigma_N^k$ . Consequently  $F_j = \{\sum_{n=1}^\infty N^{-kn} c_J = c_J / (N^k - 1)\}$  is a singleton. □

**Lemma 3.3.** *Let  $\mathcal{D}$  be not a strict product form. Then we can modify all the graph-directed sets in (3.2) such that each of them has no isolated points (may be empty) and keep  $F_0 = \partial T$  invariant.*

*Proof.* From the relation

$$(3.3) \quad F_0 = \bigcup_{j=0}^m N^{-1}(F_j + E_{0,j}),$$

we claim that, if  $E_{0,j} \subseteq \mathcal{C}$  is nonempty for  $j \neq 0$ , then  $F_j$  can be selected so that no isolated points in it can be cancelled without influence on (3.3). Suppose there is an isolated point  $x_0 \in F_j$ . If

$$x_0 + E_{0,j} \subseteq \bigcup_{k=0, k \neq j}^m (F_k + E_{0,k}),$$

then we can omit  $x_0$  from  $F_j$ . If the above inclusion is not true, then  $x_0 + e$ , for some  $e \in E_{0,j}$ , is an isolated point of  $N F_0 = N \partial T$ , which is impossible by Theorem 1.2. If  $\#F_j$  is finite, that is, all points of it are isolated, then (3.3) holds for each point in  $F_j$ , in the case  $F_j$  can be cancelled from the graph-directed relation (3.3) without loss of anything. So the claim follows obviously. Note that in the graph-directed system for the boundary of  $T$  the “root” is  $\partial T = F_0$  and for each  $j$  there is a path from the root  $F_0$  to  $F_j$ . Those relations imply that all graph-directed sets can be modified by finite steps with the same method. □

*Proof of Theorem 1.3.* It is well known that the Hausdorff dimension of the boundary of a self-similar tile is less than one (see e.g. [SW]). Now we make use of Theorem 3.1 to prove that the dimension is positive. Since the adjacency matrix  $B$  can be decomposed as

$$\begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1k} \\ 0 & A_{22} & \cdots & A_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_{kk} \end{bmatrix},$$

where all  $A_{ii}$ ,  $i \geq 2$ , are irreducible and  $A_{11}$  is either a zero or an irreducible matrix [S], by Lemma 3.3 we can assume that all cardinalities of graph-directed sets in (3.2) are not finite, and then by Lemma 3.2 the spectral radius of  $A_{kk}$  is larger than one, and so is  $\lambda_B$ . Hence the result follows by Theorem 3.1. □

Before proving Theorem 1.4, we recall the definition of box dimension for a nonempty bounded subset  $E$  of  $\mathbb{R}^1$ . Let  $N_r(E)$  be the smallest number of sets of diameter  $r$  that can cover  $E$ . The lower and upper box dimension of  $E$  are defined as

$$\underline{\dim}_B E = \liminf_{r \rightarrow 0} \frac{\log N_r(E)}{-\log r} \quad \text{and} \quad \overline{\dim}_B E = \limsup_{r \rightarrow 0} \frac{\log N_r(E)}{-\log r}$$

respectively. If they are equal we refer to the common value as the box dimension of  $E$ .

*Proof of Theorem 1.4.* Denote  $s = \dim_H \partial T$ ; then  $\lambda_B = N^s$  by Theorem 3.1. For any  $t > s$  we have

$$\left( \sum_{\sigma \in \mathcal{S}'_n} |\psi_\sigma(\Gamma)|^t \right)^{1/n} = (\#\mathcal{S}'_n N^{-nt} b^t)^{1/n}.$$

Thus

$$\lim_{n \rightarrow \infty} \left( \sum_{\sigma \in \mathcal{S}'_n} |\psi_\sigma(\Gamma)|^t \right)^{1/n} = \lambda_B N^{-t} = N^{s-t} < 1.$$

This limit implies that there exists an integer  $m$  such that for  $n \geq m$  we have

$$\sum_{\sigma \in \mathcal{S}'_n} |\psi_\sigma(\Gamma)|^t < 1 \leq b^t,$$

which is equivalent to

$$\#\mathcal{S}'_n < N^{nt}.$$

For any  $r$ ,  $0 < r < bN^{-m}$ , there is an integer  $n$  larger than  $m$  satisfying

$$bN^{-n} \leq r < bN^{-n+1}.$$

Note that  $\{\psi_\sigma(\Gamma)\}_{\sigma \in \mathcal{S}'_n}$  is a  $bN^{-n}$ -cover of  $\partial T$ . Hence

$$N_r(\partial T) \leq \#\mathcal{S}'_n.$$

By the definition of box dimension we have

$$\begin{aligned} \overline{\dim}_B(\partial T) &= \limsup_{r \rightarrow 0} \frac{N_r(\partial T)}{-\log r} \\ &\leq \limsup_{n \rightarrow \infty} \frac{\log(\#\mathcal{S}'_n)}{\log bN^{-n+1}}, \\ &\leq \limsup_{n \rightarrow \infty} \frac{\log N^{nt}}{\log bN^{-n+1}} \leq t. \end{aligned}$$

The result follows by letting  $t$  tend to  $s$ . □

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