A CHARACTERIZATION OF FINITE PREHOMOGENEOUS VECTOR SPACES ASSOCIATED WITH PRODUCTS OF SPECIAL LINEAR GROUPS AND DYNKIN QUIVERS

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(Communicated by Martin Lorenz)

Abstract. For a given finite-type quiver $\Gamma$, we will consider scalar-removed representations $(S_d, R_d(\Gamma))$, where $S_d$ is a direct product of special linear algebraic groups and $R_d(\Gamma)$ is the representation defined naturally by $\Gamma$ and a dimension vector $d$. In this paper, we give a necessary and sufficient condition on $d$ that $R_d(\Gamma)$ has only finitely many $S_d$-orbits. This condition can be paraphrased as a condition concerning lattices of small rank spanned by positive roots of $\Gamma$. To determine such scalar-removed representations having only finitely many orbits is very fundamental to the open problem of classification of the so-called semisimple finite prehomogeneous vector spaces. We consider everything over an algebraically closed field of characteristic zero.

1. Introduction

Let $\Gamma = (\Gamma_0, \Gamma_1)$ be a quiver with $r$ vertices (here $\Gamma_0$, respectively $\Gamma_1$, is the set of vertices, respectively arrows). Then for an $r$-tuple of non-negative integers $d = (d^{(i)})_{i \in \Gamma_0}$ (we call it a dimension vector), the group $G_d = \prod_{i \in \Gamma_0} GL(d^{(i)})$ acts naturally on $R_d(\Gamma) = \bigoplus_{\alpha \in \Gamma_1} M(d^{(e\alpha)}, d^{(s\alpha)})$, where we consider everything over an algebraically closed field of characteristic zero, and we denote by $M(d^{(e\alpha)}, d^{(s\alpha)})$ the vector space consisting of $d^{(e\alpha)} \times d^{(s\alpha)}$ matrices and by $s\alpha$ (resp. $e\alpha$) the starting (resp. ending) point for an arrow $\alpha \in \Gamma_1$. We will call $(G_d, R_d(\Gamma))$ a representation associated with $\Gamma$.

In general, let $\rho : G \to GL(V)$ be a rational representation of a connected linear algebraic group $G$ on a finite-dimensional vector space $V$. If $V$ is decomposed into a finite union of $G$-orbits, it must have a unique Zariski dense orbit; hence $(G, V)$ is a prehomogeneous vector space (abbreviated PV). Such a PV is called a finite PV (abbreviated FP). If $G$ is semisimple, we call $(G, V)$ semisimple. Some classes of FPs have already been classified, for example, by Sato–Kimura [9, §8] in the case of irreducible $\rho$, by Kimura–Kasai–Yasukura [6] in the case where each irreducible component has sufficient scalar multiplication, and by Kimura–Kamiyoshi–Maki–Ouchi–Takano [5] in the case of type $(G \times GL_n, \rho \otimes A_1)$.

In the case where $\Gamma$ is finite-type (i.e., its underlying graph is one of the Dynkin diagrams of type $A_n, D_n, E_6, E_7, \text{or } E_8$), it is well-known that $(G_d, R_d(\Gamma))$ is an
FP for arbitrary \( d \). However, the scalar-removed representation \((S_{d}, R_{d}(\Gamma))\), where \( S_{d} = \prod_{i \in \Gamma_{0}} S L(d^{(i)}) \subset G_{d} \), may not be a PV, and not even an FP. Note that the condition whether \((S_{d}, R_{d}(\Gamma))\) is an FP does not depend on the choice of an orientation of \( \Gamma \), but the condition whether it is a PV does. In the case where \( \Gamma \) is of type \( A_{n} \), it is known that such a condition can be characterized by existence of a certain relative invariant (see §5 of [7]). Such a characterization via relative invariants, however, fails even for \( D_{4}\)-type \( \Gamma \), and it seems more complicated, in general, to write down concretely the condition whether \((S_{d}, R_{d}(\Gamma))\) for a given dimension vector \( d \) is an FP or not (see Theorem 11).

In this paper, we classify all scalar-removed FPs associated with finite-type quivers. According to the result of [3], to classify such scalar-removed FPs is fundamental to the classification of all semisimple (i.e., without any scalar multiplication) FPs, because \((\Gamma, \rho, V)\) is also necessarily an FP if \((H, \rho|_{H}, V)\) for a subgroup \( H \subset G \) is an FP. Our theorem (Theorem 3.4) gives a necessary and sufficient condition, for a given dimension vector \( d \), whether \((S_{d}, R_{d}(\Gamma))\) is an FP or not. As mentioned in [3] this condition can be paraphrased as a condition whether a certain lattice of small rank spanned by positive roots of \( \Gamma \) contains \( d \) or not. This viewpoint gives us a lucid explanation for conditions on \( d \) (look again at the twenty conditions listed in Theorem 11); that is, to determine FPs, \((S_{d}, R_{d}(\Gamma))\) is nothing but determining lattices of small rank spanned by positive roots. Thus, for an arbitrary finite-type quiver \( \Gamma \) and a dimension vector \( d \), we can mechanically determine whether a given representation \((S_{d}, R_{d}(\Gamma))\) is an FP or not.

2. Preliminaries

We consider everything over an algebraically closed field \( \mathbb{K} \) of characteristic zero.

Let \( \Gamma = (\Gamma_{0}, \Gamma_{1}) \) be a quiver with \( r \) vertices, where \( \Gamma_{0} = \{1, 2, \ldots, r\} \) is the set of vertices and \( \Gamma_{1} \) is the set of arrows. For each arrow \( \alpha \in \Gamma_{1} \), we denote its starting point, respectively ending point, by \( s\alpha \), respectively \( e\alpha \); for example, if \( i \underset{\alpha}{\rightarrow} j \) for an arrow \( \alpha \in \Gamma_{1} \), we have \( s\alpha = i \) and \( e\alpha = j \).

For an \( r \)-tuple of non-negative integers \( d = (d^{(i)})_{i \in \Gamma_{0}} \) (we will call such an \( r \)-tuple a dimension vector), the direct product of general linear algebraic groups

\[
G_{d} = \prod_{i \in \Gamma_{0}} G L(d^{(i)})
\]

acts on the vector space \( R_{d}(\Gamma) = \bigoplus_{\alpha \in \Gamma_{1}} M(d^{(e\alpha)}, d^{(s\alpha)}) \) by

\[
g \cdot X = (g^{(e\alpha)} X^{(\alpha)} (g^{(s\alpha)})^{-1})_{\alpha \in \Gamma_{1}}
\]

for \( g = (g^{(i)})_{i \in \Gamma_{0}} \in G_{d} \) and \( X = (X^{(\alpha)})_{\alpha \in \Gamma_{1}} \in R_{d}(\Gamma) \), where we denote by \( M(d^{(i)}, d^{(j)}) \) the set of \( d^{(i)} \times d^{(j)} \) matrices. In the case of \( d^{(i)} = 0 \), we will consider corresponding things to be trivial. We call \((G_{d}, R_{d}(\Gamma))\) a representation associated with \( \Gamma \).

On the other hand, each element of the vector space \( R_{d}(\Gamma) \) is sometimes called a representation of \( \Gamma \). In such a context we call \( d \) the dimension of \( X \in R_{d}(\Gamma) \) and denote it by \( \dim X = d \). For two representations \( X \) and \( Y \) of \( \Gamma \) with the same dimension \( d \) (that is, \( X, Y \in R_{d}(\Gamma) \)), we say that they are isomorphic if \( X \) and \( Y \) belong to the same \( G_{d} \)-orbit. We will express such representations as \( X \cong Y \).

Let \( X \) and \( Y \) be representations of \( \Gamma \) with dimensions \( d \) and \( d' \), respectively. We define their direct sum \( X \oplus Y \) by

\[
X \oplus Y = \begin{pmatrix} X^{(\alpha)} & 0 \\ 0 & Y^{(\alpha)} \end{pmatrix}_{\alpha \in \Gamma_{1}}.
\]

This is a representation of \( \Gamma \) with dimension \( d + d' \), that is, an element of the vector space \( R_{d+d'}(\Gamma) \). If a representation \( X \) cannot be expressed as the direct
sum of two non-zero representations, then we say that $X$ is indecomposable. It is known that any representation $X$ can be uniquely decomposed (up to order) into a direct sum of indecomposable representations; that is, there exist indecomposable representations $X_1, X_2, \ldots, X_s$ such that

$$X \cong m_1X_1 \oplus m_2X_2 \oplus \cdots \oplus m_sX_s,$$

where $m_kX_k = X_k \oplus \cdots \oplus X_k$ is the direct sum of $m_k$ copies of $X_k$.

In fact, if $\Gamma$ is a finite-type quiver, there are only finitely many isomorphic classes of representations of $\Gamma$, and the correspondence $X \mapsto \dim X$ gives a bijection between the isomorphic classes of representations and the positive roots of $\Gamma$.

Next we define homomorphisms between two representations $X$ and $Y$, where we put $\dim X = (d^{(i)})_{i \in I_0}$ and $\dim Y = (d^{(i)})_{i \in I_0}$ respectively. A homomorphism from $X$ to $Y$ is an element $g = (g^{(i)})_{i \in I_0} \in \bigoplus_{i \in I_0} M(d^{(i)}, d^{(i)})$ satisfying $g^{(\alpha)}X^{(\alpha)} = Y^{(\alpha)}g^{(\alpha)}$ for any arrow $\alpha \in \Gamma_1$. In other words, if we regard each matrix $X^{(\alpha)}$ as a linear map between numerical vector spaces, a homomorphism $g = (g^{(i)})_{i \in I_0}$ makes the following diagram commutative for each $\alpha \in \Gamma_1$:

$$
\begin{array}{ccc}
K^{d^{(\alpha)}} & \xrightarrow{X^{(\alpha)}} & K^{d^{(\alpha)}} \\
\downarrow{g^{(\alpha)}} & & \downarrow{g^{(\alpha)}} \\
K^{d^{(\alpha)}} & \xrightarrow{Y^{(\alpha)}} & K^{d^{(\alpha)}}
\end{array}
$$

We denote by $\text{Hom}(X, Y)$ the set of all homomorphisms from $X$ to $Y$, which can be regarded as a $K$-vector space in the natural way.

**Example 2.1.** Let us consider the following $D_4$-type quiver $\Gamma$:

$$
\begin{array}{c}
\circ \otimes \\
\circ \otimes \circ \\
\circ \otimes \circ \\
\circ
d_1 = (1, 0, 0; 0), \quad d_2 = (1, 1, 0; 0), \quad d_3 = (1, 1, 1; 0), \quad d_4 = (1, 1, 0; 1), \\
d_5 = (0, 1, 0; 0), \quad d_6 = (1, 2, 1; 1), \quad d_7 = (0, 1, 0; 1), \quad d_8 = (0, 1, 1; 0), \\
d_9 = (1, 1, 1; 1), \quad d_{10} = (0, 1, 1; 1), \quad d_{11} = (0, 0, 1; 0), \quad d_{12} = (0, 0, 0; 1).
\end{array}
$$

Let $X_k$ be an indecomposable representation corresponding to the positive root $d_k$. For example, $X_6 = (X^{(\alpha)}, X^{(\beta)}, X^{(\gamma)}) \in R_{d_6}(\Gamma) = M(d_6^{(2)}, d_6^{(1)}) \oplus M(d_6^{(3)}, d_6^{(2)}) \oplus M(d_6^{(4)}, d_6^{(2)})$ is given by

$$
X^{(\alpha)} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad X^{(\beta)} = \begin{bmatrix} 1 & 0 \end{bmatrix}, \quad X^{(\gamma)} = \begin{bmatrix} 1 & 1 \end{bmatrix}.
$$

We see that the above representative system $X_1, X_2, \ldots, X_{12}$ satisfies the condition

$$
(2.1) \quad \text{Hom}(X_i, X_j) = 0 \quad \text{if} \quad i < j.
$$

**Remark 2.2.** In the above example, we have numbered the positive roots of $\Gamma$ (and also a complete representative system of the isomorphic classes of its indecomposable representations) to satisfy the condition (2.1). In fact, in the case of finite-type $\Gamma$, we can always do such a numbering. Recall the so-called Auslander–Reiten quiver (see, for example, Chapter VII of [2]). The vertices of the AR-quiver
Hence, if \( a \) single component and that it is acyclic (see, for example, Proposition 5.13 of \([1]\)).

\[
\begin{array}{c}
\text{[X]}_4 & \text{[X]}_3 & \text{[X]}_2 \\
\text{[X]}_1 & \text{[X]}_2 & \text{[X]}_3 \\
\text{[X]}_1 & \text{[X]}_2 & \text{[X]}_3 \\
\end{array}
\]

In the case where \( \Gamma \) is finite-type, it is known that the AR-quiver of \( \Gamma \) consists of a single component and that it is acyclic (see, for example, Proposition 5.13 of \([1]\)). Hence, if \( \Gamma \) is finite-type, we may assume that a complete representative system which is numbered by an appropriate order (that is, we number from one of the tips of the component) satisfies condition \([2,1]\).

We will denote by \( \text{End} \, X = \text{Hom}(X, X) \) the endomorphism ring of \( X \) and by \( H_X = (\text{End} \, X)^* \) its multiplicative group. In other words, \( H_X \) is nothing but the isotropy subgroup at \( X \in R_d(\Gamma) \); that is, \( H_X = \{ g \in G_d \mid g \cdot X = X \} \). In the case of \( X \cong Y \), we see that \( H_X \) and \( H_Y \) are conjugate to each other.

We are interested in the restriction map \( \varphi_X \) between rational character groups \( \mathcal{X}(G_d) \) and \( \mathcal{X}(H_X) \), where we denote by \( \mathcal{X}(G) \) the group consisting of all rational characters of \( G \). It is known that rational character groups of linear algebraic groups are finitely generated abelian groups.

According to Proposition 1.2 of \([3]\), the rank of \( \text{Im} \, \varphi_X \) describes the condition whether the \( G_d \)-orbit \( G_dX \) decomposes into infinitely many \( S_d \)-orbits, where we put \( S_d = \prod_{i \in I_d} SL(d(i)) \). Here we note the following fact:

**Lemma 2.3.** Let \( X \) be a point of \( R_d(\Gamma) \) and \( \varphi_X : \mathcal{X}(G_d) \to \mathcal{X}(H_X) \) the restriction map which is induced by the canonical injection \( H_X \hookrightarrow G_d \). Then, the \( G_d \)-orbit \( G_dX \) is decomposed into infinitely many \( S_d \)-orbits if and only if rank \( \text{Im} \, \varphi_X < r \), where \( r \) is the number of vertices of \( \Gamma \).

**Proof.** We put \( H'_X = H_X \cap S_d \), which is a normal subgroup of \( H_X \). Let us consider the following commutative diagram with exact rows:

\[
\begin{array}{cccccc}
1 & \longrightarrow & S_d & \longrightarrow & G_d & \longrightarrow & G_d/S_d & \longrightarrow & 1 \\
\uparrow & & \uparrow & & \uparrow & & \uparrow & & \uparrow \\
1 & \longrightarrow & H'_X & \longrightarrow & H_X & \longrightarrow & H_X/H'_X & \longrightarrow & 1,
\end{array}
\]
where each vertical map is the canonical injection. Then this induces the following commutative diagram with exact rows:

\[
\begin{array}{cccc}
1 & \longrightarrow & \text{Ker} \psi_X & \longrightarrow & \text{Ker} \varphi_X & \longrightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \\
1 & \longrightarrow & \mathcal{X}(G_d/S_d) & \longrightarrow & \mathcal{X}(G_d) & \longrightarrow & 1 \\
\downarrow \psi_X & & \downarrow \varphi_X & & \\
1 & \longrightarrow & \mathcal{X}(H_X/H'_X) & \longrightarrow & \mathcal{X}(H_X) & \longrightarrow & \mathcal{X}(H'_X).
\end{array}
\]

Note that \(\psi_X\) is surjective since both \(G_d/S_d\) and \(H_X/H'_X\) are tori. On the other hand, we see that \(\mathcal{X}(G_d/S_d)\) is isomorphic to \(\mathcal{X}(G_d)\) since any character of \(S_d\) is trivial; hence we have \(\text{Ker} \psi_X \cong \text{Ker} \varphi_X\). According to Proposition 1.2 of [8], the \(G_d\)-orbit \(G_d X\) is decomposed into infinitely many \(S_d\)-orbits if and only if \(\dim G_d X > \dim S_d X\), which is equivalent to the condition that \(r = \text{rank} \mathcal{X}(G_d) = \text{rank} \mathcal{X}(G_d/S_d) > \text{rank} \mathcal{X}(H_X/H'_X)\). Since these character groups are finitely generated abelian groups, we see that this condition is equivalent to the condition \(r - \text{rank} \text{Im} \psi_X = \text{rank} \text{Ker} \psi_X > 0\); that is, \(r - \text{rank} \text{Im} \varphi_X = \text{rank} \text{Ker} \varphi_X > 0\). Thus we obtain our assertion.

\[\square\]

**Lemma 2.4.** Let \(X\) be an indecomposable representation of a finite-type quiver \(\Gamma\) and \(\dim X = (d^{(i)})_{i \in \Gamma_0}\) its dimension. Then we have

\[
\text{End} X = \{ (\alpha \cdot I_{d^{(i)}})_{i \in \Gamma_0} \mid \alpha \in \mathbb{K} \},
\]

where \(I_u\) means the identity matrix of degree \(u\). That is to say, \(\text{End} X\) is isomorphic to the base field \(\mathbb{K}\) and each component is a scalar matrix.

**Proof.** Put \(T = \{ (\alpha \cdot I_{d^{(i)}})_{i \in \Gamma_0} \mid \alpha \in \mathbb{K} \}\): then \(T\) is a field that is isomorphic to the field \(\mathbb{K}\). It is clear that \(T\) is contained in \(\text{End} X\), which can be regarded as a \(\mathbb{K}\)-vector space. On the other hand, it is known that, for each indecomposable representation \(X\) of a finite-type quiver, \(\text{End} X\) is nothing but the base field \(\mathbb{K}\) (see [3], § 7.2). Since \(\text{End} X\) is finite dimensional over the field \(T\), we have \(\text{End} X = T\).

\[\square\]

**Lemma 2.5.** Let \(X\) be an indecomposable representation, with dimension \(\dim X = (d^{(i)})_{i \in \Gamma_0}\), of a finite-type quiver. For each positive integer \(m\), the endomorphism ring \(\text{End}(mX)\) of \(mX = X \oplus \cdots \oplus X\) (the direct sum of \(m\) copies of \(X\)) is given by

\[
\text{End}(mX) = \{ (A \otimes I_{d^{(i)}})_{i \in \Gamma_0} \mid A \in M(m, m) \} \simeq M(m, m),
\]

where \(\otimes\) denotes Kronecker's product of matrices. In particular, we have \(H_{mX} \cong \text{GL}(m)\) and the rational character group \(\mathcal{X}(H_{mX})\) is of rank one.

**Proof.** Let \(g = (g^{(i)})_{i \in \Gamma_0}\) be an element of \(\text{End}(mX)\), and write each part \(g^{(i)}\) as the following \(m \times m\) blocks:

\[
g^{(i)} = \\
\begin{bmatrix}
g_{11}^{(i)} & \cdots & g_{1m}^{(i)} \\
\vdots & \ddots & \vdots \\
g_{m1}^{(i)} & \cdots & g_{mm}^{(i)}
\end{bmatrix},
\]

where each block \(g_{pq}^{(i)}\) is a \(d^{(i)} \times d^{(i)}\) matrix. Then, for each arrow \(\alpha \in \Gamma_1\), we have

\[
g_{pq}^{(\alpha)} X^{(\alpha)} = X^{(\alpha)} g_{pq}^{(\alpha)} \quad (p, q = 1, 2, \ldots, m).
\]
That is to say, for each $p$ and $q$, we see that $(g^{(i)}_{pq})_{i \in I_0}$ is contained in the endomorphism ring $\text{End} \, X$ of an indecomposable $X$. Therefore it follows from Lemma 2.4 that, for each $p$ and $q$, there exists a scalar $\alpha_{pq} \in \mathbb{K}$ satisfying $g_{pq}^{(i)} = \alpha_{pq} \cdot I_{d(i)}^{(i)}$. Putting $A = [\alpha_{pq}] \in M(m, m)$, we have $g^{(i)} = A \otimes I_{d(i)}^{(i)}$.

Proposition 2.6. Let $X = m_1X_1 \oplus m_2X_2 \oplus \cdots \oplus m_sX_s \in R_d(\Gamma)$ be a representation, where the $X_i$s are distinct indecomposable representations which are numbered to satisfy condition (2.4). Then we have $\text{rank} \, \chi(H_X) = s$.

Proof. Put $\dim X_k = d_k = (d_k^{(i)})_{i \in I_0}$, and let $\tilde{X}_k = m_kX_k$ be the direct sum of $m_k$ copies of $X_k$. Then we have $\dim \tilde{X}_k = m_kd_k = (m_kd_k^{(i)})_{i \in I_0}$. Let $h = (h^{(i)})_{i \in I_0}$ be an element of the isotropy subgroup $H_{\tilde{X}_1 \oplus \cdots \oplus \tilde{X}_s}$, and decompose each part $h^{(i)}$ into $s \times s$ blocks:

$$h^{(i)} = \begin{bmatrix}
    h_{11}^{(i)} & \cdots & h_{1s}^{(i)} \\
    \vdots & \ddots & \vdots \\
    h_{s1}^{(i)} & \cdots & h_{ss}^{(i)}
\end{bmatrix},$$

where each block $h_{pq}^{(i)}$ is an $m_p d_p^{(i)} \times m_q d_q^{(i)}$ matrix. In the case of $d_k^{(i)} = 0$, we should remove its corresponding blocks. Thus we have

$$h^{(co)}_{pq} \tilde{X}_q \chi = \tilde{X}_p h^{(so)}_{pq}$$

for each arrow $a \in \Gamma_1$, and hence $h_{pq} = (h_{pq}^{(i)})_{i \in I_0} \in \text{Hom}(\tilde{X}_q, \tilde{X}_p)$. Then condition (2.4) implies $h_{pq} = (h_{pq}^{(i)})_{i \in I_0} = 0$ for any $p$ and $q$ satisfying $q < p$. Therefore we see that each part $h^{(i)}$ is contained in a subgroup consisting of upper triangular block matrices (i.e., it is contained in the standard parabolic subgroup corresponding to the partition $m_1d_1^{(i)} + m_2d_2^{(i)} + \cdots + m_sd_s^{(i)}$):

$$h^{(i)} = \begin{bmatrix}
    h_{11}^{(i)} & h_{12}^{(i)} & \cdots & h_{1s}^{(i)} \\
    0 & h_{22}^{(i)} & \cdots & h_{2s}^{(i)} \\
    \vdots & \ddots & \ddots & \vdots \\
    0 & \cdots & 0 & h_{ss}^{(i)}
\end{bmatrix}.$$
Proof. Take an element \( h = (h^{(i)})_{i \in \Gamma_0} \in H_X \). Then we have
\[
\chi_i(h) = \det(h^{(i)}_1 h^{(i)}_2 \cdots h^{(i)}_s) = (\det h^{(i)}_1)(\det h^{(i)}_2) \cdots (\det h^{(i)}_s)
\]
\[
= (\lambda_1(h))^{d^{(i)}_1} (\lambda_2(h))^{d^{(i)}_2} \cdots (\lambda_s(h))^{d^{(i)}_s}
\]
\[
= (\lambda_1^{(i)} 1_2^{(i)} \cdots 1_s^{(i)}) (h)
\]
for each \( i \in \Gamma_0 \). Hence the representation matrix of \( \varphi_X \) with respect to such bases is given by
\[
\begin{bmatrix}
\ d_1^{(1)} & d_2^{(1)} & \cdots & d_s^{(1)} \\
\ d_1^{(2)} & d_2^{(2)} & \cdots & d_s^{(2)} \\
\vdots & \vdots & \ddots & \vdots \\
\ d_1^{(r)} & d_2^{(r)} & \cdots & d_s^{(r)}
\end{bmatrix}
= [d_1 | d_2 | \cdots | d_s];
\]
that is, for each \( k \), the \( k \)-th column is nothing but the transpose of the positive root \( d_k \).

\[
\square
\]

3. Characterization of semisimple FPs

Now we are standing at the position required to prove our main theorem:

**Theorem 3.1.** Let \( \Gamma \) be a finite-type quiver with \( r \) vertices. For a dimension vector \( d \), the following conditions are equivalent:

1. The scalar-removed representation \( (S_d, R_d(\Gamma)) \) is not an FP.
2. There exist some positive roots \( d_1, d_2, \ldots, d_p \) of \( \Gamma \) such that \( d \in (d_1, d_2, \ldots, d_p)_{\mathbb{Z}_{\geq 0}} \) and \( \operatorname{rank} [d_1', d_2', \ldots, d_p'] < r \).

Proof. Assume that \( (S_d, R_d(\Gamma)) \) is not an FP. Then there exists a point \( X \in R_d(\Gamma) \) such that its \( G_d \)-orbit is decomposed into infinitely many \( S_d \)-orbits. By Lemma 2.3 this is equivalent to the condition that \( \operatorname{rank} \operatorname{Im} \varphi_X < r \). Now we can choose some positive integers \( m_1, m_2, \ldots, m_p \) and indecomposable representations \( X_1, X_2, \ldots, X_p \) such that \( X \cong m_1 X_1 \oplus m_2 X_2 \oplus \cdots \oplus m_p X_p \). Here, as mentioned in Remark 2.2, we may assume that the \( X_i \)'s are numbered to satisfy the condition (2.1). Then it follows from Corollary 2.7 that \( \operatorname{rank} \operatorname{Im} \varphi_X = \operatorname{rank} [d_1', d_2', \ldots, d_p'] \), and we have \( d = \operatorname{dim} X = m_1 d_1 + m_2 d_2 + \cdots + m_p d_p \); therefore we obtain (2).

Conversely, the condition \( d \in (d_1, d_2, \ldots, d_p)_{\mathbb{Z}_{\geq 0}} \) implies that we can construct the representation \( X = m_1 X_1 \oplus m_2 X_2 \oplus \cdots \oplus m_p X_p \in R_d(\Gamma) \). Then the second condition means that the \( G_d \)-orbit of \( X \) is decomposed into infinitely many \( S_d \)-orbits; i.e., the representation \( (S_d, R_d(\Gamma)) \) is not an FP.

\[\square\]

In fact, condition (2) of Theorem 3.1 can be improved a little. Here we will review a few properties of positive roots.

Let \( E \) be a Euclidean space (over \( \mathbb{R} \)) endowed with an appropriate inner product. Fix a basis of \( E \) and define the lexicographical order with respect to the basis. Let \( \Phi^+ \) be the set of all positive roots contained in a root system of \( E \).

For a finite subset \( M \subseteq \Phi^+ \) we put \( \Psi = \langle M \rangle_{\mathbb{R}} \cap \Phi^+ \) and \( \dim_{\mathbb{R}} \langle M \rangle_{\mathbb{R}} = p \); i.e., the subspace generated by \( M \) is of dimension \( p \). Now we choose \( p \) positive roots \( \alpha_1, \alpha_2, \ldots, \alpha_p \) as follows:

\[
\alpha_1 := \min \Psi, \quad \text{and} \quad \alpha_k := \min (\Psi \setminus \langle \alpha_1, \ldots, \alpha_{k-1} \rangle_{\mathbb{R}}) \quad \text{for} \quad k = 2, 3, \ldots, p.
\]
Then we have the following lemma, which can be proved by induction on the dimension of \((M)_{\mathbb{R}}\).

**Lemma 3.2.** For any element \(\alpha \in \Psi\), there exist non-negative integers \(k_1, k_2, \ldots, k_p\) such that \(\alpha = k_1 \alpha_1 + k_2 \alpha_2 + \cdots + k_p \alpha_p\).

**Proposition 3.3.** Let \(d_1, d_2, \ldots, d_s\) be positive roots contained in a root system of \(\Gamma\) with coefficients of non-negative integers spanning \(\alpha\). If \(\text{rank}_k(d_1, d_2, \ldots, d_s)_{\mathbb{Z}} = p\), then there exist \(p\) positive roots \(\alpha_1, \alpha_2, \ldots, \alpha_p\) such that \(\langle d_1, d_2, \ldots, d_s \rangle_{\mathbb{Z}} = \langle \alpha_1, \alpha_2, \ldots, \alpha_p \rangle_{\mathbb{Z}}\). In particular, we have \(\langle d_1, d_2, \ldots, d_s \rangle_{\mathbb{Z}} \subseteq \langle \alpha_1, \alpha_2, \ldots, \alpha_p \rangle_{\mathbb{Z}}\); that is, the lattice with coefficients of non-negative integers spanned by \(d_1, d_2, \ldots, d_s\) is contained in one spanned by \(\alpha_1, \alpha_2, \ldots, \alpha_p\).

**Proof.** Put \(M := \{d_1, d_2, \ldots, d_s\}\). Since \((M)_{\mathbb{R}} = \mathbb{R} \otimes_{\mathbb{Z}} (d_1, d_2, \ldots, d_s)_{\mathbb{Z}}\), we have \(\dim_\mathbb{R}(M)_{\mathbb{R}} = \text{rank}_k(d_1, d_2, \ldots, d_s)_{\mathbb{Z}} = p\). By Lemma 4.2, each \(d_k\) can be expressed as a linear combination of \(\alpha_1, \alpha_2, \ldots, \alpha_p\) with coefficients of non-negative integers. Thus we obtain our assertion.

Therefore we have gained a more sophisticated characterization of scalar-removed FPs associated with finite-type quivers.

**Theorem 3.4.** Let \(\Gamma\) be a finite-type quiver with \(r\) vertices. For a dimension vector \(d\), the following conditions are equivalent:

1. The scalar-removed representation \((S_d, R_d(\Gamma))\) is not an FP.
2. There exist \(r - 1\) positive roots \(d_{i_1}, d_{i_2}, \ldots, d_{i_{r-1}}\) of \(\Gamma\) satisfying \(d \in \langle d_{i_1}, d_{i_2}, \ldots, d_{i_{r-1}} \rangle_{\mathbb{Z}}\); that is, \(d\) can be expressed as a linear combination of \(r - 1\) positive roots with coefficients of non-negative integers.

In particular, the condition whether \((S_d, R_d(\Gamma))\) is an FP or not does not depend on the choice of an orientation of \(\Gamma\).

4. **Examples of \(D_4\)-Type**

In this section, we give some examples of \(D_4\)-type. Let \(\Gamma\) be the \(D_4\)-type quiver mentioned in Example 2.1. We are interested in lattices of small rank because we will determine dimension \(d\) such that \((S_d, R_d(\Gamma))\) is not an FP.

First we note that there exist twenty distinct lattices of rank three (with each component of the sum of generators being positive) spanned by positive roots of \(D_4\)-type.

\[
\begin{align*}
(1) & \; L_1 = \langle 2, 11, 12 \rangle & \; (8) & \; L_8 = \langle 1, 7, 8 \rangle & \; (15) & \; L_{15} = \langle 1, 6, 11 \rangle \\
(2) & \; L_2 = \langle 1, 8, 12 \rangle & \; (9) & \; L_9 = \langle 2, 7, 11 \rangle & \; (16) & \; L_{16} = \langle 2, 8, 9 \rangle \\
(3) & \; L_3 = \langle 1, 7, 11 \rangle & \; (10) & \; L_{10} = \langle 4, 5, 10 \rangle & \; (17) & \; L_{17} = \langle 7, 8, 9 \rangle \\
(4) & \; L_4 = \langle 3, 5, 12 \rangle & \; (11) & \; L_{11} = \langle 3, 4, 5 \rangle & \; (18) & \; L_{18} = \langle 2, 7, 9 \rangle \\
(5) & \; L_5 = \langle 1, 5, 10 \rangle & \; (12) & \; L_{12} = \langle 3, 5, 10 \rangle & \; (19) & \; L_{19} = \langle 2, 7, 8 \rangle \\
(6) & \; L_6 = \langle 4, 5, 11 \rangle & \; (13) & \; L_{13} = \langle 6, 11, 12 \rangle & \; (20) & \; L_{20} = \langle 3, 4, 10 \rangle \\
(7) & \; L_7 = \langle 2, 8, 12 \rangle & \; (14) & \; L_{14} = \langle 1, 6, 12 \rangle 
\end{align*}
\]

In the above list, for example, \(L_1 = \langle 2, 11, 12 \rangle\) means that the lattice (free \(\mathbb{Z}\)-module) \(L_1\) is spanned by three roots \(d_2, d_11, d_12\) (we recall that the roots of \(D_4\)-type \(\Gamma\) have been numbered in Example 2.1). Therefore a dimension vector (i.e., a four-tuple of positive integers) \(d = (d^{(1)}, d^{(2)}, d^{(3)}, d^{(4)})\) is contained in \(L_1\) if and only if \(d^{(1)} = d^{(2)}\). Thus we obtain the following theorem for \(D_4\)-type:
Theorem 4.1. Let \( \Gamma \) be a \( D_4 \)-type quiver. Then, for a given dimension vector \( \mathbf{d} = (d^{(1)}, d^{(2)}, d^{(3)}, d^{(4)}) \), the scalar-removed representation \( (S_{d}, R_{d}(\Gamma)) \) is not an FP if and only if at least one of the following twenty conditions is satisfied:

\[
\begin{align*}
(1) & \quad d^{(1)} = d^{(2)} & (14) & \quad 2d^{(3)} = d^{(2)} \\
(2) & \quad d^{(3)} = d^{(2)} & & \quad \text{and } d^{(3)} < \min\{d^{(4)}, d^{(1)}\} \\
(3) & \quad d^{(4)} = d^{(2)} & (15) & \quad 2d^{(4)} = d^{(2)} \\
(4) & \quad d^{(1)} = d^{(3)} < d^{(2)} & & \quad \text{and } d^{(4)} < \min\{d^{(1)}, d^{(3)}\} \\
(5) & \quad d^{(3)} = d^{(4)} < d^{(2)} & (16) & \quad d^{(1)} + d^{(3)} = d^{(4)} + d^{(2)} \\
(6) & \quad d^{(4)} = d^{(1)} < d^{(2)} & & \quad \text{and } \max\{d^{(1)}, d^{(3)}\} < d^{(2)} \\
(7) & \quad d^{(1)} + d^{(3)} = d^{(2)} & (17) & \quad d^{(3)} + d^{(4)} = d^{(1)} + d^{(2)} \\
(8) & \quad d^{(3)} + d^{(4)} = d^{(2)} & & \quad \text{and } \max\{d^{(3)}, d^{(4)}\} < d^{(2)} \\
(9) & \quad d^{(4)} + d^{(1)} = d^{(2)} & (18) & \quad d^{(4)} + d^{(1)} = d^{(3)} + d^{(2)} \\
(10) & \quad d^{(1)} + d^{(3)} = d^{(4)} < d^{(2)} & & \quad \text{and } \max\{d^{(4)}, d^{(1)}\} < d^{(2)} \\
(11) & \quad d^{(3)} + d^{(4)} = d^{(1)} < d^{(2)} & (19) & \quad d^{(1)} + d^{(3)} + d^{(4)} = d^{(2)} \\
(12) & \quad d^{(4)} + d^{(1)} = d^{(3)} < d^{(2)} & (20) & \quad d^{(1)} + d^{(3)} + d^{(4)} = 2d^{(2)} \\
(13) & \quad 2d^{(1)} = d^{(2)} & & \quad \text{and } \max\{d^{(1)}, d^{(3)}, d^{(4)}\} < d^{(2)} \\
& \quad \text{and } d^{(1)} < \min\{d^{(3)}, d^{(4)}\} & & \\
& & & \\
\end{align*}
\]

Note that Theorem 4.1 was independently obtained by Dr. Tomohiro Kamiyoshi, a researcher (non-full-time) at the University of Tsukuba. He has investigated representations associated with \( D_4 \)-type quivers under various scalar restrictions (see [4]). Among \( D_4 \)-type FPs \( (S_{d}, R_{d}(\Gamma)) \), we are interested in representations of dimension \( \mathbf{d} = (d^{(1)}, d^{(2)}, d^{(3)}, d^{(4)}) \) satisfying \( d^{(2)} > \max\{d^{(1)}, d^{(3)}, d^{(4)}\} \), because if an \( A_3 \)-type representation of dimension \( (d^{(1)}, d^{(2)}, d^{(3)}) \) is an FP, then so is any \( D_4 \)-type with dimensional condition \( d^{(2)} < d^{(4)} \). (Recall the elementary transformations of matrices. A precise statement is mentioned in, for example, [5] Proposition 1.3.)

Example 4.2. For \( \mathbf{d} = (2, 8, 3; 4) \), we have \( \mathbf{d} = -2d_1 + 4d_6 - d_{11} \) and hence \( \mathbf{d} \in L_{15} \). However, we can conclude that \( (S_{d}, R_{d}(\Gamma)) \) is an FP, because \( \mathbf{d} \) cannot be expressed as a linear combination of positive roots with coefficients of non-negative integers (i.e., the dimension \( \mathbf{d} \) does not satisfy any of the twenty conditions listed in Theorem 4.1). In fact, \( R_{d}(\Gamma) \) is decomposed into 439 \( S_{d} \)-orbits.

Thus we realize that the conditions on \( \mathbf{d} \) whether \( (S_{d}, R_{d}(\Gamma)) \) is an FP or not cannot be obtained in this way. To know such conditions, it is sufficient to list lattices of small rank. For example, there exist 26 (resp. 76, 633) lattices of \( A_5 \)-type (resp. \( D_5 \), \( E_6 \)-type) of small rank with each component of the sum of generators being positive.

Acknowledgments

The authors must give thanks to Dr. Hiroshi Nagase, an assistant professor at Nara National College of Technology, who pointed out to the first author how AR-sequences are effective in the calculation of isotropy subgroups. The authors also thank Professor Dr. Tatsuo Kimura at the University of Tsukuba, who introduced the authors to this interesting open problem: “try to classify all semisimple finite prehomogeneous vector spaces”.

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