SET-THEORETIC HIDA PROJECTORS

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(Communicated by Ken Ono)

ABSTRACT. In his work on ordinary p-adic modular forms, Hida defined certain idempotents in any commutative algebra of finite rank over the ring of integers in a finite extension of $\mathbb{Q}_p$. We generalize his construction in the context of maps of finite sets and their inverse limits.

1. INTRODUCTION

Let p denote a rational prime number, K a finite extension of $\mathbb{Q}_p$ and $\mathcal{O}$ the ring of integers in K. In many papers, Hida has considered modules M of finite rank over $\mathcal{O}$ with a linear operator U acting on M. The ordinary part of M is defined to be the maximal submodule of M on which U acts invertibly. For example, M may be a space of p-adic modular forms, or the cohomology of an arithmetic group.

Hida constructed a projector onto the ordinary part of M. He gave several different constructions, but as an example, consider the following lemma:

Lemma 1. *Let A be a commutative $\mathcal{O}$-algebra (with multiplicative identity) of finite rank over $\mathcal{O}$ and $x \in A$. Then the limit*

$$\lim_{n \to \infty} x^{n!}$$

exists in A and gives an idempotent of A.

This lemma may be found on page 201 of Hida's book [2]. It readily provides a construction of projectors onto the ordinary part of M if A is taken to be the ring of endomorphisms of M and $x = U$. The proof Hida gives involves some elementary algebra of p-adic rings and the decomposition of an endomorphism into a sum of its semisimple and nilpotent parts.

The purpose of this paper is to give a purely set-theoretic version of this lemma, which behaves well under inverse limits. One easily recovers Hida's lemma, and one hopes that this more general version may be useful in situations, such as that of [1], where p-adic analytic families of such rings A are at issue. Also our version works where there is no underlying prime p.

2. Hida-style projectors

Theorem 2. *Let V be a nonempty (possibly infinite) set and $f : V \to V$ a set function such that $f(V)$ has cardinality $t < \infty$. Then:*

(1) *For any two positive multiples m, n of $t!$, $f^m = f^n$.*

(2) *If $c \geq t$, $f^{c!}$ is an idempotent in the monoid under composition of functions from V to V.*

(3) *$e_f := \lim_{n \to \infty} f^{n!}$ is well-defined and an idempotent.*

Proof. The descending chain of subsets $V \supset f(V) \supset f^2(V) \supset \cdots$ must stabilize after at most t steps. Hence we have $f : f^t(V) \to f^t(V)$ is an automorphism of a set with at most t elements. Therefore $f^{t!} : f^t(V) \to f^t(V)$ is the identity map.

Now $m \geq t!$, so that also $m \geq t$. Set $b = t! - t$. Then for some $\beta \geq 0$, we can write $m - t = b + \beta t!$.

Now for any $v \in V$, $f^m v = f^{m-t} f^t v = f^{b+\beta t!} f^t v = f^{b+t} v$, which is independent of m. In particular, $(f^{c!})^2 = f^{2c!} = f^{c!}$.

Also, $e_f = \lim_{n \to \infty} f^{n!}$ is well-defined and an idempotent, because $n \geq c \geq t \implies t! | c! | n! \implies f^{n!} = f^{c!}$. $\qquad\square$

Note that the finiteness of the image of f in the hypothesis is necessary. For example, if $V = \mathbb{Z}$ and f is the shift operator, $f(x) = x + 1$, then $f^{n!}$ has no limit.

Corollary 3. *Let V be a nonempty projective limit of the sets V_i with respect to the transition maps $r_{ij} : V_i \to V_j$. Suppose $f_i : V_i \to V_i$ are set functions that compile into a function*

$$f = \lim_{\leftarrow} f_i : V \to V.$$

Assume $f_i(V_i)$ finite for every i and let e_{f_i} be the idempotent constructed in Theorem 2. Then the e_{f_i} compile into a function

$$e_f := \lim_{\leftarrow} e_{f_i} : V \to V$$

and e_f is an idempotent, i.e. $e_f \circ e_f = e_f$.

Proof. By hypothesis, for any $i > j$, $r_{ij} \circ f_i = f_j \circ r_{ij}$. Therefore, for any positive m, $r_{ij} \circ f_i^m = f_j^m \circ r_{ij}$. It follows that $r_{ij} \circ e_{f_i} = e_{f_j} \circ r_{ij}$. Thus, e_f is well-defined. Since each e_{f_i} is an idempotent, so is e_f. $\qquad\square$

3. Applications

We indicate how to prove Lemma 1 from Corollary 3. We write

$$A = \lim_{\leftarrow} A/p^m A.$$

If $x \in A$, let μ_x denote multiplication by x. For each $m \geq 1$, $A/p^m A$ is a finite set and the $\mu_x : A/p^m A \to A/p^m A$ compile into $\mu_x : A \to A$. Note that for any $t \geq 1$, $\mu_{x^t} = (\mu_x)^t$.

By the corollary,

$$e := \lim_{\leftarrow} \mu_{x^{n!}} : A \to A$$

exists and is a well-defined idempotent. Hence

$$e(1) = \lim_{\leftarrow} \mu_{x^{n!}}(1) = \lim_{\leftarrow} x^{n!},$$

where the rightmost term is the limit in the sense of the inverse limit topology on A, and hence is an element of A.

It remains to check that $e(1)$ is an idempotent. First note that for any $a \in A$,

$$e(a) = \varprojlim(\mu_{x^{n!}}(a)) = \varprojlim x^{n!}a = (\varprojlim x^{n!})a = e(1)a$$

because multiplication by a is continuous. Hence $e(1)^2 = e(e(1)) = e(1)$ since $e^2 = e$.

As another example, consider

Corollary 4. *Let A be a commutative $\hat{\mathbb{Z}}$-algebra with multiplicative identity finitely generated over $\hat{\mathbb{Z}}$ and $x \in A$. Then the limit*

$$\lim_{n \to \infty} x^{n!}$$

exists in A and gives an idempotent of A.

The proof is the same as for Lemma 1 except that we replace the directed system $\{p^m\}$ by $\{d \geq 1\}$ ordered by divisibility.

REFERENCES

[1] Avner Ash and Glenn Stevens, p-adic deformations of automorphic cohomology, preprint, http://www2.bc.edu/ ashav/Papers/Ash-Stevens-Oct-07-DRAFT-copy.pdf.
[2] Haruzo Hida, Elementary theory of L-functions and Eisenstein series, London Mathematical Society Student Texts, 26, Cambridge Univ. Press, Cambridge (1993). MR1216135 (94j:11044)

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