

A BOUND FOR THE TORSION CONDUCTOR OF A NON-CM ELLIPTIC CURVE

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ABSTRACT. Given a non-CM elliptic curve E over \mathbb{Q} of discriminant Δ_E , define the “torsion conductor” m_E to be the smallest positive integer so that the Galois representation on the torsion of E has image $\pi^{-1}(\text{Gal}(\mathbb{Q}(E[m_E])/\mathbb{Q}))$, where π denotes the natural projection $GL_2(\hat{\mathbb{Z}}) \rightarrow GL_2(\mathbb{Z}/m_E\mathbb{Z})$. We show that, uniformly for semi-stable non-CM elliptic curves E over \mathbb{Q} , one has $m_E \ll \left(\prod_{p|\Delta_E} p\right)^5$.

1. INTRODUCTION

Let E be an elliptic curve defined over a number field K and let

$$\varphi_E : \text{Gal}(\overline{K}/K) \rightarrow GL_2(\hat{\mathbb{Z}})$$

be the continuous group homomorphism defined by letting $\text{Gal}(\overline{K}/K)$ operate on the torsion points of E and by choosing an isomorphism $\text{Aut}(E_{\text{tors}}) \simeq GL_2(\hat{\mathbb{Z}})$. We will refer to φ_E as the **torsion representation of E** . A celebrated theorem of Serre [10] shows that if E has no complex multiplication, then the index of the image of φ_E is finite:

$$[GL_2(\hat{\mathbb{Z}}) : \varphi_E(\text{Gal}(\overline{K}/K))] < \infty.$$

This is equivalent to the statement that there exists an integer $m \geq 1$ with the property that

$$(1) \quad \varphi_E(\text{Gal}(\overline{K}/K)) = \pi^{-1}(\text{Gal}(K(E[m])/K)),$$

where $K(E[m])$ denotes the m -th division field of E , obtained by adjoining to K the x and y coordinates of the m -torsion points of a Weierstrass model of E , and where

$$\pi : GL_2(\hat{\mathbb{Z}}) \rightarrow GL_2(\mathbb{Z}/m\mathbb{Z})$$

denotes the projection.

Definition 1. We define the **torsion conductor** m_E of a non-CM elliptic curve E over K to be the smallest positive integer m so that (1) holds.

Serre [10, p. 299] has asked the following important question about the image of φ_E .

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Question 2. Given a number field K , is there a constant C_K such that for any non-CM elliptic curve E over K and any rational prime number $p \geq C_K$ one has

$$\text{Gal}(K(E[p])/K) \simeq GL_2(\mathbb{Z}/p\mathbb{Z})?$$

Even in the case of $K = \mathbb{Q}$ this question remains unanswered. Mazur [7, Theorem 4, p. 131] has shown that

$$(2) \quad E \text{ is semi-stable} \implies \forall p \geq 11, \text{Gal}(\mathbb{Q}(E[p])/ \mathbb{Q}) \simeq GL_2(\mathbb{Z}/p\mathbb{Z}).$$

His work also shows that, if $p > 19$, $p \notin \{37, 43, 67, 163\}$, and

$$(3) \quad \text{Gal}(\mathbb{Q}(E[p])/ \mathbb{Q}) \subsetneq GL_2(\mathbb{Z}/p\mathbb{Z}),$$

then $\text{Gal}(\mathbb{Q}(E[p])/ \mathbb{Q})$ is contained in the normalizer of a Cartan subgroup of $GL_2(\mathbb{Z}/p\mathbb{Z})$. The work of Parent [8] represents further progress towards resolution of the split Cartan case, while the work of Chen [2] shows that in the non-split case, new ideas are needed. Other authors have bounded the largest prime p satisfying (3) in terms of invariants of the elliptic curve ([11], [4], [3], and [6]).

In some applications it is useful to have effective control over the variation of m_E with E . In this paper we prove the following theorem, whose statement uses the Vinogradov symbol \ll , which is defined by

$$A \ll B \iff \exists \text{ an absolute constant } c \text{ such that } |A| \leq cB.$$

Theorem 3. *Let Δ_E denote the minimal discriminant of an elliptic curve E over \mathbb{Q} . Then, uniformly for semi-stable non-CM elliptic curves E over \mathbb{Q} , one has*

$$m_E \ll \left(\prod_{\substack{p \text{ prime} \\ p|\Delta_E}} p \right)^5.$$

If Question 2 has an affirmative answer when $K = \mathbb{Q}$, then the above bound holds uniformly for all elliptic curves E over \mathbb{Q} .

The proof of Theorem 3 uses elementary Galois theory to reduce the question to working “vertically over exceptional primes” or, in other words, to the analogous question of the Galois representation on the Tate module

$$\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_2(\mathbb{Z}_p),$$

where p satisfies (3). Such a study has been carried out in the recent work of Arai [1]. The main ideas are present in [9] and [5].

Remark 4. The torsion conductor m_E should not be confused with the number

$$A(E) := 2 \cdot 3 \cdot 5 \cdot \prod_{\substack{p \text{ prime} \\ \text{Gal}(\mathbb{Q}(E[p])/ \mathbb{Q}) \subsetneq GL_2(\mathbb{Z}/p\mathbb{Z})}} p$$

discussed in [3], which has the useful property that, for any integer n ,

$$\gcd(n, A(E)) = 1 \implies \text{Gal}(\mathbb{Q}(E[n])/ \mathbb{Q}) \simeq GL_2(\mathbb{Z}/n\mathbb{Z}).$$

This condition is weaker than (1). For example, if E is the curve $y^2 + y = x^3 - x$, then $A(E) = 30$ and $m_E = 74$. More generally, when E is a Serre curve (for a definition, see [10, pp. 310–311]), one has $A(E) = 30$, whereas m_E is greater than or equal to the square-free part of $|\Delta_E|$.¹

¹By the square-free part $|\Delta_E|$, we mean the unique square-free number n such that $|\Delta_E|/n$ is a square.

Notation 5. For a fixed elliptic curve E over \mathbb{Q} and for any positive integer n we will denote

$$L_n := \mathbb{Q}(E[n]), \quad G(n) := \text{Gal}(L_n/\mathbb{Q}),$$

and we will regard $G(n)$ as a subgroup of $GL_2(\mathbb{Z}/n\mathbb{Z})$. Also, we will overwork the symbol π , using it to denote any one of the canonical projections

$$\begin{aligned} \pi : GL_2(\hat{\mathbb{Z}}) &\rightarrow GL_2(\mathbb{Z}/n\mathbb{Z}), & \pi : GL_2(\mathbb{Z}_p) &\rightarrow GL_2(\mathbb{Z}/p^n\mathbb{Z}), \\ \text{or } \pi : GL_2(\mathbb{Z}/n\mathbb{Z}) &\rightarrow GL_2(\mathbb{Z}/d\mathbb{Z}) & (d \text{ dividing } n), \end{aligned}$$

or the restrictions of any of these projections to closed subgroups, for example

$$\pi : \varphi_E(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})) \rightarrow G(M) \quad \text{or} \quad \pi : G(n) \rightarrow G(d) \quad (d \text{ dividing } n).$$

We hope that these abbreviations will minimize cumbersome notation and not cause any confusion. We will say that an integer M *divides* N^∞ if whenever a prime p divides M , p also divides N . Throughout, the letters p and ℓ will always denote prime numbers.

2. PROOF OF THEOREM 3

Let E be a fixed non-CM elliptic curve over a number field K and denote by

$$\varphi_{E,p} : \text{Gal}(\overline{K}/K) \rightarrow GL_2(\mathbb{Z}_p) \simeq \text{Aut}(\varprojlim E[p^n])$$

the Galois representation on the Tate module of E at p . The following is a re-statement of [1, Theorem 1.2].

Theorem 6. *Let K be a number field and let p be a prime number. There exists an exponent $n_K(p)$ so that, for each non-CM elliptic curve E over K , one has*

$$\varphi_{E,p}(\text{Gal}(\overline{K}/K)) = \pi^{-1}(\text{Gal}(K(E[p^{n_K(p)}])/K)).$$

If $n_K(p) = 0$, this is interpreted to mean that $\varphi_{E,p}$ is surjective. In fact, for $K = \mathbb{Q}$ and $p > 3$ one has

$$(4) \quad G(p) \simeq GL_2(\mathbb{Z}/p\mathbb{Z}) \implies n_{\mathbb{Q}}(p) = 0.$$

This is proved by applying [9, Lemma 3, p. IV-23] with X equal to the commutator subgroup of $\varphi_{E,p}(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$, together with the fact that thanks to the Weil pairing, the determinant map

$$\det : \text{Gal}(L_{p^\infty}/\mathbb{Q}) \rightarrow (\mathbb{Z}_p)^*$$

is surjective, where $L_{p^\infty} := \bigcup_{n=1}^{\infty} L_{p^n}$. We define

$$S := \{2, 3, 5\} \cup \{p \text{ prime} : G(p) \subsetneq GL_2(\mathbb{Z}/p\mathbb{Z}) \text{ or } p \mid \Delta_E\}.$$

For each prime $p \in S$, define the exponents

$$\alpha_p := \max\{1, \text{the exponent } n_{\mathbb{Q}}(p) \text{ of Theorem 6}\}$$

and

$$\beta_p := \text{the exponent of } p \text{ occurring in } \left| GL_2 \left(\mathbb{Z} / \left(\prod_{\ell \in S \setminus \{p\}} \ell \right) \mathbb{Z} \right) \right|.$$

Finally, define the positive integer

$$(5) \quad n_E := \prod_{p \in S} p^{\alpha_p + \beta_p}.$$

Note that, for $p \in S$ and M dividing $(n_E/p^{\alpha_p+\beta_p})^\infty$, one has

$$(6) \quad \beta_p \geq \text{the exponent of } p \text{ in } |GL_2(\mathbb{Z}/M\mathbb{Z})|.$$

Using the above definitions and facts, we will prove

Theorem 7. *Let E be any elliptic curve defined over \mathbb{Q} . Then*

$$\varphi_E(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})) = \pi^{-1}(\text{Gal}(\mathbb{Q}(E[n_E])/\mathbb{Q})),$$

where n_E is defined in (5). In particular, $m_E \leq n_E$.

Note that

$$\prod_{p \in S} p^{\beta_p} \leq \left| GL_2 \left(\mathbb{Z} / \left(\prod_{\ell \in S} \ell \right) \mathbb{Z} \right) \right| \ll \prod_{\ell \in S} \ell^4,$$

so that, by (4) and (2), if E is semi-stable and non-CM then

$$(7) \quad n_E \ll \left(\prod_{\ell \mid \Delta_E} \ell \right)^5,$$

and an affirmative answer to Question 2 for $K = \mathbb{Q}$ would imply the above bound for all non-CM elliptic curves E over \mathbb{Q} . Thus, Theorem 3 is a corollary of Theorem 7.

Proof of Theorem 7. First we will prove

Lemma 8. *For any positive integer n_1 dividing n_E^∞ , one has*

$$G(n_1) = \pi^{-1}(G(d)),$$

where d is the greatest common divisor of n_1 and n_E .

In the language of [5], this lemma says that n_E “stabilizes” the Galois representation φ_E . The second lemma says that n_E “splits” φ_E as well.

Lemma 9. *For any positive integers n_1 dividing n_E^∞ and n_2 coprime to n_E , one has*

$$G(n_1 n_2) \simeq G(n_1) \times GL_2(\mathbb{Z}/n_2\mathbb{Z}).$$

The two lemmas together imply Theorem 7. □

Proof of Lemma 8. Fix an arbitrary divisor d of n_E . The statement of the lemma is trivial if $n_1 = d$. Now we will prove it by induction on the set

$$\mathcal{N}_d := \{n \in \mathbb{N} : n \text{ divides } n_E^\infty, \gcd(n, n_E) = d\}.$$

Let $n_1 \in \mathcal{N}_d$ and suppose that for each $n \in \mathcal{N}_d \cap \{1, 2, \dots, n_1 - 1\}$, the statement of the lemma is true. Notice that if $n_1 > d$, then there must exist a prime $p \in S$ satisfying

$$p^{\alpha_p+\beta_p} \text{ exactly divides } d \text{ and } p^{\alpha_p+\beta_p+1} \text{ divides } n_1.$$

Write $n_1 = p^{r+1}M$, where p does not divide M and

$$(8) \quad r \geq \alpha_p + \beta_p.$$

We will show that

$$(9) \quad L_{p^{r+1}} \cap L_M = L_{p^r} \cap L_M.$$

If this is true, then, writing k for this common field, we have that

$$\text{Gal}(L_{p^{r+1}}L_M/k) \simeq \text{Gal}(L_{p^{r+1}}/k) \times \text{Gal}(L_M/k)$$

and

$$\mathrm{Gal}(L_{p^r}L_M/k) \simeq \mathrm{Gal}(L_{p^r}/k) \times \mathrm{Gal}(L_M/k),$$

from which it follows that $[L_{p^{r+1}M} : L_{p^r}L_M] = [L_{p^{r+1}} : L_{p^r}]$. Since $r \geq \alpha_p$, we conclude that

$$G(n_1) = \pi^{-1}(G(p^rM)),$$

proving the lemma by induction.

To see why (9) holds, let us write

$$(10) \quad F_x := L_{p^x} \cap L_M \subseteq L_M \quad (x \geq 1).$$

Note that, for $x \geq 1$, the degree $[F_{x+1} : F_x]$ is always a power of p . Thus, if $\beta_p = 0$, then by (6), we must have $F_r = F_{r+1}$. Now assume that $\beta_p \geq 1$. Suppose first that

$$\forall s \in \{1, 2, \dots, r - \alpha_p\}, \quad F_{\alpha_p+s-1} \subsetneq F_{\alpha_p+s}.$$

By (10), (8), and (6) we see that this may only happen if $r = \beta_p + \alpha_p$ and the exponent of p in $[F_r : \mathbb{Q}]$ is β_p . In this case we see from (10) that $F_{r+1} = F_r$.

Now suppose instead that for some $s \in \{1, 2, \dots, r - \alpha_p\}$ one has $F_{\alpha_p+s-1} = F_{\alpha_p+s}$. We'll first show that under these conditions, $F_{\alpha_p+s-1} = F_{\alpha_p+s+1}$. To ease notation, we will write $\alpha := \alpha_p + s - 1$, so that we are trying to prove that

$$F_\alpha = F_{\alpha+1} \implies F_\alpha = F_{\alpha+2}.$$

Denote by

$$\pi_2 : G(p^{\alpha+2}) \rightarrow G(p^{\alpha+1}), \quad \pi_1 : G(p^{\alpha+1}) \rightarrow G(p^\alpha)$$

the restrictions of the natural projections and let $N' \subseteq N \subseteq G(p^{\alpha+2})$ be the normal subgroups satisfying

$$F_\alpha = F_{\alpha+1} = L_{p^{\alpha+2}}^N \quad \text{and} \quad F_{\alpha+2} = L_{p^{\alpha+2}}^{N'}.$$

Our contention is that $N' = N$. Now,

$$(11) \quad L_{p^{\alpha+2}}^{\ker \pi_2 \cdot N'} = L_{p^{\alpha+2}}^{\ker \pi_2} \cap L_{p^{\alpha+2}}^{N'} = L_{p^{\alpha+2}}^N,$$

which implies that the restriction of π_2 to N' maps surjectively onto $\pi_2(N)$:

$$N' \twoheadrightarrow \pi_2(N).$$

The fact that $L_{p^{\alpha+2}}^N = F_\alpha \subseteq L_{p^\alpha} = L_{p^{\alpha+2}}^{\ker(\pi_1 \circ \pi_2)}$ implies that

$$\pi_2^{-1}(\ker \pi_1) = \ker(\pi_1 \circ \pi_2) \subseteq N \subseteq \pi_2^{-1}(\pi_2(N)),$$

so that

$$\ker \pi_1 \subseteq \pi_2(N).$$

Since $\alpha \geq \alpha_p$, we know that

$$\ker \pi_2 = I + p^{\alpha+1}M_{2 \times 2}(\mathbb{Z}/p\mathbb{Z}) \quad \text{and} \quad \ker \pi_1 = I + p^\alpha M_{2 \times 2}(\mathbb{Z}/p\mathbb{Z}).$$

Now pick any

$$I + p^\alpha A \in \ker \pi_1$$

and find a pre-image $X = I + p^\alpha A + p^{\alpha+1}B \in N'$. But then

$$X^p \equiv I + p^{\alpha+1}A \pmod{p^{\alpha+2}} \in N',$$

and so $I + p^{\alpha+1}M_{2 \times 2}(\mathbb{Z}/p\mathbb{Z}) = \ker \pi_2 \subseteq N'$. This together with (11) shows that $N' = N$, as desired. Replacing s by $s + 1$ and repeating the argument inductively, we conclude that $F_{\alpha_p+s-1} = F_{\alpha_p+k}$ for any positive integer $k \geq s - 1$, so that in particular $F_{r+1} = F_r$. This finishes the proof of Lemma 8. \square

Proof of Lemma 9. The reasoning here is very similar to that of [5, Theorem 6.1, p. 49]. The first step is to prove

Sublemma 10. *Fix any integers M_1 and M_2 with the property that $2 \nmid M_2$, $5 \nmid M_2$, and $\gcd(M_1 \Delta_E, M_2) = 1$. If $G(M_2) \simeq GL_2(\mathbb{Z}/M_2\mathbb{Z})$, then*

$$G(M_1 M_2) \simeq G(M_1) \times GL_2(\mathbb{Z}/M_2\mathbb{Z}).$$

Proof of Sublemma 10. Set $F := L_{M_1} \cap L_{M_2}$. We need to show that $F = \mathbb{Q}$. Suppose that $F \neq \mathbb{Q}$. Note that $1 \neq \text{Gal}(F/\mathbb{Q})$ is a common quotient group of $G(M_1)$ and $G(M_2) \simeq GL_2(\mathbb{Z}/M_2\mathbb{Z})$. Replacing F by a subfield, we may assume that $\text{Gal}(F/\mathbb{Q})$ is a common non-trivial *simple* quotient. We claim that this common simple quotient must be abelian. For a finite group G let $\text{Occ}(G)$ denote the set of simple non-abelian groups which occur as quotients of subgroups of G . One easily deduces from [9, p. IV-25] that, for any positive integer M , $\text{Occ}(GL_2(\mathbb{Z}/M\mathbb{Z}))$ is equal to

$$\left(\bigcup_{\substack{p|M \\ p>5 \\ p \equiv \pm 1 \pmod{5}}} \{PSL_2(\mathbb{Z}/p\mathbb{Z}), A_5\} \right) \cup \left(\bigcup_{\substack{p|M \\ p>5 \\ p \equiv \pm 2 \pmod{5}}} \{PSL_2(\mathbb{Z}/p\mathbb{Z})\} \right) \cup \left(\bigcup_{p=5} \{A_5\} \right).$$

(Note that $A_5 \simeq PSL_2(\mathbb{Z}/5\mathbb{Z})$.) One can use elementary group theory to show that

$$\{\text{simple non-abelian quotients of } GL_2(\mathbb{Z}/M\mathbb{Z})\} \subseteq \bigcup_{\substack{p|M \\ p>3}} \{PSL_2(\mathbb{Z}/p\mathbb{Z})\}.$$

Thus, the assumptions on M_1 and M_2 imply that $\text{Gal}(F/\mathbb{Q})$ must be abelian. Since M_2 is odd, the commutator subgroup

$$[GL_2(\mathbb{Z}/M_2\mathbb{Z}), GL_2(\mathbb{Z}/M_2\mathbb{Z})] = SL_2(\mathbb{Z}/M_2\mathbb{Z}),$$

which implies that F is contained in the cyclotomic field

$$F \subseteq \mathbb{Q} \left(\exp \left(\frac{2\pi i}{M_2} \right) \right).$$

Let p be a prime ramified in F . We see that p must divide the discriminants of both L_{M_1} and $\mathbb{Q} \left(\exp \left(\frac{2\pi i}{M_2} \right) \right)$, which is impossible since $\gcd(M_1 \Delta_E, M_2) = 1$. Since \mathbb{Q} has no everywhere unramified extensions, we have arrived at a contradiction. Thus, we cannot have $F \neq \mathbb{Q}$, and the sublemma is proved. \square

To prove Lemma 9, we first prove by induction on the number of primes p dividing n_2 that in fact

$$(12) \quad G(n_2) \simeq GL_2(\mathbb{Z}/n_2\mathbb{Z}).$$

The case where n_2 is a power of a prime $p > 5$ follows from (4). Then, (12) is proved by writing $n_2 = p^n M$ with $n \geq 1$ and $p \nmid M$ and by applying Sublemma 10 with $M_1 = p^n$ and $M_2 = M$. Finally, to prove Lemma 9, we apply the sublemma with $M_i = n_i$. \square

We end by asking the following weakening of Question 2.

Question 11. Fix a number field K . Does there exist a constant C_K so that for each prime number p one has

$$n_K(p) \leq C_K,$$

where $n_K(p)$ is the exponent occurring in Theorem 6?

Conditional upon an affirmative answer to this question, Theorem 7 together with [3, Theorem 2] would imply that for any non-CM elliptic curve E over \mathbb{Q} , one has

$$m_E \ll \left(\prod_{p \leq B_E} p \right)^{C_{\mathbb{Q}}+4} \cdot \left(\prod_{p|\Delta_E} p \right)^5,$$

where

$$B_E := \frac{4\sqrt{6}}{3} \cdot N_E \prod_{p|\Delta_E} \left(1 + \frac{1}{p} \right)^{1/2} + 1,$$

N_E denoting the conductor of E .

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